

Proxy Voting Results

First Trust AlphaDEX US Energy Sector Index ETF

Company : Anadarko Petroleum Corporation
Ticker : APC
CUSIP No. : 032511107

Meeting Date : 2019-08-08
Meeting Type : Special
ISIN : US0325111070

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Merger Agreement	For	For
2	Advisory Vote on Golden Parachutes	For	Against

Company : Occidental Petroleum Corporation
Ticker : OXY
CUSIP No. : 674599105

Meeting Date : 2019-12-31
Meeting Type : Proxy Contest
ISIN : US6745991058

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Revoke Consent to Request to Fix a Record Date	For	Do Not Vote
1	Consent to Request to Fix a Record Date	For	For

Company : Parsley Energy, Inc.
Ticker : PE
CUSIP No. : 701877102

Meeting Date : 2020-01-09
Meeting Type : Special
ISIN : US7018771029

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Issue Shares in Connection with Acquisition	For	For

Company : Helmerich & Payne, Inc.
Ticker : HP
CUSIP No. : 423452101

Meeting Date : 2020-03-03
Meeting Type : Annual
ISIN : US4234521015

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Delaney M. Bellinger	For	For
1b	Elect Director Kevin G. Cramton	For	For
1c	Elect Director Randy A. Foutch	For	For
1d	Elect Director Hans Helmerich	For	For
1e	Elect Director John W. Lindsay	For	For
1f	Elect Director Jose R. Mas	For	For
1g	Elect Director Thomas A. Petrie	For	For
1h	Elect Director Donald F. Robillard, Jr.	For	For
1i	Elect Director Edward B. Rust, Jr.	For	For
1j	Elect Director Mary M. VanDeWeghe	For	For
1k	Elect Director John D. Zeglis	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Approve Omnibus Stock Plan	For	For

Proxy Voting Results

First Trust AlphaDEX US Energy Sector Index ETF

Company : WPX Energy, Inc.

Ticker : WPX

CUSIP No. : 98212B103

Meeting Date : 2020-03-05

Meeting Type : Special

ISIN : US98212B1035

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Issue Shares in Connection with Acquisition	For	For
2	Adjourn Meeting	For	For

Company : Chesapeake Energy Corporation

Ticker : CHK

CUSIP No. : 165167107

Meeting Date : 2020-04-13

Meeting Type : Special

ISIN : US1651671075

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Reverse Stock Split	For	For
2	Reduce Authorized Common Stock	For	For

Company : Concho Resources Inc.

Ticker : CXO

CUSIP No. : 20605P101

Meeting Date : 2020-04-27

Meeting Type : Annual

ISIN : US20605P1012

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Timothy A. Leach	For	For
1.2	Elect Director William H. Easter, III	For	For
2	Ratify Grant Thornton LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Noble Energy, Inc.

Ticker : NBL

CUSIP No. : 655044105

Meeting Date : 2020-04-28

Meeting Type : Annual

ISIN : US6550441058

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Jeffrey L. Berenson	For	For
1b	Elect Director James E. Craddock	For	Against
1c	Elect Director Barbara J. Duganier	For	For
1d	Elect Director Thomas J. Edelman	For	For
1e	Elect Director Holli C. Ladhani	For	For
1f	Elect Director David L. Stover	For	For
1g	Elect Director Scott D. Urban	For	For
1h	Elect Director William T. Van Kleef	For	For
1i	Elect Director Martha B. Wyrsh	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Approve Omnibus Stock Plan	For	For

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First Trust AlphaDEX US Energy Sector Index ETF

Company : Centennial Resource Development, Inc.
 Ticker : CDEV
 CUSIP No. : 15136A102

Meeting Date : 2020-04-29
 Meeting Type : Annual
 ISIN : US15136A1025

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Maire A. Baldwin	For	Against
1b	Elect Director Steven J. Shapiro	For	For
1c	Elect Director Robert M. Tichio	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Amend Omnibus Stock Plan	For	For
4	Ratify KPMG LLP as Auditors	For	For

Company : Marathon Petroleum Corporation
 Ticker : MPC
 CUSIP No. : 56585A102

Meeting Date : 2020-04-29
 Meeting Type : Annual
 ISIN : US56585A1025

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Declassify the Board of Directors	For	For
2a	Elect Director Steven A. Davis	For	For
2b	Elect Director J. Michael Stice	For	For
2c	Elect Director John P. Surma	For	For
2d	Elect Director Susan Tomasky	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
5	Adopt Simple Majority Vote	For	For
6	Report on Integrating Community Impacts Into Executive Compensation Program	Against	Against

