

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Energy Sector Index ETF

**Company** : Whiting Petroleum Corporation  
**Ticker** : WLL  
**CUSIP No.** : 966387102

**Meeting Date** : 11/8/2017  
**Meeting Type** : Special  
**ISIN** : US9663871021

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Reverse Stock Split and Reduce Shares Authorized	For	For

**Company** : EQT Corporation  
**Ticker** : EQT  
**CUSIP No.** : 26884L109

**Meeting Date** : 11/9/2017  
**Meeting Type** : Proxy Contest  
**ISIN** : US26884L1098

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Issue Shares in Connection with Merger	For	For
2	Establish Range For Board Size	For	For
3	Adjourn Meeting	For	For
1	Issue Shares in Connection with Merger	Against	Do Not Vote
2	Establish Range For Board Size	Against	Do Not Vote
3	Adjourn Meeting	Against	Do Not Vote

**Company** : Rice Energy Inc.  
**Ticker** : RICE  
**CUSIP No.** : 762760106

**Meeting Date** : 11/9/2017  
**Meeting Type** : Special  
**ISIN** : US7627601062

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Merger Agreement	For	For
2	Advisory Vote on Golden Parachutes	For	For
3	Adjourn Meeting	For	For

**Company** : Valvoline Inc.  
**Ticker** : VVV  
**CUSIP No.** : 92047W101

**Meeting Date** : 1/31/2018  
**Meeting Type** : Annual  
**ISIN** : US92047W1018

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Richard J. Freeland	For	For
1.2	Elect Director Stephen F. Kirk	For	For
1.3	Elect Director Stephen E. Macadam	For	For
1.4	Elect Director Vada O. Manager	For	For
1.5	Elect Director Samuel J. Mitchell, Jr.	For	For
1.6	Elect Director Charles M. Sonstebly	For	For
1.7	Elect Director Mary J. Twinem	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Approve Qualified Employee Stock Purchase Plan	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Energy Sector Index ETF

**Company** : Helmerich & Payne, Inc.  
**Ticker** : HP  
**CUSIP No.** : 423452101

**Meeting Date** : 3/6/2018  
**Meeting Type** : Annual  
**ISIN** : US4234521015

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Kevin G. Cramton	For	For
1b	Elect Director Randy A. Foutch	For	For
1c	Elect Director Hans Helmerich	For	For
1d	Elect Director John W. Lindsay	For	For
1e	Elect Director Paula Marshall	For	For
1f	Elect Director Jose R. Mas	For	For
1g	Elect Director Thomas A. Petrie	For	For
1h	Elect Director Donald F. Robillard, Jr.	For	For
1i	Elect Director Edward B. Rust, Jr.	For	For
1j	Elect Director John D. Zeglis	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

**Company** : RPC, Inc.  
**Ticker** : RES  
**CUSIP No.** : 749660106

**Meeting Date** : 4/24/2018  
**Meeting Type** : Annual  
**ISIN** : US7496601060

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Gary W. Rollins	For	Withhold
1.2	Elect Director Richard A. Hubbell	For	Withhold
1.3	Elect Director Larry L. Prince	For	For
2	Ratify Grant Thornton LLP as Auditors	For	For

**Company** : EOG Resources, Inc.  
**Ticker** : EOG  
**CUSIP No.** : 26875P101

**Meeting Date** : 4/24/2018  
**Meeting Type** : Annual  
**ISIN** : US26875P1012

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Janet F. Clark	For	For
1b	Elect Director Charles R. Crisp	For	For
1c	Elect Director Robert P. Daniels	For	For
1d	Elect Director James C. Day	For	For
1e	Elect Director C. Christopher Gaut	For	For
1f	Elect Director Donald F. Textor	For	For
1g	Elect Director William R. Thomas	For	For
1h	Elect Director Frank G. Wisner	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Amend Qualified Employee Stock Purchase Plan	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Energy Sector Index ETF

Company : **Marathon Petroleum Corporation**  
 Ticker : **MPC**  
 CUSIP No. : **56585A102**

Meeting Date : **4/25/2018**  
 Meeting Type : **Annual**  
 ISIN : **US56585A1025**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Abdulaziz F. Alkhayyal	For	For
1b	Elect Director Donna A. James	For	For
1c	Elect Director James E. Rohr	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Eliminate Supermajority Vote Requirement to Amend Bylaws	For	For
6	Eliminate Supermajority Vote Requirement to Amend Charter	For	For
7	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For

