

## Proxy Voting Results

### First Trust Nasdaq Clean Edge Green Energy ETF

**Company** : Credit Acceptance Corporation  
**Ticker** : CACC  
**CUSIP No.** : 225310101

**Meeting Date** : 2020-07-15  
**Meeting Type** : Annual  
**ISIN** : US2253101016

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Glenda J. Flanagan	For	Withhold
1.2	Elect Director Brett A. Roberts	For	For
1.3	Elect Director Thomas N. Tryforos	For	Withhold
1.4	Elect Director Scott J. Vassalluzzo	For	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Grant Thornton LLP as Auditors	For	For

**Company** : Franklin Resources, Inc.  
**Ticker** : BEN  
**CUSIP No.** : 354613101

**Meeting Date** : 2021-02-09  
**Meeting Type** : Annual  
**ISIN** : US3546131018

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Mariann Byerwalter	For	For
1b	Elect Director Alexander S. Friedman	For	For
1c	Elect Director Gregory E. Johnson	For	For
1d	Elect Director Jennifer M. Johnson	For	For
1e	Elect Director Rupert H. Johnson, Jr.	For	For
1f	Elect Director John Y. Kim	For	For
1g	Elect Director Anthony J. Noto	For	For
1h	Elect Director John W. Thiel	For	For
1i	Elect Director Seth H. Waugh	For	For
1j	Elect Director Geoffrey Y. Yang	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Amend Omnibus Stock Plan	For	Against

**Company** : First Citizens BancShares, Inc.  
**Ticker** : FCNCA  
**CUSIP No.** : 31946M103

**Meeting Date** : 2021-02-09  
**Meeting Type** : Special  
**ISIN** : US31946M1036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Issue Shares in Connection with Merger	For	For
2	Adjourn Meeting	For	For

**Company** : Raymond James Financial, Inc.  
**Ticker** : RJF  
**CUSIP No.** : 754730109

**Meeting Date** : 2021-02-18  
**Meeting Type** : Annual  
**ISIN** : US7547301090

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Charles G. von Arentschildt	For	For
1b	Elect Director Marlene Debel	For	For
1c	Elect Director Robert M. Dutkowsky	For	For
1d	Elect Director Jeffrey N. Edwards	For	For

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1e	Elect Director Benjamin C. Esty	For	For
1f	Elect Director Anne Gates	For	For
1g	Elect Director Francis S. Godbold	For	For
1h	Elect Director Thomas A. James	For	For
1i	Elect Director Gordon L. Johnson	For	For
1j	Elect Director Roderick C. McGeary	For	For
1k	Elect Director Paul C. Reilly	For	For
1l	Elect Director Raj Seshadri	For	For
1m	Elect Director Susan N. Story	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

**Company** : Jefferies Financial Group Inc.

**Ticker** : JEF

**CUSIP No.** : 47233W109

**Meeting Date** : 2021-03-25

**Meeting Type** : Annual

**ISIN** : US47233W1099

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Linda L. Adamany	For	For
1b	Elect Director Barry J. Alperin	For	For
1c	Elect Director Robert D. Beyer	For	For
1d	Elect Director Francisco L. Borges	For	For
1e	Elect Director Brian P. Friedman	For	For
1f	Elect Director MaryAnne Gilmartin	For	For
1g	Elect Director Richard B. Handler	For	For
1h	Elect Director Jacob M. Katz	For	For
1i	Elect Director Michael T. O'Kane	For	For
1j	Elect Director Joseph S. Steinberg	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Approve Omnibus Stock Plan	For	For
4	Ratify Deloitte & Touche LLP as Auditors	For	For

**Company** : Huntington Bancshares Incorporated

**Ticker** : HBAN

**CUSIP No.** : 446150104

**Meeting Date** : 2021-03-25

**Meeting Type** : Special

**ISIN** : US4461501045

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Issue Shares in Connection with Merger	For	For
2	Increase Authorized Common Stock	For	For
3	Adjourn Meeting	For	For

**Company** : TCF Financial Corporation

**Ticker** : TCF

**CUSIP No.** : 872307103

**Meeting Date** : 2021-03-25

**Meeting Type** : Special

**ISIN** : US8723071036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Merger Agreement	For	For
2	Advisory Vote on Golden Parachutes	For	For

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### First Trust Nasdaq Clean Edge Green Energy ETF

3 Adjourn Meeting For For

**Company** : The Bank of New York Mellon Corporation  
**Ticker** : BK  
**CUSIP No.** : 064058100

**Meeting Date** : 2021-04-13  
**Meeting Type** : Annual  
**ISIN** : US0640581007

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Linda Z. Cook	For	For
1b	Elect Director Joseph J. Echevarria	For	For
1c	Elect Director Thomas P. "Todd" Gibbons	For	For
1d	Elect Director M. Amy Gilliland	For	For
1e	Elect Director Jeffrey A. Goldstein	For	For
1f	Elect Director K. Guru Gowrappan	For	For
1g	Elect Director Ralph Izzo	For	For
1h	Elect Director Edmund F. "Ted" Kelly	For	For
1i	Elect Director Elizabeth E. Robinson	For	For
1j	Elect Director Samuel C. Scott, III	For	For
1k	Elect Director Frederick O. Terrell	For	For
1l	Elect Director Alfred W. "Al" Zollar	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For
4	Reduce Ownership Threshold for Shareholders to Request Action by Written Consent	Against	For

**Company** : Huntington Bancshares Incorporated  
**Ticker** : HBAN  
**CUSIP No.** : 446150104

**Meeting Date** : 2021-04-21  
**Meeting Type** : Annual  
**ISIN** : US4461501045

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Lizabeth Ardisana	For	For
1.2	Elect Director Alanna Y. Cotton	For	For
1.3	Elect Director Ann B. (Tanny) Crane	For	For
1.4	Elect Director Robert S. Cubbin	For	For
1.5	Elect Director Steven G. Elliott	For	For
1.6	Elect Director Gina D. France	For	For
1.7	Elect Director J. Michael Hochschwender	For	For
1.8	Elect Director John C. (Chris) Inglis	For	For
1.9	Elect Director Katherine M. A. (Allie) Kline	For	For
1.10	Elect Director Richard W. Neu	For	For
1.11	Elect Director Kenneth J. Phelan	For	For
1.12	Elect Director David L. Porteous	For	For
1.13	Elect Director Stephen D. Steinour	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditor	For	For
4	Amend Omnibus Stock Plan	For	For

**Company** : The PNC Financial Services Group, Inc.

**Meeting Date** : 2021-04-27

## Proxy Voting Results

First Trust Nasdaq Clean Edge Green Energy ETF

Ticker : PNC  
CUSIP No. : 693475105

Meeting Type : Annual  
ISIN : US6934751057

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Joseph Alvarado	For	For
1b	Elect Director Charles E. Bunch	For	For
1c	Elect Director Debra A. Cafaro	For	For
1d	Elect Director Marjorie Rodgers Cheshire	For	For
1e	Elect Director David L. Cohen	For	For
1f	Elect Director William S. Demchak	For	For
1g	Elect Director Andrew T. Feldstein	For	For
1h	Elect Director Richard J. Harshman	For	For
1i	Elect Director Daniel R. Hesse	For	For
1j	Elect Director Linda R. Medler	For	For
1k	Elect Director Martin Pfinsgraff	For	For
1l	Elect Director Toni Townes-Whitley	For	For
1m	Elect Director Michael J. Ward	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Report on Risk Management and Nuclear Weapon Industry	Against	Against