

## Proxy Voting Results

### First Trust AlphaDEX™ US Energy Sector Index ETF

**Company** : Memorial Resource Development Corp.  
**Ticker** : MRD  
**CUSIP No.** : 58605Q109

**Meeting Date** : 9/15/2016  
**Meeting Type** : Special  
**ISIN** : US58605Q1094

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Merger Agreement	For	For
2	Advisory Vote on Golden Parachutes	For	For
3	Adjourn Meeting	For	For

**Company** : The Williams Companies, Inc.  
**Ticker** : WMB  
**CUSIP No.** : 969457100

**Meeting Date** : 11/23/2016  
**Meeting Type** : Annual  
**ISIN** : US9694571004

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Alan S. Armstrong	For	For
1.2	Elect Director Stephen W. Bergstrom	For	For
1.3	Elect Director Stephen I. Chazen	For	For
1.4	Elect Director Kathleen B. Cooper	For	For
1.5	Elect Director Peter A. Ragauss	For	For
1.6	Elect Director Scott D. Sheffield	For	For
1.7	Elect Director Murray D. Smith	For	For
1.8	Elect Director William H. Spence	For	For
1.9	Elect Director Janice D. Stoney	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

**Company** : FMC Technologies, Inc.  
**Ticker** : FTI  
**CUSIP No.** : 30249U101

**Meeting Date** : 12/5/2016  
**Meeting Type** : Special  
**ISIN** : US30249U1016

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Merger Agreement	For	For
2	Adjourn Meeting	For	For
3	Advisory Vote on Golden Parachutes	For	Against
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

**Company** : Diamondback Energy, Inc.  
**Ticker** : FANG  
**CUSIP No.** : 25278X109

**Meeting Date** : 12/7/2016  
**Meeting Type** : Special  
**ISIN** : US25278X1090

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Increase Authorized Common Stock	For	For

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### First Trust AlphaDEX™ US Energy Sector Index ETF

**Company** : Spectra Energy Corp  
**Ticker** : SE  
**CUSIP No.** : 847560109

**Meeting Date** : 12/15/2016  
**Meeting Type** : Special  
**ISIN** : US8475601097

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Merger Agreement	For	For
2	Advisory Vote on Golden Parachutes	For	For

**Company** : Helmerich & Payne, Inc.  
**Ticker** : HP  
**CUSIP No.** : 423452101

**Meeting Date** : 3/1/2017  
**Meeting Type** : Annual  
**ISIN** : US4234521015

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Randy A. Foutch	For	For
1b	Elect Director Hans Helmerich	For	For
1c	Elect Director John W. Lindsay	For	For
1d	Elect Director Paula Marshall	For	Against
1e	Elect Director Thomas A. Petrie	For	For
1f	Elect Director Donald F. Robillard, Jr.	For	For
1g	Elect Director Edward B. Rust, Jr.	For	For
1h	Elect Director John D. Zeglis	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	None	One Year

**Company** : Tesoro Corporation  
**Ticker** : TSO  
**CUSIP No.** : 881609101

**Meeting Date** : 3/24/2017  
**Meeting Type** : Special  
**ISIN** : US8816091016

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Issue Shares in Connection with Acquisition	For	For
2	Increase Authorized Common Stock	For	For
3	Adjourn Meeting	For	For

**Company** : EQT Corporation  
**Ticker** : EQT  
**CUSIP No.** : 26884L109

**Meeting Date** : 4/19/2017  
**Meeting Type** : Annual  
**ISIN** : US26884L1098

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Vicky A. Bailey	For	For
1.2	Elect Director Philip G. Behrman	For	For
1.3	Elect Director Kenneth M. Burke	For	For
1.4	Elect Director A. Bray Cary, Jr.	For	For
1.5	Elect Director Margaret K. Dorman	For	For
1.6	Elect Director David L. Porges	For	For
1.7	Elect Director James E. Rohr	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Energy Sector Index ETF

1.8	Elect Director Steven T. Schlotterbeck	For	For
1.9	Elect Director Stephen A. Thorington	For	For
1.10	Elect Director Lee T. Todd, Jr.	For	For
1.11	Elect Director Christine J. Toretti	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For

Company : Patterson-UTI Energy, Inc.

Ticker : PTEN

CUSIP No. : 703481101

Meeting Date : 4/20/2017

Meeting Type : Special

ISIN : US7034811015

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Issue Shares in Connection with Merger	For	For
2	Adjourn Meeting	For	For

Company : Noble Energy, Inc.

Ticker : NBL

CUSIP No. : 655044105

Meeting Date : 4/25/2017

Meeting Type : Annual

ISIN : US6550441058

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Jeffrey L. Berenson	For	For
1b	Elect Director Michael A. Cawley	For	For
1c	Elect Director Edward F. Cox	For	For
1d	Elect Director James E. Craddock	For	Against
1e	Elect Director Thomas J. Edelman	For	For
1f	Elect Director Kirby L. Hedrick	For	For
1g	Elect Director David L. Stover	For	For
1h	Elect Director Scott D. Urban	For	For
1i	Elect Director William T. Van Kleef	For	For
1j	Elect Director Molly K. Williamson	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Approve Omnibus Stock Plan	For	For
6	Assess Portfolio Impacts of Policies to Meet 2 Degree Scenario	Against	For

Company : Marathon Petroleum Corporation

Ticker : MPC

CUSIP No. : 56585A102

Meeting Date : 4/26/2017

Meeting Type : Annual

ISIN : US56585A1025

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Steven A. Davis	For	For
1b	Elect Director Gary R. Heminger	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Energy Sector Index ETF

1c	Elect Director J. Michael Stice	For	For
1d	Elect Director John P. Surma	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Amend Omnibus Stock Plan	For	For
5	Report on Environmental and Human Rights Due Diligence	Against	For
6	Report on Strategy for Aligning with 2 Degree Scenario	Against	For
7	Adopt Simple Majority Vote	Against	For

Company : EOG Resources, Inc.

