

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

**Company** : The Dow Chemical Company  
**Ticker** : DOW  
**CUSIP No.** : 260543103

**Meeting Date** : 7/20/2016  
**Meeting Type** : Special  
**ISIN** : US2605431038

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Merger Agreement	For	For
2	Adjourn Meeting	For	For
3	Advisory Vote on Golden Parachutes	For	For

**Company** : Legg Mason, Inc.  
**Ticker** : LM  
**CUSIP No.** : 524901105

**Meeting Date** : 7/26/2016  
**Meeting Type** : Annual  
**ISIN** : US5249011058

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Robert E. Angelica	For	For
1.2	Elect Director Carol Anthony 'John' Davidson	For	For
1.3	Elect Director Barry W. Huff	For	For
1.4	Elect Director Dennis M. Kass	For	For
1.5	Elect Director Cheryl Gordon Krongard	For	For
1.6	Elect Director John V. Murphy	For	For
1.7	Elect Director John H. Myers	For	For
1.8	Elect Director W. Allen Reed	For	For
1.9	Elect Director Margaret Milner Richardson	For	For
1.10	Elect Director Kurt L. Schmoke	For	For
1.11	Elect Director Joseph A. Sullivan	For	For
2	Amend Omnibus Stock Plan	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

**Company** : CA, Inc.  
**Ticker** : CA  
**CUSIP No.** : 12673P105

**Meeting Date** : 8/3/2016  
**Meeting Type** : Annual  
**ISIN** : US12673P1057

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Jens Alder	For	For
1B	Elect Director Raymond J. Bromark	For	For
1C	Elect Director Michael P. Gregoire	For	For
1D	Elect Director Rohit Kapoor	For	For
1E	Elect Director Jeffrey G. Katz	For	For
1F	Elect Director Kay Koplovitz	For	For
1G	Elect Director Christopher B. Lofgren	For	For
1H	Elect Director Richard Sulpizio	For	For
1I	Elect Director Laura S. Unger	For	For

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### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1J	Elect Director Arthur F. Weinbach	For	For
1K	Elect Director Renato (Ron) Zambonini	For	For
2	Ratify KPMG LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Amend Omnibus Stock Plan	For	For
5	Amend Shareholder Rights Plan (Poison Pill)	For	For

Company : **Ralph Lauren Corporation**

Ticker : **RL**

CUSIP No. : **751212101**

Meeting Date : **8/11/2016**

Meeting Type : **Annual**

ISIN : **US7512121010**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Frank A. Bennack, Jr.	For	For
1.2	Elect Director Joel L. Fleishman	For	For
1.3	Elect Director Hubert Joly	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	Against
4	Amend Omnibus Stock Plan	For	For

Company : **Microchip Technology Incorporated**

Ticker : **MCHP**

CUSIP No. : **595017104**

Meeting Date : **8/15/2016**

Meeting Type : **Annual**

ISIN : **US5950171042**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Steve Sanghi	For	For
1.2	Elect Director Matthew W. Chapman	For	For
1.3	Elect Director L.B. Day	For	For
1.4	Elect Director Esther L. Johnson	For	For
1.5	Elect Director Wade F. Meyercord	For	For
2	Amend Executive Incentive Bonus Plan	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
4	Compensation	For	For

Company : **Johnson Controls, Inc.**

Ticker : **JCI**

CUSIP No. : **478366107**

Meeting Date : **8/17/2016**

Meeting Type : **Special**

ISIN : **US4783661071**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Merger Agreement	For	For
2	Adjourn Meeting	For	For
3	Advisory Vote on Golden Parachutes	For	Against

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : The J. M. Smucker Company  
 Ticker : SJM  
 CUSIP No. : 832696405

Meeting Date : 8/17/2016  
 Meeting Type : Annual  
 ISIN : US8326964058

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Kathryn W. Dindo	For	For
1b	Elect Director Paul J. Dolan	For	For
1c	Elect Director Jay L. Henderson	For	For
1d	Elect Director Nancy Lopez Knight	For	For
1e	Elect Director Elizabeth Valk Long	For	For
1f	Elect Director Gary A. Oatey	For	For
1g	Elect Director Sandra Pianalto	For	For
1h	Elect Director Alex Shumate	For	For
1i	Elect Director Mark T. Smucker	For	For
1j	Elect Director Richard K. Smucker	For	For
1k	Elect Director Timothy P. Smucker	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Report on Plans to Increase Renewable Energy Use	Against	For

Company : H&R Block, Inc.  
 Ticker : HRB  
 CUSIP No. : 093671105

Meeting Date : 9/8/2016  
 Meeting Type : Annual  
 ISIN : US0936711052

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Angela N. Archon	For	For
1b	Elect Director Paul J. Brown	For	For
1c	Elect Director William C. Cobb	For	For
1d	Elect Director Robert A. Gerard	For	For
1e	Elect Director Richard A. Johnson	For	For
1f	Elect Director David Baker Lewis	For	For
1g	Elect Director Victoria J. Reich	For	For
1h	Elect Director Bruce C. Rohde	For	For
1i	Elect Director Tom D. Seip	For	For
1j	Elect Director Christianna Wood	For	For
1k	Elect Director James F. Wright	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Proxy Access	Against	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Patterson Companies, Inc.  
 Ticker : PDCO  
 CUSIP No. : 703395103

Meeting Date : 9/12/2016  
 Meeting Type : Annual  
 ISIN : US7033951036

Item	Proposal Description	Mgmt Rec.	Vote Cast
1.1	Elect Director Scott P. Anderson	For	For
1.2	Elect Director John D. Buck	For	For
1.3	Elect Director Jody H. Feragen	For	For
1.4	Elect Director Sarena S. Lin	For	For
1.5	Elect Director Ellen A. Rudnick	For	For
1.6	Elect Director Neil A. Schrimsher	For	For
1.7	Elect Director Les C. Vinney	For	For
1.8	Elect Director James W. Wiltz	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

Company : NetApp, Inc.  
 Ticker : NTAP  
 CUSIP No. : 64110D104

Meeting Date : 9/15/2016  
 Meeting Type : Annual  
 ISIN : US64110D1046

Item	Proposal Description	Mgmt Rec.	Vote Cast
1a	Elect Director T. Michael Nevens	For	For
1b	Elect Director Jeffrey R. Allen	For	For
1c	Elect Director Alan L. Earhart	For	For
1d	Elect Director Gerald Held	For	For
1e	Elect Director Kathryn M. Hill	For	For
1f	Elect Director George Kurian	For	For
1g	Elect Director George T. Shaheen	For	For
1h	Elect Director Stephen M. Smith	For	For
1i	Elect Director Robert T. Wall	For	For
1j	Elect Director Richard P. Wallace	For	For
2	Amend Omnibus Stock Plan	For	For
3	Amend Qualified Employee Stock Purchase Plan	For	For
	Advisory Vote to Ratify Named Executive Officers'		
4	Compensation	For	For
5	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : ConAgra Foods, Inc.  
 Ticker : CAG  
 CUSIP No. : 205887102

Meeting Date : 9/23/2016  
 Meeting Type : Annual  
 ISIN : US2058871029

Item	Proposal Description	Mgmt Rec.	Vote Cast
1.1	Elect Director Bradley A. Alford	For	For
1.2	Elect Director Thomas K. Brown	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1.3	Elect Director Stephen G. Butler	For	For
1.4	Elect Director Sean M. Connolly	For	For
1.5	Elect Director Steven F. Goldstone	For	For
1.6	Elect Director Joie A. Gregor	For	For
1.7	Elect Director Rajive Johri	For	For
1.8	Elect Director W.G. Jurgensen	For	For
1.9	Elect Director Richard H. Lenny	For	For
1.10	Elect Director Ruth Ann Marshall	For	For
1.11	Elect Director Timothy R. McLevish	For	For
2	Ratify KPMG LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For

Company : General Mills, Inc.

Ticker : GIS

CUSIP No. : 370334104

Meeting Date : 9/27/2016

Meeting Type : Annual

ISIN : US3703341046

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Bradbury H. Anderson	For	For
1b	Elect Director R. Kerry Clark	For	For
1c	Elect Director David M. Cordani	For	For
1d	Elect Director Roger W. Ferguson, Jr.	For	For
1e	Elect Director Henrietta H. Fore	For	For
1f	Elect Director Maria G. Henry	For	For
1g	Elect Director Heidi G. Miller	For	For
1h	Elect Director Steve Odland	For	For
1i	Elect Director Kendall J. Powell	For	For
1j	Elect Director Robert L. Ryan	For	For
1k	Elect Director Eric D. Sprunk	For	For
1l	Elect Director Dorothy A. Terrell	For	For
1m	Elect Director Jorge A. Uribe	For	For
2	Approve Non-Employee Director Omnibus Stock Plan	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Ratify KPMG LLP as Auditors	For	For

Company : Darden Restaurants, Inc.

Ticker : DRI

CUSIP No. : 237194105

Meeting Date : 9/29/2016

Meeting Type : Annual

ISIN : US2371941053

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Margaret Shan Atkins	For	For
1.2	Elect Director Jean M. Birch	For	For
1.3	Elect Director Bradley D. Blum	For	For
1.4	Elect Director James P. Fogarty	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1.5	Elect Director Cynthia T. Jamison	For	For
1.6	Elect Director Eugene I. (Gene) Lee, Jr.	For	For
1.7	Elect Director William S. Simon	For	For
1.8	Elect Director Charles M. (Chuck) Sonstebly	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For
	Adopt a Policy to Phase Out Non-Therapeutic Use of		
4	Antibiotics in the Meat Supply Chain	Against	Against

Company : Seagate Technology plc

Ticker : STX

CUSIP No. : G7945J104

Meeting Date : 10/19/2016

Meeting Type : Annual

ISIN : IE00B58JVZ52

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Stephen J. Luczo	For	For
1b	Elect Director Frank J. Biondi, Jr.	For	For
1c	Elect Director Michael R. Cannon	For	For
1d	Elect Director Mei-Wei Cheng	For	For
1e	Elect Director William T. Coleman	For	For
1f	Elect Director Jay L. Geldmacher	For	For
1g	Elect Director Dambisa F. Moyo	For	For
1h	Elect Director Chong Sup Park	For	For
1i	Elect Director Stephanie Tilenius	For	For
1j	Elect Director Edward J. Zander	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Amend Omnibus Stock Plan	For	For
4	Amend Omnibus Stock Plan	For	For
	Approve Ernst & Young LLP as Auditors and Authorize Board		
5	to Fix Their Remuneration	For	For
	Approve Proposed Amendments to the Company's Articles of		
6A	Association	For	For
	Approve Proposed Amendments to the Company's		
6B	Memorandum of Association	For	For
7A	Adopt Plurality Voting for Contested Election of Directors	For	For
7B	Amend Articles Re: Setting the Size of the Board	For	For
	Authorize Issuance of Equity or Equity-Linked Securities with		
8	Preemptive Rights	For	For
	Authorize Issuance of Equity or Equity-Linked Securities		
9	without Preemptive Rights	For	For
10	Determine Price Range for Reissuance of Treasury Shares	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Parker-Hannifin Corporation  
 Ticker : PH  
 CUSIP No. : 701094104

Meeting Date : 10/26/2016  
 Meeting Type : Annual  
 ISIN : US7010941042

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Lee C. Banks	For	For
1.2	Elect Director Robert G. Bohn	For	For
1.3	Elect Director Linda S. Harty	For	For
1.4	Elect Director Robert J. Kohlhepp	For	For
1.5	Elect Director Kevin A. Lobo	For	For
1.6	Elect Director Klaus-Peter Muller	For	For
1.7	Elect Director Candy M. Obourn	For	For
1.8	Elect Director Joseph Scaminace	For	For
1.9	Elect Director Wolfgang R. Schmitt	For	For
1.10	Elect Director Ake Svensson	For	For
1.11	Elect Director James R. Verrier	For	For
1.12	Elect Director James L. Wainscott	For	For
1.13	Elect Director Thomas L. Williams	For	For
2	Require Advance Notice for Shareholder Nominations	For	For
3	Ratify Deloitte & Touche LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
4	Compensation	For	For
5	Approve Omnibus Stock Plan	For	For

Company : Harris Corporation  
 Ticker : HRS  
 CUSIP No. : 413875105

Meeting Date : 10/28/2016  
 Meeting Type : Annual  
 ISIN : US4138751056

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director James F. Albaugh	For	For
1b	Elect Director William M. Brown	For	For
1c	Elect Director Peter W. Chiarelli	For	For
1d	Elect Director Thomas A. Dattilo	For	For
1e	Elect Director Roger B. Fradin	For	For
1f	Elect Director Terry D. Growcock	For	For
1g	Elect Director Lewis Hay, III	For	For
1h	Elect Director Vyomesh I. Joshi	For	For
1i	Elect Director Leslie F. Kenne	For	For
1j	Elect Director James C. Stoffel	For	For
1k	Elect Director Gregory T. Swienton	For	For
1l	Elect Director Hansel E. Tookes, II Advisory Vote to Ratify Named Executive Officers'	For	For
2	Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : KLA-Tencor Corporation  
 Ticker : KLAC  
 CUSIP No. : 482480100

Meeting Date : 11/2/2016  
 Meeting Type : Annual  
 ISIN : US4824801009

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Edward W. Barnholt	For	For
1.2	Elect Director Robert M. Calderoni	For	For
1.3	Elect Director John T. Dickson	For	For
1.4	Elect Director Emiko Higashi	For	For
1.5	Elect Director Kevin J. Kennedy	For	For
1.6	Elect Director Gary B. Moore	For	For
1.7	Elect Director Kiran M. Patel	For	For
1.8	Elect Director Robert A. Rango	For	For
1.9	Elect Director Richard P. Wallace	For	For
1.10	Elect Director David C. Wang	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For

Company : Cardinal Health, Inc.  
 Ticker : CAH  
 CUSIP No. : 14149Y108

Meeting Date : 11/3/2016  
 Meeting Type : Annual  
 ISIN : US14149Y1082

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director David J. Anderson	For	For
1.2	Elect Director Colleen F. Arnold	For	For
1.3	Elect Director George S. Barrett	For	For
1.4	Elect Director Carrie S. Cox	For	For
1.5	Elect Director Calvin Darden	For	For
1.6	Elect Director Bruce L. Downey	For	For
1.7	Elect Director Patricia A. Hemingway Hall	For	For
1.8	Elect Director Clayton M. Jones	For	For
1.9	Elect Director Gregory B. Kenny	For	For
1.10	Elect Director Nancy Killefer	For	For
1.11	Elect Director David P. King	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Amend Omnibus Stock Plan	For	For
	Advisory Vote to Ratify Named Executive Officers'		
4	Compensation	For	For



## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Western Digital Corporation  
 Ticker : WDC  
 CUSIP No. : 958102105

Meeting Date : 11/4/2016  
 Meeting Type : Annual  
 ISIN : US9581021055

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Martin I. Cole	For	For
1b	Elect Director Kathleen A. Cote	For	For
1c	Elect Director Henry T. DeNero	For	For
1d	Elect Director Michael D. Lambert	For	For
1e	Elect Director Len J. Lauer	For	For
1f	Elect Director Matthew E. Massengill	For	For
1g	Elect Director Sanjay Mehrotra	For	For
1h	Elect Director Stephen D. Milligan	For	For
1i	Elect Director Paula A. Price	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : Coach, Inc.  
 Ticker : COH  
 CUSIP No. : 189754104

Meeting Date : 11/10/2016  
 Meeting Type : Annual  
 ISIN : US1897541041

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Election Director David Denton	For	For
1b	Election Director Andrea Guerra	For	For
1c	Election Director Susan Kropf	For	For
1d	Election Director Annabelle Yu Long	For	For
1e	Election Director Victor Luis	For	For
1f	Election Director Ivan Menezes	For	For
1g	Election Director William Nuti	For	For
1h	Election Director Stephanie Tilenius	For	For
1i	Election Director Jide Zeitlin	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Amend Omnibus Stock Plan	For	For
5	Amend Qualified Employee Stock Purchase Plan	For	For
6	Create Feasibility Plan for Net-Zero GHG Emissions	Against	Against

Company : Campbell Soup Company  
 Ticker : CPB  
 CUSIP No. : 134429109

Meeting Date : 11/16/2016  
 Meeting Type : Annual  
 ISIN : US1344291091

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Bennett Dorrance	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1.2	Elect Director Randall W. Larrimore	For	For
1.3	Elect Director Marc B. Lautenbach	For	For
1.4	Elect Director Mary Alice Dorrance Malone	For	For
1.5	Elect Director Sara Mathew	For	For
1.6	Elect Director Keith R. McLoughlin	For	For
1.7	Elect Director Denise M. Morrison	For	For
1.8	Elect Director Charles R. Perrin	For	For
1.9	Elect Director Nick Shreiber	For	For
1.10	Elect Director Tracey T. Travis	For	For
1.11	Elect Director Archbold D. van Beuren	For	For
1.12	Elect Director Les C. Vinney	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For

Company : Sysco Corporation

Ticker : SY Y

CUSIP No. : 871829107

Meeting Date : 11/16/2016

Meeting Type : Annual

ISIN : US8718291078

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Daniel J. Brutto	For	For
1b	Elect Director John M. Cassaday	For	For
1c	Elect Director Judith B. Craven	For	For
1d	Elect Director William J. DeLaney	For	For
1e	Elect Director Joshua D. Frank	For	For
1f	Elect Director Larry C. Glasscock	For	For
1g	Elect Director Jonathan Golden	For	For
1h	Elect Director Bradley M. Halverson	For	For
1i	Elect Director Hans-Joachim Koerber	For	For
1j	Elect Director Nancy S. Newcomb	For	For
1k	Elect Director Nelson Peltz	For	For
1l	Elect Director Edward D. Shirley	For	For
1m	Elect Director Richard G. Tilghman	For	For
1n	Elect Director Jackie M. Ward	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For
4	Pro-rata Vesting of Equity Awards	Against	For

Company : Cisco Systems, Inc.

Ticker : CSCO

CUSIP No. : 17275R102

Meeting Date : 12/12/2016

Meeting Type : Annual

ISIN : US17275R1023

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Carol A. Bartz	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1b	Elect Director M. Michele Burns	For	For
1c	Elect Director Michael D. Capellas	For	For
1d	Elect Director John T. Chambers	For	For
1e	Elect Director Amy L. Chang	For	For
1f	Elect Director John L. Hennessy	For	For
1g	Elect Director Kristina M. Johnson	For	For
1h	Elect Director Roderick C. McGeary	For	For
1i	Elect Director Charles H. Robbins	For	For
1j	Elect Director Arun Sarin	For	For
1k	Elect Director Steven M. West	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Report on Lobbying Payments and Policy	Against	For
	Report on Arab and non-Arab Employees using EEO-1		
5	Categories	Against	Against
	Establish Board Committee on Operations in Israeli		
6	Settlements	Against	Against

Company : WestRock Company

Ticker : WRK

CUSIP No. : 96145D105

Meeting Date : 1/27/2017

Meeting Type : Annual

ISIN : US96145D1054

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Timothy J. Bernlohr	For	For
1b	Elect Director J. Powell Brown	For	For
1c	Elect Director Michael E. Campbell	For	For
1d	Elect Director Terrell K. Crews	For	For
1e	Elect Director Russell M. Currey	For	For
1f	Elect Director John A. Luke, Jr.	For	For
1g	Elect Director Gracia C. Martore	For	For
1h	Elect Director James E. Nevels	For	For
1i	Elect Director Timothy H. Powers	For	For
1j	Elect Director Steven C. Voorhees	For	For
1k	Elect Director Bettina M. Whyte	For	For
1l	Elect Director Alan D. Wilson	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Emerson Electric Co.  
 Ticker : EMR  
 CUSIP No. : 291011104

Meeting Date : 2/7/2017  
 Meeting Type : Annual  
 ISIN : US2910111044

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director D.N. Farr	For	For
1.2	Elect Director W.R. Johnson	For	For
1.3	Elect Director M.S. Levatich	For	For
1.4	Elect Director J.W. Prueher	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify KPMG LLP as Auditors	For	For
5	Require Independent Board Chairman	Against	For
6	Report on Political Contributions	Against	For
7	Report on Lobbying Payments and Policy	Against	For
8	Adopt Quantitative Company-wide GHG Goals	Against	For

Company : Rockwell Automation, Inc.  
 Ticker : ROK  
 CUSIP No. : 773903109

Meeting Date : 2/7/2017  
 Meeting Type : Annual  
 ISIN : US7739031091

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
A1	Elect Director Steven R. Kalmanson	For	For
A2	Elect Director James P. Keane	For	For
A3	Elect Director Blake D. Moret	For	For
A4	Elect Director Donald R. Parfet	For	For
A5	Elect Director Thomas W. Rosamilia	For	For
B	Ratify Deloitte & Touche LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
C	Compensation	For	For
D	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Accenture plc  
 Ticker : ACN  
 CUSIP No. : G1151C101

Meeting Date : 2/10/2017  
 Meeting Type : Annual  
 ISIN : IE00B4BNMY34

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Jaime Ardila	For	For
1b	Elect Director Charles H. Giancarlo	For	For
1c	Elect Director Herbert Hainer	For	For
1d	Elect Director William L. Kimsey	For	For
1e	Elect Director Marjorie Magner	For	For
1f	Elect Director Nancy McKinstry	For	For
1g	Elect Director Pierre Nanterme	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1h	Elect Director Gilles C. Pelisson	For	For
1i	Elect Director Paula A. Price	For	For
1j	Elect Director Arun Sarin	For	For
1k	Elect Director Frank K. Tang	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
	Approve KPMG LLP as Auditors and Authorize Board to Fix		
4	Their Remuneration	For	For
	Authorize Issuance of Equity or Equity-Linked Securities with		
5	Preemptive Rights	For	For
	Authorize Issuance of Equity or Equity-Linked Securities		
6	without Preemptive Rights	For	For
	Determine the Price Range at which Accenture Plc can Re-		
7	issue Shares that it Acquires as Treasury Stock	For	For

Company : Deere & Company

Ticker : DE

CUSIP No. : 244199105

Meeting Date : 2/22/2017

Meeting Type : Annual

ISIN : US2441991054

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Samuel R. Allen	For	For
1b	Elect Director Crandall C. Bowles	For	For
1c	Elect Director Vance D. Coffman	For	For
1d	Elect Director Alan C. Heuberger	For	For
1e	Elect Director Dipak C. Jain	For	For
1f	Elect Director Michael O. Johanns	For	For
1g	Elect Director Clayton M. Jones	For	For
1h	Elect Director Brian M. Krzanich	For	For
1i	Elect Director Gregory R. Page	For	For
1j	Elect Director Sherry M. Smith	For	For
1k	Elect Director Dmitri L. Stockton	For	For
1l	Elect Director Sheila G. Talton	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For
5	Provide Right to Act by Written Consent	Against	For

Company : Apple Inc.