Company : **Cabot Oil & Gas Corporation**  
 Ticker : **COG**  
 CUSIP No. : **127097103**

Meeting Date : **5/2/2018**  
 Meeting Type : **Annual**  
 ISIN : **US1270971039**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Dorothy M. Ables	For	For
1.2	Elect Director Rhys J. Best	For	For
1.3	Elect Director Robert S. Boswell	For	For
1.4	Elect Director Amanda M. Brock	For	For
1.5	Elect Director Dan O. Dinges	For	For
1.6	Elect Director Robert Kelley	For	For
1.7	Elect Director W. Matt Ralls	For	For
1.8	Elect Director Marcus A. Watts	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : **Energren Corporation**  
 Ticker : **EGN**  
 CUSIP No. : **29265N108**

Meeting Date : **5/3/2018**  
 Meeting Type : **Annual**  
 ISIN : **US29265N1081**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Jonathan Z. Cohen	For	Against
1.2	Elect Director William G. Hargett	For	For
1.3	Elect Director Alan A. Kleier	For	For
1.4	Elect Director Vincent J. Intrieri	For	For
1.5	Elect Director Laurence M. Downes	For	For
1.6	Elect Director Lori A. Lancaster	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Energy Sector Index ETF

2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Andeavor  
 Ticker : ANDV  
 CUSIP No. : 03349M105

Meeting Date : 5/4/2018  
 Meeting Type : Annual  
 ISIN : US03349M1053

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Rodney F. Chase	For	For
1.2	Elect Director Paul L. Foster	For	Against
1.3	Elect Director Edward G. Galante	For	For
1.4	Elect Director Gregory J. Goff	For	For
1.5	Elect Director David Lilley	For	For
1.6	Elect Director Mary Pat McCarthy	For	For
1.7	Elect Director J.W. Nokes	For	For
1.8	Elect Director William H. Schumann, III	For	For
1.9	Elect Director Jeff A. Stevens	For	For
1.10	Elect Director Susan Tomasky	For	For
1.11	Elect Director Michael E. Wiley	For	For
1.12	Elect Director Patrick Y. Yang	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For
4	Approve Omnibus Stock Plan	For	For

Company : Oceaneering International, Inc.  
 Ticker : OII  
 CUSIP No. : 675232102

Meeting Date : 5/4/2018  
 Meeting Type : Annual  
 ISIN : US6752321025

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Deanna L. Goodwin	For	For
1.2	Elect Director John R. Huff	For	For
1.3	Elect Director Steven A. Webster	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

Company : Occidental Petroleum Corporation  
 Ticker : OXY  
 CUSIP No. : 674599105

Meeting Date : 5/4/2018  
 Meeting Type : Annual  
 ISIN : US6745991058

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Spencer Abraham	For	For
1b	Elect Director Howard I. Atkins	For	For
1c	Elect Director Eugene L. Batchelder	For	For
1d	Elect Director John E. Feick	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Energy Sector Index ETF

1e	Elect Director Margaret M. Foran	For	For
1f	Elect Director Carlos M. Gutierrez	For	For
1g	Elect Director Vicki Hollub	For	For
1h	Elect Director William R. Klesse	For	For
1i	Elect Director Jack B. Moore	For	For
1j	Elect Director Avedick B. Poladian	For	For
1k	Elect Director Elisse B. Walter	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Amend Omnibus Stock Plan	For	For
4	Ratify KPMG LLP as Auditors	For	For

Company : Phillips 66  
 Ticker : PSX  
 CUSIP No. : 718546104

Meeting Date : 5/9/2018  
 Meeting Type : Annual  
 ISIN : US7185461040

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director J. Brian Ferguson	For	For
1b	Elect Director Harold W. McGraw, III	For	For
1c	Elect Director Victoria J. Tschinkel	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Declassify the Board of Directors	For	For