Ticker : EOG

CUSIP No. : 26875P101

Meeting Date : 4/27/2017

Meeting Type : Annual

ISIN : US26875P1012

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Janet F. Clark	For	For
1b	Elect Director Charles R. Crisp	For	For
1c	Elect Director Robert P. Daniels	For	For
1d	Elect Director James C. Day	For	For
1e	Elect Director Donald F. Textor	For	For
1f	Elect Director William R. Thomas	For	For
1g	Elect Director Frank G. Wisner	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Increase Authorized Common Stock	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
5	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : SunPower Corporation

Ticker : SPWR

CUSIP No. : 867652406

Meeting Date : 4/27/2017

Meeting Type : Annual

ISIN : US8676524064

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Helle Kristoffersen	For	Withhold
1.2	Elect Director Thomas R. McDaniel	For	For
1.3	Elect Director Thomas H. Werner	For	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Energy Sector Index ETF

Company : Noble Corporation plc  
 Ticker : NE  
 CUSIP No. : H5833N103

Meeting Date : 4/28/2017  
 Meeting Type : Annual  
 ISIN : GB00BFG3KF26

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Re-elect Director Ashley Almanza	For	For
2	Re-elect Director Michael A. Cawley	For	For
3	Re-elect Director Julie H. Edwards	For	For
4	Re-elect Director Gordon T. Hall	For	For
5	Re-elect Director Scott D. Josey	For	For
6	Re-elect Director Jon A. Marshall	For	For
7	Re-elect Director Mary P. Ricciardello	For	For
8	Re-elect Director David W. Williams	For	For
9	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
10	Ratify PricewaterhouseCoopers LLP as Statutory Auditors	For	For
11	Authorize Audit Committee to Fix Remuneration of Statutory Auditors	For	For
12	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
13	Advisory Vote on Say on Pay Frequency	One Year	One Year
14	Advisory Vote to Ratify Directors' Compensation Report	For	Against
15	Approve Remuneration Policy	For	Against
16	Amend Omnibus Stock Plan	For	For
17	Approve Non-Employee Director Omnibus Stock Plan	For	For

Company : Whiting Petroleum Corporation  
 Ticker : WLL  
 CUSIP No. : 966387102

Meeting Date : 5/2/2017  
 Meeting Type : Annual  
 ISIN : US9663871021

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Philip E. Doty	For	For
1.2	Elect Director Carin S. Knickel	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Phillips 66  
 Ticker : PSX  
 CUSIP No. : 718546104

Meeting Date : 5/3/2017  
 Meeting Type : Annual  
 ISIN : US7185461040

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director William R. Loomis, Jr.	For	For
1b	Elect Director Glenn F. Tilton	For	For
1c	Elect Director Marna C. Whittington	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For

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### First Trust AlphaDEX™ US Energy Sector Index ETF

3 Advisory Vote to Ratify Named Executive Officers' Compensation For For

Company : Valero Energy Corporation

Meeting Date : 5/3/2017

Ticker : VLO

Meeting Type : Annual

CUSIP No. : 91913Y100

ISIN : US91913Y1001

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director H. Paulett Eberhart	For	For
1b	Elect Director Joseph W. Gorder	For	For
1c	Elect Director Kimberly S. Greene	For	For
1d	Elect Director Deborah P. Majoras	For	For
1e	Elect Director Donald L. Nickles	For	For
1f	Elect Director Philip J. Pfeiffer	For	For
1g	Elect Director Robert A. Profusek	For	For
1h	Elect Director Susan Kaufman Purcell	For	For
1i	Elect Director Stephen M. Waters	For	For
1j	Elect Director Randall J. Weisenburger	For	For
1k	Elect Director Rayford Wilkins, Jr.	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Tesoro Corporation

Meeting Date : 5/4/2017

Ticker : TSO

Meeting Type : Annual

CUSIP No. : 881609101

ISIN : US8816091016

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Rodney F. Chase	For	For
1.2	Elect Director Edward G. Galante	For	For
1.3	Elect Director Gregory J. Goff	For	For
1.4	Elect Director David Lilley	For	For
1.5	Elect Director Mary Pat McCarthy	For	For
1.6	Elect Director J.W. Nokes	For	For
1.7	Elect Director William H. Schumann, III	For	For
1.8	Elect Director Susan Tomasky	For	For
1.9	Elect Director Michael E. Wiley	For	For
1.10	Elect Director Patrick Y. Yang	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

## Proxy Voting Results

### First Trust AlphaDEX™ US Energy Sector Index ETF

Company : Oceaneering International, Inc.  
Ticker : OII  
CUSIP No. : 675232102

Meeting Date : 5/5/2017  
Meeting Type : Annual  
ISIN : US6752321025

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director William B. Berry	For	For
1.2	Elect Director T. Jay Collins	For	Withhold
1.3	Elect Director Jon Erik Reinhardtsen	For	For
2	Amend Omnibus Stock Plan	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Ratify Ernst & Young LLP as Auditors	For	For