Ticker : AAPL

CUSIP No. : 037833100

Meeting Date : 2/28/2017

Meeting Type : Annual

ISIN : US0378331005

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director James Bell	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1.2	Elect Director Tim Cook	For	For
1.3	Elect Director Al Gore	For	For
1.4	Elect Director Bob Iger	For	For
1.5	Elect Director Andrea Jung	For	For
1.6	Elect Director Art Levinson	For	For
1.7	Elect Director Ron Sugar	For	For
1.8	Elect Director Sue Wagner	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Disclose Charitable Contributions	Against	Against
	Adopt Policy to Increase Diversity of Senior Management and		
6	Board of Directors	Against	Against
7	Proxy Access Amendments	Against	For
	Engage Outside Independent Experts for Compensation		
8	Reforms	Against	Against
9	Adopt Share Retention Policy For Senior Executives	Against	For

Company : Helmerich & Payne, Inc.

Ticker : HP

CUSIP No. : 423452101

Meeting Date : 3/1/2017

Meeting Type : Annual

ISIN : US4234521015

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Randy A. Foutch	For	For
1b	Elect Director Hans Helmerich	For	For
1c	Elect Director John W. Lindsay	For	For
1d	Elect Director Paula Marshall	For	Against
1e	Elect Director Thomas A. Petrie	For	For
1f	Elect Director Donald F. Robillard, Jr.	For	For
1g	Elect Director Edward B. Rust, Jr.	For	For
1h	Elect Director John D. Zeglis	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	None	One Year

Company : QUALCOMM Incorporated

Ticker : QCOM

CUSIP No. : 747525103

Meeting Date : 3/7/2017

Meeting Type : Annual

ISIN : US7475251036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Barbara T. Alexander	For	For
1b	Elect Director Jeffrey W. Henderson	For	For
1c	Elect Director Thomas W. Horton	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1d	Elect Director Paul E. Jacobs	For	For
1e	Elect Director Ann M. Livermore	For	For
1f	Elect Director Harish Manwani	For	For
1g	Elect Director Mark D. McLaughlin	For	For
1h	Elect Director Steve Mollenkopf	For	For
1i	Elect Director Clark T. "Sandy" Randt, Jr.	For	For
1j	Elect Director Francisco Ros	For	For
1k	Elect Director Anthony J. Vinciguerra	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Proxy Access Amendments	Against	For

Company : Analog Devices, Inc.

Ticker : ADI

CUSIP No. : 032654105

Meeting Date : 3/8/2017

Meeting Type : Annual

ISIN : US0326541051

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Ray Stata	For	For
1b	Elect Director Vincent Roche	For	For
1c	Elect Director James A. Champy	For	For
1d	Elect Director Bruce R. Evans	For	For
1e	Elect Director Edward H. Frank	For	For
1f	Elect Director Mark M. Little	For	For
1g	Elect Director Neil Novich	For	For
1h	Elect Director Kenton J. Sicchitano	For	For
1i	Elect Director Lisa T. Su Advisory Vote to Ratify Named Executive Officers'	For	For
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For

Company : TE Connectivity Ltd.

Ticker : TEL

CUSIP No. : H8912P106

Meeting Date : 3/8/2017

Meeting Type : Annual

ISIN : CH0102993182

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Pierre R. Brondeau	For	For
1b	Elect Director Terrence R. Curtin	For	For
1c	Elect Director Carol A. ('John') Davidson	For	For
1d	Elect Director William A. Jeffrey	For	For
1e	Elect Director Thomas J. Lynch	For	For
1f	Elect Director Yong Nam	For	For
1g	Elect Director Daniel J. Phelan	For	For
1h	Elect Director Paula A. Sneed	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1i	Elect Director Abhijit Y. Talwalkar	For	For
1j	Elect Director Mark C. Trudeau	For	For
1k	Elect Director John C. Van Scoter	For	For
1l	Elect Director Laura H. Wright	For	For
2	Elect Board Chairman Thomas J. Lynch	For	For
	Elect Daniel J. Phelan as Member of Management		
3a	Development & Compensation Committee	For	For
	Elect Paula A. Sneed as Member of Management		
3b	Development & Compensation Committee	For	For
	Elect John C. Van Scoter as Member of Management		
3c	Development & Compensation Committee	For	For
4	Designate Rene Schwarzenbach as Independent Proxy	For	For
5.1	Accept Annual Report for Fiscal Year Ended September 30, 2016	For	For
5.2	Accept Statutory Financial Statements for Fiscal Year Ended September 30, 2016	For	For
5.3	Approve Consolidated Financial Statements for Fiscal Year Ended September 30, 2016	For	For
6	Approve Discharge of Board and Senior Management	For	For
	Ratify Deloitte & Touche LLP as Independent Registered		
7.1	Public Accounting Firm for Fiscal Year 2017	For	For
7.2	Ratify Deloitte AG as Swiss Registered Auditors	For	For
7.3	Ratify PricewaterhouseCoopers AG as Special Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
8	Compensation	For	For
9	Advisory Vote on Say on Pay Frequency	One Year	One Year
10	Amend Omnibus Stock Plan	For	For
11	Approve the Increase in Maximum Aggregate Remuneration of Executive Management	For	For
12	Approve the Increase in Maximum Aggregate Remuneration of Board of Directors	For	For
13	Approve Allocation of Available Earnings at September 30, 2016	For	For
14	Approve Declaration of Dividend	For	For
15	Authorize Share Repurchase Program	For	Against
16	Approve Reduction of Share Capital	For	For
17	Adjourn Meeting	For	Against

Company : CenturyLink, Inc.

Ticker : CTL

CUSIP No. : 156700106

Meeting Date : 3/16/2017

Meeting Type : Special

ISIN : US1567001060

Item	Proposal Description	Mgmt Rec.	Vote Cast
1	Issue Shares in Connection with Merger	For	For
2	Adjourn Meeting	For	For



## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Tesoro Corporation  
 Ticker : TSO  
 CUSIP No. : 881609101

Meeting Date : 3/24/2017  
 Meeting Type : Special  
 ISIN : US8816091016

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Issue Shares in Connection with Acquisition	For	For
2	Increase Authorized Common Stock	For	For
3	Adjourn Meeting	For	For

Company : Carnival Corporation  
 Ticker : CCL  
 CUSIP No. : 143658300

Meeting Date : 4/5/2017  
 Meeting Type : Annual  
 ISIN : PA1436583006

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Re-elect Micky Arison as a Director of Carnival Corporation and as a Director of Carnival plc.	For	For
2	Re-elect Jonathon Band as a Director of Carnival Corporation and as a Director of Carnival plc.	For	For
3	Elect Helen Deeble as a Director of Carnival Corporation and as a Director of Carnival plc.	For	For
4	Re-elect Arnold W. Donald as a Director of Carnival Corporation and as a Director of Carnival plc.	For	For
5	Re-elect Richard J. Glasier as a Director of Carnival Corporation and as a Director of Carnival plc.	For	For
6	Re-elect Debra Kelly-Ennis as a Director of Carnival Corporation and as a Director of Carnival plc.	For	For
7	Re-elect John Parker as a Director of Carnival Corporation and as a Director of Carnival plc.	For	For
8	Re-elect Stuart Subotnick as a Director of Carnival Corporation and as a Director of Carnival plc.	For	For
9	Re-elect Laura Weil as a Director of Carnival Corporation and as a Director of Carnival plc.	For	For
10	Re-elect Randall J. Weisenburger as a Director of Carnival Corporation and as a Director of Carnival plc.	For	For
11	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
12	Advisory Vote on Say on Pay Frequency	One Year	One Year
13	Approve Directors' Remuneration Report (in accordance with legal requirements applicable to UK companies)	For	For
14	Approve Remuneration Policy set out in Section B of Part II of the Carnival plc Directors' Remuneration Report (in accordance with legal requirements applicable to UK companies).	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

15	Reappoint the UK firm of PricewaterhouseCoopers LLP as independent auditors for Carnival plc and ratify the U.S. firm of PricewaterhouseCoopers LLP as the independent registered certified public accounting firm for Carnival Corporation.	For	For
16	Authorize the Audit Committee of Carnival plc to agree the remuneration of the independent auditors of Carnival plc.	For	For
17	Receive the UK Accounts and Reports of the Directors and Auditors of Carnival plc for the year ended November 30, 2016 (in accordance with legal requirements applicable to UK companies).	For	For
18	Authorize Issue of Equity with Pre-emptive Rights	For	For
19	Authorize Issue of Equity without Pre-emptive Rights	For	For
20	Authorize Share Repurchase Program	For	For

Company : HP Inc.  
 Ticker : HPQ  
 CUSIP No. : 40434L105

Meeting Date : 4/17/2017  
 Meeting Type : Annual  
 ISIN : US40434L1052

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Aida M. Alvarez	For	For
1b	Elect Director Shumeet Banerji	For	For
1c	Elect Director Carl Bass	For	For
1d	Elect Director Robert R. Bennett	For	For
1e	Elect Director Charles V. Bergh	For	For
1f	Elect Director Stacy Brown-Philpot	For	For
1g	Elect Director Stephanie A. Burns	For	For
1h	Elect Director Mary Anne Citrino	For	For
1i	Elect Director Stacey Mobley	For	For
1j	Elect Director Subra Suresh	For	For
1k	Elect Director Dion J. Weisler	For	For
1l	Elect Director Margaret C. Whitman	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Fifth Third Bancorp  
 Ticker : FITB  
 CUSIP No. : 316773100

Meeting Date : 4/18/2017  
 Meeting Type : Annual  
 ISIN : US3167731005

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Nicholas K. Akins	For	For
1.2	Elect Director B. Evan Bayh, III	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1.3	Elect Director Jorge L. Benitez	For	For
1.4	Elect Director Katherine B. Blackburn	For	For
1.5	Elect Director Jerry W. Burris	For	For
1.6	Elect Director Emerson L. Brumback	For	For
1.7	Elect Director Greg D. Carmichael	For	For
1.8	Elect Director Gary R. Heminger	For	For
1.9	Elect Director Jewell D. Hoover	For	For
1.10	Elect Director Eileen A. Mallesch	For	For
1.11	Elect Director Michael B. McCallister	For	For
1.12	Elect Director Marsha C. Williams	For	For
2	Ratify Deloitte & Touche LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Approve Omnibus Stock Plan	For	For

Company : M&T Bank Corporation

Ticker : MTB

CUSIP No. : 55261F104

Meeting Date : 4/18/2017

Meeting Type : Annual

ISIN : US55261F1049

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Brent D. Baird	For	For
1.2	Elect Director C. Angela Bontempo	For	For
1.3	Elect Director Robert T. Brady	For	For
1.4	Elect Director T. Jefferson Cunningham, III	For	For
1.5	Elect Director Gary N. Geisel	For	For
1.6	Elect Director Richard A. Grossi	For	For
1.7	Elect Director John D. Hawke, Jr.	For	For
1.8	Elect Director Newton P.S. Merrill	For	For
1.9	Elect Director Melinda R. Rich	For	For
1.10	Elect Director Robert E. Sadler, Jr.	For	For
1.11	Elect Director Denis J. Salamone	For	For
1.12	Elect Director David S. Scharfstein	For	For
1.13	Elect Director Herbert L. Washington	For	For
1.14	Elect Director Robert G. Wilmers	For	For
2	Advisory Vote on Say on Pay Frequency Advisory Vote to Ratify Named Executive Officers'	One Year	One Year
3	Compensation	For	For
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Public Service Enterprise Group Incorporated  
 Ticker : PEG  
 CUSIP No. : 744573106

Meeting Date : 4/18/2017  
 Meeting Type : Annual  
 ISIN : US7445731067

Item	Proposal Description	Mgmt Rec.	Vote Cast
1.1	Elect Director Willie A. Deese	For	For
1.2	Elect Director Albert R. Gamper, Jr.	For	For
1.3	Elect Director William V. Hickey	For	For
1.4	Elect Director Ralph Izzo	For	For
1.5	Elect Director Shirley Ann Jackson	For	For
1.6	Elect Director David Lilley	For	For
1.7	Elect Director Thomas A. Renyi	For	For
1.8	Elect Director Hak Cheol (H.C.) Shin	For	For
1.9	Elect Director Richard J. Swift	For	For
1.10	Elect Director Susan Tomasky	For	For
1.11	Elect Director Alfred W. Zollar	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : U.S. Bancorp  
 Ticker : USB  
 CUSIP No. : 902973304

Meeting Date : 4/18/2017  
 Meeting Type : Annual  
 ISIN : US9029733048

Item	Proposal Description	Mgmt Rec.	Vote Cast
1a	Elect Director Douglas M. Baker, Jr.	For	For
1b	Elect Director Warner L. Baxter	For	For
1c	Elect Director Marc N. Casper	For	For
1d	Elect Director Andrew Cecere	For	For
1e	Elect Director Arthur D. Collins, Jr.	For	For
1f	Elect Director Richard K. Davis	For	For
1g	Elect Director Kimberly J. Harris	For	For
1h	Elect Director Roland A. Hernandez	For	For
1i	Elect Director Doreen Woo Ho	For	For
1j	Elect Director Olivia F. Kirtley	For	For
1k	Elect Director Karen S. Lynch	For	For
1l	Elect Director David B. O'Maley	For	For
1m	Elect Director O'dell M. Owens	For	For
1n	Elect Director Craig D. Schnuck	For	For
1o	Elect Director Scott W. Wine	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	Against
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

5      Require Independent Board Chairman      Against      For

**Company** : Whirlpool Corporation

**Ticker** : WHR

**CUSIP No.** : 963320106

**Meeting Date** : 4/18/2017

**Meeting Type** : Annual

**ISIN** : US9633201069

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Samuel R. Allen	For	For
1b	Elect Director Marc R. Bitzer	For	For
1c	Elect Director Greg Creed	For	For
1d	Elect Director Gary T. DiCamillo	For	For
1e	Elect Director Diane M. Dietz	For	For
1f	Elect Director Gerri T. Elliott	For	For
1g	Elect Director Jeff M. Fetting	For	For
1h	Elect Director Michael F. Johnston	For	For
1i	Elect Director John D. Liu	For	For
1j	Elect Director Harish Manwani	For	For
1k	Elect Director William D. Perez	For	For
1l	Elect Director Larry O. Spencer	For	For
1m	Elect Director Michael D. White	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For

**Company** : Huntington Bancshares Incorporated

**Ticker** : HBAN

**CUSIP No.** : 446150104

**Meeting Date** : 4/20/2017

**Meeting Type** : Annual

**ISIN** : US4461501045

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Lizabeth Ardisana	For	For
1.2	Elect Director Ann B. "Tanny" Crane	For	For
1.3	Elect Director Robert S. Cubbin	For	For
1.4	Elect Director Steven G. Elliott	For	For
1.5	Elect Director Michael J. Endres	For	For
1.6	Elect Director Gina D. France	For	For
1.7	Elect Director J. Michael Hochschwender	For	For
1.8	Elect Director Chris Inglis	For	For
1.9	Elect Director Peter J. Kight	For	For
1.10	Elect Director Jonathan A. Levy	For	For
1.11	Elect Director Eddie R. Munson	For	For
1.12	Elect Director Richard W. Neu	For	For
1.13	Elect Director David L. Porteous	For	For
1.14	Elect Director Kathleen H. Ransier	For	For
1.15	Elect Director Stephen D. Steinour	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

**Company** : People's United Financial, Inc.

**Ticker** : PBCT

**CUSIP No.** : 712704105

**Meeting Date** : 4/20/2017

**Meeting Type** : Annual

**ISIN** : US7127041058

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John P. Barnes	For	For
1b	Elect Director Collin P. Baron	For	For
1c	Elect Director Kevin T. Bottomley	For	For
1d	Elect Director George P. Carter	For	For
1e	Elect Director William F. Cruger, Jr.	For	For
1f	Elect Director John K. Dwight	For	For
1g	Elect Director Jerry Franklin	For	For
1h	Elect Director Janet M. Hansen	For	For
1i	Elect Director Nancy McAllister	For	For
1j	Elect Director Mark W. Richards	For	For
1k	Elect Director Kirk W. Walters	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Approve Executive Incentive Bonus Plan	For	For
5	Amend Omnibus Stock Plan	For	For
	Amend Certificate of Incorporation Concerning Shareholder		
6	Nominations and Proposals	For	For
7	Ratify KPMG LLP as Auditors	For	For

**Company** : Regions Financial Corporation

**Ticker** : RF

**CUSIP No.** : 7591EP100

**Meeting Date** : 4/20/2017

**Meeting Type** : Annual

**ISIN** : US7591EP1005

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Carolyn H. Byrd	For	For
1b	Elect Director David J. Cooper, Sr.	For	For
1c	Elect Director Don DeFosset	For	For
1d	Elect Director Samuel A. Di Piazza, Jr.	For	For
1e	Elect Director Eric C. Fast	For	For
1f	Elect Director O. B. Grayson Hall, Jr.	For	For
1g	Elect Director John D. Johns	For	For
1h	Elect Director Ruth Ann Marshall	For	For
1i	Elect Director Susan W. Matlock	For	For
1j	Elect Director John E. Maupin, Jr.	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1k	Elect Director Charles D. McCrary	For	For
1l	Elect Director James T. Prokopanko	For	For
1m	Elect Director Lee J. Styslinger, III	For	For
1n	Elect Director Jose S. Suquet	For	For
2	Ratify Ernst & Young LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For

Company : Stanley Black & Decker, Inc.

Ticker : SWK

CUSIP No. : 854502101

Meeting Date : 4/20/2017

Meeting Type : Annual

ISIN : US8545021011

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Andrea J. Ayers	For	For
1.2	Elect Director George W. Buckley	For	For
1.3	Elect Director Patrick D. Campbell	For	For
1.4	Elect Director Carlos M. Cardoso	For	For
1.5	Elect Director Robert B. Coutts	For	For
1.6	Elect Director Debra A. Crew	For	For
1.7	Elect Director Michael D. Hankin	For	For
1.8	Elect Director James M. Loree	For	For
1.9	Elect Director Marianne M. Parrs	For	For
1.10	Elect Director Robert L. Ryan	For	For
2	Amend Executive Incentive Bonus Plan Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Ratify Ernst & Young LLP as Auditors	For	For

Company : Texas Instruments Incorporated

Ticker : TXN

CUSIP No. : 882508104

Meeting Date : 4/20/2017

Meeting Type : Annual

ISIN : US8825081040

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Ralph W. Babb, Jr.	For	For
1b	Elect Director Mark A. Blinn	For	For
1c	Elect Director Todd M. Bluedorn	For	For
1d	Elect Director Daniel A. Carp	For	For
1e	Elect Director Janet F. Clark	For	For
1f	Elect Director Carrie S. Cox	For	For
1g	Elect Director Jean M. Hobby	For	For
1h	Elect Director Ronald Kirk	For	For
1i	Elect Director Pamela H. Patsley	For	For
1j	Elect Director Robert E. Sanchez	For	For
1k	Elect Director Wayne R. Sanders	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1	Elect Director Richard K. Templeton Advisory Vote to Ratify Named Executive Officers'	For	For
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For

**Company** : The AES Corporation  
**Ticker** : AES  
**CUSIP No.** : 00130H105

**Meeting Date** : 4/20/2017  
**Meeting Type** : Annual  
**ISIN** : US00130H1059

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Andres R. Gluski	For	For
1.2	Elect Director Charles L. Harrington	For	For
1.3	Elect Director Kristina M. Johnson	For	For
1.4	Elect Director Tarun Khanna	For	For
1.5	Elect Director Holly K. Koepfel	For	For
1.6	Elect Director James H. Miller	For	For
1.7	Elect Director John B. Morse, Jr.	For	For
1.8	Elect Director Moises Naim	For	For
1.9	Elect Director Charles O. Rossotti Advisory Vote to Ratify Named Executive Officers'	For	For
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For
5	Amend Proxy Access Right	Against	For
6	Assess Impact of a 2 Degree Scenario	Against	For

**Company** : Wynn Resorts, Limited  
**Ticker** : WYNN  
**CUSIP No.** : 983134107

**Meeting Date** : 4/21/2017  
**Meeting Type** : Annual  
**ISIN** : US9831341071

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Robert J. Miller	For	For
1.2	Elect Director Clark T. Randt, Jr.	For	For
1.3	Elect Director D. Boone Wayson	For	For
2	Ratify Ernst & Young LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	Against
4	Advisory Vote on Say on Pay Frequency	Three Years	One Year
5	Report on Political Contributions	Against	For



## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Honeywell International Inc.  
 Ticker : HON  
 CUSIP No. : 438516106

Meeting Date : 4/24/2017  
 Meeting Type : Annual  
 ISIN : US4385161066

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Darius Adamczyk	For	For
1B	Elect Director William S. Ayer	For	For
1C	Elect Director Kevin Burke	For	For
1D	Elect Director Jaime Chico Pardo	For	For
1E	Elect Director David M. Cote	For	For
1F	Elect Director D. Scott Davis	For	For
1G	Elect Director Linnet F. Deily	For	For
1H	Elect Director Judd Gregg	For	For
1I	Elect Director Clive Hollick	For	For
1J	Elect Director Grace D. Lieblein	For	For
1K	Elect Director George Paz	For	For
1L	Elect Director Bradley T. Sheares	For	For
1M	Elect Director Robin L. Washington	For	For
2	Advisory Vote on Say on Pay Frequency Advisory Vote to Ratify Named Executive Officers'	One Year	One Year
3	Compensation	For	For
4	Ratify Deloitte & Touche LLP as Auditors	For	For
5	Require Independent Board Chairman	Against	For
6	Political Lobbying Disclosure	Against	For

Company : United Technologies Corporation  
 Ticker : UTX  
 CUSIP No. : 913017109

Meeting Date : 4/24/2017  
 Meeting Type : Annual  
 ISIN : US9130171096

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Lloyd J. Austin, III	For	For
1b	Elect Director Diane M. Bryant	For	For
1c	Elect Director John V. Faraci	For	For
1d	Elect Director Jean-Pierre Garnier	For	For
1e	Elect Director Gregory J. Hayes	For	For
1f	Elect Director Edward A. Kangas	For	For
1g	Elect Director Ellen J. Kullman	For	For
1h	Elect Director Marshall O. Larsen	For	Against
1i	Elect Director Harold McGraw, III	For	For
1j	Elect Director Fredric G. Reynolds	For	For
1k	Elect Director Brian C. Rogers	For	For
1l	Elect Director Christine Todd Whitman	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