Company : Kinder Morgan, Inc.  
 Ticker : KMI  
 CUSIP No. : 49456B101

Meeting Date : 5/9/2018  
 Meeting Type : Annual  
 ISIN : US49456B1017

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Richard D. Kinder	For	For
1.2	Elect Director Steven J. Kean	For	For
1.3	Elect Director Kimberly A. Dang	For	For
1.4	Elect Director Ted A. Gardner	For	For
1.5	Elect Director Anthony W. Hall, Jr.	For	For
1.6	Elect Director Gary L. Hultquist	For	For
1.7	Elect Director Ronald L. Kuehn, Jr.	For	For
1.8	Elect Director Deborah A. Macdonald	For	For
1.9	Elect Director Michael C. Morgan	For	For
1.10	Elect Director Arthur C. Reichstetter	For	For
1.11	Elect Director Faye Sarofim	For	For
1.12	Elect Director C. Park Shaper	For	For
1.13	Elect Director William A. Smith	For	For
1.14	Elect Director Joel V. Staff	For	For
1.15	Elect Director Robert F. Vagt	For	For
1.16	Elect Director Perry M. Waughtal	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Energy Sector Index ETF

3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	Three Years	One Year
5	Report on Methane Emissions Management	Against	For
6	Report on Sustainability	Against	For
7	Assess Portfolio Impacts of Policies to Meet 2 Degree Scenario	Against	For

Company : **Murphy Oil Corporation**

Ticker : **MUR**

CUSIP No. : **626717102**

Meeting Date : **5/9/2018**

Meeting Type : **Annual**

ISIN : **US6267171022**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director T. Jay Collins	For	For
1b	Elect Director Steven A. Cosse	For	For
1c	Elect Director Claiborne P. Deming	For	For
1d	Elect Director Lawrence R. Dickerson	For	For
1e	Elect Director Roger W. Jenkins	For	For
1f	Elect Director Elisabeth W. Keller	For	For
1g	Elect Director James V. Kelley	For	For
1h	Elect Director Walentin Mirosh	For	For
1i	Elect Director R. Madison Murphy	For	For
1j	Elect Director Jeffrey W. Nolan	For	For
1k	Elect Director Neal E. Schmale	For	For
1l	Elect Director Laura A. Sugg	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Approve Non-Employee Director Omnibus Stock Plan	For	For
4	Approve Omnibus Stock Plan	For	For
5	Ratify KPMG LLP as Auditors	For	For

Company : **HollyFrontier Corporation**

Ticker : **HFC**

CUSIP No. : **436106108**

Meeting Date : **5/9/2018**

Meeting Type : **Annual**

ISIN : **US4361061082**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Anne-Marie N. Ainsworth	For	For
1b	Elect Director Douglas Y. Bech	For	For
1c	Elect Director Anna C. Catalano	For	For
1d	Elect Director George J. Damiris	For	For
1e	Elect Director Leldon E. Echols	For	For
1f	Elect Director R. Kevin Hardage *Withdrawn Resolution*	0	
1g	Elect Director Michael C. Jennings	For	For
1h	Elect Director Robert J. Kostelnik	For	For
1i	Elect Director James H. Lee	For	For
1j	Elect Director Franklin Myers	For	For
1k	Elect Director Michael E. Rose	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Energy Sector Index ETF

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

Company : Cimarex Energy Co.

Ticker : XEC

CUSIP No. : 171798101

Meeting Date : 5/10/2018

Meeting Type : Annual

ISIN : US1717981013

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Joseph R. Albi	For	For
1.2	Elect Director Lisa A. Stewart	For	For
1.3	Elect Director Michael J. Sullivan	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : Anadarko Petroleum Corporation

Ticker : APC

CUSIP No. : 032511107

Meeting Date : 5/15/2018

Meeting Type : Annual

ISIN : US0325111070

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Anthony R. Chase	For	For
1b	Elect Director David E. Constable	For	For
1c	Elect Director H. Paulett Eberhart	For	For
1d	Elect Director Claire S. Farley	For	For
1e	Elect Director Peter J. Fluor	For	For
1f	Elect Director Joseph W. Gorder	For	For
1g	Elect Director John R. Gordon	For	For
1h	Elect Director Sean Gourley	For	For
1i	Elect Director Mark C. McKinley	For	For
1j	Elect Director Eric D. Mullins	For	For
1k	Elect Director R. A. Walker	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Assess Portfolio Impacts of Policies to Meet 2 Degree Scenario	Against	For