Company : CONSOL Energy Inc.  
Ticker : CNX  
CUSIP No. : 20854P109

Meeting Date : 5/9/2017  
Meeting Type : Annual  
ISIN : US20854P1093

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Alvin R. Carpenter	For	For
1.2	Elect Director J. Palmer Clarkson	For	For
1.3	Elect Director William E. Davis	For	For
1.4	Elect Director Nicholas J. Deluliis	For	For
1.5	Elect Director Maureen E. Lally-Green	For	For
1.6	Elect Director Bernard Lanigan, Jr.	For	For
1.7	Elect Director John T. Mills	For	For
1.8	Elect Director Joseph P. Platt	For	For
1.9	Elect Director William P. Powell	For	For
1.10	Elect Director Edwin S. Roberson	For	For
1.11	Elect Director William N. Thorndike, Jr.	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Report on Political Contributions and Expenditures	Against	For

Company : HollyFrontier Corporation  
Ticker : HFC  
CUSIP No. : 436106108

Meeting Date : 5/10/2017  
Meeting Type : Annual  
ISIN : US4361061082

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Douglas Y. Bech	For	For
1b	Elect Director George J. Damiris	For	For
1c	Elect Director Leldon E. Echols	For	For
1d	Elect Director R. Kevin Hardage	For	For
1e	Elect Director Michael C. Jennings	For	For
1f	Elect Director Robert J. Kostelnik	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Energy Sector Index ETF

1g	Elect Director James H. Lee	For	For
1h	Elect Director Franklin Myers	For	For
1i	Elect Director Michael E. Rose	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For

Company : Kinder Morgan, Inc.

Ticker : KMI

CUSIP No. : 49456B101

Meeting Date : 5/10/2017

Meeting Type : Annual

ISIN : US49456B1017

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Richard D. Kinder	For	For
1.2	Elect Director Steven J. Kean	For	For
1.3	Elect Director Kimberly A. Dang	For	For
1.4	Elect Director Ted A. Gardner	For	For
1.5	Elect Director Anthony W. Hall, Jr.	For	For
1.6	Elect Director Gary L. Hultquist	For	For
1.7	Elect Director Ronald L. Kuehn, Jr.	For	For
1.8	Elect Director Deborah A. Macdonald	For	For
1.9	Elect Director Michael C. Morgan	For	For
1.10	Elect Director Arthur C. Reichstetter	For	For
1.11	Elect Director Fayez Sarofim	For	For
1.12	Elect Director C. Park Shaper	For	For
1.13	Elect Director William A. Smith	For	For
1.14	Elect Director Joel V. Staff	For	For
1.15	Elect Director Robert F. Vagt	For	For
1.16	Elect Director Perry M. Waughtal	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Adopt Proxy Access Right	Against	For
4	Report on Methane Emissions	Against	For
5	Report on Annual Sustainability	Against	For
6	Report on Capital Expenditure Strategy with Respect to Climate Change Policy	Against	For

Company : Murphy Oil Corporation

Ticker : MUR

CUSIP No. : 626717102

Meeting Date : 5/10/2017

Meeting Type : Annual

ISIN : US6267171022

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director T. Jay Collins	For	For
1b	Elect Director Steven A. Cosse	For	For
1c	Elect Director Claiborne P. Deming	For	For
1d	Elect Director Lawrence R. Dickerson	For	For
1e	Elect Director Roger W. Jenkins	For	For
1f	Elect Director Elisabeth W. Keller	For	For



## Proxy Voting Results

### First Trust AlphaDEX™ US Energy Sector Index ETF

1g	Elect Director James V. Kelley	For	For
1h	Elect Director Walentin Mirosh	For	For
1i	Elect Director R. Madison Murphy	For	For
1j	Elect Director Jeffrey W. Nolan	For	For
1k	Elect Director Neal E. Schmale	For	For
1l	Elect Director Laura A. Sugg	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Amend Omnibus Stock Plan	For	For
5	Ratify KPMG LLP as Auditors	For	For

Company : Apache Corporation

Ticker : APA

CUSIP No. : 037411105

Meeting Date : 5/11/2017

Meeting Type : Annual

ISIN : US0374111054

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Elect Director Annell R. Bay	For	For
2	Elect Director John J. Christmann, IV	For	For
3	Elect Director Chansoo Joung	For	For
4	Elect Director William C. Montgomery	For	For
5	Elect Director Amy H. Nelson	For	For
6	Elect Director Daniel W. Rabun	For	For
7	Elect Director Peter A. Ragauss	For	For
8	Ratify Ernst & Young LLP as Auditors	For	For
9	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
10	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Cimarex Energy Co.

Ticker : XEC

CUSIP No. : 171798101

Meeting Date : 5/11/2017

Meeting Type : Annual

ISIN : US1717981013

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director David A. Hentschel	For	For
1.2	Elect Director Thomas E. Jordan	For	For
1.3	Elect Director Floyd R. Price	For	For
1.4	Elect Director Frances M. Vallejo	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify KPMG LLP as Auditors	For	For

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First Trust AlphaDEX™ US Energy Sector Index ETF

Company : Transocean Ltd.