4 Advisory Vote on Say on Pay Frequency One Year One Year

**Company** : American Electric Power Company, Inc.  
**Ticker** : AEP  
**CUSIP No.** : 025537101

**Meeting Date** : 4/25/2017  
**Meeting Type** : Annual  
**ISIN** : US0255371017

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Nicholas K. Akins	For	For
1.2	Elect Director David J. Anderson	For	For
1.3	Elect Director J. Barnie Beasley, Jr.	For	For
1.4	Elect Director Ralph D. Crosby, Jr.	For	For
1.5	Elect Director Linda A. Goodspeed	For	For
1.6	Elect Director Thomas E. Hoaglin	For	For
1.7	Elect Director Sandra Beach Lin	For	For
1.8	Elect Director Richard C. Notebaert	For	For
1.9	Elect Director Lionel L. Nowell, III	For	For
1.10	Elect Director Stephen S. Rasmussen	For	For
1.11	Elect Director Oliver G. Richard, III	For	For
1.12	Elect Director Sara Martinez Tucker	For	For
2	Amend Executive Incentive Bonus Plan	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
4	Compensation	For	For
5	Advisory Vote on Say on Pay Frequency	One Year	One Year

**Company** : Apartment Investment and Management Company  
**Ticker** : AIV  
**CUSIP No.** : 03748R101

**Meeting Date** : 4/25/2017  
**Meeting Type** : Annual  
**ISIN** : US03748R1014

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Terry Considine	For	For
1.2	Elect Director Thomas L. Keltner	For	For
1.3	Elect Director J. Landis Martin	For	For
1.4	Elect Director Robert A. Miller	For	For
1.5	Elect Director Kathleen M. Nelson	For	For
1.6	Elect Director Michael A. Stein	For	For
1.7	Elect Director Nina A. Tran	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : BB&T Corporation  
 Ticker : BBT  
 CUSIP No. : 054937107

Meeting Date : 4/25/2017  
 Meeting Type : Annual  
 ISIN : US0549371070

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Jennifer S. Banner	For	For
1.2	Elect Director K. David Boyer, Jr.	For	For
1.3	Elect Director Anna R. Cablik	For	For
1.4	Elect Director James A. Faulkner	For	For
1.5	Elect Director I. Patricia Henry	For	For
1.6	Elect Director Eric C. Kendrick	For	For
1.7	Elect Director Kelly S. King	For	For
1.8	Elect Director Louis B. Lynn	For	For
1.9	Elect Director Charles A. Patton	For	For
1.10	Elect Director Nido R. Qubein	For	For
1.11	Elect Director William J. Reuter	For	For
1.12	Elect Director Tollie W. Rich, Jr.	For	For
1.13	Elect Director Christine Sears	For	For
1.14	Elect Director Thomas E. Skains	For	For
1.15	Elect Director Thomas N. Thompson	For	For
1.16	Elect Director Stephen T. Williams	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Omnibus Stock Plan	For	For
6	Reduce Supermajority Vote Requirement	Against	For

Company : Exelon Corporation  
 Ticker : EXC  
 CUSIP No. : 30161N101

Meeting Date : 4/25/2017  
 Meeting Type : Annual  
 ISIN : US30161N1019

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Anthony K. Anderson	For	For
1b	Elect Director Ann C. Berzin	For	For
1c	Elect Director Christopher M. Crane	For	For
1d	Elect Director Yves C. de Balmann	For	For
1e	Elect Director Nicholas DeBenedictis	For	For
1f	Elect Director Nancy L. Gioia	For	For
1g	Elect Director Linda P. Jojo	For	For
1h	Elect Director Paul L. Joskow	For	For
1i	Elect Director Robert J. Lawless	For	For
1j	Elect Director Richard W. Mies	For	For
1k	Elect Director John W. Rogers, Jr.	For	For
1l	Elect Director Mayo A. Shattuck, III	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1m	Elect Director Stephen D. Steinour	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Fastenal Company

Ticker : FAST

CUSIP No. : 311900104

Meeting Date : 4/25/2017

Meeting Type : Annual

ISIN : US3119001044

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Willard D. Oberton	For	For
1b	Elect Director Michael J. Ancius	For	For
1c	Elect Director Michael J. Dolan	For	For
1d	Elect Director Stephen L. Eastman	For	For
1e	Elect Director Daniel L. Florness	For	For
1f	Elect Director Rita J. Heise	For	For
1g	Elect Director Darren R. Jackson	For	For
1h	Elect Director Daniel L. Johnson	For	For
1i	Elect Director Scott A. Satterlee	For	For
1j	Elect Director Reyne K. Wisecup	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Amend Executive Incentive Bonus Plan Advisory Vote to Ratify Named Executive Officers'	For	For
4	Compensation	For	For
5	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : International Business Machines Corporation

Ticker : IBM

CUSIP No. : 459200101

Meeting Date : 4/25/2017

Meeting Type : Annual

ISIN : US4592001014

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Kenneth I. Chenault	For	For
1.2	Elect Director Michael L. Eskew	For	For
1.3	Elect Director David N. Farr	For	For
1.4	Elect Director Mark Fields	For	For
1.5	Elect Director Alex Gorsky	For	For
1.6	Elect Director Shirley Ann Jackson	For	For
1.7	Elect Director Andrew N. Liveris	For	For
1.8	Elect Director W. James McNerney, Jr.	For	For
1.9	Elect Director Hutham S. Olayan	For	For
1.10	Elect Director James W. Owens	For	For
1.11	Elect Director Virginia M. Rometty	For	For
1.12	Elect Director Sidney Taurel	For	For
1.13	Elect Director Peter R. Voser	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	Against
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Report on Lobbying Payments and Policy Reduce Ownership Threshold for Shareholders to Call Special	Against	For
6	Meeting	Against	For
7	Adopt Proxy Access Right	Against	For

**Company** : Kimco Realty Corporation

**Ticker** : KIM

**CUSIP No.** : 49446R109

**Meeting Date** : 4/25/2017

**Meeting Type** : Annual

**ISIN** : US49446R1095

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Milton Cooper	For	For
1b	Elect Director Philip E. Coviello	For	For
1c	Elect Director Richard G. Dooley	For	For
1d	Elect Director Conor C. Flynn	For	For
1e	Elect Director Joe Grills	For	For
1f	Elect Director Frank Lourenso	For	For
1g	Elect Director Colombe M. Nicholas	For	For
1h	Elect Director Mary Hogan Preusse	For	For
1i	Elect Director Richard B. Saltzman	For	Against
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

**Company** : Praxair, Inc.

**Ticker** : PX

**CUSIP No.** : 74005P104

**Meeting Date** : 4/25/2017

**Meeting Type** : Annual

**ISIN** : US74005P1049

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Stephen F. Angel	For	For
1b	Elect Director Oscar Bernardes	For	For
1c	Elect Director Nance K. Dicciani	For	For
1d	Elect Director Edward G. Galante	For	For
1e	Elect Director Raymond W. LeBoeuf	For	For
1f	Elect Director Larry D. McVay	For	For
1g	Elect Director Martin H. Richenhagen	For	For
1h	Elect Director Wayne T. Smith	For	For
1i	Elect Director Robert L. Wood	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	Against

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Omnibus Stock Plan	For	For

Company : SunTrust Banks, Inc.

Ticker : STI

CUSIP No. : 867914103

Meeting Date : 4/25/2017

Meeting Type : Annual

ISIN : US8679141031

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Dallas S. Clement	For	For
1.2	Elect Director Paul R. Garcia	For	For
1.3	Elect Director M. Douglas Ivester	For	For
1.4	Elect Director Kyle Prechtl Legg	For	For
1.5	Elect Director Donna S. Morea	For	For
1.6	Elect Director David M. Ratcliffe	For	For
1.7	Elect Director William H. Rogers, Jr.	For	For
1.8	Elect Director Agnes Bundy Scanlan	For	For
1.9	Elect Director Frank P. Scruggs, Jr.	For	For
1.10	Elect Director Bruce L. Tanner	For	For
1.11	Elect Director Thomas R. Watjen	For	For
1.12	Elect Director Phail Wynn, Jr.	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For

Company : The PNC Financial Services Group, Inc.

Ticker : PNC

CUSIP No. : 693475105

Meeting Date : 4/25/2017

Meeting Type : Annual

ISIN : US6934751057

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Charles E. Bunch	For	For
1.2	Elect Director Marjorie Rodgers Cheshire	For	For
1.3	Elect Director William S. Demchak	For	For
1.4	Elect Director Andrew T. Feldstein	For	For
1.5	Elect Director Daniel R. Hesse	For	For
1.6	Elect Director Kay Coles James	For	For
1.7	Elect Director Richard B. Kelson	For	For
1.8	Elect Director Jane G. Pepper	For	For
1.9	Elect Director Donald J. Shepard	For	For
1.10	Elect Director Lorene K. Steffes	For	For
1.11	Elect Director Dennis F. Strigl	For	For
1.12	Elect Director Michael J. Ward	For	For
1.13	Elect Director Gregory D. Wasson	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
	Prepare Employment Diversity Report and Report on		
5	Diversity Policies	Against	Against

Company : VF Corporation  
 Ticker : VFC  
 CUSIP No. : 918204108

Meeting Date : 4/25/2017  
 Meeting Type : Annual  
 ISIN : US9182041080

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Richard T. Carucci	For	For
1.2	Elect Director Juliana L. Chugg	For	For
1.3	Elect Director Benno Dorer	For	For
1.4	Elect Director Mark S. Hoplamazian	For	For
1.5	Elect Director Robert J. Hurst	For	For
1.6	Elect Director Laura W. Lang	For	For
1.7	Elect Director W. Alan McCollough	For	For
1.8	Elect Director W. Rodney McMullen	For	For
1.9	Elect Director Clarence Otis, Jr.	For	For
1.10	Elect Director Steven E. Rendle	For	For
1.11	Elect Director Carol L. Roberts	For	For
1.12	Elect Director Matthew J. Shattock	For	For
1.13	Elect Director Eric C. Wiseman	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Wells Fargo & Company  
 Ticker : WFC  
 CUSIP No. : 949746101

Meeting Date : 4/25/2017  
 Meeting Type : Annual  
 ISIN : US9497461015

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John D. Baker, II	For	Against
1b	Elect Director John S. Chen	For	Against
1c	Elect Director Lloyd H. Dean	For	Against
1d	Elect Director Elizabeth A. Duke	For	Against
1e	Elect Director Enrique Hernandez, Jr.	For	Against
1f	Elect Director Donald M. James	For	Against
1g	Elect Director Cynthia H. Milligan	For	Against
1h	Elect Director Karen B. Peetz	For	For
1i	Elect Director Federico F. Peña	For	Against
1j	Elect Director James H. Quigley	For	Against
1k	Elect Director Stephen W. Sanger	For	Against

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1l	Elect Director Ronald L. Sargent	For	For
1m	Elect Director Timothy J. Sloan	For	For
1n	Elect Director Susan G. Swenson	For	Against
1o	Elect Director Suzanne M. Vautrinot	For	Against
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify KPMG LLP as Auditors	For	For
5	Review and Report on Business Standards	Against	For
6	Provide for Cumulative Voting	Against	Against
7	Report on Divesting Non-Core Business	Against	Against
8	Report on Gender Pay Gap	Against	Against
9	Report on Lobbying Payments and Policy	Against	Against
	Adopt Global Policy Regarding the Rights of Indigenous		
10	People	Against	For

Company : Ameriprise Financial, Inc.

Ticker : AMP

CUSIP No. : 03076C106

Meeting Date : 4/26/2017

Meeting Type : Annual

ISIN : US03076C1062

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director James M. Cracchiolo	For	For
1b	Elect Director Dianne Neal Blixt	For	For
1c	Elect Director Amy DiGeso	For	For
1d	Elect Director Lon R. Greenberg	For	For
1e	Elect Director Siri S. Marshall	For	For
1f	Elect Director Jeffrey Noddle	For	For
1g	Elect Director H. Jay Sarles	For	For
1h	Elect Director Robert F. Sharpe, Jr.	For	For
1i	Elect Director Christopher J. Williams	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Eaton Corporation plc

Ticker : ETN

CUSIP No. : 278058102

Meeting Date : 4/26/2017

Meeting Type : Annual

ISIN : IE00B8KQN827

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Craig Arnold	For	For
1b	Elect Director Todd M. Bluedorn	For	For
1c	Elect Director Christopher M. Connor	For	For
1d	Elect Director Michael J. Critelli	For	For
1e	Elect Director Richard H. Fearon	For	For



## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1f	Elect Director Charles E. Golden	For	For
1g	Elect Director Arthur E. Johnson	For	For
1h	Elect Director Deborah L. McCoy	For	For
1i	Elect Director Gregory R. Page	For	For
1j	Elect Director Sandra Pianalto	For	For
1k	Elect Director Gerald B. Smith	For	For
1l	Elect Director Dorothy C. Thompson	For	For
2	Provide Proxy Access Right	For	For
	Amend Articles of Association regarding Bringing Shareholder Business and Making Director Nominations at an Annual General Meeting		
3	Approve Ernst & Young LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
5	Advisory Vote on Say on Pay Frequency	One Year	One Year
6	Authorize Issue of Equity with Pre-emptive Rights	For	For
7	Authorize Issue of Equity without Pre-emptive Rights	For	For
8	Approval of Overseas Market Purchases of the Company Shares	For	For
9			

Company : General Electric Company

Ticker : GE

CUSIP No. : 369604103

Meeting Date : 4/26/2017

Meeting Type : Annual

ISIN : US3696041033

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Elect Director Sebastien M. Bazin	For	For
2	Elect Director W. Geoffrey Beattie	For	For
3	Elect Director John J. Brennan	For	For
4	Elect Director Francisco D'Souza	For	For
5	Elect Director Marijn E. Dekkers	For	For
6	Elect Director Peter B. Henry	For	For
7	Elect Director Susan J. Hockfield	For	For
8	Elect Director Jeffrey R. Immelt	For	For
9	Elect Director Andrea Jung	For	For
10	Elect Director Robert W. Lane	For	For
11	Elect Director Risa Lavizzo-Mourey	For	For
12	Elect Director Rochelle B. Lazarus	For	For
13	Elect Director Lowell C. McAdam	For	For
14	Elect Director Steven M. Mollenkopf	For	For
15	Elect Director James J. Mulva	For	For
16	Elect Director James E. Rohr	For	For
17	Elect Director Mary L. Schapiro	For	For
18	Elect Director James S. Tisch	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

	Advisory Vote to Ratify Named Executive Officers'		
19	Compensation	For	For
20	Advisory Vote on Say on Pay Frequency	One Year	One Year
21	Amend Omnibus Stock Plan	For	For
22	Approve Material Terms of Senior Officer Performance Goals	For	For
23	Ratify KPMG LLP as Auditors	For	For
24	Report on Lobbying Payments and Policy	Against	For
25	Require Independent Board Chairman	Against	Against
26	Restore or Provide for Cumulative Voting	Against	Against
27	Report on Charitable Contributions	Against	Against

Company : **Marathon Petroleum Corporation**  
 Ticker : **MPC**  
 CUSIP No. : **56585A102**

Meeting Date : **4/26/2017**  
 Meeting Type : **Annual**  
 ISIN : **US56585A1025**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Steven A. Davis	For	For
1b	Elect Director Gary R. Heminger	For	For
1c	Elect Director J. Michael Stice	For	For
1d	Elect Director John P. Surma	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Amend Omnibus Stock Plan	For	For
5	Report on Environmental and Human Rights Due Diligence	Against	For
6	Report on Strategy for Aligning with 2 Degree Scenario	Against	For
7	Adopt Simple Majority Vote	Against	For

Company : **T. Rowe Price Group, Inc.**  
 Ticker : **TROW**  
 CUSIP No. : **74144T108**

Meeting Date : **4/26/2017**  
 Meeting Type : **Annual**  
 ISIN : **US74144T1088**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Mark S. Bartlett	For	For
1b	Elect Director Edward C. Bernard	For	For
1c	Elect Director Mary K. Bush	For	For
1d	Elect Director H. Lawrence Culp, Jr.	For	For
1e	Elect Director Freeman A. Hrabowski, III	For	For
1f	Elect Director Robert F. MacLellan	For	For
1g	Elect Director Brian C. Rogers	For	For
1h	Elect Director Olympia J. Snowe	For	For
1i	Elect Director William J. Stromberg	For	For
1j	Elect Director Dwight S. Taylor	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1k	Elect Director Anne Marie Whittemore	For	For
1l	Elect Director Sandra S. Wijnberg	For	For
1m	Elect Director Alan D. Wilson	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Amend Omnibus Stock Plan	For	For
5	Approve Non-Employee Director Omnibus Stock Plan	For	For
6	Amend Nonqualified Employee Stock Purchase Plan	For	For
7	Ratify KPMG LLP as Auditors	For	For
	Report on and Assess Proxy Voting Policies in Relation to		
8	Climate Change Position	Against	Against
	Report on and Assess Proxy Voting Policies in Relation to		
9	Executive Compensation	Against	Against
	Prepare Employment Diversity Report and Report on		
10	Diversity Policies	None	For

Company : W.W. Grainger, Inc.

Ticker : GWW

CUSIP No. : 384802104

Meeting Date : 4/26/2017

Meeting Type : Annual

ISIN : US3848021040

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Rodney C. Adkins	For	For
1.2	Elect Director Brian P. Anderson	For	For
1.3	Elect Director V. Ann Hailey	For	For
1.4	Elect Director Stuart L. Levenick	For	For
1.5	Elect Director D.G. Macpherson	For	For
1.6	Elect Director Neil S. Novich	For	For
1.7	Elect Director Michael J. Roberts	For	For
1.8	Elect Director James T. Ryan	For	For
1.9	Elect Director E. Scott Santi	For	For
1.10	Elect Director James D. Slavik	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Ameren Corporation

Ticker : AEE

CUSIP No. : 023608102

Meeting Date : 4/27/2017

Meeting Type : Annual

ISIN : US0236081024

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Warner L. Baxter	For	For
1b	Elect Director Catherine S. Brune	For	For
1c	Elect Director J. Edward Coleman	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1d	Elect Director Ellen M. Fitzsimmons	For	For
1e	Elect Director Rafael Flores	For	For
1f	Elect Director Walter J. Galvin	For	For
1g	Elect Director Richard J. Harshman	For	For
1h	Elect Director Gayle P. W. Jackson	For	For
1i	Elect Director James C. Johnson	For	For
1j	Elect Director Steven H. Lipstein	For	For
1k	Elect Director Stephen R. Wilson	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
5	Report Analyzing Renewable Energy Adoption	Against	Against
6	Assess Impact of a 2 Degree Scenario	Against	For
7	Report on Coal Combustion Residual and Water Impacts	Against	For

Company : CenterPoint Energy, Inc.

Ticker : CNP

CUSIP No. : 15189T107

Meeting Date : 4/27/2017

Meeting Type : Annual

ISIN : US15189T1079

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Milton Carroll	For	For
1b	Elect Director Michael P. Johnson	For	For
1c	Elect Director Janiece M. Longoria	For	For
1d	Elect Director Scott J. McLean	For	For
1e	Elect Director Theodore F. Pound	For	For
1f	Elect Director Scott M. Prochazka	For	For
1g	Elect Director Susan O. Rheney	For	For
1h	Elect Director Phillip R. Smith	For	For
1i	Elect Director John W. Somerhalder, II	For	For
1j	Elect Director Peter S. Wareing	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Corning Incorporated

Ticker : GLW

CUSIP No. : 219350105

Meeting Date : 4/27/2017

Meeting Type : Annual

ISIN : US2193501051

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Donald W. Blair	For	For
1.2	Elect Director Stephanie A. Burns	For	For
1.3	Elect Director John A. Canning, Jr.	For	For
1.4	Elect Director Richard T. Clark	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1.5	Elect Director Robert F. Cummings, Jr.	For	For
1.6	Elect Director Deborah A. Henretta	For	For
1.7	Elect Director Daniel P. Huttenlocher	For	For
1.8	Elect Director Kurt M. Landgraf	For	For
1.9	Elect Director Kevin J. Martin	For	For
1.10	Elect Director Deborah D. Rieman	For	For
1.11	Elect Director Hansel E. Tookes, II	For	For
1.12	Elect Director Wendell P. Weeks	For	For
1.13	Elect Director Mark S. Wrighton	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
5	Amend Omnibus Stock Plan	For	For

Company : Edison International

Ticker : EIX

CUSIP No. : 281020107

Meeting Date : 4/27/2017

Meeting Type : Annual

ISIN : US2810201077

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Vanessa C.L. Chang	For	For
1.2	Elect Director Louis Hernandez, Jr.	For	For
1.3	Elect Director James T. Morris	For	For
1.4	Elect Director Pedro J. Pizarro	For	For
1.5	Elect Director Linda G. Stuntz	For	For
1.6	Elect Director William P. Sullivan	For	For
1.7	Elect Director Ellen O. Tauscher	For	For
1.8	Elect Director Peter J. Taylor	For	For
1.9	Elect Director Brett White	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Proxy Access Right	Against	For

Company : Johnson & Johnson

Ticker : JNJ

CUSIP No. : 478160104

Meeting Date : 4/27/2017

Meeting Type : Annual

ISIN : US4781601046

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Mary C. Beckerle	For	For
1b	Elect Director D. Scott Davis	For	For
1c	Elect Director Ian E. L. Davis	For	For
1d	Elect Director Alex Gorsky	For	For
1e	Elect Director Mark B. McClellan	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1f	Elect Director Anne M. Mulcahy	For	For
1g	Elect Director William D. Perez	For	For
1h	Elect Director Charles Prince	For	For
1i	Elect Director A. Eugene Washington	For	For
1j	Elect Director Ronald A. Williams	For	For
2	Advisory Vote on Say on Pay Frequency Advisory Vote to Ratify Named Executive Officers'	One Year	One Year
3	Compensation	For	For
4	Amend Omnibus Stock Plan	For	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
6	Require Independent Board Chairman	Against	For

Company : Lockheed Martin Corporation

Ticker : LMT

CUSIP No. : 539830109

Meeting Date : 4/27/2017

Meeting Type : Annual

ISIN : US5398301094

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Daniel F. Akerson	For	For
1b	Elect Director Nolan D. Archibald	For	Against
1c	Elect Director Rosalind G. Brewer	For	Against
1d	Elect Director David B. Burritt	For	For
1e	Elect Director Bruce A. Carlson	For	Against
1f	Elect Director James O. Ellis, Jr.	For	For
1g	Elect Director Thomas J. Falk	For	Against
1h	Elect Director Ilene S. Gordon	For	For
1i	Elect Director Marillyn A. Hewson	For	For
1j	Elect Director James M. Loy	For	For
1k	Elect Director Joseph W. Ralston	For	For
1l	Elect Director Anne Stevens	For	Against
2	Ratify Ernst & Young LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Adopt Holy Land Principles	Against	Against