Company : Newfield Exploration Company

Ticker : NFX

CUSIP No. : 651290108

Meeting Date : 5/15/2018

Meeting Type : Annual

ISIN : US6512901082

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Lee K. Boothby	For	For
1b	Elect Director Pamela J. Gardner	For	For
1c	Elect Director Edgar R. Giesinger, Jr.	For	For
1d	Elect Director Steven W. Nance	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Energy Sector Index ETF

1e	Elect Director Roger B. Plank	For	For
1f	Elect Director Thomas G. Ricks	For	For
1g	Elect Director Juanita M. Romans	For	For
1h	Elect Director John W. Schanck	For	For
1i	Elect Director J. Terry Strange	For	For
1j	Elect Director J. Kent Wells	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

**Company** : QEP Resources, Inc.

**Ticker** : QEP

**CUSIP No.** : 74733V100

**Meeting Date** : 5/15/2018

**Meeting Type** : Annual

**ISIN** : US74733V1008

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Declassify the Board of Directors	For	For
2.1	Elect Director Phillips S. Baker, Jr.	For	For
2.2	Elect Director Julie A. Dill	For	For
2.3	Elect Director Robert F. Heinemann	For	For
2.4	Elect Director Michael J. Minarovic	For	For
2.5	Elect Director M. W. Scoggins	For	For
2.6	Elect Director Mary Shafer-Malicki	For	For
2.7	Elect Director Charles B. Stanley	For	For
2.8	Elect Director David A. Trice	For	For
3.9	Elect Director Phillips S. Baker, Jr. (Class II)	For	For
3.10	Elect Director Julie A. Dill (Class III)	For	For
3.11	Elect Director Robert F. Heinemann (Class I)	For	For
3.12	Elect Director Michael J. Minarovic (Class I)	For	For
3.13	Elect Director M. W. Scoggins (Class III)	For	For
3.14	Elect Director Mary Shafer-Malicki (Class II)	For	For
3.15	Elect Director Charles B. Stanley (Class II)	For	For
3.16	Elect Director David A. Trice (Class I)	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
5	Approve Omnibus Stock Plan	For	For
6	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

**Company** : ConocoPhillips

**Ticker** : COP

**CUSIP No.** : 20825C104

**Meeting Date** : 5/15/2018

**Meeting Type** : Annual

**ISIN** : US20825C1045

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Charles E. Bunch	For	For
1b	Elect Director Caroline Maury Devine	For	For
1c	Elect Director John V. Faraci	For	For
1d	Elect Director Jody Freeman	For	For
1e	Elect Director Gay Huey Evans	For	For



## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Energy Sector Index ETF

1f	Elect Director Ryan M. Lance	For	For
1g	Elect Director Sharmila Mulligan	For	For
1h	Elect Director Arjun N. Murti	For	For
1i	Elect Director Robert A. Niblock	For	For
1j	Elect Director Harald J. Norvik	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Use GAAP for Executive Compensation Metrics	Against	Against

Company : Range Resources Corporation  
 Ticker : RRC  
 CUSIP No. : 75281A109

Meeting Date : 5/16/2018  
 Meeting Type : Annual  
 ISIN : US75281A1097

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Brenda A. Cline	For	For
1b	Elect Director Anthony V. Dub	For	For
1c	Elect Director Allen Finkelson	For	For
1d	Elect Director James M. Funk	For	For
1e	Elect Director Christopher A. Helms	For	For
1f	Elect Director Robert A. Innamorati	For	For
1g	Elect Director Greg G. Maxwell	For	For
1h	Elect Director Kevin S. McCarthy	For	For
1i	Elect Director Steffen E. Palko	For	For
1j	Elect Director Jeffrey L. Ventura	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For
4	Report on Political Contributions	Against	For
5	Report on Methane Emissions Management and Reduction Targets	Against	For

Company : Halliburton Company  
 Ticker : HAL  
 CUSIP No. : 406216101

Meeting Date : 5/16/2018  
 Meeting Type : Annual  
 ISIN : US4062161017

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Abdulaziz F. Al Khayyal	For	For
1b	Elect Director William E. Albrecht	For	For
1c	Elect Director Alan M. Bennett	For	For
1d	Elect Director James R. Boyd	For	For
1e	Elect Director Milton Carroll	For	For
1f	Elect Director Nance K. Dicciani	For	For
1g	Elect Director Murry S. Gerber	For	For
1h	Elect Director Jose C. Grubisich	For	For
1i	Elect Director David J. Lesar	For	For
1j	Elect Director Robert A. Malone	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Energy Sector Index ETF