Ticker : RIG

CUSIP No. : H8817H100

Meeting Date : 5/11/2017

Meeting Type : Annual

ISIN : CH0048265513

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Discharge of Board and Senior Management	For	Against
3	Appropriation of Available Earnings for Fiscal Year 2016	For	For
4A	Elect Director Glyn A. Barker	For	For
4B	Elect Director Vanessa C.L. Chang	For	For
4C	Elect Director Frederico F. Curado	For	For
4D	Elect Director Chadwick C. Deaton	For	For
4E	Elect Director Vincent J. Intrieri	For	For
4F	Elect Director Martin B. McNamara	For	For
4G	Elect Director Samuel J. Merksamer	For	For
4H	Elect Director Merrill A. "Pete" Miller, Jr.	For	For
4I	Elect Director Edward R. Muller	For	For
4J	Elect Director Tan Ek Kia	For	For
4K	Elect Director Jeremy D. Thigpen	For	For
5	Elect Merrill A. "Pete" Miller, Jr. as Board Chairman	For	For
6A	Appoint Frederico F. Curado as Member of the Compensation Committee	For	For
6B	Appoint Vincent J. Intrieri as Member of the Compensation Committee	For	For
6C	Appoint Martin B. McNamara as Member of the Compensation Committee	For	For
6D	Appoint Tan Ek Kia as Member of the Compensation Committee	For	For
7	Designate Schweiger Advokatur/Notariat as Independent Proxy Appointment Of Ernst & Young LLP as Independent Registered Public Accounting Firm for Fiscal Year 2017 and Reelection of Ernst & Young Ltd, Zurich as the Company's Auditor for a Further	For	For
8	One-Year Term	For	For
9	Advisory Vote to Ratify Named Executive Officers' Compensation Approve Maximum Remuneration of Board of Directors for the Period Between the 2017 and 2018 Annual General Meetings in the Amount of USD 4.12 Million	For	For
10A		For	For
10B	Approve Maximum Remuneration of the Executive Management Team for Fiscal Year 2018 in the Amount of USD 24 Million	For	For
11	Advisory Vote on Say on Pay Frequency	One Year	One Year
12	Other Business	For	Against

## Proxy Voting Results

### First Trust AlphaDEX™ US Energy Sector Index ETF

Company : Dril-Quip, Inc.

Ticker : DRQ

CUSIP No. : 262037104

Meeting Date : 5/12/2017

Meeting Type : Annual

ISIN : US2620371045

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Blake T. DeBerry	For	For
1.2	Elect Director John V. Lovoi	For	For
2	Ratify Pricewaterhousecoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Approve Omnibus Stock Plan	For	Against

Company : ConocoPhillips

Ticker : COP

CUSIP No. : 20825C104

Meeting Date : 5/16/2017

Meeting Type : Annual

ISIN : US20825C1045

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Richard L. Armitage	For	For
1b	Elect Director Richard H. Auchinleck	For	For
1c	Elect Director Charles E. Bunch	For	For
1d	Elect Director John V. Faraci	For	For
1e	Elect Director Jody L. Freeman	For	For
1f	Elect Director Gay Huey Evans	For	For
1g	Elect Director Ryan M. Lance	For	For
1h	Elect Director Arjun N. Murti	For	For
1i	Elect Director Robert A. Niblock	For	For
1j	Elect Director Harald J. Norvik	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
4	Advisory Vote on Say on Pay Frequency	None	One Year
5	Report on Lobbying Payments and Policy	Against	For
6	Report on Executive Compensation Incentives Aligned with Low Carbon Scenarios	Against	Against

Company : Diamond Offshore Drilling, Inc.

Ticker : DO

CUSIP No. : 25271C102

Meeting Date : 5/16/2017

Meeting Type : Annual

ISIN : US25271C1027

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director James S. Tisch	For	Against
1b	Elect Director Marc Edwards	For	Against
1c	Elect Director John R. Bolton	For	For
1d	Elect Director Charles L. Fabrikant	For	For
1e	Elect Director Paul G. Gaffney, II	For	For
1f	Elect Director Edward Grebow	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Energy Sector Index ETF

1g	Elect Director Herbert C. Hofmann	For	Against
1h	Elect Director Kenneth I. Siegel	For	Against
1i	Elect Director Clifford M. Sobel	For	For
1j	Elect Director Andrew H. Tisch	For	Against
1k	Elect Director Raymond S. Troubh	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Report on Sustainability	Against	For

Company : QEP Resources, Inc.

Ticker : QEP

CUSIP No. : 74733V100

Meeting Date : 5/16/2017

Meeting Type : Annual

ISIN : US74733V1008

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Robert F. Heinemann	For	For
1b	Elect Director Michael J. Minarovic	For	For
1c	Elect Director David A. Trice	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Amend Executive Incentive Bonus Plan	For	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
6	Eliminate Supermajority Vote Requirement	For	For

Company : First Solar, Inc.

Ticker : FSLR

CUSIP No. : 336433107

Meeting Date : 5/17/2017

Meeting Type : Annual

ISIN : US3364331070

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Michael J. Ahearn	For	For
1.2	Elect Director Sharon L. Allen	For	For
1.3	Elect Director Richard D. Chapman	For	For
1.4	Elect Director George A. ('Chip') Hambro	For	For
1.5	Elect Director Craig Kennedy	For	For
1.6	Elect Director James F. Nolan	For	For
1.7	Elect Director William J. Post	For	For
1.8	Elect Director J. Thomas Presby	For	For
1.9	Elect Director Paul H. Stebbins	For	For
1.10	Elect Director Michael T. Sweeney	For	For
1.11	Elect Director Mark R. Widmar	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	Three Years	One Year

## Proxy Voting Results

### First Trust AlphaDEX™ US Energy Sector Index ETF

Company : National Oilwell Varco, Inc.  
 Ticker : NOV  
 CUSIP No. : 637071101

Meeting Date : 5/17/2017  
 Meeting Type : Annual  
 ISIN : US6370711011

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Clay C. Williams	For	For
1B	Elect Director Greg L. Armstrong	For	For
1C	Elect Director Marcela E. Donadio	For	For
1D	Elect Director Ben A. Guill	For	For
1E	Elect Director James T. Hackett	For	For
1F	Elect Director David D. Harrison	For	For
1G	Elect Director Eric L. Mattson	For	For
1H	Elect Director William R. Thomas	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Adopt Proxy Access Right	For	For

