

# First Trust Senior Loan ETF (CAD-Hedged)

**Ticker: FSL**

## Quarter End Statement of Investments

3rd Quarter as at September 30, 2023

Sector Allocation	% of Net Asset Value
Exchange-Traded Fund (First Trust Senior Loan Fund [“Underlying Fund”])*	99.68%
Cash and Cash Equivalents	0.82%
<b>Total</b>	<b>100.50%</b>
<b>Other Assets and Liabilities</b>	<b>(0.50%)</b>
<b>Total Net Asset Value</b>	<b>100.00%</b>

Sector Allocation of the Underlying Fund	% of Net Asset Value of the Underlying Fund
Information Technology	24.49%
Health Care	19.04%
Financials	16.36%
Consumer Discretionary	12.16%
Communication Services	10.99%
Materials	4.96%
Industrials	4.39%
Energy	1.93%
Consumer Staples	0.75%
Utilities	0.10%
Auto Components	0.09%
<b>Total</b>	<b>95.26%</b>
<b>Other Assets and Liabilities</b>	<b>4.74%</b>
<b>Total Net Asset Value of the Underlying Fund</b>	<b>100.00%</b>

Country Allocation of the Underlying Fund	% of Net Asset Value of the Underlying Fund
United States	85.94%
Canada	5.22%
United Kingdom	2.51%
France	1.01%
Netherlands	0.39%
Luxemburg	0.10%
Ireland	0.07%
Germany	0.02%

Holdings	% of Net Asset Value
First Trust Senior Loan Fund	99.68%
<b>Total Net Asset Value</b>	<b>\$35,205,371</b>

Top 25 Holdings of the Underlying Fund	% of Net Asset Value of the Underlying Fund
AssuredPartners Inc.	3.67%
SS&C Technologies Holdings, Inc.	3.43%
Verscend Technologies, Inc. (Cotiviti)	3.30%
Zelis Payments Buyer, Inc.	3.06%
HUB International Limited	3.01%
Open Text Corporation (GXS)	2.74%
Charter Communications Operating, LLC	2.73%
Cablevision (aka CSC Holdings, LLC)	2.50%
Clarivate Analytics PLC (Camelot)	2.05%
Alliant Holdings I, LLC	2.00%
IRB Holding Corp. (Arby's/Inspire Brands)	1.94%
PG&E Corp.	1.93%
Medline Borrower, LP (Mozart)	1.86%
SBA Senior Finance II LLC	1.85%
1011778 B.C. Unlimited Liability Company (Restaurant Brands) (aka Burger King/Tim Horton's)	1.79%
athenahealth, Inc. (Minerva Merger Sub, Inc.)	1.69%
IQVIA Inc. (Quintiles)	1.65%
Edelman Financial Engines Center, LLC	1.61%
Nexstar Broadcasting Inc.	1.55%
Berry Global, Inc.	1.48%
Gainwell Acquisition Corp. (fka Milano)	1.38%
Dun & Bradstreet Corp.	1.37%
Navicure, Inc. (Waystar Technologies, Inc.)	1.37%
Graham Packaging Company, L.P.	1.34%
DaVita Inc.	1.32%
<b>Total Net Asset Value of the Underlying Fund (in USD)</b>	<b>\$2,170,438,170</b>

\*The First Trust ETF obtains exposure to a portfolio of senior floating rate loans which are generally rated at or below BB+ by Standard & Poor's, or Ba1 or less by Moody's Investor Services, Inc., or a similar rating by a designated rating organization (as defined in National Instrument 81-102 - Investment Funds) and debt securities by investing in the Underlying Fund, a U.S.-listed index fund (i.e. First Trust Senior Loan Fund [the "Underlying Fund"]).

Commissions, management fees and expenses all may be associated with ETF investments. Please carefully read the simplified prospectus of the ETF before investing. ETFs are not guaranteed, their values change frequently and past performance may not be repeated. Data is as of September 30, 2023 (unaudited).

(10/23)