1k	Elect Director Jeffrey A. Miller	For	For
1l	Elect Director Debra L. Reed	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against

**Company** : **Cheniere Energy, Inc.**

**Ticker** : **LNG**

**CUSIP No.** : **16411R208**

**Meeting Date** : **5/17/2018**

**Meeting Type** : **Annual**

**ISIN** : **US16411R2085**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director G. Andrea Botta	For	For
1b	Elect Director Jack A. Fusco	For	For
1c	Elect Director Vicky A. Bailey	For	For
1d	Elect Director Nuno Brandolini	For	For
1e	Elect Director David I. Foley	For	For
1f	Elect Director David B. Kilpatrick	For	For
1g	Elect Director Andrew Langham	For	For
1h	Elect Director Courtney R. Mather	For	For
1i	Elect Director Donald F. Robillard, Jr.	For	For
1j	Elect Director Neal A. Shear	For	For
1k	Elect Director Heather R. Zichal	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify KPMG LLP as Auditors	For	For

**Company** : **Concho Resources Inc.**

**Ticker** : **CXO**

**CUSIP No.** : **20605P101**

**Meeting Date** : **5/17/2018**

**Meeting Type** : **Annual**

**ISIN** : **US20605P1012**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Steven L. Beal	For	For
1.2	Elect Director Tucker S. Bridwell	For	For
1.3	Elect Director Mark B. Puckett	For	For
1.4	Elect Director E. Joseph Wright	For	For
2	Ratify Grant Thornton LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

**Company** : **Continental Resources, Inc.**

**Ticker** : **CLR**

**CUSIP No.** : **212015101**

**Meeting Date** : **5/17/2018**

**Meeting Type** : **Annual**

**ISIN** : **US2120151012**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Ellis L. 'Lon' McCain	For	For
1.2	Elect Director Mark E. Monroe	For	For
2	Ratify Grant Thornton LLP as Auditors	For	For

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### First Trust AlphaDEX™ U.S. Energy Sector Index ETF

3 Advisory Vote to Ratify Named Executive Officers' Compensation For For

**Company** : Chesapeake Energy Corporation  
**Ticker** : CHK  
**CUSIP No.** : 165167107

**Meeting Date** : 5/18/2018  
**Meeting Type** : Annual  
**ISIN** : US1651671075

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Gloria R. Boyland	For	For
1b	Elect Director Luke R. Corbett	For	Against
1c	Elect Director Archie W. Dunham	For	Against
1d	Elect Director Leslie Starr Keating	For	For
1e	Elect Director Robert D. "Doug" Lawler	For	For
1f	Elect Director R. Brad Martin	For	For
1g	Elect Director Merrill A. "Pete" Miller, Jr.	For	Against
1h	Elect Director Thomas L. Ryan	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Report on Lobbying Payments and Policy	Against	Against
5	Assess Portfolio Impacts of Policies to Meet 2 Degree Scenario	Against	For

**Company** : ONEOK, Inc.  
**Ticker** : OKE  
**CUSIP No.** : 682680103

**Meeting Date** : 5/23/2018  
**Meeting Type** : Annual  
**ISIN** : US6826801036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Brian L. Derksen	For	For
1.2	Elect Director Julie H. Edwards	For	For
1.3	Elect Director John W. Gibson	For	For
1.4	Elect Director Randall J. Larson	For	For
1.5	Elect Director Steven J. Malcolm	For	For
1.6	Elect Director Jim W. Mogg	For	For
1.7	Elect Director Pattye L. Moore	For	For
1.8	Elect Director Gary D. Parker	For	For
1.9	Elect Director Eduardo A. Rodriguez	For	For
1.10	Elect Director Terry K. Spencer	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Approve Omnibus Stock Plan	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Energy Sector Index ETF

Company : Apache Corporation  
 Ticker : APA  
 CUSIP No. : 037411105

Meeting Date : 5/24/2018  
 Meeting Type : Annual  
 ISIN : US0374111054

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Elect Director Annell R. Bay	For	For
2	Elect Director John J. Christmann, IV	For	For
3	Elect Director Chansoo Joung	For	For
4	Elect Director Rene R. Joyce	For	For
5	Elect Director George D. Lawrence	For	For
6	Elect Director John E. Lowe	For	For
7	Elect Director William C. Montgomery	For	For
8	Elect Director Amy H. Nelson	For	For
9	Elect Director Daniel W. Rabun	For	For
10	Elect Director Peter A. Ragauss	For	For
11	Ratify Ernst & Young LLP as Auditors	For	For
12	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against