Company : SCANA Corporation

Ticker : SCG

CUSIP No. : 80589M102

Meeting Date : 4/27/2017

Meeting Type : Annual

ISIN : US80589M1027

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John F.A.V. Cecil	For	For
1.2	Elect Director D. Maybank Hagood	For	For
1.3	Elect Director Alfredo Trujillo	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For
5	Declassify the Board of Directors	For	For

Company : AT&T Inc.  
 Ticker : T  
 CUSIP No. : 00206R102

Meeting Date : 4/28/2017  
 Meeting Type : Annual  
 ISIN : US00206R1023

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Randall L. Stephenson	For	For
1.2	Elect Director Samuel A. Di Piazza, Jr.	For	For
1.3	Elect Director Richard W. Fisher	For	For
1.4	Elect Director Scott T. Ford	For	For
1.5	Elect Director Glenn H. Hutchins	For	For
1.6	Elect Director William E. Kennard	For	For
1.7	Elect Director Michael B. McCallister	For	For
1.8	Elect Director Beth E. Mooney	For	For
1.9	Elect Director Joyce M. Roche	For	For
1.10	Elect Director Matthew K. Rose	For	For
1.11	Elect Director Cynthia B. Taylor	For	For
1.12	Elect Director Laura D'Andrea Tyson	For	For
1.13	Elect Director Geoffrey Y. Yang	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Report on Indirect Political Contributions	Against	For
6	Report on Lobbying Payments and Policy	Against	For
7	Amend Proxy Access Right	Against	For
8	Provide Right to Act by Written Consent	Against	For

Company : Harley-Davidson, Inc.  
 Ticker : HOG  
 CUSIP No. : 412822108

Meeting Date : 4/29/2017  
 Meeting Type : Annual  
 ISIN : US4128221086

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Troy Alstead	For	For
1.2	Elect Director R. John Anderson	For	For
1.3	Elect Director Michael J. Cave	For	For
1.4	Elect Director Allan Golston	For	For
1.5	Elect Director Matthew S. Levatic	For	For
1.6	Elect Director Sara L. Levinson	For	For
1.7	Elect Director N. Thomas Linebarger	For	For
1.8	Elect Director Brian R. Niccol	For	For
1.9	Elect Director Maryrose T. Sylvester	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1.10	Elect Director Jochen Zeitz Advisory Vote to Ratify Named Executive Officers'	For	For
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For

**Company** : Aflac Incorporated

**Ticker** : AFL

**CUSIP No.** : 001055102

**Meeting Date** : 5/1/2017

**Meeting Type** : Annual

**ISIN** : US0010551028

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Daniel P. Amos	For	For
1b	Elect Director Paul S. Amos, II	For	For
1c	Elect Director W. Paul Bowers	For	For
1d	Elect Director Kriss Cloninger, III	For	For
1e	Elect Director Toshihiko Fukuzawa	For	For
1f	Elect Director Elizabeth J. Hudson	For	For
1g	Elect Director Douglas W. Johnson	For	For
1h	Elect Director Robert B. Johnson	For	For
1i	Elect Director Thomas J. Kenny	For	For
1j	Elect Director Charles B. Knapp	For	For
1k	Elect Director Karole F. Lloyd	For	For
1l	Elect Director Joseph L. Moskowitz	For	For
1m	Elect Director Barbara K. Rimer	For	For
1n	Elect Director Melvin T. Stith	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	Against
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify KPMG LLP as Auditors	For	For
5	Amend Omnibus Stock Plan	For	For
6	Amend Executive Incentive Bonus Plan	For	For

**Company** : The Boeing Company

**Ticker** : BA

**CUSIP No.** : 097023105

**Meeting Date** : 5/1/2017

**Meeting Type** : Annual

**ISIN** : US0970231058

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Robert A. Bradway	For	For
1b	Elect Director David L. Calhoun	For	For
1c	Elect Director Arthur D. Collins, Jr.	For	For
1d	Elect Director Kenneth M. Duberstein	For	For
1e	Elect Director Edmund P. Giambastiani, Jr.	For	For
1f	Elect Director Lynn J. Good	For	For
1g	Elect Director Lawrence W. Kellner	For	For
1h	Elect Director Edward M. Liddy	For	For



## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1i	Elect Director Dennis A. Muilenburg	For	For
1j	Elect Director Susan C. Schwab	For	For
1k	Elect Director Randall L. Stephenson	For	For
1l	Elect Director Ronald A. Williams	For	For
1m	Elect Director Mike S. Zafirovski	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For
5	Report on Lobbying Payments and Policy	Against	For
	Reduce Ownership Threshold for Shareholders to Call Special		
6	Meeting	Against	For
7	Report on Weapon Sales to Israel	Against	Against
8	Adopt Holy Land Principles	Against	Against

Company : Eversource Energy

Ticker : ES

CUSIP No. : 30040W108

Meeting Date : 5/3/2017

Meeting Type : Annual

ISIN : US30040W1080

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John S. Clarkeson	For	For
1.2	Elect Director Cotton M. Cleveland	For	For
1.3	Elect Director Sanford Cloud, Jr.	For	For
1.4	Elect Director James S. DiStasio	For	For
1.5	Elect Director Francis A. Doyle	For	For
1.6	Elect Director Charles K. Gifford	For	For
1.7	Elect Director James J. Judge	For	For
1.8	Elect Director Paul A. La Camera	For	For
1.9	Elect Director Kenneth R. Leibler	For	For
1.10	Elect Director William C. Van Faasen	For	For
1.11	Elect Director Frederica M. Williams	For	For
1.12	Elect Director Dennis R. Wraase	For	For
2	Provide Proxy Access Right	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Omnibus Stock Plan	For	For
6	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Phillips 66

Ticker : PSX

CUSIP No. : 718546104

Meeting Date : 5/3/2017

Meeting Type : Annual

ISIN : US7185461040

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director William R. Loomis, Jr.	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1b	Elect Director Glenn F. Tilton	For	For
1c	Elect Director Marna C. Whittington	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For

Company : Prologis, Inc.

Ticker : PLD

CUSIP No. : 74340W103

Meeting Date : 5/3/2017

Meeting Type : Annual

ISIN : US74340W1036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Hamid R. Moghadam	For	For
1b	Elect Director George L. Fotiades	For	For
1c	Elect Director Lydia H. Kennard	For	For
1d	Elect Director J. Michael Losh	For	For
1e	Elect Director Irving F. Lyons, III	For	For
1f	Elect Director David P. O'Connor	For	For
1g	Elect Director Olivier Piani	For	For
1h	Elect Director Jeffrey L. Skelton	For	For
1i	Elect Director Carl B. Webb	For	For
1j	Elect Director William D. Zollars	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify KPMG LLP as Auditors	For	For

Company : Valero Energy Corporation

Ticker : VLO

CUSIP No. : 91913Y100

Meeting Date : 5/3/2017

Meeting Type : Annual

ISIN : US91913Y1001

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director H. Paulett Eberhart	For	For
1b	Elect Director Joseph W. Gorder	For	For
1c	Elect Director Kimberly S. Greene	For	For
1d	Elect Director Deborah P. Majoras	For	For
1e	Elect Director Donald L. Nickles	For	For
1f	Elect Director Philip J. Pfeiffer	For	For
1g	Elect Director Robert A. Profusek	For	For
1h	Elect Director Susan Kaufman Purcell	For	For
1i	Elect Director Stephen M. Waters	For	For
1j	Elect Director Randall J. Weisenburger	For	For
1k	Elect Director Rayford Wilkins, Jr.	For	For
2	Ratify KPMG LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

4 Advisory Vote on Say on Pay Frequency One Year One Year

Company : Archer-Daniels-Midland Company  
 Ticker : ADM  
 CUSIP No. : 039483102

Meeting Date : 5/4/2017  
 Meeting Type : Annual  
 ISIN : US0394831020

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Alan L. Boeckmann	For	For
1.2	Elect Director Terrell K. Crews	For	For
1.3	Elect Director Pierre Dufour	For	For
1.4	Elect Director Donald E. Felsing	For	For
1.5	Elect Director Suzan F. Harrison	For	For
1.6	Elect Director Juan R. Luciano	For	For
1.7	Elect Director Patrick J. Moore	For	For
1.8	Elect Director Francisco J. Sanchez	For	For
1.9	Elect Director Debra A. Sandler	For	For
1.10	Elect Director Daniel T. Shih	For	For
1.11	Elect Director Kelvin R. Westbrook	For	For
2	Ratify Ernst & Young LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : DTE Energy Company  
 Ticker : DTE  
 CUSIP No. : 233331107

Meeting Date : 5/4/2017  
 Meeting Type : Annual  
 ISIN : US2333311072

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Gerard M. Anderson	For	For
1.2	Elect Director David A. Brandon	For	For
1.3	Elect Director W. Frank Fountain, Jr.	For	For
1.4	Elect Director Charles G. McClure, Jr.	For	For
1.5	Elect Director Gail J. McGovern	For	For
1.6	Elect Director Mark A. Murray	For	For
1.7	Elect Director James B. Nicholson	For	For
1.8	Elect Director Charles W. Pryor, Jr.	For	For
1.9	Elect Director Josue Robles, Jr.	For	For
1.10	Elect Director Ruth G. Shaw	For	For
1.11	Elect Director David A. Thomas	For	For
1.12	Elect Director James H. Vandenberghe	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

5 Assess Portfolio Impacts of Policies to Meet 2 Degree Scenario Against For

**Company** : Duke Energy Corporation  
**Ticker** : DUK  
**CUSIP No.** : 26441C204

**Meeting Date** : 5/4/2017  
**Meeting Type** : Annual  
**ISIN** : US26441C2044

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Michael J. Angelakis	For	For
1.2	Elect Director Michael G. Browning	For	For
1.3	Elect Director Theodore F. Craver, Jr.	For	For
1.4	Elect Director Daniel R. DiMicco	For	For
1.5	Elect Director John H. Forsgren	For	For
1.6	Elect Director Lynn J. Good	For	For
1.7	Elect Director John T. Herron	For	For
1.8	Elect Director James B. Hylar, Jr.	For	For
1.9	Elect Director William E. Kennard	For	For
1.10	Elect Director E. Marie McKee	For	For
1.11	Elect Director Charles W. Moorman, IV	For	For
1.12	Elect Director Carlos A. Saladrigas	For	For
1.13	Elect Director Thomas E. Skains	For	For
1.14	Elect Director William E. Webster, Jr.	For	For
2	Ratify Deloitte & Touche LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Eliminate Supermajority Vote Requirement	For	For
6	Report on Lobbying Expenses Assess Portfolio Impacts of Policies to Meet 2 Degree	Against	For
7	Scenario	Against	For
8	Report on the Public Health Risk of Dukes Energy's Coal Use	Against	For

**Company** : Eastman Chemical Company  
**Ticker** : EMN  
**CUSIP No.** : 277432100

**Meeting Date** : 5/4/2017  
**Meeting Type** : Annual  
**ISIN** : US2774321002

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Humberto P. Alfonso	For	For
1.2	Elect Director Gary E. Anderson	For	For
1.3	Elect Director Brett D. Begemann	For	For
1.4	Elect Director Michael P. Connors	For	For
1.5	Elect Director Mark J. Costa	For	For
1.6	Elect Director Stephen R. Demeritt	For	For
1.7	Elect Director Robert M. Hernandez	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1.8	Elect Director Julie F. Holder	For	For
1.9	Elect Director Renee J. Hornbaker	For	For
1.10	Elect Director Lewis M. Kling	For	For
1.11	Elect Director James J. O'Brien	For	For
1.12	Elect Director David W. Raisbeck	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Approve Omnibus Stock Plan	For	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : TEGNA Inc.

Ticker : TGNA

CUSIP No. : 87901J105

Meeting Date : 5/4/2017

Meeting Type : Annual

ISIN : US87901J1051

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Jennifer Dulski	For	For
1b	Elect Director Howard D. Elias	For	For
1c	Elect Director Lidia Fonseca	For	For
1d	Elect Director Jill Greenthal	For	For
1e	Elect Director Marjorie Magner	For	For
1f	Elect Director Gracia C. Martore	For	For
1g	Elect Director Scott K. McCune	For	For
1h	Elect Director Henry W. McGee	For	For
1i	Elect Director Susan Ness	For	For
1j	Elect Director Bruce P. Nolop	For	For
1k	Elect Director Neal Shapiro	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Tesoro Corporation

Ticker : TSO

CUSIP No. : 881609101

Meeting Date : 5/4/2017

Meeting Type : Annual

ISIN : US8816091016

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Rodney F. Chase	For	For
1.2	Elect Director Edward G. Galante	For	For
1.3	Elect Director Gregory J. Goff	For	For
1.4	Elect Director David Lilley	For	For
1.5	Elect Director Mary Pat McCarthy	For	For
1.6	Elect Director J.W. Nokes	For	For
1.7	Elect Director William H. Schumann, III	For	For
1.8	Elect Director Susan Tomasky	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1.9	Elect Director Michael E. Wiley	For	For
1.10	Elect Director Patrick Y. Yang	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : **United Parcel Service, Inc.**

Ticker : **UPS**

CUSIP No. : **911312106**

Meeting Date : **5/4/2017**

Meeting Type : **Annual**

ISIN : **US9113121068**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director David P. Abney	For	For
1b	Elect Director Rodney C. Adkins	For	For
1c	Elect Director Michael J. Burns	For	For
1d	Elect Director William R. Johnson	For	For
1e	Elect Director Candace Kendle	For	For
1f	Elect Director Ann M. Livermore	For	For
1g	Elect Director Rudy H.P. Markham	For	For
1h	Elect Director Franck J. Moison	For	For
1i	Elect Director Clark "Sandy" T. Randt, Jr.	For	For
1j	Elect Director John T. Stankey	For	For
1k	Elect Director Carol B. Tome	For	For
1l	Elect Director Kevin M. Warsh	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	Three Years	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For
5	Report on Lobbying Payments and Policy	Against	For
6	Approve Recapitalization Plan for all Stock to Have One-vote per Share	Against	For
7	Adopt Holy Land Principles	Against	Against

Company : **Verizon Communications Inc.**

Ticker : **VZ**

CUSIP No. : **92343V104**

Meeting Date : **5/4/2017**

Meeting Type : **Annual**

ISIN : **US92343V1044**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Shellye L. Archambeau	For	For
1.2	Elect Director Mark T. Bertolini	For	For
1.3	Elect Director Richard L. Carrion	For	For
1.4	Elect Director Melanie L. Healey	For	For
1.5	Elect Director M. Frances Keeth	For	For
1.6	Elect Director Karl-Ludwig Kley	For	For
1.7	Elect Director Lowell C. McAdam	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1.8	Elect Director Clarence Otis, Jr.	For	For
1.9	Elect Director Rodney E. Slater	For	For
1.10	Elect Director Kathryn A. Tesija	For	For
1.11	Elect Director Gregory D. Wasson	For	For
1.12	Elect Director Gregory G. Weaver	For	For
2	Ratify Ernst & Young LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Approve Omnibus Stock Plan	For	For
6	Establish Board Committee on Human Rights	Against	Against
7	Report on Feasibility of Adopting GHG Emissions Targets	Against	Against
8	Amend Bylaws - Call Special Meetings	Against	For
9	Amend Clawback Policy	Against	For
10	Stock Retention/Holding Period	Against	For
11	Limit Matching Contributions to Executive Retirement Plans	Against	Against

Company : WEC Energy Group, Inc.

Ticker : WEC

CUSIP No. : 92939U106

Meeting Date : 5/4/2017

Meeting Type : Annual

ISIN : US92939U1060

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John F. Bergstrom	For	For
1.2	Elect Director Barbara L. Bowles	For	For
1.3	Elect Director William J. Brodsky	For	For
1.4	Elect Director Albert J. Budney, Jr.	For	For
1.5	Elect Director Patricia W. Chadwick	For	For
1.6	Elect Director Curt S. Culver	For	For
1.7	Elect Director Thomas J. Fischer	For	For
1.8	Elect Director Paul W. Jones	For	For
1.9	Elect Director Gale E. Klappa	For	For
1.10	Elect Director Henry W. Knueppel	For	For
1.11	Elect Director Allen L. Leverett	For	For
1.12	Elect Director Ulice Payne, Jr.	For	For
1.13	Elect Director Mary Ellen Stanek	For	For
2	Ratify Deloitte & Touche LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Welltower Inc.  
 Ticker : HCN  
 CUSIP No. : 95040Q104

Meeting Date : 5/4/2017  
 Meeting Type : Annual  
 ISIN : US95040Q1040

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Kenneth J. Bacon	For	For
1b	Elect Director Thomas J. DeRosa	For	For
1c	Elect Director Jeffrey H. Donahue	For	For
1d	Elect Director Fred S. Klipsch	For	For
1e	Elect Director Geoffrey G. Meyers	For	For
1f	Elect Director Timothy J. Naughton	For	For
1g	Elect Director Sharon M. Oster	For	For
1h	Elect Director Judith C. Pelham	For	For
1i	Elect Director Sergio D. Rivera	For	For
1j	Elect Director R. Scott Trumbull	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : CMS Energy Corporation  
 Ticker : CMS  
 CUSIP No. : 125896100

Meeting Date : 5/5/2017  
 Meeting Type : Annual  
 ISIN : US1258961002

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Jon E. Barfield	For	For
1b	Elect Director Deborah H. Butler	For	For
1c	Elect Director Kurt L. Darrow	For	For
1d	Elect Director Stephen E. Ewing	For	For
1e	Elect Director William D. Harvey	For	For
1f	Elect Director Philip R. Lochner, Jr.	For	For
1g	Elect Director Patricia K. Poppe	For	For
1h	Elect Director John G. Russell	For	For
1i	Elect Director Myrna M. Soto	For	For
1j	Elect Director John G. Szniewajs	For	For
1k	Elect Director Laura H. Wright	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Report on Political Contributions	Against	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For



## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Dover Corporation  
 Ticker : DOV  
 CUSIP No. : 260003108

Meeting Date : 5/5/2017  
 Meeting Type : Annual  
 ISIN : US2600031080

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Peter T. Francis	For	For
1b	Elect Director Kristiane C. Graham	For	For
1c	Elect Director Michael F. Johnston	For	For
1d	Elect Director Robert A. Livingston	For	For
1e	Elect Director Richard K. Lochridge	For	For
1f	Elect Director Eric A. Spiegel	For	For
1g	Elect Director Michael B. Stubbs	For	For
1h	Elect Director Richard J. Tobin	For	For
1i	Elect Director Stephen M. Todd	For	For
1j	Elect Director Stephen K. Wagner	For	For
1k	Elect Director Keith E. Wandell	For	For
1l	Elect Director Mary A. Winston	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Omnibus Stock Plan	For	For
6	Amend Executive Incentive Bonus Plan	For	For
7	Amend Charter to Remove Article 15 Amendment Provision	For	For
8	Amend Charter to Remove Article 16 Amendment Provision	For	For

Company : Entergy Corporation  
 Ticker : ETR  
 CUSIP No. : 29364G103

Meeting Date : 5/5/2017  
 Meeting Type : Annual  
 ISIN : US29364G1031

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Maureen Scannell Bateman	For	For
1b	Elect Director Patrick J. Condon	For	For
1c	Elect Director Leo P. Denault	For	For
1d	Elect Director Kirkland H. Donald	For	For
1e	Elect Director Philip L. Frederickson	For	For
1f	Elect Director Alexis M. Herman	For	For
1g	Elect Director Donald C. Hintz	For	For
1h	Elect Director Stuart L. Levenick	For	For
1i	Elect Director Blanche Lambert Lincoln	For	For
1j	Elect Director Karen A. Puckett	For	For
1k	Elect Director W. J. 'Billy' Tauzin	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For
5	Report on Distributed Renewable Generation Resources	Against	For

Company : Illinois Tool Works Inc.  
 Ticker : ITW  
 CUSIP No. : 452308109

Meeting Date : 5/5/2017  
 Meeting Type : Annual  
 ISIN : US4523081093

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Daniel J. Brutto	For	For
1b	Elect Director Susan Crown	For	For
1c	Elect Director James W. Griffith	For	For
1d	Elect Director Jay L. Henderson	For	For
1e	Elect Director Richard H. Lenny	For	For
1f	Elect Director E. Scott Santi	For	For
1g	Elect Director James A. Skinner	For	For
1h	Elect Director David B. Smith, Jr.	For	For
1i	Elect Director Pamela B. Strobel	For	For
1j	Elect Director Kevin M. Warren	For	For
1k	Elect Director Anne D. Williams	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Provide Right to Act by Written Consent	Against	For

Company : Ryder System, Inc.  
 Ticker : R  
 CUSIP No. : 783549108

Meeting Date : 5/5/2017  
 Meeting Type : Annual  
 ISIN : US7835491082

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Robert J. Eck	For	For
1b	Elect Director L. Patrick Hassey	For	For
1c	Elect Director Michael F. Hilton	For	For
1d	Elect Director Tamara L. Lundgren	For	For
1e	Elect Director Abbie J. Smith	For	For
1f	Elect Director Hansel E. Tookes, II	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Provide Right to Act by Written Consent	Against	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Cincinnati Financial Corporation  
 Ticker : CINP  
 CUSIP No. : 172062101

Meeting Date : 5/6/2017  
 Meeting Type : Annual  
 ISIN : US1720621010

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director William F. Bahl	For	For
1.2	Elect Director Gregory T. Bier	For	For
1.3	Elect Director Linda W. Clement-Holmes	For	For
1.4	Elect Director Dirk J. Debbink	For	For
1.5	Elect Director Steven J. Johnston	For	For
1.6	Elect Director Kenneth C. Lichtendahl	For	For
1.7	Elect Director W. Rodney McMullen	For	For
1.8	Elect Director David P. Osborn	For	For
1.9	Elect Director Gretchen W. Price	For	For
1.10	Elect Director Thomas R. Schiff	For	For
1.11	Elect Director Douglas S. Skidmore	For	For
1.12	Elect Director Kenneth W. Stecher	For	For
1.13	Elect Director John F. Steele, Jr.	For	For
1.14	Elect Director Larry R. Webb	For	For
2	Ratify Deloitte & Touche LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : International Paper Company  
 Ticker : IP  
 CUSIP No. : 460146103

Meeting Date : 5/8/2017  
 Meeting Type : Annual  
 ISIN : US4601461035

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director David J. Bronczek	For	For
1b	Elect Director William J. Burns	For	For
1c	Elect Director Ahmet C. Dorduncu	For	For
1d	Elect Director Ilene S. Gordon	For	For
1e	Elect Director Jay L. Johnson	For	For
1f	Elect Director Stacey J. Mobley	For	For
1g	Elect Director Kathryn D. Sullivan	For	For
1h	Elect Director Mark S. Sutton	For	For
1i	Elect Director John L. Townsend, III	For	For
1j	Elect Director William G. Walter	For	For
1k	Elect Director J. Steven Whisler	For	For
1l	Elect Director Ray G. Young	For	For
2	Ratify Deloitte & Touche LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

5 Pro-rata Vesting of Equity Awards Against For

Company : Pitney Bowes Inc.