Company : Cabot Oil & Gas Corporation
 Ticker : COG
 CUSIP No. : 127097103

Meeting Date : 2020-04-30
 Meeting Type : Annual
 ISIN : US1270971039

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Dorothy M. Ables	For	For
1.2	Elect Director Rhys J. Best	For	For
1.3	Elect Director Robert S. Boswell	For	For
1.4	Elect Director Amanda M. Brock	For	For
1.5	Elect Director Peter B. Delaney	For	For
1.6	Elect Director Dan O. Dinges	For	For
1.7	Elect Director Robert Kelley	For	For
1.8	Elect Director W. Matt Ralls	For	For
1.9	Elect Director Marcus A. Watts	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

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First Trust AlphaDEX US Energy Sector Index ETF

Company : EOG Resources, Inc.
Ticker : EOG
CUSIP No. : 26875P101

Meeting Date : 2020-04-30
Meeting Type : Annual
ISIN : US26875P1012

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Janet F. Clark	For	For
1b	Elect Director Charles R. Crisp	For	For
1c	Elect Director Robert P. Daniels	For	For
1d	Elect Director James C. Day	For	For
1e	Elect Director C. Christopher Gaut	For	For
1f	Elect Director Julie J. Robertson	For	For
1g	Elect Director Donald F. Textor	For	For
1h	Elect Director William R. Thomas	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Valero Energy Corporation
Ticker : VLO
CUSIP No. : 91913Y100

Meeting Date : 2020-04-30
Meeting Type : Annual
ISIN : US91913Y1001

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director H. Paulett Eberhart	For	For
1B	Elect Director Joseph W. Gorder	For	For
1C	Elect Director Kimberly S. Greene	For	For
1D	Elect Director Deborah P. Majoras	For	For
1E	Elect Director Eric D. Mullins	For	For
1F	Elect Director Donald L. Nickles	For	For
1G	Elect Director Philip J. Pfeiffer	For	For
1H	Elect Director Robert A. Profusek	For	For
1I	Elect Director Stephen M. Waters	For	For
1J	Elect Director Randall J. Weisenburger	For	For
1K	Elect Director Rayford Wilkins, Jr.	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Approve Omnibus Stock Plan	For	For

Company : EQT Corporation
Ticker : EQT
CUSIP No. : 26884L109

Meeting Date : 2020-05-01
Meeting Type : Annual
ISIN : US26884L1098

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Lydia I. Beebe	For	For
1.2	Elect Director Philip G. Behrman	For	For
1.3	Elect Director Lee M. Canaan	For	For

Proxy Voting Results

First Trust AlphaDEX US Energy Sector Index ETF

1.4	Elect Director Janet L. Carrig	For	For
1.5	Elect Director Kathryn J. Jackson	For	For
1.6	Elect Director John F. McCartney	For	For
1.7	Elect Director James T. McManus, II	For	For
1.8	Elect Director Anita M. Powers	For	For
1.9	Elect Director Daniel J. Rice, IV	For	For
1.10	Elect Director Toby Z. Rice	For	For
1.11	Elect Director Stephen A. Thorington	For	For
1.12	Elect Director Hallie A. Vanderhider	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation Eliminate Supermajority Vote Requirement for Amending	For	For
3	Governing Documents and Removing Directors	For	For
4	Provide Right to Call Special Meeting	For	For
5	Approve Omnibus Stock Plan	For	For
6	Ratify Ernst & Young LLP as Auditors	For	For

Company : Cimarex Energy Co.
 Ticker : XEC
 CUSIP No. : 171798101

Meeting Date : 2020-05-06
 Meeting Type : Annual
 ISIN : US1717981013

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Kathleen A. Hogenson	For	For
1.2	Elect Director Paul N. Eckley	For	For
1.3	Elect Director Thomas E. Jordan	For	For
1.4	Elect Director Floyd R. Price	For	For
1.5	Elect Director Frances M. Vallejo	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : Phillips 66
 Ticker : PSX
 CUSIP No. : 718546104

Meeting Date : 2020-05-06
 Meeting Type : Annual
 ISIN : US7185461040

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Charles M. Holley	For	For
1b	Elect Director Glenn F. Tilton	For	For
1c	Elect Director Marna C. Whittington	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Report on Risks of Gulf Coast Petrochemical Investments	Against	For

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First Trust AlphaDEX US Energy Sector Index ETF