Company : Parsley Energy, Inc.  
 Ticker : PE  
 CUSIP No. : 701877102

Meeting Date : 5/25/2018  
 Meeting Type : Annual  
 ISIN : US7018771029

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Ronald Brokmeyer	For	For
1b	Elect Director Hemang Desai	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Chevron Corporation  
 Ticker : CVX  
 CUSIP No. : 166764100

Meeting Date : 5/30/2018  
 Meeting Type : Annual  
 ISIN : US1667641005

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Wanda M. Austin	For	For
1b	Elect Director John B. Frank	For	For
1c	Elect Director Alice P. Gast	For	For
1d	Elect Director Enrique Hernandez, Jr.	For	For
1e	Elect Director Charles W. Moorman, IV	For	For
1f	Elect Director Dambisa F. Moyo	For	For
1g	Elect Director Ronald D. Sugar	For	For
1h	Elect Director Inge G. Thulin	For	For
1i	Elect Director D. James Umpleby, III	For	For
1j	Elect Director Michael K. Wirth	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Energy Sector Index ETF

3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Report on Lobbying Payments and Policy	Against	For
5	Report on Risks of Doing Business in Conflict-Affected Areas	Against	Against
6	Report on Transition to a Low Carbon Business Model	Against	Against
7	Report on Methane Emissions	Against	For
8	Require Independent Board Chairman	Against	Against
9	Require Director Nominee with Environmental Experience	Against	For
10	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For

Company : Exxon Mobil Corporation

Ticker : XOM

CUSIP No. : 30231G102

Meeting Date : 5/30/2018

Meeting Type : Annual

ISIN : US30231G1022

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Susan K. Avery	For	For
1.2	Elect Director Angela F. Braly	For	For
1.3	Elect Director Ursula M. Burns	For	For
1.4	Elect Director Kenneth C. Frazier	For	For
1.5	Elect Director Steven A. Kandarian	For	For
1.6	Elect Director Douglas R. Oberhelman	For	For
1.7	Elect Director Samuel J. Palmisano	For	For
1.8	Elect Director Steven S Reinemund	For	For
1.9	Elect Director William C. Weldon	For	For
1.10	Elect Director Darren W. Woods	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
4	Require Independent Board Chairman	Against	For
5	Amend Bylaws -- Call Special Meetings	Against	For
6	Disclose a Board Diversity and Qualifications Matrix	Against	Against
7	Report on lobbying Payments and Policy	Against	For

Company : Gulfport Energy Corporation

Ticker : GPOR

CUSIP No. : 402635304

Meeting Date : 5/30/2018

Meeting Type : Annual

ISIN : US4026353049

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Michael G. Moore	For	For
1.2	Elect Director Craig Groeschel	For	For
1.3	Elect Director David L. Houston	For	For
1.4	Elect Director C. Doug Johnson	For	For
1.5	Elect Director Ben T. Morris	For	For
1.6	Elect Director Scott E. Streller	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Energy Sector Index ETF

1.7	Elect Director Paul D. Westerman	For	For
1.8	Elect Director Deborah G. Adams	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Grant Thornton LLP as Auditors	For	For

**Company** : PBF Energy Inc.  
**Ticker** : PBF  
**CUSIP No.** : 69318G106

**Meeting Date** : 5/31/2018  
**Meeting Type** : Annual  
**ISIN** : US69318G1067

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Thomas J. Nimbley	For	For
1.2	Elect Director Spencer Abraham	For	For
1.3	Elect Director Wayne A. Budd	For	For
1.4	Elect Director S. Eugene Edwards	For	For
1.5	Elect Director William E. Hantke	For	For
1.6	Elect Director Edward F. Kosnik	For	For
1.7	Elect Director Robert J. Lavinia	For	For
1.8	Elect Director Kimberly S. Lubel	For	For
1.9	Elect Director George E. Ogden	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Amend Omnibus Stock Plan	For	For