Ticker : PBI

CUSIP No. : 724479100

Meeting Date : 5/8/2017

Meeting Type : Annual

ISIN : US7244791007

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Linda G. Alvarado	For	For
1b	Elect Director Anne M. Busquet	For	For
1c	Elect Director Roger Fradin	For	For
1d	Elect Director Anne Sutherland Fuchs	For	For
1e	Elect Director S. Douglas Hutcheson	For	For
1f	Elect Director Marc B. Lautenbach	For	For
1g	Elect Director Eduardo R. Menasce	For	For
1h	Elect Director Michael I. Roth	For	For
1i	Elect Director Linda S. Sanford	For	For
1j	Elect Director David L. Shedlarz	For	For
1k	Elect Director David B. Snow, Jr.	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Cummins Inc.

Ticker : CMI

CUSIP No. : 231021106

Meeting Date : 5/9/2017

Meeting Type : Annual

ISIN : US2310211063

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Elect Director N. Thomas Linebarger	For	For
2	Elect Director Robert J. Bernhard	For	Against
3	Elect Director Franklin R. Chang Diaz	For	Against
4	Elect Director Bruno V. Di Leo Allen	For	Against
5	Elect Director Stephen B. Dobbs	For	Against
6	Elect Director Robert K. Herdman	For	Against
7	Elect Director Alexis M. Herman	For	Against
8	Elect Director Thomas J. Lynch	For	Against
9	Elect Director William I. Miller	For	Against
10	Elect Director Georgia R. Nelson Advisory Vote to Ratify Named Executive Officers'	For	Against
11	Compensation	For	For
12	Advisory Vote on Say on Pay Frequency	One Year	One Year
13	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
14	Amend Omnibus Stock Plan	For	For
15	Provide Proxy Access Right	For	For
16	Amend Proxy Access Right	Against	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Leggett & Platt, Incorporated  
 Ticker : LEG  
 CUSIP No. : 524660107

Meeting Date : 5/9/2017  
 Meeting Type : Annual  
 ISIN : US5246601075

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Robert E. Brunner	For	For
1b	Elect Director Robert G. Culp, III	For	For
1c	Elect Director R. Ted Enloe, III	For	For
1d	Elect Director Manuel A. Fernandez	For	For
1e	Elect Director Matthew C. Flanigan	For	For
1f	Elect Director Karl G. Glassman	For	For
1g	Elect Director Joseph W. McClanathan	For	For
1h	Elect Director Judy C. Odom	For	For
1i	Elect Director Phoebe A. Wood	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : NiSource Inc.  
 Ticker : NI  
 CUSIP No. : 65473P105

Meeting Date : 5/9/2017  
 Meeting Type : Annual  
 ISIN : US65473P1057

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Richard A. Abdo	For	For
1.2	Elect Director Peter A. Altabef	For	For
1.3	Elect Director Aristides S. Candris	For	For
1.4	Elect Director Wayne S. DeVeydt	For	For
1.5	Elect Director Joseph Hamrock	For	For
1.6	Elect Director Deborah A. Henretta	For	For
1.7	Elect Director Michael E. Jesanis	For	For
1.8	Elect Director Kevin T. Kabat	For	For
1.9	Elect Director Richard L. Thompson	For	For
1.10	Elect Director Carolyn Y. Woo	For	For
2	Ratify Deloitte & Touche LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Prudential Financial, Inc.  
 Ticker : PRU  
 CUSIP No. : 744320102

Meeting Date : 5/9/2017  
 Meeting Type : Annual  
 ISIN : US7443201022

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Thomas J. Baltimore, Jr.	For	For
1.2	Elect Director Gilbert F. Casellas	For	For
1.3	Elect Director Mark B. Grier	For	For
1.4	Elect Director Martina Hund-Mejean	For	For
1.5	Elect Director Karl J. Krapek	For	For
1.6	Elect Director Peter R. Lighte	For	For
1.7	Elect Director George Paz	For	For
1.8	Elect Director Sandra Pianalto	For	For
1.9	Elect Director Christine A. Poon	For	For
1.10	Elect Director Douglas A. Scovanner	For	For
1.11	Elect Director John R. Strangfeld	For	For
1.12	Elect Director Michael A. Todman	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Require Independent Board Chairman	Against	For

Company : Wyndham Worldwide Corporation  
 Ticker : WYN  
 CUSIP No. : 98310W108

Meeting Date : 5/9/2017  
 Meeting Type : Annual  
 ISIN : US98310W1080

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Myra J. Biblowit	For	For
1b	Elect Director Louise F. Brady	For	For
1c	Elect Director James E. Buckman	For	For
1d	Elect Director George Herrera	For	For
1e	Elect Director Stephen P. Holmes	For	For
1f	Elect Director Brian Mulroney	For	For
1g	Elect Director Pauline D.E. Richards	For	For
1h	Elect Director Michael H. Wargotz Advisory Vote to Ratify Named Executive Officers'	For	For
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For
5	Report on Political Contributions Disclosure	Against	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : CVS Health Corporation  
 Ticker : CVS  
 CUSIP No. : 126650100

Meeting Date : 5/10/2017  
 Meeting Type : Annual  
 ISIN : US1266501006

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Richard M. Bracken	For	For
1b	Elect Director C. David Brown, II	For	For
1c	Elect Director Alecia A. DeCoudreaux	For	For
1d	Elect Director Nancy-Ann M. DeParle	For	For
1e	Elect Director David W. Dorman	For	For
1f	Elect Director Anne M. Finucane	For	Against
1g	Elect Director Larry J. Merlo	For	For
1h	Elect Director Jean-Pierre Millon	For	For
1i	Elect Director Mary L. Schapiro	For	For
1j	Elect Director Richard J. Swift	For	For
1k	Elect Director William C. Weldon	For	For
1l	Elect Director Tony L. White	For	For
2	Ratify Ernst & Young LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	Against
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Approve Omnibus Stock Plan Reduce Ownership Threshold for Shareholders to Call Special	For	For
6	Meeting	Against	For
7	Report on Pay Disparity	Against	Against
8	Adopt Quantitative Renewable Energy Goals	Against	Against

Company : Frontier Communications Corporation  
 Ticker : FTR  
 CUSIP No. : 35906A108

Meeting Date : 5/10/2017  
 Meeting Type : Annual  
 ISIN : US35906A1088

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Leroy T. Barnes, Jr.	For	For
1b	Elect Director Peter C.B. Bynoe	For	For
1c	Elect Director Diana S. Ferguson	For	For
1d	Elect Director Edward Fraioli	For	For
1e	Elect Director Daniel J. McCarthy	For	For
1f	Elect Director Pamela D.A. Reeve	For	For
1g	Elect Director Virginia P. Rueterholz	For	For
1h	Elect Director Howard L. Schrott	For	For
1i	Elect Director Mark Shapiro	For	For
1j	Elect Director Myron A. Wick, III Advisory Vote to Ratify Named Executive Officers'	For	For
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

4	Approve Omnibus Stock Plan	For	For
5	Approve Reverse Stock Split	For	For
6	Ratify KPMG LLP as Auditors	For	For

Company : Gilead Sciences, Inc.

Meeting Date : 5/10/2017

Ticker : GILD

Meeting Type : Annual

CUSIP No. : 375558103

ISIN : US3755581036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John F. Cogan	For	For
1b	Elect Director Kelly A. Kramer	For	For
1c	Elect Director Kevin E. Lofton	For	For
1d	Elect Director John C. Martin	For	For
1e	Elect Director John F. Milligan	For	For
1f	Elect Director Nicholas G. Moore	For	For
1g	Elect Director Richard J. Whitley	For	For
1h	Elect Director Gayle E. Wilson	For	For
1i	Elect Director Per Wold-Olsen	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Amend Omnibus Stock Plan	For	For
	Advisory Vote to Ratify Named Executive Officers'		
4	Compensation	For	For
5	Advisory Vote on Say on Pay Frequency	One Year	One Year
6	Provide Right to Act by Written Consent	Against	For
7	Require Independent Board Chairman	Against	For

Company : Kohl's Corporation

Meeting Date : 5/10/2017

Ticker : KSS

Meeting Type : Annual

CUSIP No. : 500255104

ISIN : US5002551043

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Peter Boneparth	For	For
1b	Elect Director Steven A. Burd	For	For
1c	Elect Director Kevin Mansell	For	For
1d	Elect Director Jonas Prising	For	For
1e	Elect Director John E. Schlifske	For	For
1f	Elect Director Adrienne Shapira	For	For
1g	Elect Director Frank V. Sica	For	For
1h	Elect Director Stephanie A. Streeter	For	For
1i	Elect Director Nina G. Vaca	For	For
1j	Elect Director Stephen E. Watson	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year



## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

5	Approve Omnibus Stock Plan	For	For
6	Require Independent Board Chairman	Against	Against

Company : **Murphy Oil Corporation**

Ticker : **MUR**

CUSIP No. : **626717102**

Meeting Date : **5/10/2017**

Meeting Type : **Annual**

ISIN : **US6267171022**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director T. Jay Collins	For	For
1b	Elect Director Steven A. Cosse	For	For
1c	Elect Director Claiborne P. Deming	For	For
1d	Elect Director Lawrence R. Dickerson	For	For
1e	Elect Director Roger W. Jenkins	For	For
1f	Elect Director Elisabeth W. Keller	For	For
1g	Elect Director James V. Kelley	For	For
1h	Elect Director Walentin Mirosh	For	For
1i	Elect Director R. Madison Murphy	For	For
1j	Elect Director Jeffrey W. Nolan	For	For
1k	Elect Director Neal E. Schmale	For	For
1l	Elect Director Laura A. Sugg	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Amend Omnibus Stock Plan	For	For
5	Ratify KPMG LLP as Auditors	For	For

Company : **Assurant, Inc.**

Ticker : **AIZ**

CUSIP No. : **04621X108**

Meeting Date : **5/11/2017**

Meeting Type : **Annual**

ISIN : **US04621X1081**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Elaine D. Rosen	For	For
1b	Elect Director Howard L. Carver	For	For
1c	Elect Director Juan N. Cento	For	For
1d	Elect Director Alan B. Colberg	For	For
1e	Elect Director Elyse Douglas	For	For
1f	Elect Director Lawrence V. Jackson	For	For
1g	Elect Director Charles J. Koch	For	For
1h	Elect Director Jean-Paul L. Montupet	For	For
1i	Elect Director Paul J. Reilly	For	For
1j	Elect Director Robert W. Stein	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

5	Approve Omnibus Stock Plan	For	For
6	Eliminate Supermajority Vote Requirement	For	For

Company : C.H. Robinson Worldwide, Inc.

Ticker : CHRW

CUSIP No. : 12541W209

Meeting Date : 5/11/2017

Meeting Type : Annual

ISIN : US12541W2098

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Scott P. Anderson	For	For
1.2	Elect Director Robert Ezrilov	For	For
1.3	Elect Director Wayne M. Fortun	For	For
1.4	Elect Director Mary J. Steele Guilfoile	For	For
1.5	Elect Director Jodee A. Kozlak	For	For
1.6	Elect Director Brian P. Short	For	For
1.7	Elect Director James B. Stake	For	For
1.8	Elect Director John P. Wiehoff	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For
	***Withdrawn Resolution*** Report on Greenhouse Gas		
5	Emissions Disclosure	0	0

Company : Ford Motor Company

Ticker : F

CUSIP No. : 345370860

Meeting Date : 5/11/2017

Meeting Type : Annual

ISIN : US3453708600

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Stephen G. Butler	For	For
1b	Elect Director Kimberly A. Casiano	For	For
1c	Elect Director Anthony F. Earley, Jr.	For	For
1d	Elect Director Mark Fields	For	For
1e	Elect Director Edsel B. Ford, II	For	For
1f	Elect Director William Clay Ford, Jr.	For	For
1g	Elect Director William W. Helman, IV	For	For
1h	Elect Director Jon M. Huntsman, Jr.	For	For
1i	Elect Director William E. Kennard	For	For
1j	Elect Director John C. Lechleiter	For	For
1k	Elect Director Ellen R. Marram	For	For
1l	Elect Director John L. Thornton	For	For
1m	Elect Director Lynn M. Vojvodich	For	For
1n	Elect Director John S. Weinberg	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

4	Advisory Vote on Say on Pay Frequency Approve Recapitalization Plan for all Stock to Have One-vote per Share	One Year	One Year
5		Against	For
6	Report on Lobbying Payments and Policy	Against	For

**Company** : Host Hotels & Resorts, Inc.

**Ticker** : HST

**CUSIP No.** : 44107P104

**Meeting Date** : 5/11/2017

**Meeting Type** : Annual

**ISIN** : US44107P1049

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Mary L. Baglivo	For	For
1.2	Elect Director Sheila C. Bair	For	For
1.3	Elect Director Sandeep L. Mathrani	For	For
1.4	Elect Director Ann McLaughlin Korologos	For	For
1.5	Elect Director Richard E. Marriott	For	For
1.6	Elect Director John B. Morse, Jr.	For	For
1.7	Elect Director Walter C. Rakowich	For	For
1.8	Elect Director James F. Risoleo	For	For
1.9	Elect Director Gordon H. Smith	For	For
2	Ratify KPMG LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

**Company** : Invesco Ltd.

**Ticker** : IVZ

**CUSIP No.** : G491BT108

**Meeting Date** : 5/11/2017

**Meeting Type** : Annual

**ISIN** : BMG491BT1088

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Sarah E. Beshar	For	For
1.2	Elect Director Joseph R. Canion	For	For
1.3	Elect Director Martin L. Flanagan	For	For
1.4	Elect Director C. Robert Henrikson	For	For
1.5	Elect Director Ben F. Johnson, III	For	For
1.6	Elect Director Denis Kessler	For	For
1.7	Elect Director Nigel Sheinwald	For	For
1.8	Elect Director G. Richard Wagoner, Jr.	For	For
1.9	Elect Director Phoebe A. Wood Advisory Vote to Ratify Named Executive Officers'	For	For
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Provide Proxy Access Right	For	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Norfolk Southern Corporation  
 Ticker : NSC  
 CUSIP No. : 655844108

Meeting Date : 5/11/2017  
 Meeting Type : Annual  
 ISIN : US6558441084

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Thomas D. Bell, Jr.	For	For
1.2	Elect Director Erskine B. Bowles	For	For
1.3	Elect Director Robert A. Bradway - Withdrawn	0	0
1.4	Elect Director Wesley G. Bush	For	For
1.5	Elect Director Daniel A. Carp	For	For
1.6	Elect Director Mitchell E. Daniels, Jr.	For	For
1.7	Elect Director Marcela E. Donadio	For	For
1.8	Elect Director Steven F. Leer	For	For
1.9	Elect Director Michael D. Lockhart	For	For
1.10	Elect Director Amy E. Miles	For	For
1.11	Elect Director Martin H. Nesbitt	For	For
1.12	Elect Director James A. Squires	For	For
1.13	Elect Director John R. Thompson	For	For
2	Ratify KPMG LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Nucor Corporation  
 Ticker : NUE  
 CUSIP No. : 670346105

Meeting Date : 5/11/2017  
 Meeting Type : Annual  
 ISIN : US6703461052

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Patrick J. Dempsey	For	For
1.2	Elect Director John J. Ferriola	For	For
1.3	Elect Director Gregory J. Hayes	For	For
1.4	Elect Director Victoria F. Haynes	For	For
1.5	Elect Director Bernard L. Kasriel	For	For
1.6	Elect Director Christopher J. Kearney	For	For
1.7	Elect Director Laurette T. Koellner	For	For
1.8	Elect Director John H. Walker	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	Three Years	One Year
5	Report on Lobbying Payments and Policy	Against	For
6	Adopt Quantitative Company-Wide GHG Goals	Against	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : The Dow Chemical Company  
 Ticker : DOW  
 CUSIP No. : 260543103

Meeting Date : 5/11/2017  
 Meeting Type : Annual  
 ISIN : US2605431038

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Ajay Banga	For	For
1b	Elect Director Jacqueline K. Barton	For	For
1c	Elect Director James A. Bell	For	For
1d	Elect Director Richard K. Davis	For	For
1e	Elect Director Jeff M. Fettig	For	For
1f	Elect Director Andrew N. Liveris	For	For
1g	Elect Director Mark Loughridge	For	For
1h	Elect Director Raymond J. Milchovich	For	For
1i	Elect Director Robert S. (Steve) Miller	For	For
1j	Elect Director Paul Polman	For	For
1k	Elect Director Dennis H. Reilley	For	For
1l	Elect Director James M. Ringler	For	For
1m	Elect Director Ruth G. Shaw	For	For
2	Ratify Deloitte & Touche LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : The Western Union Company  
 Ticker : WU  
 CUSIP No. : 959802109

Meeting Date : 5/11/2017  
 Meeting Type : Annual  
 ISIN : US9598021098

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Martin I. Cole	For	For
1b	Elect Director Hikmet Ersek	For	For
1c	Elect Director Richard A. Goodman	For	For
1d	Elect Director Betsy D. Holden	For	For
1e	Elect Director Jeffrey A. Joerres	For	For
1f	Elect Director Roberto G. Mendoza	For	For
1g	Elect Director Michael A. Miles, Jr.	For	For
1h	Elect Director Robert W. Selander	For	For
1i	Elect Director Frances Fragos Townsend	For	For
1j	Elect Director Solomon D. Trujillo Advisory Vote to Ratify Named Executive Officers'	For	For
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For
5	Report on Political Contributions	Against	For
6	Provide Right to Act by Written Consent	Against	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

7 Report on Company Non-Discrimination Policies in States  
with Pro-Discrimination Laws Against Against

**Company** : Union Pacific Corporation  
**Ticker** : UNP  
**CUSIP No.** : 907818108

**Meeting Date** : 5/11/2017  
**Meeting Type** : Annual  
**ISIN** : US9078181081

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Andrew H. Card, Jr.	For	For
1b	Elect Director Erroll B. Davis, Jr.	For	For
1c	Elect Director David B. Dillon	For	For
1d	Elect Director Lance M. Fritz	For	For
1e	Elect Director Deborah C. Hopkins	For	For
1f	Elect Director Jane H. Lute	For	For
1g	Elect Director Michael R. McCarthy	For	For
1h	Elect Director Michael W. McConnell	For	For
1i	Elect Director Thomas F. McLarty, III	For	For
1j	Elect Director Steven R. Rogel	For	For
1k	Elect Director Jose H. Villarreal	For	For
2	Ratify Deloitte & Touche LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	Against
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Require Independent Board Chairman	Against	For

**Company** : American Water Works Company, Inc.  
**Ticker** : AWK  
**CUSIP No.** : 030420103

**Meeting Date** : 5/12/2017  
**Meeting Type** : Annual  
**ISIN** : US0304201033

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Julie A. Dobson	For	For
1b	Elect Director Paul J. Evanson	For	For
1c	Elect Director Martha Clark Goss	For	For
1d	Elect Director Veronica M. Hagen	For	For
1e	Elect Director Julia L. Johnson	For	For
1f	Elect Director Karl F. Kurz	For	For
1g	Elect Director George MacKenzie	For	For
1h	Elect Director Susan N. Story Advisory Vote to Ratify Named Executive Officers'	For	For
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Approve Omnibus Stock Plan	For	For
5	Approve Nonqualified Employee Stock Purchase Plan	For	For
6	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : CF Industries Holdings, Inc.  
 Ticker : CF  
 CUSIP No. : 125269100

Meeting Date : 5/12/2017  
 Meeting Type : Annual  
 ISIN : US1252691001

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Robert C. Arzbaecher	For	For
1b	Elect Director William Davisson	For	For
1c	Elect Director Stephen A. Furbacher	For	For
1d	Elect Director Stephen J. Hagge	For	For
1e	Elect Director John D. Johnson	For	For
1f	Elect Director Robert G. Kuhbach	For	For
1g	Elect Director Anne P. Noonan	For	For
1h	Elect Director Edward A. Schmitt	For	For
1i	Elect Director Theresa E. Wagler	For	For
1j	Elect Director W. Anthony Will	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify KPMG LLP as Auditors	For	For

Company : Republic Services, Inc.  
 Ticker : RSG  
 CUSIP No. : 760759100

Meeting Date : 5/12/2017  
 Meeting Type : Annual  
 ISIN : US7607591002

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Manuel Kadre	For	For
1b	Elect Director Tomago Collins	For	For
1c	Elect Director William J. Flynn	For	For
1d	Elect Director Thomas W. Handley	For	For
1e	Elect Director Jennifer M. Kirk	For	For
1f	Elect Director Michael Larson	For	For
1g	Elect Director Ramon A. Rodriguez	For	For
1h	Elect Director Donald W. Slager	For	For
1i	Elect Director John M. Trani	For	For
1j	Elect Director Sandra M. Volpe	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Semptra Energy  
 Ticker : SRE  
 CUSIP No. : 816851109

Meeting Date : 5/12/2017  
 Meeting Type : Annual  
 ISIN : US8168511090

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Alan L. Boeckmann	For	For
1.2	Elect Director Kathleen L. Brown	For	For
1.3	Elect Director Andres Conesa	For	For
1.4	Elect Director Maria Contreras-Sweet	For	For
1.5	Elect Director Pablo A. Ferrero	For	For
1.6	Elect Director William D. Jones	For	For
1.7	Elect Director Bethany J. Mayer	For	For
1.8	Elect Director William G. Ouchi	For	For
1.9	Elect Director Debra L. Reed	For	For
1.10	Elect Director William C. Rusnack	For	For
1.11	Elect Director Lynn Schenk	For	For
1.12	Elect Director Jack T. Taylor	For	For
1.13	Elect Director James C. Yardley	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : The Progressive Corporation  
 Ticker : PGR  
 CUSIP No. : 743315103