Company : Range Resources Corporation  
 Ticker : RRC  
 CUSIP No. : 75281A109

Meeting Date : 5/17/2017  
 Meeting Type : Annual  
 ISIN : US75281A1097

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Brenda A. Cline	For	For
1b	Elect Director Anthony V. Dub	For	For
1c	Elect Director Allen Finkelson	For	For
1d	Elect Director James M. Funk	For	For
1e	Elect Director Christopher A. Helms	For	For
1f	Elect Director Robert A. Innamorati	For	For
1g	Elect Director Mary Ralph Lowe	For	For
1h	Elect Director Greg G. Maxwell	For	For
1i	Elect Director Kevin S. McCarthy	For	For
1j	Elect Director Steffen E. Palko	For	For
1k	Elect Director Jeffrey L. Ventura	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For
5	Report on Political Contributions	Against	For

Company : Continental Resources, Inc.  
 Ticker : CLR  
 CUSIP No. : 212015101

Meeting Date : 5/18/2017  
 Meeting Type : Annual  
 ISIN : US2120151012

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director William B. Berry	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Energy Sector Index ETF

1.2	Elect Director James L. Gallogly	For	For
2	Ratify Grant Thornton LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	Three Years	One Year
5	Adopt Policy to Improve Board Diversity	Against	For

Company : PBF Energy Inc.

Ticker : PBF

CUSIP No. : 69318G106

Meeting Date : 5/18/2017

Meeting Type : Annual

ISIN : US69318G1067

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Thomas J. Nimbley	For	For
1.2	Elect Director Spencer Abraham	For	For
1.3	Elect Director Wayne A. Budd	For	For
1.4	Elect Director S. Eugene Edwards	For	For
1.5	Elect Director William E. Hantke	For	For
1.6	Elect Director Dennis M. Houston	For	For
1.7	Elect Director Edward F. Kosnik	For	For
1.8	Elect Director Robert J. Lavinia	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Approve Omnibus Stock Plan	For	For

Company : The Williams Companies, Inc.

Ticker : WMB

CUSIP No. : 969457100

Meeting Date : 5/18/2017

Meeting Type : Annual

ISIN : US9694571004

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Alan S. Armstrong	For	For
1.2	Elect Director Stephen W. Bergstrom	For	For
1.3	Elect Director Stephen I. Chazen	For	For
1.4	Elect Director Charles I. Cogut	For	For
1.5	Elect Director Kathleen B. Cooper	For	For
1.6	Elect Director Michael A. Creel	For	For
1.7	Elect Director Peter A. Ragauss	For	For
1.8	Elect Director Scott D. Sheffield	For	For
1.9	Elect Director Murray D. Smith	For	For
1.10	Elect Director William H. Spence	For	For
1.11	Elect Director Janice D. Stoney	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

## Proxy Voting Results

### First Trust AlphaDEX™ US Energy Sector Index ETF

Company : WPX Energy, Inc.  
 Ticker : WPX  
 CUSIP No. : 98212B103

Meeting Date : 5/18/2017  
 Meeting Type : Annual  
 ISIN : US98212B1035

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John A. Carrig	For	For
1.2	Elect Director William R. Granberry	For	For
1.3	Elect Director Robert K. Herdman	For	For
1.4	Elect Director Kelt Kindick	For	For
1.5	Elect Director Karl F. Kurz	For	For
1.6	Elect Director Henry E. Lentz	For	For
1.7	Elect Director George A. Lorch	For	For
1.8	Elect Director William G. Lowrie	For	For
1.9	Elect Director Kimberly S. Lubel	For	For
1.10	Elect Director Richard E. Muncrief	For	For
1.11	Elect Director David F. Work	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

Company : Chesapeake Energy Corporation  
 Ticker : CHK  
 CUSIP No. : 165167107

Meeting Date : 5/19/2017  
 Meeting Type : Annual  
 ISIN : US1651671075

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Gloria R. Boyland	For	For
1b	Elect Director Luke R. Corbett	For	For
1c	Elect Director Archie W. Dunham	For	For
1d	Elect Director Robert D. Lawler	For	For
1e	Elect Director R. Brad Martin	For	For
1f	Elect Director Merrill A. ('Pete') Miller, Jr.	For	For
1g	Elect Director Thomas L. Ryan	For	For
2	Increase Authorized Common Stock	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Frank's International N.V.  
 Ticker : FI  
 CUSIP No. : 096493495

Meeting Date : 5/19/2017  
 Meeting Type : Annual  
 ISIN : NL0010556684

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Michael C. Kearney	For	For
1b	Elect Director William B. Berry	For	For
1c	Elect Director Robert W. Drummond	For	For
1d	Elect Director Michael E. McMahon	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Energy Sector Index ETF

1e	Elect Director D. Keith Mosing	For	For
1f	Elect Director Kirkland D. Mosing	For	For
1g	Elect Director S. Brent Mosing	For	For
1h	Elect Director Douglas Stephens	For	For
1i	Elect Director Alexander Vriesendorp	For	For
	Replace Frank's International Management B.V. ("FIM BV") as Managing Director of the Company with the Appointment of Alejandro Cestero, Burney J. Latiolais, Jr. and Kyle McClure as		
2	Managing Directors	For	For
3	Adopt Financial Statements and Statutory Reports	For	For
4	Approve Discharge of Supervisory Board	For	For
5	Approve Discharge of Management Board	For	For
6	Ratify PricewaterhouseCoopers Accountants N.V. as Auditors	For	For
7	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
8	Approve Remuneration of Supervisory Board	For	For
9	Approve Remuneration of Management Board	For	For
10	Authorize Management to Repurchase Shares	For	For
	Amend Articles of Association to Delete References to Preference		
11a	Shares and Update	For	For
	Amend Articles of Association to Extend the Period to Issue		
11b	Shares	For	Against
	Amend Articles of Association to Authorized Van Campen Liem, Amsterdam, The Netherlands, to sign Notarial Deed of		
11c	Amendment Articles	For	For