Company : Apergy Corporation

Ticker : APY

CUSIP No. : 03755L104

Meeting Date : 2020-05-12

Meeting Type : Annual

ISIN : US03755L1044

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Gary P. Luquette	For	For
1.2	Elect Director Daniel W. Rabun	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Omnibus Stock Plan	For	For

Company : ConocoPhillips

Ticker : COP

CUSIP No. : 20825C104

Meeting Date : 2020-05-12

Meeting Type : Annual

ISIN : US20825C1045

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Charles E. Bunch	For	For
1b	Elect Director Caroline Maury Devine	For	For
1c	Elect Director John V. Faraci	For	For
1d	Elect Director Jody Freeman	For	For
1e	Elect Director Gay Huey Evans	For	For
1f	Elect Director Jeffrey A. Joerres	For	For
1g	Elect Director Ryan M. Lance	For	For
1h	Elect Director William H. McRaven	For	For
1i	Elect Director Sharmila Mulligan	For	For
1j	Elect Director Arjun N. Murti	For	For
1k	Elect Director Robert A. Niblock	For	For
1l	Elect Director David T. Seaton	For	For
1m	Elect Director R. A. Walker	For	For
2	Ratify Ernst & Young LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : HollyFrontier Corporation

Ticker : HFC

CUSIP No. : 436106108

Meeting Date : 2020-05-13

Meeting Type : Annual

ISIN : US4361061082

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Anne-Marie N. Ainsworth	For	For
1b	Elect Director Douglas Y. Bech	For	For
1c	Elect Director Anna C. Catalano	For	For
1d	Elect Director Leldon E. Echols	For	For
1e	Elect Director Michael C. Jennings	For	For
1f	Elect Director R. Craig Knocke	For	For
1g	Elect Director Robert J. Kostelnik	For	For

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1h	Elect Director James H. Lee	For	For
1i	Elect Director Franklin Myers	For	For
1j	Elect Director Michael E. Rose	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For
4	Approve Omnibus Stock Plan	For	For

Company : Kinder Morgan, Inc.

Ticker : KMI

CUSIP No. : 49456B101

Meeting Date : 2020-05-13

Meeting Type : Annual

ISIN : US49456B1017

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Richard D. Kinder	For	For
1.2	Elect Director Steven J. Kean	For	For
1.3	Elect Director Kimberly A. Dang	For	For
1.4	Elect Director Ted A. Gardner	For	For
1.5	Elect Director Anthony W. Hall, Jr.	For	For
1.6	Elect Director Gary L. Hultquist	For	For
1.7	Elect Director Ronald L. Kuehn, Jr.	For	For
1.8	Elect Director Deborah A. Macdonald	For	For
1.9	Elect Director Michael C. Morgan	For	For
1.10	Elect Director Arthur C. Reichstetter	For	For
1.11	Elect Director Fayez Sarofim	For	For
1.12	Elect Director C. Park Shaper	For	For
1.13	Elect Director William A. Smith	For	For
1.14	Elect Director Joel V. Staff	For	For
1.15	Elect Director Robert F. Vagt	For	For
1.16	Elect Director Perry M. Waughtal	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Murphy Oil Corporation

Ticker : MUR

CUSIP No. : 626717102

Meeting Date : 2020-05-13

Meeting Type : Annual

ISIN : US6267171022

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director T. Jay Collins	For	For
1b	Elect Director Steven A. Cosse	For	For
1c	Elect Director Claiborne P. Deming	For	For
1d	Elect Director Lawrence R. Dickerson	For	For
1e	Elect Director Roger W. Jenkins	For	For
1f	Elect Director Elisabeth W. Keller	For	For
1g	Elect Director James V. Kelley	For	For
1h	Elect Director Walentin Mirosh	For	For
1i	Elect Director R. Madison Murphy	For	For

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First Trust AlphaDEX US Energy Sector Index ETF

1j	Elect Director Jeffrey W. Nolan	For	For
1k	Elect Director Robert N. Ryan, Jr.	For	For
1l	Elect Director Neal E. Schmale	For	For
1m	Elect Director Laura A. Sugg	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Approve Omnibus Stock Plan	For	For
4	Ratify KPMG LLP as Auditor	For	For