**Company** : Nabors Industries Ltd.  
**Ticker** : NBR  
**CUSIP No.** : G6359F103

**Meeting Date** : 6/5/2018  
**Meeting Type** : Annual  
**ISIN** : BMG6359F1032

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Tanya S. Beder	For	For
1.2	Elect Director James R. Crane	For	Withhold
1.3	Elect Director John P. Kotts	For	Withhold
1.4	Elect Director Michael C. Linn	For	Withhold
1.5	Elect Director Anthony G. Petrello	For	For
1.6	Elect Director Dag Skattum	For	For
1.7	Elect Director John Yearwood	For	For
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
4	Amend Omnibus Stock Plan	For	For

**Company** : Devon Energy Corporation  
**Ticker** : DVN  
**CUSIP No.** : 25179M103

**Meeting Date** : 6/6/2018  
**Meeting Type** : Annual  
**ISIN** : US25179M1036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Barbara M. Baumann	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Energy Sector Index ETF

1.2	Elect Director John E. Bethancourt	For	For
1.3	Elect Director David A. Hager	For	For
1.4	Elect Director Robert H. Henry	For	For
1.5	Elect Director Michael Kanovsky	For	For
1.6	Elect Director John Krenicki, Jr.	For	For
1.7	Elect Director Robert A. Mosbacher, Jr.	For	For
1.8	Elect Director Duane C. Radtke	For	For
1.9	Elect Director Mary P. Ricciardello	For	For
1.10	Elect Director John Richels	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For
4	Provide Right to Act by Written Consent	Against	For

**Company** : **Diamondback Energy, Inc.**

**Ticker** : **FANG**

**CUSIP No.** : **25278X109**

**Meeting Date** : **6/7/2018**

**Meeting Type** : **Annual**

**ISIN** : **US25278X1090**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Steven E. West	For	For
1.2	Elect Director Travis D. Stice	For	For
1.3	Elect Director Michael L. Hollis	For	For
1.4	Elect Director Michael P. Cross	For	For
1.5	Elect Director David L. Houston	For	For
1.6	Elect Director Mark L. Plaumann	For	For
1.7	Elect Director Melanie M. Trent	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Grant Thornton LLP as Auditors	For	For

**Company** : **Patterson-UTI Energy, Inc.**

**Ticker** : **PTEN**

**CUSIP No.** : **703481101**

**Meeting Date** : **6/14/2018**

**Meeting Type** : **Annual**

**ISIN** : **US7034811015**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Mark S. Siegel	For	For
1.2	Elect Director Charles O. Buckner	For	For
1.3	Elect Director Tiffany 'TJ' Thom Cepak	For	For
1.4	Elect Director Michael W. Conlon	For	For
1.5	Elect Director William A. Hendricks, Jr.	For	For
1.6	Elect Director Curtis W. Huff	For	For
1.7	Elect Director Terry H. Hunt	For	For
1.8	Elect Director Janeen S. Judah	For	For
2	Increase Authorized Common Stock	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against

## Proxy Voting Results

First Trust AlphaDEX™ U.S. Energy Sector Index ETF

Company : Antero Resources Corporation  
Ticker : AR  
CUSIP No. : 03674X106

Meeting Date : 6/20/2018  
Meeting Type : Annual  
ISIN : US03674X1063

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Peter R. Kagan	For	For
1.2	Elect Director W. Howard Keenan, Jr.	For	For
1.3	Elect Director Joyce E. McConnell	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : EQT Corporation  
Ticker : EQT  
CUSIP No. : 26884L109

Meeting Date : 6/21/2018  
Meeting Type : Annual  
ISIN : US26884L1098

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Vicky A. Bailey	For	For
1.2	Elect Director Philip G. Behrman	For	For
1.3	Elect Director Kenneth M. Burke	For	For
1.4	Elect Director A. Bray Cary, Jr.	For	For
1.5	Elect Director Margaret K. Dorman	For	For
1.6	Elect Director Thomas F. Karam	For	For
1.7	Elect Director David L. Porges	For	For
1.8	Elect Director Daniel J. Rice, IV	For	For
1.9	Elect Director James E. Rohr	For	For
1.10	Elect Director Norman J. Szydlowski	For	For
1.11	Elect Director Stephen A. Thorington	For	For
1.12	Elect Director Lee T. Todd, Jr.	For	For
1.13	Elect Director Christine J. Toretti	For	For
1.14	Elect Director Robert F. Vagt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For