Company : [EnscO plc](#)  
 Ticker : [ESV](#)  
 CUSIP No. : [29358Q109](#)

Meeting Date : [5/22/2017](#)  
 Meeting Type : [Annual](#)  
 ISIN : [GB00B4VLR192](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Re-elect J. Roderick Clark as Director	For	For
1b	Re-elect Roxanne J. Decyk as Director	For	For
1c	Re-elect Mary E. Francis as Director	For	For
1d	Re-elect C. Christopher Gaut as Director	For	For
1e	Re-elect Gerald W. Haddock as Director	For	For
1f	Re-elect Francis S. Kalman as Director	For	For
1g	Re-elect Keith O. Rattie as Director	For	For
1h	Re-elect Paul E. Rowsey, III as Director	For	For
1i	Re-elect Carl G. Trowell as Director	For	For
2	Ratify KPMG LLP as US Independent Auditor	For	For
3	Appoint KPMG LLP as UK Statutory Auditor	For	For
4	Authorize Board to Fix Remuneration of Auditors	For	For
5	Amend Omnibus Stock Plan	For	For
6	Approve Remuneration Policy	For	For
7	Approve Remuneration Report	For	For



## Proxy Voting Results

### First Trust AlphaDEX™ US Energy Sector Index ETF

8	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
9	Advisory Vote on Say on Pay Frequency	One Year	One Year
10	Accept Financial Statements and Statutory Reports	For	For
11	Authorize Issue of Equity with Pre-emptive Rights	For	For
12	Authorize Issue of Equity without Pre-emptive Rights	For	For
13	Authorize Issue of Equity without Pre-emptive Rights In Connection with an Acquisition or Specified Capital Investment	For	For

Company : Targa Resources Corp.

Ticker : TRGP

CUSIP No. : 87612G101

Meeting Date : 5/22/2017

Meeting Type : Annual

ISIN : US87612G1013

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Charles R. Crisp	For	For
1.2	Elect Director Laura C. Fulton	For	For
1.3	Elect Director Michael A. Heim	For	For
1.4	Elect Director James W. Whalen	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Omnibus Stock Plan	For	Against
6	Approve Issuance of Shares of Common Stock Upon Conversion of Series A Preferred Stock and Exercise of Outstanding Warrants	For	For

Company : SM Energy Company

Ticker : SM

CUSIP No. : 78454L100

Meeting Date : 5/23/2017

Meeting Type : Annual

ISIN : US78454L1008

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Larry W. Bickle	For	For
1.2	Elect Director Stephen R. Brand	For	For
1.3	Elect Director Loren M. Leiker	For	For
1.4	Elect Director Javan D. Ottoson	For	For
1.5	Elect Director Ramiro G. Peru	For	For
1.6	Elect Director Julio M. Quintana	For	For
1.7	Elect Director Rose M. Robeson	For	For
1.8	Elect Director William D. Sullivan	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Qualified Employee Stock Purchase Plan	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Energy Sector Index ETF

Company : Southwestern Energy Company  
 Ticker : SWN  
 CUSIP No. : 845467109

Meeting Date : 5/23/2017  
 Meeting Type : Annual  
 ISIN : US8454671095

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John D. Gass	For	For
1.2	Elect Director Catherine A. Kehr	For	For
1.3	Elect Director Greg D. Kerley	For	Against
1.4	Elect Director Jon A. Marshall	For	For
1.5	Elect Director Elliott Pew	For	For
1.6	Elect Director Terry W. Rathert	For	For
1.7	Elect Director Alan H. Stevens	For	For
1.8	Elect Director William J. Way	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Amend Omnibus Stock Plan	For	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
6	Proxy Voting Tabulation	Against	Against

Company : ONEOK, Inc.  
 Ticker : OKE  
 CUSIP No. : 682680103

Meeting Date : 5/24/2017  
 Meeting Type : Annual  
 ISIN : US6826801036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Brian L. Derksen	For	For
1.2	Elect Director Julie H. Edwards	For	For
1.3	Elect Director John W. Gibson	For	For
1.4	Elect Director Randall J. Larson	For	For
1.5	Elect Director Steven J. Malcolm	For	For
1.6	Elect Director Kevin S. McCarthy - WITHDRAWN RESOLUTION	0	0
1.7	Elect Director Jim W. Mogg	For	For
1.8	Elect Director Pattye L. Moore	For	For
1.9	Elect Director Gary D. Parker	For	For
1.10	Elect Director Eduardo A. Rodriguez	For	For
1.11	Elect Director Terry K. Spencer	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