Meeting Date : 5/12/2017  
 Meeting Type : Annual  
 ISIN : US7433151039

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Stuart B. Burgdoerfer	For	For
1b	Elect Director Charles A. Davis	For	For
1c	Elect Director Roger N. Farah	For	For
1d	Elect Director Lawton W. Fitt	For	For
1e	Elect Director Susan Patricia Griffith	For	For
1f	Elect Director Jeffrey D. Kelly	For	For
1g	Elect Director Patrick H. Nettles	For	For
1h	Elect Director Glenn M. Renwick	For	For
1i	Elect Director Bradley T. Sheares	For	For
1j	Elect Director Barbara R. Snyder	For	For
2	Approve Executive Incentive Bonus Plan	For	For
3	Approve Non-Employee Director Omnibus Stock Plan	For	For
	Advisory Vote to Ratify Named Executive Officers'		
4	Compensation	For	For
5	Advisory Vote on Say on Pay Frequency	One Year	One Year
6	Ratify PricewaterhouseCoopers LLP as Auditors	For	For



## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Waste Management, Inc.  
 Ticker : WM  
 CUSIP No. : 94106L109

Meeting Date : 5/12/2017  
 Meeting Type : Annual  
 ISIN : US94106L1098

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Bradbury H. Anderson	For	For
1b	Elect Director Frank M. Clark, Jr.	For	For
1c	Elect Director James C. Fish, Jr.	For	For
1d	Elect Director Andres R. Gluski	For	For
1e	Elect Director Patrick W. Gross	For	For
1f	Elect Director Victoria M. Holt	For	For
1g	Elect Director Kathleen M. Mazzarella	For	For
1h	Elect Director John C. Pope	For	For
1i	Elect Director Thomas H. Weidemeyer	For	For
2	Ratify Ernst & Young LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Pro-rata Vesting of Equity Awards	Against	For

Company : Consolidated Edison, Inc.  
 Ticker : ED  
 CUSIP No. : 209115104

Meeting Date : 5/15/2017  
 Meeting Type : Annual  
 ISIN : US2091151041

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Vincent A. Calarco	For	For
1b	Elect Director George Campbell, Jr.	For	For
1c	Elect Director Michael J. Del Giudice	For	For
1d	Elect Director Ellen V. Futter	For	For
1e	Elect Director John F. Killian	For	For
1f	Elect Director John McAvoy	For	For
1g	Elect Director Armando J. Olivera	For	For
1h	Elect Director Michael W. Ranger	For	For
1i	Elect Director Linda S. Sanford	For	For
1j	Elect Director L. Frederick Sutherland	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Motorola Solutions, Inc.  
 Ticker : MSI  
 CUSIP No. : 620076307

Meeting Date : 5/15/2017  
 Meeting Type : Annual  
 ISIN : US6200763075

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Gregory Q. Brown	For	For
1b	Elect Director Kenneth D. Denman	For	For
1c	Elect Director Egon P. Durban	For	Against
1d	Elect Director Clayton M. Jones	For	For
1e	Elect Director Judy C. Lewent	For	For
1f	Elect Director Gregory K. Mondre	For	Against
1g	Elect Director Anne R. Pramaggiore	For	For
1h	Elect Director Samuel C. Scott, III	For	For
1i	Elect Director Joseph M. Tucci	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify KPMG LLP as Auditors	For	For
5	Report on Lobbying Payments and Policy	Against	For
6	Report on Efforts to Ensure Supply Chain Has No Forced Labor	Against	Against

Company : Arthur J. Gallagher & Co.  
 Ticker : AJG  
 CUSIP No. : 363576109

Meeting Date : 5/16/2017  
 Meeting Type : Annual  
 ISIN : US3635761097

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Sherry S. Barrat	For	For
1b	Elect Director William L. Bax	For	For
1c	Elect Director D. John Coldman	For	For
1d	Elect Director Frank E. English, Jr.	For	For
1e	Elect Director J. Patrick Gallagher, Jr.	For	For
1f	Elect Director Elbert O. Hand	For	For
1g	Elect Director David S. Johnson	For	For
1h	Elect Director Kay W. McCurdy	For	For
1i	Elect Director Ralph J. Nicoletti	For	For
1j	Elect Director Norman L. Rosenthal	For	For
2	Approve Omnibus Stock Plan	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
4	Compensation	For	For
5	Advisory Vote on Say on Pay Frequency	One Year	One Year

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : JPMorgan Chase & Co.  
 Ticker : JPM  
 CUSIP No. : 46625H100

Meeting Date : 5/16/2017  
 Meeting Type : Annual  
 ISIN : US46625H1005

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Linda B. Bammann	For	For
1b	Elect Director James A. Bell	For	For
1c	Elect Director Crandall C. Bowles	For	For
1d	Elect Director Stephen B. Burke	For	For
1e	Elect Director Todd A. Combs	For	For
1f	Elect Director James S. Crown	For	For
1g	Elect Director James Dimon	For	For
1h	Elect Director Timothy P. Flynn	For	For
1i	Elect Director Laban P. Jackson, Jr.	For	For
1j	Elect Director Michael A. Neal	For	For
1k	Elect Director Lee R. Raymond	For	For
1l	Elect Director William C. Weldon	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Require Independent Board Chairman	Against	For
	Prohibit Accelerated Vesting of Awards to Pursue		
6	Government Service	Against	For
7	Clawback Amendment	Against	Against
8	Report on Gender Pay Gap	Against	Against
9	Provide Vote Counting to Exclude Abstentions	Against	Against
	Reduce Ownership Threshold for Shareholders to Call a		
10	Special Meeting	Against	For

Company : Nordstrom, Inc.  
 Ticker : JWN  
 CUSIP No. : 655664100

Meeting Date : 5/16/2017  
 Meeting Type : Annual  
 ISIN : US6556641008

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Shellye L. Archambeau	For	For
1b	Elect Director Stacy Brown-Philpot	For	For
1c	Elect Director Tanya L. Domier	For	For
1d	Elect Director Blake W. Nordstrom	For	For
1e	Elect Director Erik B. Nordstrom	For	For
1f	Elect Director Peter E. Nordstrom	For	For
1g	Elect Director Philip G. Satre	For	For
1h	Elect Director Brad D. Smith	For	For
1i	Elect Director Gordon A. Smith	For	For
1j	Elect Director Bradley D. Tilden	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1k	Elect Director B. Kevin Turner	For	For
1l	Elect Director Robert D. Walter	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Omnibus Stock Plan	For	Against

Company : Principal Financial Group, Inc.

Ticker : PFG

CUSIP No. : 74251V102

Meeting Date : 5/16/2017

Meeting Type : Annual

ISIN : US74251V1026

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Betsy J. Bernard	For	For
1.2	Elect Director Jocelyn Carter-Miller	For	For
1.3	Elect Director Dennis H. Ferro	For	For
1.4	Elect Director Scott M. Mills	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young, LLP as Auditors	For	For

Company : Quest Diagnostics Incorporated

Ticker : DGX

CUSIP No. : 74834L100

Meeting Date : 5/16/2017

Meeting Type : Annual

ISIN : US74834L1008

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Jenne K. Britell	For	For
1.2	Elect Director Vicky B. Gregg	For	For
1.3	Elect Director Jeffrey M. Leiden	For	For
1.4	Elect Director Timothy L. Main	For	For
1.5	Elect Director Gary M. Pfeiffer	For	For
1.6	Elect Director Timothy M. Ring	For	For
1.7	Elect Director Stephen H. Rusckowski	For	For
1.8	Elect Director Daniel C. Stanzione	For	For
1.9	Elect Director Gail R. Wilensky	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
5	Amend Non-Employee Director Omnibus Stock Plan	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Gap Inc.  
 Ticker : GPS  
 CUSIP No. : 364760108

Meeting Date : 5/17/2017  
 Meeting Type : Annual  
 ISIN : US3647601083

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Robert J. Fisher	For	Against
1b	Elect Director William S. Fisher	For	For
1c	Elect Director Tracy Gardner	For	For
1d	Elect Director Brian Goldner	For	For
1e	Elect Director Isabella D. Goren	For	For
1f	Elect Director Bob L. Martin	For	For
1g	Elect Director Jorge P. Montoya	For	For
1h	Elect Director Arthur Peck	For	For
1i	Elect Director Mayo A. Shattuck, III	For	For
1j	Elect Director Katherine Tsang	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote on Say on Pay Frequency Advisory Vote to Ratify Named Executive Officers'	One Year	One Year
4	Compensation	For	For
5	Amend Qualified Employee Stock Purchase Plan	For	For
6	Adopt Guidelines for Country Selection	Against	Against

Company : GGP Inc.  
 Ticker : GGP  
 CUSIP No. : 36174X101

Meeting Date : 5/17/2017  
 Meeting Type : Annual  
 ISIN : US36174X1019

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Richard B. Clark	For	For
1b	Elect Director Mary Lou Fiala	For	For
1c	Elect Director J. Bruce Flatt	For	For
1d	Elect Director Janice R. Fukakusa	For	For
1e	Elect Director John K. Haley	For	For
1f	Elect Director Daniel B. Hurwitz	For	For
1g	Elect Director Brian W. Kingston	For	For
1h	Elect Director Christina M. Lofgren	For	For
1i	Elect Director Sandeep Mathrani	For	For
2	Advisory Vote on Say on Pay Frequency Advisory Vote to Ratify Named Executive Officers'	One Year	One Year
3	Compensation	For	For
4	Ratify Deloitte & Touche LLP as Auditors	For	For
5	Provide Directors May Be Removed With or Without Cause	For	For
6	Adopt Proxy Access Right	Against	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Pinnacle West Capital Corporation  
 Ticker : PNW  
 CUSIP No. : 723484101

Meeting Date : 5/17/2017  
 Meeting Type : Annual  
 ISIN : US7234841010

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Donald E. Brandt	For	For
1.2	Elect Director Denis A. Cortese	For	For
1.3	Elect Director Richard P. Fox	For	For
1.4	Elect Director Michael L. Gallagher	For	For
1.5	Elect Director Roy A. Herberger, Jr.	For	For
1.6	Elect Director Dale E. Klein	For	For
1.7	Elect Director Humberto S. Lopez	For	For
1.8	Elect Director Kathryn L. Munro	For	For
1.9	Elect Director Bruce J. Nordstrom	For	For
1.10	Elect Director Paula J. Sims	For	For
1.11	Elect Director David P. Wagener	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	Against
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Amend Omnibus Stock Plan	For	For
5	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : PPL Corporation  
 Ticker : PPL  
 CUSIP No. : 69351T106

Meeting Date : 5/17/2017  
 Meeting Type : Annual  
 ISIN : US69351T1060

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Rodney C. Adkins	For	For
1.2	Elect Director John W. Conway	For	For
1.3	Elect Director Steven G. Elliott	For	For
1.4	Elect Director Raja Rajamannar	For	For
1.5	Elect Director Craig A. Rogerson	For	For
1.6	Elect Director William H. Spence	For	For
1.7	Elect Director Natica von Althann	For	For
1.8	Elect Director Keith H. Williamson	For	For
1.9	Elect Director Armando Zagalo de Lima	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Amend Omnibus Stock Plan	For	For
5	Ratify Deloitte & Touche LLP as Auditors	For	For
	Assess Portfolio Impacts of Policies to Meet 2 Degree		
6	Scenario	Against	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : State Street Corporation  
 Ticker : STT  
 CUSIP No. : 857477103

Meeting Date : 5/17/2017  
 Meeting Type : Annual  
 ISIN : US8574771031

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Kennett F. Burnes	For	For
1b	Elect Director Patrick de Saint-Aignan	For	For
1c	Elect Director Lynn A. Dugle	For	For
1d	Elect Director Amelia C. Fawcett	For	For
1e	Elect Director William C. Freda	For	For
1f	Elect Director Linda A. Hill	For	For
1g	Elect Director Joseph L. Hooley	For	For
1h	Elect Director Sean O'Sullivan	For	For
1i	Elect Director Richard P. Sergel	For	For
1j	Elect Director Gregory L. Summe	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Approve Omnibus Stock Plan	For	For
5	Ratify Ernst & Young LLP as Auditors	For	For

Company : The Hartford Financial Services Group, Inc.  
 Ticker : HIG  
 CUSIP No. : 416515104

Meeting Date : 5/17/2017  
 Meeting Type : Annual  
 ISIN : US4165151048

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Robert B. Allardice, III	For	For
1b	Elect Director Trevor Fetter	For	For
1c	Elect Director Kathryn A. Mikells	For	For
1d	Elect Director Michael G. Morris	For	For
1e	Elect Director Thomas A. Renyi	For	For
1f	Elect Director Julie G. Richardson	For	For
1g	Elect Director Teresa Wynn Roseborough	For	For
1h	Elect Director Virginia P. Ruesterholz	For	For
1i	Elect Director Charles B. Strauss	For	For
1j	Elect Director Christopher J. Swift	For	For
1k	Elect Director H. Patrick Swygert	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Xcel Energy Inc.  
 Ticker : XEL  
 CUSIP No. : 98389B100

Meeting Date : 5/17/2017  
 Meeting Type : Annual  
 ISIN : US98389B1008

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Gail K. Boudreaux	For	For
1b	Elect Director Richard K. Davis	For	For
1c	Elect Director Ben Fowke	For	For
1d	Elect Director Richard T. O'Brien	For	For
1e	Elect Director Christopher J. Policinski	For	For
1f	Elect Director James T. Prokopanko	For	For
1g	Elect Director A. Patricia Sampson	For	For
1h	Elect Director James J. Sheppard	For	For
1i	Elect Director David A. Westerlund	For	For
1j	Elect Director Kim Williams	For	For
1k	Elect Director Timothy V. Wolf	For	For
1l	Elect Director Daniel Yohannes	For	For
2	Advisory Vote on Say on Pay Frequency Advisory Vote to Ratify Named Executive Officers'	One Year	One Year
3	Compensation	For	For
4	Ratify Deloitte & Touche LLP as Auditors	For	For
5	Require Independent Board Chairman	Against	Against

Company : AvalonBay Communities, Inc.  
 Ticker : AVB  
 CUSIP No. : 053484101

Meeting Date : 5/18/2017  
 Meeting Type : Annual  
 ISIN : US0534841012

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Glyn F. Aeppel	For	Against
1b	Elect Director Terry S. Brown	For	For
1c	Elect Director Alan B. Buckelew	For	For
1d	Elect Director Ronald L. Havner, Jr.	For	Against
1e	Elect Director Richard J. Lieb	For	For
1f	Elect Director Timothy J. Naughton	For	For
1g	Elect Director Peter S. Rummell	For	Against
1h	Elect Director H. Jay Sarles	For	Against
1i	Elect Director Susan Swanezy	For	For
1j	Elect Director W. Edward Walter	For	Against
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Amend Omnibus Stock Plan Advisory Vote to Ratify Named Executive Officers'	For	For
4	Compensation	For	For
5	Advisory Vote on Say on Pay Frequency	One Year	One Year



## Proxy Voting Results

First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Chubb Limited  
 Ticker : CB  
 CUSIP No. : H0023R105

Meeting Date : 5/18/2017  
 Meeting Type : Annual  
 ISIN : CH0044328745

Item	Proposal Description	Mgmt Rec.	Vote Cast
1	Accept Financial Statements and Statutory Reports	For	For
2.1	Allocate Disposable Profit	For	For
2.2	Approve Dividend Distribution From Legal Reserves Through Capital Contributions Reserve Subaccount	For	For
3	Approve Discharge of Board and Senior Management	For	For
4.1	Ratify PricewaterhouseCoopers AG (Zurich) as Auditors	For	For
4.2	Ratify PricewaterhouseCoopers LLP (United States) as Independent Registered Accounting Firm as Auditors	For	For
4.3	Ratify BDO AG (Zurich) as Special Auditors	For	For
5.1	Elect Director Evan G. Greenberg	For	For
5.2	Elect Director Robert M. Hernandez	For	For
5.3	Elect Director Michael G. Atieh	For	For
5.4	Elect Director Sheila P. Burke	For	For
5.5	Elect Director James I. Cash	For	For
5.6	Elect Director Mary Cirillo	For	For
5.7	Elect Director Michael P. Connors	For	For
5.8	Elect Director John A. Edwardson	For	For
5.9	Elect Director Leo F. Mullin	For	For
5.10	Elect Director Kimberly A. Ross	For	For
5.11	Elect Director Robert W. Scully	For	For
5.12	Elect Director Eugene B. Shanks, Jr.	For	For
5.13	Elect Director Theodore E. Shasta	For	For
5.14	Elect Director David H. Sidwell	For	For
5.15	Elect Director Olivier Steimer	For	For
5.16	Elect Director James M. Zimmerman	For	For
6	Elect Evan G. Greenberg as Board Chairman	For	Against
7.1	Appoint Michael P. Connors as Member of the Compensation Committee	For	For
7.2	Appoint Mary Cirillo as Member of the Compensation Committee	For	For
7.3	Appoint Robert M. Hernandez as Member of the Compensation Committee	For	For
7.4	Appoint Robert W. Scully as Member of the Compensation Committee	For	For
7.5	Appoint James M. Zimmerman as Member of the Compensation Committee	For	For
8	Designate Homburger AG as Independent Proxy	For	For
9	Approve Qualified Employee Stock Purchase Plan	For	For
10.1	Approve the Increase in Maximum Aggregate Remuneration of Directors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

10.2	Approve Remuneration of Executive Management in the Amount of USD 41 Million for Fiscal 2018	For	For
	Advisory Vote to Ratify Named Executive Officers'		
11	Compensation	For	For
12	Advisory Vote on Say on Pay Frequency	One Year	One Year
13	Transact Other Business (Voting)	For	Against

Company : Hasbro, Inc.

Ticker : HAS

CUSIP No. : 418056107

Meeting Date : 5/18/2017

Meeting Type : Annual

ISIN : US4180561072

Item	Proposal Description	Mgmt Rec.	Vote Cast
1.1	Elect Director Kenneth A. Bronfin	For	For
1.2	Elect Director Michael R. Burns	For	For
1.3	Elect Director Hope Cochran	For	For
1.4	Elect Director Crispin H. Davis	For	For
1.5	Elect Director Lisa Gersh	For	For
1.6	Elect Director Brian D. Goldner	For	For
1.7	Elect Director Alan G. Hassenfeld	For	For
1.8	Elect Director Tracy A. Leinbach	For	For
1.9	Elect Director Edward M. Philip	For	For
1.10	Elect Director Richard S. Stoddart	For	For
1.11	Elect Director Mary Beth West	For	For
1.12	Elect Director Linda K. Zecher	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Amend Omnibus Stock Plan	For	For
5	Amend Executive Incentive Bonus Plan	For	For
6	Ratify KPMG LLP as Auditors	For	For

Company : Intel Corporation

Ticker : INTC

CUSIP No. : 458140100

Meeting Date : 5/18/2017

Meeting Type : Annual

ISIN : US4581401001

Item	Proposal Description	Mgmt Rec.	Vote Cast
1a	Elect Director Charlene Barshefsky	For	For
1b	Elect Director Aneel Bhusri	For	For
1c	Elect Director Andy D. Bryant	For	For
1d	Elect Director Reed E. Hundt	For	For
1e	Elect Director Omar Ishrak	For	For
1f	Elect Director Brian M. Krzanich	For	For
1g	Elect Director Tsu-Jae King Liu	For	For
1h	Elect Director David S. Pottruck	For	For
1i	Elect Director Gregory D. Smith	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1j	Elect Director Frank D. Yeary	For	For
1k	Elect Director David B. Yoffie	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Amend Omnibus Stock Plan	For	For
5	Advisory Vote on Say on Pay Frequency	One Year	One Year
6	Political Contributions Disclosure	Against	Against
7	Provide Vote Counting to Exclude Abstentions	Against	Against

Company : KeyCorp  
 Ticker : KEY  
 CUSIP No. : 493267108

Meeting Date : 5/18/2017  
 Meeting Type : Annual  
 ISIN : US4932671088

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Austin A. Adams	For	For
1.2	Elect Director Bruce D. Broussard	For	For
1.3	Elect Director Charles P. Cooley	For	For
1.4	Elect Director Gary M. Crosby	For	For
1.5	Elect Director Alexander M. Cutler	For	For
1.6	Elect Director H. James Dallas	For	For
1.7	Elect Director Elizabeth R. Gile	For	For
1.8	Elect Director Ruth Ann M. Gillis	For	For
1.9	Elect Director William G. Gisel, Jr.	For	For
1.10	Elect Director Carlton L. Highsmith	For	For
1.11	Elect Director Richard J. Hipple	For	For
1.12	Elect Director Kristen L. Manos	For	For
1.13	Elect Director Beth E. Mooney	For	For
1.14	Elect Director Demos Parneros	For	For
1.15	Elect Director Barbara R. Snyder	For	For
1.16	Elect Director David K. Wilson	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Require Independent Board Chairman	Against	Against

Company : L Brands, Inc.  
 Ticker : LB  
 CUSIP No. : 501797104

Meeting Date : 5/18/2017  
 Meeting Type : Annual  
 ISIN : US5017971046

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Donna A. James	For	For
1.2	Elect Director Jeffrey H. Miro	For	For
1.3	Elect Director Michael G. Morris	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1.4	Elect Director Raymond Zimmerman	For	For
2	Ratify Ernst & Young LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Reduce Supermajority Vote Requirement	Against	For

Company : Marsh & McLennan Companies, Inc.

Ticker : MMC

CUSIP No. : 571748102

Meeting Date : 5/18/2017

Meeting Type : Annual

ISIN : US5717481023

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Anthony K. Anderson	For	For
1b	Elect Director Oscar Fanjul	For	For
1c	Elect Director Daniel S. Glaser	For	For
1d	Elect Director H. Edward Hanway	For	For
1e	Elect Director Deborah C. Hopkins	For	For
1f	Elect Director Elaine La Roche	For	For
1g	Elect Director Steven A. Mills	For	For
1h	Elect Director Bruce P. Nolop	For	For
1i	Elect Director Marc D. Oken	For	For
1j	Elect Director Morton O. Schapiro	For	For
1k	Elect Director Lloyd M. Yates	For	For
1l	Elect Director R. David Yost	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For
5	Adopt Holy Land Principles	Against	Against

Company : NextEra Energy, Inc.

Ticker : NEE

CUSIP No. : 65339F101

Meeting Date : 5/18/2017

Meeting Type : Annual

ISIN : US65339F1012

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Sherry S. Barrat	For	For
1b	Elect Director James L. Camaren	For	For
1c	Elect Director Kenneth B. Dunn	For	For
1d	Elect Director Naren K. Gursahaney	For	For
1e	Elect Director Kirk S. Hachigian	For	For
1f	Elect Director Toni Jennings	For	For
1g	Elect Director Amy B. Lane	For	For
1h	Elect Director James L. Robo	For	For
1i	Elect Director Rudy E. Schupp	For	For
1j	Elect Director John L. Skolds	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1k	Elect Director William H. Swanson	For	For
1l	Elect Director Hansel E. Tookes, II	For	For
2	Ratify Deloitte & Touche LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Approve Non-Employee Director Restricted Stock Plan	For	For
6	Report on Political Contributions	Against	For

Company : The Home Depot, Inc.