Company : Apache Corporation

Ticker : APA

CUSIP No. : 037411105

Meeting Date : 2020-05-14

Meeting Type : Annual

ISIN : US0374111054

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Elect Director Annell R. Bay	For	For
2	Elect Director John J. Christmann, IV	For	For
3	Elect Director Juliet S. Ellis	For	For
4	Elect Director Chansoo Joung	For	For
5	Elect Director Rene R. Joyce	For	For
6	Elect Director John E. Lowe	For	For
7	Elect Director William C. Montgomery	For	For
8	Elect Director Amy H. Nelson	For	For
9	Elect Director Daniel W. Rabun	For	For
10	Elect Director Peter A. Ragauss	For	For
11	Ratify Ernst & Young LLP as Auditor	For	For
12	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Baker Hughes Company

Ticker : BKR

CUSIP No. : 05722G100

Meeting Date : 2020-05-14

Meeting Type : Annual

ISIN : US05722G1004

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director W. Geoffrey Beattie	For	For
1.2	Elect Director Gregory D. Brenneman	For	For
1.3	Elect Director Cynthia B. Carroll	For	For
1.4	Elect Director Clarence P. Cazalot, Jr.	For	For
1.5	Elect Director Nelda J. Connors	For	For
1.6	Elect Director Gregory L. Ebel	For	For
1.7	Elect Director Lynn L. Elsenhans	For	For
1.8	Elect Director John G. Rice	For	Withhold
1.9	Elect Director Lorenzo Simonelli	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX US Energy Sector Index ETF

Company : Continental Resources, Inc.
Ticker : CLR
CUSIP No. : 212015101

Meeting Date : 2020-05-14
Meeting Type : Annual
ISIN : US2120151012

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director William B. Berry	For	For
1.2	Elect Director Shelly Lambertz	For	Withhold
1.3	Elect Director Timothy G. Taylor	For	For
2	Declassify the Board of Directors	For	For
3	Ratify Grant Thornton LLP as Auditors	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Halliburton Company
Ticker : HAL
CUSIP No. : 406216101

Meeting Date : 2020-05-19
Meeting Type : Annual
ISIN : US4062161017

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Abdulaziz F. Al Khayyal	For	For
1b	Elect Director William E. Albrecht	For	For
1c	Elect Director M. Katherine Banks	For	For
1d	Elect Director Alan M. Bennett	For	For
1e	Elect Director Milton Carroll	For	For
1f	Elect Director Nance K. Dicciani	For	For
1g	Elect Director Murry S. Gerber	For	For
1h	Elect Director Patricia Hemingway Hall	For	For
1i	Elect Director Robert A. Malone	For	For
1j	Elect Director Jeffrey A. Miller	For	For
2	Ratify KPMG LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Amend Omnibus Stock Plan	For	For

Company : Parsley Energy, Inc.
Ticker : PE
CUSIP No. : 701877102

Meeting Date : 2020-05-21
Meeting Type : Annual
ISIN : US7018771029

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director A.R. Alameddine	For	For
1B	Elect Director Matt Gallagher	For	For
1C	Elect Director Karen Hughes	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

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First Trust AlphaDEX US Energy Sector Index ETF

Company : Pioneer Natural Resources Company
 Ticker : PXD
 CUSIP No. : 723787107

Meeting Date : 2020-05-21
 Meeting Type : Annual
 ISIN : US7237871071

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Edison C. Buchanan	For	For
1b	Elect Director Andrew F. Cates	For	For
1c	Elect Director Phillip A. Gobe	For	For
1d	Elect Director Larry R. Grillot	For	For
1e	Elect Director Stacy P. Methvin	For	For
1f	Elect Director Royce W. Mitchell	For	For
1g	Elect Director Frank A. Risch	For	For
1h	Elect Director Scott D. Sheffield	For	For
1i	Elect Director Mona K. Sutphen	For	For
1j	Elect Director J. Kenneth Thompson	For	For
1k	Elect Director Phoebe A. Wood	For	For
1l	Elect Director Michael D. Wortley	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : WPX Energy, Inc.
 Ticker : WPX
 CUSIP No. : 98212B103

Meeting Date : 2020-05-21
 Meeting Type : Annual
 ISIN : US98212B1035

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John A. Carrig	For	For
1.2	Elect Director Clay M. Gaspar	For	For
1.3	Elect Director Robert K. Herdman	For	For
1.4	Elect Director Kelt Kindick	For	For
1.5	Elect Director Karl F. Kurz	For	For
1.6	Elect Director Kimberly S. Lubel	For	For
1.7	Elect Director Richard E. Muncrief	For	For
1.8	Elect Director Martin Phillips	For	For
1.9	Elect Director Douglas E. Swanson, Jr.	For	For
1.10	Elect Director Valerie M. Williams	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