## Proxy Voting Results

### First Trust AlphaDEX™ US Energy Sector Index ETF

Company : Rowan Companies plc  
 Ticker : RDC  
 CUSIP No. : 779382100

Meeting Date : 5/25/2017  
 Meeting Type : Annual  
 ISIN : GB00B6SLMV12

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director William E. Albrecht	For	For
1b	Elect Director Thomas P. Burke	For	For
1c	Elect Director Thomas R. Hix	For	For
1d	Elect Director Jack B. Moore	For	For
1e	Elect Director Thierry Pilenko	For	For
1f	Elect Director Suzanne P. Nimocks	For	For
1g	Elect Director John J. Quicke	For	For
1h	Elect Director Tore I. Sandvold	For	For
1i	Elect Director Charles L. Szews	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Approve Remuneration Policy	For	For
5	Approve Remuneration Report	For	For
6	Accept Financial Statements and Statutory Reports	For	For
7	Ratify Deloitte U.S as Auditors	For	For
8	Reappoint Deloitte U.K. as Auditors	For	For
9	Authorize Board to Fix Remuneration of Auditors	For	For
10	Amend Omnibus Stock Plan	For	For
11	Resolution to Approve the Form of Share Repurchase Contracts and Repurchase Counterparties	For	For
12	Resolution Authorizing the Board to Allot Equity Securities	For	For
13	Issue of Equity or Equity-Linked Securities without Pre-emptive Rights	For	For
14	Issue of Equity or Equity-Linked Securities without Pre-emptive Rights	For	For

Company : World Fuel Services Corporation  
 Ticker : INT  
 CUSIP No. : 981475106

Meeting Date : 5/25/2017  
 Meeting Type : Annual  
 ISIN : US9814751064

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Michael J. Kasbar	For	For
1.2	Elect Director Ken Bakshi	For	For
1.3	Elect Director Jorge L. Benitez	For	For
1.4	Elect Director Richard A. Kassar	For	For
1.5	Elect Director John L. Manley	For	For
1.6	Elect Director J. Thomas Presby	For	For
1.7	Elect Director Stephen K. Roddenberry	For	For
1.8	Elect Director Paul H. Stebbins	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Energy Sector Index ETF

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : **Chevron Corporation**

Ticker : **CVX**

CUSIP No. : **166764100**

Meeting Date : **5/31/2017**

Meeting Type : **Annual**

ISIN : **US1667641005**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Wanda M. Austin	For	For
1b	Elect Director Linnet F. Deily	For	For
1c	Elect Director Robert E. Denham	For	For
1d	Elect Director Alice P. Gast	For	For
1e	Elect Director Enrique Hernandez, Jr.	For	For
1f	Elect Director Jon M. Huntsman, Jr.	For	For
1g	Elect Director Charles W. Moorman, IV	For	For
1h	Elect Director Dambisa F. Moyo	For	For
1i	Elect Director Ronald D. Sugar	For	For
1j	Elect Director Inge G. Thulin	For	For
1k	Elect Director John S. Watson	For	For
1l	Elect Director Michael K. Wirth	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Report on Lobbying Payments and Policy	Against	For
6	Report on Risks of Doing Business in Conflict-Affected Areas Annually Assess Portfolio Impacts of Policies to Meet 2-degree	Against	Against
7	Scenario *Withdrawn Resolution*	0	0
8	Assess and Report on Transition to a Low Carbon Economy	Against	For
9	Require Independent Board Chairman	Against	For
10	Require Director Nominee with Environmental Experience	Against	For
11	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	For

Company : **Exxon Mobil Corporation**

Ticker : **XOM**

CUSIP No. : **30231G102**

Meeting Date : **5/31/2017**

Meeting Type : **Annual**

ISIN : **US30231G1022**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Susan K. Avery	For	For
1.2	Elect Director Michael J. Boskin	For	For
1.3	Elect Director Angela F. Braly	For	For
1.4	Elect Director Ursula M. Burns	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Energy Sector Index ETF

1.5	Elect Director Henrietta H. Fore	For	For
1.6	Elect Director Kenneth C. Frazier	For	For
1.7	Elect Director Douglas R. Oberhelman	For	For
1.8	Elect Director Samuel J. Palmisano	For	For
1.9	Elect Director Steven S. Reinemund	For	For
1.10	Elect Director William C. Weldon	For	For
1.11	Elect Director Darren W. Woods	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Require Independent Board Chairman	Against	For
6	Require a Majority Vote for the Election of Directors	Against	For
7	Amend Bylaws -- Call Special Meetings	Against	For
8	Amend Bylaws to Prohibit Precatory Proposals	Against	Against
9	Disclose Percentage of Females at Each Percentile of Compensation	Against	Against
10	Report on Lobbying Payments and Policy	Against	For
11	Increase Return of Capital to Shareholders in Light of Climate Change Risks	Against	Against
12	Report on Climate Change Policies	Against	For
13	Report on Methane Emissions	Against	For

**Company** : Marathon Oil Corporation

**Ticker** : MRO

**CUSIP No.** : 565849106

**Meeting Date** : 5/31/2017

**Meeting Type** : Annual

**ISIN** : US5658491064

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Gaurdie E. Banister, Jr.	For	For
1b	Elect Director Gregory H. Boyce	For	For
1c	Elect Director Chadwick C. Deaton	For	For
1d	Elect Director Marcela E. Donadio	For	For
1e	Elect Director Philip Lader	For	For
1f	Elect Director Michael E. J. Phelps	For	For
1g	Elect Director Dennis H. Reilley	For	For
1h	Elect Director Lee M. Tillman	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

**Company** : Rice Energy Inc.

**Ticker** : RICE

**CUSIP No.** : 762760106

**Meeting Date** : 5/31/2017

**Meeting Type** : Annual

**ISIN** : US7627601062

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Daniel J. Rice, III	For	Withhold

## Proxy Voting Results

### First Trust AlphaDEX™ US Energy Sector Index ETF

1.2	Elect Director John McCartney	For	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

Company : Parsley Energy, Inc.

Meeting Date : 6/2/2017

Ticker : PE

Meeting Type : Annual

CUSIP No. : 701877102

ISIN : US7018771029

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Bryan Sheffield	For	For
1b	Elect Director A.R. Alameddine	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Adopt Majority Voting for Uncontested Election of Directors	For	For

Company : Nabors Industries Ltd.