Ticker : HD

CUSIP No. : 437076102

Meeting Date : 5/18/2017

Meeting Type : Annual

ISIN : US4370761029

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Gerard J. Arpey	For	For
1b	Elect Director Ari Bousbib	For	For
1c	Elect Director Jeffery H. Boyd	For	For
1d	Elect Director Gregory D. Brenneman	For	For
1e	Elect Director J. Frank Brown	For	For
1f	Elect Director Albert P. Carey	For	For
1g	Elect Director Armando Codina	For	For
1h	Elect Director Helena B. Foulkes	For	For
1i	Elect Director Linda R. Gooden	For	For
1j	Elect Director Wayne M. Hewett	For	For
1k	Elect Director Karen L. Katen	For	For
1l	Elect Director Craig A. Menear	For	For
1m	Elect Director Mark Vadon	For	For
2	Ratify KPMG LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Prepare Employment Diversity Report and Report on Diversity Policies	Against	For
6	Adopt Proxy Statement Reporting on Political Contributions and Advisory Vote	Against	Against
7	Amend Articles/Bylaws/Charter - Call Special Meetings	Against	For

Company : The Mosaic Company

Ticker : MOS

CUSIP No. : 61945C103

Meeting Date : 5/18/2017

Meeting Type : Annual

ISIN : US61945C1036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Nancy E. Cooper	For	For
1b	Elect Director Gregory L. Ebel	For	For
1c	Elect Director Timothy S. Gitzel	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1d	Elect Director Denise C. Johnson	For	For
1e	Elect Director Emery N. Koenig	For	For
1f	Elect Director Robert L. Lumpkins	For	For
1g	Elect Director William T. Monahan	For	For
1h	Elect Director James ('Joc') C. O'Rourke	For	For
1i	Elect Director James L. Popowich	For	For
1j	Elect Director David T. Seaton	For	For
1k	Elect Director Steven M. Seibert	For	For
1l	Elect Director Kelvin W. Westbrook	For	For
2	Ratify KPMG LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : The Travelers Companies, Inc.

Ticker : TRV

CUSIP No. : 89417E109

Meeting Date : 5/18/2017

Meeting Type : Annual

ISIN : US89417E1091

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Alan L. Beller	For	For
1b	Elect Director John H. Dasburg	For	For
1c	Elect Director Janet M. Dolan	For	For
1d	Elect Director Kenneth M. Duberstein	For	For
1e	Elect Director Patricia L. Higgins	For	For
1f	Elect Director William J. Kane	For	For
1g	Elect Director Cleve L. Killingsworth, Jr.	For	For
1h	Elect Director Philip T. (Pete) Ruegger, III	For	For
1i	Elect Director Todd C. Schermerhorn	For	For
1j	Elect Director Alan D. Schnitzer	For	For
1k	Elect Director Donald J. Shepard	For	For
1l	Elect Director Laurie J. Thomsen	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
	Advisory Vote to Ratify Named Executive Officers'		
4	Compensation	For	For
5	Amend Omnibus Stock Plan	For	For
6	Report on Lobbying Payments and Policy	Against	For
7	Report on Gender Pay Gap	Against	Against
	Prepare Employment Diversity Report and Report on		
8	Diversity Policies	Against	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : The Williams Companies, Inc.  
 Ticker : WMB  
 CUSIP No. : 969457100

Meeting Date : 5/18/2017  
 Meeting Type : Annual  
 ISIN : US9694571004

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Alan S. Armstrong	For	For
1.2	Elect Director Stephen W. Bergstrom	For	For
1.3	Elect Director Stephen I. Chazen	For	For
1.4	Elect Director Charles I. Cogut	For	For
1.5	Elect Director Kathleen B. Cooper	For	For
1.6	Elect Director Michael A. Creel	For	For
1.7	Elect Director Peter A. Ragauss	For	For
1.8	Elect Director Scott D. Sheffield	For	For
1.9	Elect Director Murray D. Smith	For	For
1.10	Elect Director William H. Spence	For	For
1.11	Elect Director Janice D. Stoney	For	For
2	Ratify Ernst & Young LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Amgen Inc.  
 Ticker : AMGN  
 CUSIP No. : 031162100

Meeting Date : 5/19/2017  
 Meeting Type : Annual  
 ISIN : US0311621009

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.	Elect Director David Baltimore	For	For
1.2	Elect Director Robert A. Bradway	For	For
1.3	Elect Director Francois de Carbonnel	For	For
1.4	Elect Director Robert A. Eckert	For	For
1.5	Elect Director Greg C. Garland	For	For
1.6	Elect Director Fred Hassan	For	For
1.7	Elect Director Rebecca M. Henderson	For	For
1.8	Elect Director Frank C. Herringer	For	For
1.9	Elect Director Charles M. Holley, Jr.	For	For
1.10	Elect Director Tyler Jacks	For	For
1.11	Elect Director Ellen J. Kullman	For	For
1.12	Elect Director Ronald D. Sugar	For	For
1.13	Elect Director R. Sanders Williams	For	For
2	Ratify Ernst & Young LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Provide Vote Counting to Exclude Abstentions	Against	Against

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Macy's, Inc.  
 Ticker : M  
 CUSIP No. : 55616P104

Meeting Date : 5/19/2017  
 Meeting Type : Annual  
 ISIN : US55616P1049

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Francis S. Blake	For	For
1b	Elect Director John A. Bryant	For	For
1c	Elect Director Deirdre P. Connelly	For	For
1d	Elect Director Jeff Gennette	For	For
1e	Elect Director Leslie D. Hale	For	For
1f	Elect Director William H. Lenehan	For	For
1g	Elect Director Sara Levinson	For	For
1h	Elect Director Terry J. Lundgren	For	For
1i	Elect Director Joyce M. Roche	For	For
1j	Elect Director Paul C. Varga	For	For
1k	Elect Director Marna C. Whittington	For	For
1l	Elect Director Annie Young-Scriver	For	For
2	Ratify KPMG LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Executive Incentive Bonus Plan	For	For

Company : Mattel, Inc.  
 Ticker : MAT  
 CUSIP No. : 577081102

Meeting Date : 5/19/2017  
 Meeting Type : Annual  
 ISIN : US5770811025

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Michael J. Dolan	For	For
1b	Elect Director Trevor A. Edwards	For	For
1c	Elect Director Frances D. Fergusson	For	For
1d	Elect Director Margaret H. Georgiadis	For	For
1e	Elect Director Ann Lewnes	For	For
1f	Elect Director Dominic Ng	For	For
1g	Elect Director Vasant M. Prabhu	For	For
1h	Elect Director Dean A. Scarborough	For	For
1i	Elect Director Christopher A. Sinclair	For	For
1j	Elect Director Dirk Van de Put	For	For
1k	Elect Director Kathy White Loyd	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Approve Executive Incentive Bonus Plan	For	For



## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : XL Group Ltd  
 Ticker : XL  
 CUSIP No. : G98255105

Meeting Date : 5/19/2017  
 Meeting Type : Annual  
 ISIN : BMG982941046

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Ramani Ayer	For	For
1.2	Elect Director Dale R. Comey	For	For
1.3	Elect Director Claus-Michael Dill	For	For
1.4	Elect Director Robert R. Glauber	For	For
1.5	Elect Director Edward J. Kelly, III	For	For
1.6	Elect Director Joseph Mauriello	For	For
1.7	Elect Director Michael S. McGavick	For	For
1.8	Elect Director Eugene M. McQuade	For	For
1.9	Elect Director Clayton S. Rose	For	For
1.10	Elect Director Anne Stevens	For	For
1.11	Elect Director John M. Vereker	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	Against
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
	Approve PricewaterhouseCoopers as Auditors and Authorize		
4	Board to Fix Their Remuneration	For	For

Company : Yum! Brands, Inc.  
 Ticker : YUM  
 CUSIP No. : 988498101

Meeting Date : 5/19/2017  
 Meeting Type : Annual  
 ISIN : US9884981013

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Paget L. Alves	For	For
1b	Elect Director Michael J. Cavanagh	For	For
1c	Elect Director Christopher M. Connor	For	For
1d	Elect Director Brian C. Cornell	For	For
1e	Elect Director Greg Creed	For	For
1f	Elect Director Mirian M. Graddick-Weir	For	For
1g	Elect Director Thomas C. Nelson	For	For
1h	Elect Director P. Justin Skala	For	For
1i	Elect Director Elane B. Stock	For	For
1j	Elect Director Robert D. Walter	For	For
2	Ratify KPMG LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
	Adopt Policy and Plan to Eliminate Deforestation in Supply		
5	Chain	Against	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Morgan Stanley  
 Ticker : MS  
 CUSIP No. : 617446448

Meeting Date : 5/22/2017  
 Meeting Type : Annual  
 ISIN : US6174464486

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Erskine B. Bowles	For	For
1b	Elect Director Alistair Darling	For	For
1c	Elect Director Thomas H. Glocer	For	For
1d	Elect Director James P. Gorman	For	For
1e	Elect Director Robert H. Herz	For	For
1f	Elect Director Nobuyuki Hirano	For	For
1g	Elect Director Klaus Kleinfeld	For	For
1h	Elect Director Jami Miscik	For	For
1i	Elect Director Dennis M. Nally	For	For
1j	Elect Director Hutham S. Olayan	For	For
1k	Elect Director James W. Owens	For	For
1l	Elect Director Ryosuke Tamakoshi	For	For
1m	Elect Director Perry M. Traquina	For	For
1n	Elect Director Rayford Wilkins, Jr.	For	For
2	Ratify Deloitte & Touche LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Omnibus Stock Plan	For	Against
6	Amend Non-Employee Director Omnibus Stock Plan	For	For
7	Provide Vote Counting to Exclude Abstentions Prohibit Accelerated Vesting of Awards to Pursue	Against	Against
8	Government Service	Against	For

Company : Royal Caribbean Cruises Ltd.  
 Ticker : RCL  
 CUSIP No. : V7780T103

Meeting Date : 5/22/2017  
 Meeting Type : Annual  
 ISIN : LR0008862868

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John F. Brock	For	For
1b	Elect Director Richard D. Fain	For	For
1c	Elect Director William L. Kimsey	For	For
1d	Elect Director Maritza G. Montiel	For	For
1e	Elect Director Ann S. Moore	For	For
1f	Elect Director Eyal M. Ofer	For	For
1g	Elect Director Thomas J. Pritzker	For	For
1h	Elect Director William K. Reilly	For	For
1i	Elect Director Bernt Reitan	For	For
1j	Elect Director Vagn O. Sorensen	For	For
1k	Elect Director Donald Thompson	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1	Elect Director Arne Alexander Wilhelmsen Advisory Vote to Ratify Named Executive Officers'	For	For
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Pricewaterhouse Coopers LLP as Auditors	For	For

Company : Alliant Energy Corporation

Ticker : LNT

CUSIP No. : 018802108

Meeting Date : 5/23/2017

Meeting Type : Annual

ISIN : US0188021085

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Patrick E. Allen	For	For
1.2	Elect Director Patricia L. Kampling	For	For
1.3	Elect Director Singleton B. McAllister	For	For
1.4	Elect Director Susan D. Whiting	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For
5	Report on Lobbying Payments and Political Contributions	Against	For

Company : Merck & Co., Inc.

Ticker : MRK

CUSIP No. : 58933Y105

Meeting Date : 5/23/2017

Meeting Type : Annual

ISIN : US58933Y1055

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Leslie A. Brun	For	For
1b	Elect Director Thomas R. Cech	For	For
1c	Elect Director Pamela J. Craig	For	For
1d	Elect Director Kenneth C. Frazier	For	For
1e	Elect Director Thomas H. Glocer	For	For
1f	Elect Director Rochelle B. Lazarus	For	For
1g	Elect Director John H. Noseworthy	For	For
1h	Elect Director Carlos E. Represas	For	For
1i	Elect Director Paul B. Rothman	For	For
1j	Elect Director Patricia F. Russo	For	For
1k	Elect Director Craig B. Thompson	For	For
1l	Elect Director Wendell P. Weeks	For	For
1m	Elect Director Peter C. Wendell	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
5	Require Independent Board Chairman	Against	For
6	Adopt Holy Land Principles	Against	Against

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

7	Report on Risks of Doing Business in Conflict-Affected Areas	Against	For
8	Report on Board Oversight of Product Safety and Quality	Against	Against

Company : Xerox Corporation

Ticker : XRX

CUSIP No. : 984121103

Meeting Date : 5/23/2017

Meeting Type : Annual

ISIN : US9841211033

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Gregory Q. Brown	For	For
1.2	Elect Director Jonathan Christodoro	For	For
1.3	Elect Director Joseph J. Echevarria	For	For
1.4	Elect Director William Curt Hunter	For	For
1.5	Elect Director Jeffrey Jacobson	For	For
1.6	Elect Director Robert J. Keegan	For	For
1.7	Elect Director Cheryl Gordon Krongard	For	For
1.8	Elect Director Charles Prince	For	For
1.9	Elect Director Ann N. Reese	For	For
1.10	Elect Director Stephen H. Rusckowski	For	For
1.11	Elect Director Sara Martinez Tucker	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Approve Reverse Stock Split	For	For
6	Adopt Holy Land Principles	Against	Against

Company : CenturyLink, Inc.

Ticker : CTL

CUSIP No. : 156700106

Meeting Date : 5/24/2017

Meeting Type : Annual

ISIN : US1567001060

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Martha H. Bejar	For	For
1.2	Elect Director Virginia Boulet	For	For
1.3	Elect Director Peter C. Brown	For	For
1.4	Elect Director W. Bruce Hanks	For	For
1.5	Elect Director Mary L. Landrieu	For	For
1.6	Elect Director Harvey P. Perry	For	For
1.7	Elect Director Glen F. Post, III	For	For
1.8	Elect Director Michael J. Roberts	For	For
1.9	Elect Director Laurie A. Siegel	For	For
2	Ratify KPMG LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3a	Compensation	For	For
3b	Advisory Vote on Say on Pay Frequency	One Year	One Year

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

4a	Adopt Share Retention Policy For Senior Executives	Against	For
4b	Report on Lobbying Payments and Policy	Against	For
4c	Report on Lobbying Payments and Policy	Against	For

Company : CME Group Inc.

Ticker : CME

CUSIP No. : 12572Q105

Meeting Date : 5/24/2017

Meeting Type : Annual

ISIN : US12572Q1058

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Terrence A. Duffy	For	For
1b	Elect Director Timothy S. Bitsberger	For	For
1c	Elect Director Charles P. Carey	For	For
1d	Elect Director Dennis H. Chookaszian	For	For
1e	Elect Director Ana Dutra	For	For
1f	Elect Director Martin J. Gepsman	For	For
1g	Elect Director Larry G. Gerdes	For	For
1h	Elect Director Daniel R. Glickman	For	For
1i	Elect Director Leo Melamed	For	For
1j	Elect Director Alex J. Pollock	For	For
1k	Elect Director John F. Sandner	For	For
1l	Elect Director Terry L. Savage	For	For
1m	Elect Director William R. Shepard	For	For
1n	Elect Director Dennis A. Suskind	For	For
2	Ratify Ernst & Young LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Executive Incentive Bonus Plan	For	For
6	Amend Omnibus Stock Plan	For	For

Company : E. I. du Pont de Nemours and Company

Ticker : DD

CUSIP No. : 263534109

Meeting Date : 5/24/2017

Meeting Type : Annual

ISIN : US2635341090

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Lamberto Andreotti	For	For
1b	Elect Director Edward D. Breen	For	For
1c	Elect Director Robert A. Brown	For	For
1d	Elect Director Alexander M. Cutler	For	Against
1e	Elect Director Eleuthere I. du Pont	For	Against
1f	Elect Director James L. Gallogly	For	For
1g	Elect Director Marillyn A. Hewson	For	Against
1h	Elect Director Lois D. Juliber	For	Against
1i	Elect Director Lee M. Thomas	For	Against
1j	Elect Director Patrick J. Ward	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	Against
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Report on Pay Disparity	Against	Against
6	Report on Accident Risk Reduction Efforts	Against	For

Company : LyondellBasell Industries N.V.

Ticker : LYB

CUSIP No. : 552081AM3

Meeting Date : 5/24/2017

Meeting Type : Annual

ISIN : NL0009434992

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Robert G. Gwin	For	For
1b	Elect Director Jacques Aigrain	For	For
1c	Elect Director Lincoln Benet	For	For
1d	Elect Director Jagjeet S. Bindra	For	For
1e	Elect Director Robin Buchanan	For	For
1f	Elect Director Stephen F. Cooper	For	For
1g	Elect Director Nance K. Dicciani	For	For
1h	Elect Director Claire S. Farley	For	For
1i	Elect Director Isabella D. Goren	For	For
1j	Elect Director Bruce A. Smith	For	For
1k	Elect Director Rudy van der Meer	For	For
2	Adoption of Dutch Statutory Annual Accounts	For	For
3	Approve Discharge of Management Board	For	For
4	Approve Discharge of Supervisory Board	For	For
5	Ratify PricewaterhouseCoopers Accountants N.V. as Auditors	For	For
6	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
7	Approve Dividends of EUR 0.85 Per Share Advisory Vote to Ratify Named Executive Officers'	For	For
8	Compensation	For	For
9	Advisory Vote on Say on Pay Frequency Authorize Repurchase of Up to 10 Percent of Issued Share	One Year	One Year
10	Capital	For	For
11	Amend Omnibus Stock Plan	For	For

Company : ONEOK, Inc.

Ticker : OKE

CUSIP No. : 682680103

Meeting Date : 5/24/2017

Meeting Type : Annual

ISIN : US6826801036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Brian L. Derksen	For	For
1.2	Elect Director Julie H. Edwards	For	For
1.3	Elect Director John W. Gibson	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1.4	Elect Director Randall J. Larson	For	For
1.5	Elect Director Steven J. Malcolm	For	For
1.6	Elect Director Kevin S. McCarthy - WITHDRAWN RESOLUTION	0	0
1.7	Elect Director Jim W. Mogg	For	For
1.8	Elect Director Pattye L. Moore	For	For
1.9	Elect Director Gary D. Parker	For	For
1.10	Elect Director Eduardo A. Rodriguez	For	For
1.11	Elect Director Terry K. Spencer	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

**Company** : Robert Half International Inc.

**Ticker** : RHI

**CUSIP No.** : 770323103

**Meeting Date** : 5/24/2017

**Meeting Type** : Annual

**ISIN** : US7703231032

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Harold M. Messmer, Jr.	For	For
1.2	Elect Director Marc H. Morial	For	For
1.3	Elect Director Barbara J. Novogradac	For	For
1.4	Elect Director Robert J. Pace	For	For
1.5	Elect Director Frederick A. Richman	For	For
1.6	Elect Director M. Keith Waddell	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

**Company** : The Southern Company

**Ticker** : SO

**CUSIP No.** : 842587107

**Meeting Date** : 5/24/2017

**Meeting Type** : Annual

**ISIN** : US8425871071

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Juanita Powell Baranco	For	For
1b	Elect Director Jon A. Boscia	For	For
1c	Elect Director Henry A. 'Hal' Clark, III	For	For
1d	Elect Director Thomas A. Fanning	For	For
1e	Elect Director David J. Grain	For	For
1f	Elect Director Veronica M. Hagen	For	For
1g	Elect Director Warren A. Hood, Jr.	For	For
1h	Elect Director Linda P. Hudson	For	For
1i	Elect Director Donald M. James	For	For
1j	Elect Director John D. Johns	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1k	Elect Director Dale E. Klein	For	For
1l	Elect Director William G. Smith, Jr.	For	For
1m	Elect Director Steven R. Specker	For	For
1n	Elect Director Larry D. Thompson	For	For
1o	Elect Director E. Jenner Wood, III	For	For
2	Reduce Supermajority Vote Requirement Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	Against
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Ratify Deloitte & Touche LLP as Auditors	For	For
6	Report on Strategy for Aligning with 2 Degree Scenario	Against	For

Company : Navient Corporation

Ticker : NAVI

CUSIP No. : 63938C108

Meeting Date : 5/25/2017

Meeting Type : Annual

ISIN : US63938C1080

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John K. Adams, Jr.	For	For
1b	Elect Director Anna Escobedo Cabral	For	For
1c	Elect Director William M. Diefenderfer, III	For	For
1d	Elect Director Diane Suitt Gilleland	For	For
1e	Elect Director Katherine A. Lehman	For	For
1f	Elect Director Linda A. Mills	For	For
1g	Elect Director John (Jack) F. Remondi	For	For
1h	Elect Director Jane J. Thompson	For	For
1i	Elect Director Laura S. Unger	For	For
1j	Elect Director Barry L. Williams	For	For
1k	Elect Director David L. Yowan	For	For
2	Ratify KPMG LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Amend Omnibus Stock Plan	For	For

Company : Omnicom Group Inc.

Ticker : OMC

CUSIP No. : 681919106

Meeting Date : 5/25/2017

Meeting Type : Annual

ISIN : US6819191064

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John D. Wren	For	For
1.2	Elect Director Bruce Crawford	For	For
1.3	Elect Director Alan R. Batkin	For	For
1.4	Elect Director Mary C. Choksi	For	For
1.5	Elect Director Robert Charles Clark	For	For
1.6	Elect Director Leonard S. Coleman, Jr.	For	For
1.7	Elect Director Susan S. Denison	For	For



## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1.8	Elect Director Deborah J. Kissire	For	For
1.9	Elect Director John R. Murphy	For	For
1.10	Elect Director John R. Purcell	For	For
1.11	Elect Director Linda Johnson Rice	For	For
1.12	Elect Director Valerie M. Williams	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify KPMG LLP as Auditors	For	For

Company : Raytheon Company

Ticker : RTN

CUSIP No. : 755111507

Meeting Date : 5/25/2017

Meeting Type : Annual

ISIN : US7551115071

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Tracy A. Atkinson	For	For
1b	Elect Director Robert E. Beauchamp	For	For
1c	Elect Director Vernon E. Clark	For	For
1d	Elect Director Stephen J. Hadley	For	For
1e	Elect Director Thomas A. Kennedy	For	For
1f	Elect Director Letitia A. Long	For	For
1g	Elect Director George R. Oliver	For	For
1h	Elect Director Dinesh C. Paliwal	For	For
1i	Elect Director William R. Spivey	For	For
1j	Elect Director James A. Winnefeld, Jr.	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Approve Executive Incentive Bonus Plan	For	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : The Interpublic Group of Companies, Inc.