Company : Chevron Corporation
 Ticker : CVX
 CUSIP No. : 166764100

Meeting Date : 2020-05-27
 Meeting Type : Annual
 ISIN : US1667641005

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Wanda M. Austin	For	For
1b	Elect Director John B. Frank	For	For

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1c	Elect Director Alice P. Gast	For	For
1d	Elect Director Enrique Hernandez, Jr.	For	For
1e	Elect Director Charles W. Moorman, IV	For	For
1f	Elect Director Dambisa F. Moyo	For	For
1g	Elect Director Debra Reed-Klages	For	For
1h	Elect Director Ronald D. Sugar	For	For
1i	Elect Director D. James Umpleby, III	For	For
1j	Elect Director Michael K. Wirth	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Report on Lobbying Payments and Policy	Against	For
5	Establish Board Committee on Climate Risk	Against	Against
6	Report on Climate Lobbying Aligned with Paris Agreement Goals	Against	For
7	Report on Petrochemical Risk	Against	For
8	Report on Human Rights Practices	Against	Against
9	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For
10	Require Independent Board Chair	Against	Against

Company : Exxon Mobil Corporation

Ticker : XOM

CUSIP No. : 30231G102

Meeting Date : 2020-05-27

Meeting Type : Annual

ISIN : US30231G1022

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Susan K. Avery	For	For
1.2	Elect Director Angela F. Braly	For	For
1.3	Elect Director Ursula M. Burns	For	For
1.4	Elect Director Kenneth C. Frazier	For	For
1.5	Elect Director Joseph L. Hooley	For	For
1.6	Elect Director Steven A. Kandarian	For	For
1.7	Elect Director Douglas R. Oberhelman	For	For
1.8	Elect Director Samuel J. Palmisano	For	For
1.9	Elect Director William C. Weldon	For	For
1.10	Elect Director Darren W. Woods	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Require Independent Board Chair	Against	Against
5	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For
6	Report on Costs & Benefits of Climate-Related Expenditures	Against	Against
7	Report on Risks of Petrochemical Operations in Flood Prone Areas	Against	Against
8	Report on Political Contributions	Against	For
9	Report on Lobbying Payments and Policy	Against	For

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First Trust AlphaDEX US Energy Sector Index ETF

Company : Marathon Oil Corporation
Ticker : MRO
CUSIP No. : 565849106

Meeting Date : 2020-05-27
Meeting Type : Annual
ISIN : US5658491064

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Gregory H. Boyce	For	For
1b	Elect Director Chadwick C. Deaton	For	For
1c	Elect Director Marcela E. Donadio	For	For
1d	Elect Director Jason B. Few	For	For
1e	Elect Director Douglas L. Foshee	For	For
1f	Elect Director M. Elise Hyland	For	For
1g	Elect Director Lee M. Tillman	For	For
1h	Elect Director J. Kent Wells	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Apergy Corporation
Ticker : APY
CUSIP No. : 03755L104

Meeting Date : 2020-05-28
Meeting Type : Special
ISIN : US03755L1044

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Issue Shares in Connection with Merger	For	For
2	Adjourn Meeting	For	For

Company : Occidental Petroleum Corporation
Ticker : OXY
CUSIP No. : 674599105

Meeting Date : 2020-05-29
Meeting Type : Annual
ISIN : US6745991058

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Stephen I. Chazen	For	For
1b	Elect Director Andrew Gould	For	For
1c	Elect Director Nicholas Graziano	For	For
1d	Elect Director Carlos M. Gutierrez	For	For
1e	Elect Director Vicki Hollub	For	For
1f	Elect Director William R. Klesse	For	For
1g	Elect Director Andrew N. Langham	For	For
1h	Elect Director Jack B. Moore	For	For
1i	Elect Director Margarita Palau-Hernandez	For	For
1j	Elect Director Avedick B. Poladian	For	For
1k	Elect Director Robert M. Shearer	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For
4	Amend Omnibus Stock Plan	For	For

Proxy Voting Results

First Trust AlphaDEX US Energy Sector Index ETF

5	Approve Issuance of the Warrant Shares Upon Exercise of the Warrant	For	For
6	Increase Authorized Common Stock	For	For
7	Amend Charter to Enhance Shareholders' Ability to Act by Written Consent	For	For
8	Reduce Ownership Threshold for Shareholders to Call Special Meeting and Approve Certain Additional Amendments	For	For
9	Approve Shareholder Rights Plan (Poison Pill)	For	For