Meeting Date : 6/6/2017

Ticker : NBR

Meeting Type : Annual

CUSIP No. : G6359F103

ISIN : BMG6359F1032

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Tanya S. Beder	For	For
1.2	Elect Director James R. Crane	For	Withhold
1.3	Elect Director John P. Kotts	For	Withhold
1.4	Elect Director Michael C. Linn	For	Withhold
1.5	Elect Director Anthony G. Petrello	For	Withhold
1.6	Elect Director Dag Skattum	For	Withhold
1.7	Elect Director John Yearwood	For	Withhold
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Approve Remuneration Report	For	Against
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Adopt Proxy Access Right	Against	For

Company : Devon Energy Corporation

Meeting Date : 6/7/2017

Ticker : DVN

Meeting Type : Annual

CUSIP No. : 25179M103

ISIN : US25179M1036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Barbara M. Baumann	For	For
1.2	Elect Director John E. Bethancourt	For	For
1.3	Elect Director David A. Hager	For	For
1.4	Elect Director Robert H. Henry	For	For
1.5	Elect Director Michael M. Kanovsky	For	For
1.6	Elect Director Robert A. Mosbacher, Jr.	For	For
1.7	Elect Director Duane C. Radtke	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Energy Sector Index ETF

1.8	Elect Director Mary P. Ricciardello	For	For
1.9	Elect Director John Richels	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify KPMG LLP as Auditors	For	For
5	Amend Executive Incentive Bonus Plan	For	For
6	Approve Omnibus Stock Plan	For	For
7	Review Public Policy Advocacy on Climate Change Annually Assess Portfolio Impacts of Policies to Meet 2 Degree	Against	For
8	Scenario	Against	For
9	Report on Lobbying Payments and Policy Report on Using Oil and Gas Reserve Metrics for Named	Against	For
10	Executive's Compensation	Against	Against

**Company** : **Diamondback Energy, Inc.**  
**Ticker** : **FANG**  
**CUSIP No.** : **25278X109**

**Meeting Date** : **6/7/2017**  
**Meeting Type** : **Annual**  
**ISIN** : **US25278X1090**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Steven E. West	For	For
1.2	Elect Director Travis D. Stice	For	For
1.3	Elect Director Michael P. Cross	For	For
1.4	Elect Director David L. Houston	For	For
1.5	Elect Director Mark L. Plaumann	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify Grant Thornton LLP as Auditors	For	For

**Company** : **Hess Corporation**  
**Ticker** : **HES**  
**CUSIP No.** : **42809H107**

**Meeting Date** : **6/7/2017**  
**Meeting Type** : **Annual**  
**ISIN** : **US42809H1077**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Rodney F. Chase	For	For
1.2	Elect Director Terrence J. Checki	For	For
1.3	Elect Director Leonard S. Coleman, Jr.	For	For
1.4	Elect Director John B. Hess	For	For
1.5	Elect Director Edith E. Holiday	For	For
1.6	Elect Director Risa Lavizzo-Mourey	For	For
1.7	Elect Director Marc S. Lipschultz	For	For
1.8	Elect Director David McManus	For	For
1.9	Elect Director Kevin O. Meyers	For	For
1.10	Elect Director James H. Quigley	For	For
1.11	Elect Director Fredric G. Reynolds	For	For
1.12	Elect Director William G. Schrader	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Energy Sector Index ETF

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For
5	Approve Omnibus Stock Plan	For	For
6	Report on Plans to Address Stranded Carbon Asset Risks	Against	For

**Company** : Gulfport Energy Corporation  
**Ticker** : GPOR  
**CUSIP No.** : 402635304

**Meeting Date** : 6/8/2017  
**Meeting Type** : Annual  
**ISIN** : US4026353049

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Michael G. Moore	For	For
1.2	Elect Director Craig Groeschel	For	For
1.3	Elect Director David L. Houston	For	For
1.4	Elect Director C. Doug Johnson	For	For
1.5	Elect Director Ben T. Morris	For	For
1.6	Elect Director Scott E. Streller	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Grant Thornton LLP as Auditors	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

**Company** : Antero Resources Corporation  
**Ticker** : AR  
**CUSIP No.** : 03674X106

**Meeting Date** : 6/21/2017  
**Meeting Type** : Annual  
**ISIN** : US03674X1063

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Paul M. Rady	For	For
1.2	Elect Director Glen C. Warren, Jr.	For	For
1.3	Elect Director James R. Levy	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against

**Company** : Patterson-UTL Energy, Inc.  
**Ticker** : PTEN  
**CUSIP No.** : 703481101

**Meeting Date** : 6/29/2017  
**Meeting Type** : Annual  
**ISIN** : US7034811015

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Mark S. Siegel	For	For
1.2	Elect Director Charles O. Buckner	For	For
1.3	Elect Director Michael W. Conlon	For	For
1.4	Elect Director William Andrew Hendricks, Jr.	For	For
1.5	Elect Director Curtis W. Huff	For	For
1.6	Elect Director Terry H. Hunt	For	For
1.7	Elect Director Tiffany J. Thom	For	For



## Proxy Voting Results

### First Trust AlphaDEX™ US Energy Sector Index ETF

2	Amend Omnibus Stock Plan	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
5	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : ONEOK, Inc.

Ticker : OKE

CUSIP No. : 682680103

Meeting Date : 6/30/2017

Meeting Type : Special

ISIN : US6826801036

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Issue Shares in Connection with the Merger	For	For
2	Increase Authorized Common Stock	For	For
3	Adjourn Meeting	For	For