Ticker : IPG

CUSIP No. : 460690100

Meeting Date : 5/25/2017

Meeting Type : Annual

ISIN : US4606901001

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Jocelyn Carter-Miller	For	For
1.2	Elect Director H. John Greeniaus	For	For
1.3	Elect Director Mary J. Steele Guilfoile	For	For
1.4	Elect Director Dawn Hudson	For	For
1.5	Elect Director William T. Kerr	For	For
1.6	Elect Director Henry S. Miller	For	For
1.7	Elect Director Jonathan F. Miller	For	For
1.8	Elect Director Michael I. Roth	For	For
1.9	Elect Director David M. Thomas	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Tiffany & Co.  
Ticker : TIF  
CUSIP No. : 886547108

Meeting Date : 5/25/2017  
Meeting Type : Annual  
ISIN : US8865471085

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Michael J. Kowalski	For	For
1b	Elect Director Rose Marie Bravo	For	For
1c	Elect Director Gary E. Costley	For	For
1d	Elect Director Roger N. Farah	For	For
1e	Elect Director Lawrence K. Fish	For	For
1f	Elect Director Abby F. Kohnstamm	For	For
1g	Elect Director James E. Lillie	For	For
1h	Elect Director Charles K. Marquis	For	For
1i	Elect Director William A. Shutzer	For	For
1j	Elect Director Robert S. Singer	For	For
1k	Elect Director Francesco Trapani	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Approve Non-Employee Director Omnibus Stock Plan	For	For

Company : PG&E Corporation  
Ticker : PCG  
CUSIP No. : 69331C108

Meeting Date : 5/30/2017  
Meeting Type : Annual  
ISIN : US69331C1080

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Lewis Chew	For	For
1.2	Elect Director Anthony F. Earley, Jr.	For	For
1.3	Elect Director Fred J. Fowler	For	For
1.4	Elect Director Jeh C. Johnson	For	For
1.5	Elect Director Richard C. Kelly	For	For
1.6	Elect Director Roger H. Kimmel	For	For
1.7	Elect Director Richard A. Meserve	For	For
1.8	Elect Director Forrest E. Miller	For	For
1.9	Elect Director Eric D. Mullins	For	For
1.10	Elect Director Rosendo G. Parra	For	For
1.11	Elect Director Barbara L. Rambo	For	For
1.12	Elect Director Anne Shen Smith	For	For
1.13	Elect Director Geisha J. Williams	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

2	Ratify Deloitte & Touche LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Cease Charitable Contributions	Against	Against

**Company** : Chevron Corporation  
**Ticker** : CVX  
**CUSIP No.** : 166764100

**Meeting Date** : 5/31/2017  
**Meeting Type** : Annual  
**ISIN** : US1667641005

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Wanda M. Austin	For	For
1b	Elect Director Linnet F. Deily	For	For
1c	Elect Director Robert E. Denham	For	For
1d	Elect Director Alice P. Gast	For	For
1e	Elect Director Enrique Hernandez, Jr.	For	For
1f	Elect Director Jon M. Huntsman, Jr.	For	For
1g	Elect Director Charles W. Moorman, IV	For	For
1h	Elect Director Dambisa F. Moyo	For	For
1i	Elect Director Ronald D. Sugar	For	For
1j	Elect Director Inge G. Thulin	For	For
1k	Elect Director John S. Watson	For	For
1l	Elect Director Michael K. Wirth	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Report on Lobbying Payments and Policy	Against	For
6	Report on Risks of Doing Business in Conflict-Affected Areas Annually Assess Portfolio Impacts of Policies to Meet 2- degree Scenario *Withdrawn Resolution*	Against	Against
7		0	0
8	Assess and Report on Transition to a Low Carbon Economy	Against	For
9	Require Independent Board Chairman	Against	For
10	Require Director Nominee with Environmental Experience	Against	For
11	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	For

**Company** : Exxon Mobil Corporation  
**Ticker** : XOM  
**CUSIP No.** : 30231G102

**Meeting Date** : 5/31/2017  
**Meeting Type** : Annual  
**ISIN** : US30231G1022

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Susan K. Avery	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1.2	Elect Director Michael J. Boskin	For	For
1.3	Elect Director Angela F. Braly	For	For
1.4	Elect Director Ursula M. Burns	For	For
1.5	Elect Director Henrietta H. Fore	For	For
1.6	Elect Director Kenneth C. Frazier	For	For
1.7	Elect Director Douglas R. Oberhelman	For	For
1.8	Elect Director Samuel J. Palmisano	For	For
1.9	Elect Director Steven S. Reinemund	For	For
1.10	Elect Director William C. Weldon	For	For
1.11	Elect Director Darren W. Woods	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	Against
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Require Independent Board Chairman	Against	For
6	Require a Majority Vote for the Election of Directors	Against	For
7	Amend Bylaws -- Call Special Meetings	Against	For
8	Amend Bylaws to Prohibit Precatory Proposals Disclose Percentage of Females at Each Percentile of	Against	Against
9	Compensation	Against	Against
10	Report on Lobbying Payments and Policy Increase Return of Capital to Shareholders in Light of Climate	Against	For
11	Change Risks	Against	Against
12	Report on Climate Change Policies	Against	For
13	Report on Methane Emissions	Against	For

**Company** : SL Green Realty Corp.

**Ticker** : SLG

**CUSIP No.** : 78440X101

**Meeting Date** : 6/1/2017

**Meeting Type** : Annual

**ISIN** : US78440X1019

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Betsy Atkins	For	Against
1b	Elect Director Marc Holliday	For	For
1c	Elect Director John S. Levy	For	Against
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	Against
3	Declassify the Board of Directors	For	For
4	Ratify Ernst & Young LLP as Auditors	For	For
5	Advisory Vote on Say on Pay Frequency	One Year	One Year
6	Report on Pay Disparity	Against	Against

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : The Macerich Company  
 Ticker : MAC  
 CUSIP No. : 554382101

Meeting Date : 6/1/2017  
 Meeting Type : Annual  
 ISIN : US5543821012

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John H. Alschuler	For	Against
1b	Elect Director Arthur M. Coppola	For	For
1c	Elect Director Edward C. Coppola	For	For
1d	Elect Director Steven R. Hash	For	For
1e	Elect Director Fred S. Hubbell	For	Against
1f	Elect Director Diana M. Laing	For	For
1g	Elect Director Mason G. Ross	For	Against
1h	Elect Director Steven L. Soboroff	For	Against
1i	Elect Director Andrea M. Stephen	For	For
1j	Elect Director John M. Sullivan	For	For
2	Ratify KPMG LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Wal-Mart Stores, Inc.  
 Ticker : WMT  
 CUSIP No. : 931142103

Meeting Date : 6/2/2017  
 Meeting Type : Annual  
 ISIN : US9311421039

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director James I. Cash, Jr.	For	For
1b	Elect Director Timothy P. Flynn	For	For
1c	Elect Director Carla A. Harris	For	For
1d	Elect Director Thomas W. Horton	For	For
1e	Elect Director Marissa A. Mayer	For	For
1f	Elect Director C. Douglas McMillon	For	For
1g	Elect Director Gregory B. Penner	For	For
1h	Elect Director Steven S Reinemund	For	For
1i	Elect Director Kevin Y. Systrom	For	For
1j	Elect Director S. Robson Walton	For	For
1k	Elect Director Steuart L. Walton	For	For
2	Advisory Vote on Say on Pay Frequency	One Year	One Year
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	Against
4	Ratify Ernst & Young LLP as Auditors	For	For
5	Require Independent Board Chairman	Against	For
6	Provide Proxy Access Right	Against	For
	Require Independent Director Nominee with Environmental		
7	Experience	Against	Against

## Proxy Voting Results

First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : CSX Corporation  
 Ticker : CSX  
 CUSIP No. : 126408103

Meeting Date : 6/5/2017  
 Meeting Type : Proxy Contest  
 ISIN : US1264081035

Item	Proposal Description	Mgmt Rec.	Vote Cast
1a	Elect Director Donna M. Alvarado	For	For
1b	Elect Director John B. Breaux	For	For
1c	Elect Director Pamela L. Carter	For	For
1d	Elect Director Steven T. Halverson	For	For
1e	Elect Director E. Hunter Harrison	For	For
1f	Elect Director Paul C. Hilal	For	For
1g	Elect Director Edward J. Kelly, III	For	For
1h	Elect Director John D. McPherson	For	For
1i	Elect Director David M. Moffett	For	For
1j	Elect Director Dennis H. Reilley	For	For
1k	Elect Director Linda H. Riefler	For	For
1l	Elect Director J. Steven Whisler	For	For
1m	Elect Director John J. Zillmer	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
	Advisory Vote on Reimbursement Arrangement in Connection		
5	with Retention of E. Hunter Harrison as CEO	None	For
1a	Elect Director Donna M. Alvarado	For	Do Not Vote
1b	Elect Director John B. Breaux	For	Do Not Vote
1c	Elect Director Pamela L. Carter	For	Do Not Vote
1d	Elect Director Steven T. Halverson	For	Do Not Vote
1e	Elect Director E. Hunter Harrison	For	Do Not Vote
1f	Elect Director Paul C. Hilal	For	Do Not Vote
1g	Elect Director Edward J. Kelly, III	For	Do Not Vote
1h	Elect Director John D. McPherson	For	Do Not Vote
1i	Elect Director David M. Moffett	For	Do Not Vote
1j	Elect Director Dennis H. Reilley	For	Do Not Vote
1k	Elect Director Linda H. Riefler	For	Do Not Vote
1l	Elect Director J. Steven Whisler	For	Do Not Vote
1m	Elect Director John J. Zillmer	For	Do Not Vote
2	Ratify Ernst & Young LLP as Auditors	For	Do Not Vote
	Advisory Vote to Ratify Named Executive Officers'		
3	Compensation	For	Do Not Vote
4	Advisory Vote on Say on Pay Frequency	One Year	Do Not Vote
	Advisory Vote on Reimbursement Arrangement in Connection		
5	with Retention of E. Hunter Harrison as CEO	For	Do Not Vote

## Proxy Voting Results

First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : General Motors Company  
 Ticker : GM  
 CUSIP No. : 37045V100

Meeting Date : 6/6/2017  
 Meeting Type : Proxy Contest  
 ISIN : US37045V1008

Item	Proposal Description	Mgmt Rec.	Vote Cast
1.1	Elect Director Joseph J. Ashton	For	For
1.2	Elect Director Mary T. Barra	For	For
1.3	Elect Director Linda R. Gooden	For	For
1.4	Elect Director Joseph Jimenez	For	For
1.5	Elect Director Jane L. Mendillo	For	For
1.6	Elect Director Michael G. Mullen	For	For
1.7	Elect Director James J. Mulva	For	For
1.8	Elect Director Patricia F. Russo	For	For
1.9	Elect Director Thomas M. Schoewe	For	For
1.10	Elect Director Theodore M. Solso	For	For
1.11	Elect Director Carol M. Stephenson	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Approve Executive Incentive Bonus Plan	For	For
4	Approve Omnibus Stock Plan	For	For
5	Ratify Deloitte & Touche LLP as Auditors	For	For
6	Require Independent Board Chairman	Against	For
7	Creation of Dual-Class Common Stock	Against	Against
1.1	Elect Directors Leo Hindery, Jr.	For	Do Not Vote
1.2	Elect Director Vinit Sethi	For	Do Not Vote
1.3	Elect Director William N. Thorndike, Jr.	For	Do Not Vote
1.4	Management Nominee Joseph J. Ashton	For	Do Not Vote
1.5	Management Nominee Mary T. Barra	For	Do Not Vote
1.6	Management Nominee Linda R. Gooden	For	Do Not Vote
1.7	Management Nominee Joseph Jimenez	For	Do Not Vote
1.8	Management Nominee James J. Mulva	For	Do Not Vote
1.9	Management Nominee Patricia F. Russo	For	Do Not Vote
1.10	Management Nominee Thomas M. Schoewe	For	Do Not Vote
1.11	Management Nominee Theodore M. Solso	For	Do Not Vote
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	Do Not Vote
3	Approve Executive Incentive Bonus Plan	For	Do Not Vote
4	Approve Omnibus Stock Plan	For	Do Not Vote
5	Ratify Deloitte & Touche LLP as Auditors	For	Do Not Vote
6	Require Independent Board Chairman	Against	Do Not Vote
7	Creation of Dual-Class Common Stock	For	Do Not Vote

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Ingersoll-Rand Plc  
 Ticker : IR  
 CUSIP No. : G47791101

Meeting Date : 6/8/2017  
 Meeting Type : Annual  
 ISIN : IE00B6330302

Item	Proposal Description	Mgmt Rec.	Vote Cast
1a	Elect Director Ann C. Berzin	For	For
1b	Elect Director John Bruton	For	For
1c	Elect Director Jared L. Cohon	For	For
1d	Elect Director Gary D. Forsee	For	For
1e	Elect Director Linda P. Hudson	For	For
1f	Elect Director Michael W. Lamach	For	For
1g	Elect Director Myles P. Lee	For	For
1h	Elect Director John P. Surma	For	For
1i	Elect Director Richard J. Swift	For	For
1j	Elect Director Tony L. White	For	For
	Advisory Vote to Ratify Named Executive Officers'		
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
	Approve PricewaterhouseCoopers LLP as Auditors and		
4	Authorize Board to Fix Their Remuneration	For	For
5	Renew Directors' Authority to Issue Shares	For	For
6	Renew Directors' Authority to Issue Shares for Cash	For	For
7	Authorize Reissuance of Repurchased Shares	For	For

Company : Garmin Ltd.  
 Ticker : GRMN  
 CUSIP No. : G37260109

Meeting Date : 6/9/2017  
 Meeting Type : Annual  
 ISIN : CH0114405324

Item	Proposal Description	Mgmt Rec.	Vote Cast
	Accept Consolidated Financial Statements and Statutory		
1	Reports	For	For
2	Accept Financial Statements and Statutory Reports	For	For
3	Approve Dividends	For	For
4	Approve Discharge of Board and Senior Management	For	For
5.1	Elect Director Donald H. Eller	For	For
5.2	Elect Director Joseph J. Hartnett	For	For
5.3	Elect Director Min H. Kao	For	For
5.4	Elect Director Charles W. Peffer	For	For
5.5	Elect Director Clifton A. Pemble	For	For
5.6	Elect Director Rebecca R. Tilden	For	For
6	Elect Min H. Kao as Board Chairman	For	For
	Appoint Donald H. Eller as Member of the Compensation		
7.1	Committee	For	For
	Appoint Joseph J. Hartnett as Member of the Compensation		
7.2	Committee	For	For



## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

7.3	Appoint Charles W. Peffer as Member of the Compensation Committee	For	For
7.4	Appoint Rebecca R. Tilden as Member of the Compensation Committee	For	For
8	Designate Reiss + Preuss LLP as Independent Proxy	For	For
9	Ratify Ernst & Young LLP as Auditors	For	For
10	Approve Fiscal Year 2018 Maximum Aggregate Compensation for the Executive Management	For	For
11	Approve Maximum Aggregate Compensation for the Board of Directors for the Period Between the 2017 AGM and the 2018 AGM	For	For
12	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
13	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Staples, Inc.

Ticker : SPLS

CUSIP No. : 855030102

Meeting Date : 6/12/2017

Meeting Type : Annual

ISIN : US8550301027

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Drew G. Faust	For	For
1b	Elect Director Curtis Feeny	For	For
1c	Elect Director Paul-Henri Ferrand	For	For
1d	Elect Director Shira Goodman	For	For
1e	Elect Director Deborah A. Henretta	For	For
1f	Elect Director Kunal S. Kamlani	For	For
1g	Elect Director John F. Lundgren	For	For
1h	Elect Director Robert E. Sulentic	For	For
1i	Elect Director Vijay Vishwanath	For	For
1j	Elect Director Paul F. Walsh	For	For
	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
2	Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Amend Executive Incentive Bonus Plan	For	For
5	Ratify Ernst & Young LLP as Auditors	For	For

Company : Best Buy Co., Inc.

Ticker : BBY

CUSIP No. : 086516101

Meeting Date : 6/13/2017

Meeting Type : Annual

ISIN : US0865161014

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Lisa M. Caputo	For	For
1b	Elect Director J. Patrick Doyle	For	For
1c	Elect Director Russell P. Fradin	For	For
1d	Elect Director Kathy J. Higgins Victor	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1e	Elect Director Hubert Joly	For	For
1f	Elect Director David W. Kenny	For	For
1g	Elect Director Karen A. McLoughlin	For	For
1h	Elect Director Thomas L. "Tommy" Millner	For	For
1i	Elect Director Claudia F. Munce	For	For
1j	Elect Director Gerard R. Vittecoq	For	For
2	Ratify Deloitte & Touche, LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Omnibus Stock Plan	For	For

Company : MetLife, Inc.

Ticker : MET

CUSIP No. : 59156R108

Meeting Date : 6/13/2017

Meeting Type : Annual

ISIN : US59156R1086

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Cheryl W. Grise	For	For
1.2	Elect Director Carlos M. Gutierrez	For	For
1.3	Elect Director David L. Herzog	For	For
1.4	Elect Director R. Glenn Hubbard	For	For
1.5	Elect Director Steven A. Kandarian	For	For
1.6	Elect Director Alfred F. Kelly, Jr.	For	For
1.7	Elect Director Edward J. Kelly, III	For	For
1.8	Elect Director William E. Kennard	For	For
1.9	Elect Director James M. Kilts	For	For
1.10	Elect Director Catherine R. Kinney	For	For
1.11	Elect Director Denise M. Morrison	For	For
2	Ratify Deloitte & Touche LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For

Company : Caterpillar Inc.

Ticker : CAT

CUSIP No. : 149123101

Meeting Date : 6/14/2017

Meeting Type : Annual

ISIN : US1491231015

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director David L. Calhoun	For	For
1.2	Elect Director Daniel M. Dickinson	For	For
1.3	Elect Director Juan Gallardo	For	For
1.4	Elect Director Jesse J. Greene, Jr.	For	For
1.5	Elect Director Jon M. Huntsman, Jr.	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

1.6	Elect Director Dennis A. Muilenburg	For	For
1.7	Elect Director William A. Osborn	For	For
1.8	Elect Director Debra L. Reed	For	For
1.9	Elect Director Edward B. Rust, Jr.	For	For
1.10	Elect Director Susan C. Schwab	For	For
1.11	Elect Director Jim Umpleby	For	For
1.12	Elect Director Miles D. White	For	For
1.13	Elect Director Rayford Wilkins, Jr.	For	For
2	Ratify PricewaterhouseCoopers as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Omnibus Stock Plan	For	For
6	Report on Lobbying Payments and Policy Reduce Ownership Threshold for Shareholders to Call Special	Against	For
7	Meeting	Against	For
8	Report on Lobbying Priorities Include Sustainability as a Performance Measure for Senior	Against	Against
9	Executive Compensation	Against	Against
10	Amend Compensation Clawback Policy	Against	For
11	Require Independent Board Chairman	Against	For

Company : Target Corporation

Ticker : TGT

CUSIP No. : 87612E106

Meeting Date : 6/14/2017

Meeting Type : Annual

ISIN : US87612E1064

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Roxanne S. Austin	For	For
1b	Elect Director Douglas M. Baker, Jr.	For	For
1c	Elect Director Brian C. Cornell	For	For
1d	Elect Director Calvin Darden	For	For
1e	Elect Director Henrique De Castro	For	For
1f	Elect Director Robert L. Edwards	For	For
1g	Elect Director Melanie L. Healey	For	For
1h	Elect Director Donald R. Knauss	For	For
1i	Elect Director Monica C. Lozano	For	For
1j	Elect Director Mary E. Minnick	For	For
1k	Elect Director Derica W. Rice	For	For
1l	Elect Director Kenneth L. Salazar	For	For
2	Ratify Ernst & Young LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Approve Executive Incentive Bonus Plan	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

Company : Equity Residential  
 Ticker : EQR  
 CUSIP No. : 29476L107

Meeting Date : 6/15/2017  
 Meeting Type : Annual  
 ISIN : US29476L1070

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John W. Alexander	For	Withhold
1.2	Elect Director Charles L. Atwood	For	Withhold
1.3	Elect Director Linda Walker Bynoe	For	Withhold
1.4	Elect Director Connie K. Duckworth	For	For
1.5	Elect Director Mary Kay Haben	For	Withhold
1.6	Elect Director Bradley A. Keywell	For	For
1.7	Elect Director John E. Neal	For	For
1.8	Elect Director David J. Neithercut	For	For
1.9	Elect Director Mark S. Shapiro	For	Withhold
1.10	Elect Director Gerald A. Spector	For	For
1.11	Elect Director Stephen E. Sterrett	For	For
1.12	Elect Director Samuel Zell	For	For
2	Ratify Ernst & Young LLP as Auditors Advisory Vote to Ratify Named Executive Officers'	For	For
3	Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Provide Shareholders the Right to Amend Bylaws	Against	For

Company : American International Group, Inc.  
 Ticker : AIG  
 CUSIP No. : 026874784

Meeting Date : 6/28/2017  
 Meeting Type : Annual  
 ISIN : US0268747849

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director W. Don Cornwell	For	For
1b	Elect Director Brian Duperreault	For	For
1c	Elect Director Peter R. Fisher	For	For
1d	Elect Director John H. Fitzpatrick	For	For
1e	Elect Director William G. Jurgensen	For	For
1f	Elect Director Christopher S. Lynch	For	For
1g	Elect Director Samuel J. Merksamer	For	For
1h	Elect Director Henry S. Miller	For	For
1i	Elect Director Linda A. Mills	For	For
1j	Elect Director Suzanne Nora Johnson	For	For
1k	Elect Director Ronald A. Rittenmeyer	For	For
1l	Elect Director Douglas M. Steenland	For	For
1m	Elect Director Theresa M. Stone Advisory Vote to Ratify Named Executive Officers'	For	For
2	Compensation	For	For
3	Amend Securities Transfer Restrictions	For	For
4	Ratify NOL Rights Plan (NOL Pill)	For	For

## Proxy Voting Results

First Trust AlphaDEX™ U.S. Dividend ETF (CAD-Hedged)

5 Ratify PricewaterhouseCoopers LLP as Auditors For For

Company : ONEOK, Inc.

Ticker : OKE

CUSIP No. : 682680103

Meeting Date : 6/30/2017

Meeting Type : Special

ISIN : US6826801036

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Issue Shares in Connection with the Merger	For	For
2	Increase Authorized Common Stock	For	For
3	Adjourn Meeting	For	For