Company : Devon Energy Corporation

Ticker : DVN

CUSIP No. : 25179M103

Meeting Date : 2020-06-03

Meeting Type : Annual

ISIN : US25179M1036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Barbara M. Baumann	For	For
1.2	Elect Director John E. Bethancourt	For	For
1.3	Elect Director Ann G. Fox	For	For
1.4	Elect Director David A. Hager	For	For
1.5	Elect Director Robert H. Henry	For	For
1.6	Elect Director Michael M. Kanovsky	For	For
1.7	Elect Director John Krenicki, Jr.	For	For
1.8	Elect Director Robert A. Mosbacher, Jr.	For	For
1.9	Elect Director Duane C. Radtke	For	For
1.10	Elect Director Keith O. Rattie	For	For
1.11	Elect Director Mary P. Ricciardello	For	For
2	Ratify KPMG LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against

Company : Diamondback Energy, Inc.

Ticker : FANG

CUSIP No. : 25278X109

Meeting Date : 2020-06-03

Meeting Type : Annual

ISIN : US25278X1090

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Steven E. West	For	For
1.2	Elect Director Travis D. Stice	For	For
1.3	Elect Director Vincent K. Brooks	For	For
1.4	Elect Director Michael P. Cross	For	For
1.5	Elect Director David L. Houston	For	For
1.6	Elect Director Stephanie K. Mains	For	For
1.7	Elect Director Mark L. Plaumann	For	For
1.8	Elect Director Melanie M. Trent	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Grant Thornton LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX US Energy Sector Index ETF

Company : Hess Corporation
Ticker : HES
CUSIP No. : 42809H107

Meeting Date : 2020-06-03
Meeting Type : Annual
ISIN : US42809H1077

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Terrence J. Checki	For	For
1b	Elect Director Leonard S. Coleman, Jr.	For	For
1c	Elect Director Joaquin Duato	For	For
1d	Elect Director John B. Hess	For	For
1e	Elect Director Edith E. Holiday	For	For
1f	Elect Director Marc S. Lipschultz	For	For
1g	Elect Director David McManus	For	For
1h	Elect Director Kevin O. Meyers	For	For
1i	Elect Director James H. Quigley	For	For
1j	Elect Director William G. Schrader	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

Company : Patterson-UTI Energy, Inc.
Ticker : PTEN
CUSIP No. : 703481101

Meeting Date : 2020-06-04
Meeting Type : Annual
ISIN : US7034811015

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Tiffany (TJ) Thom Cepak	For	For
1.2	Elect Director Michael W. Conlon	For	For
1.3	Elect Director William Andrew Hendricks, Jr.	For	For
1.4	Elect Director Curtis W. Huff	For	For
1.5	Elect Director Terry H. Hunt	For	For
1.6	Elect Director Janeen S. Judah	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : PBF Energy Inc.
Ticker : PBF
CUSIP No. : 69318G106

Meeting Date : 2020-06-04
Meeting Type : Annual
ISIN : US69318G1067

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Thomas J. Nimbley	For	For
1B	Elect Director Spencer Abraham	For	For
1C	Elect Director Wayne Budd	For	For
1D	Elect Director Karen Davis	For	For
1E	Elect Director S. Eugene Edwards	For	For
1F	Elect Director William E. Hantke	For	For
1G	Elect Director Edward Kosnik	For	For
1H	Elect Director Robert Lavinia	For	For

Proxy Voting Results

First Trust AlphaDEX US Energy Sector Index ETF

1I	Elect Director Kimberly S. Lubel	For	For
1J	Elect Director George E. Ogden	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Kosmos Energy Ltd.
Ticker : KOS
CUSIP No. : 500688106

Meeting Date : 2020-06-10
Meeting Type : Annual
ISIN : US5006881065

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Andrew G. Inglis	For	For
1B	Elect Director Richard Dearlove	For	For
2	Approve Ernst & Young LLP as Auditors and Authorize Board to Fix Their Remuneration Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Approve Reverse Stock Split	For	For

Company : Antero Resources Corporation
Ticker : AR
CUSIP No. : 03674X106

Meeting Date : 2020-06-17
Meeting Type : Annual
ISIN : US03674X1063

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Paul M. Rady	For	For
1.2	Elect Director Glen C. Warren, Jr.	For	For
1.3	Elect Director Thomas B. Tyree, Jr.	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Approve Omnibus Stock Plan	For	For
5	Approve Reverse Stock Split	For	For