

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

Company : EXACT Sciences Corporation

Ticker : EXAS

CUSIP No. : 30063P105

Meeting Date : 2019-07-25

Meeting Type : Annual

ISIN : US30063P1057

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Kevin T. Conroy	For	For
1.2	Elect Director Katherine S. Zanotti	For	For
2	Ratify BDO USA, LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Approve Omnibus Stock Plan	For	For

Company : Jazz Pharmaceuticals plc

Ticker : JAZZ

CUSIP No. : G50871105

Meeting Date : 2019-08-01

Meeting Type : Annual

ISIN : IE00B4Q5ZN47

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Paul L. Berns	For	For
1b	Elect Director Patrick G. Enright	For	For
1c	Elect Director Seamus Mulligan	For	For
1d	Elect Director Norbert G. Riedel	For	For
2	Approve KPMG, Dublin as Auditors and Authorize Board to Fix Their Remuneration	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Medidata Solutions, Inc.

Ticker : MDSO

CUSIP No. : 58471A105

Meeting Date : 2019-08-16

Meeting Type : Special

ISIN : US58471A1051

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Merger Agreement	For	For
2	Advisory Vote on Golden Parachutes	For	For
3	Adjourn Meeting	For	For

Company : Bio-Techne Corporation

Ticker : TECH

CUSIP No. : 09073M104

Meeting Date : 2019-10-24

Meeting Type : Annual

ISIN : US09073M1045

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Fix Number of Directors at Nine	For	For
2a	Elect Director Robert V. Baumgartner	For	For
2b	Elect Director John L. Higgins	For	For
2c	Elect Director Joseph D. Keegan	For	For
2d	Elect Director Charles R. Kummeth	For	For
2e	Elect Director Roeland Nusse	For	For
2f	Elect Director Alpna Seth	For	For

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### First Trust AlphaDEX US Health Care Sector Index ETF

2g	Elect Director Randolph C. Steer	For	For
2h	Elect Director Rupert Vessey	For	For
2i	Elect Director Harold J. Wiens	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Ratify KPMG LLP as Auditors	For	For

Company : ResMed Inc.

Ticker : RMD

CUSIP No. : 761152107

Meeting Date : 2019-11-21

Meeting Type : Annual

ISIN : US7611521078

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Carol Burt	For	For
1b	Elect Director Jan De Witte	For	For
1c	Elect Director Rich Sulpizio	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Medtronic plc

Ticker : MDT

CUSIP No. : G5960L103

Meeting Date : 2019-12-06

Meeting Type : Annual

ISIN : IE00BTN1Y115

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Richard H. Anderson	For	For
1b	Elect Director Craig Arnold	For	For
1c	Elect Director Scott C. Donnelly	For	For
1d	Elect Director Andrea J. Goldsmith	For	For
1e	Elect Director Randall J. Hogan, III	For	For
1f	Elect Director Omar Ishrak	For	For
1g	Elect Director Michael O. Leavitt	For	For
1h	Elect Director James T. Lenehan	For	For
1i	Elect Director Geoffrey S. Martha	For	For
1j	Elect Director Elizabeth G. Nabel	For	For
1k	Elect Director Denise M. O'Leary	For	For
1l	Elect Director Kendall J. Powell	For	For
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Renew the Board's Authority to Issue Shares Under Irish Law	For	For
5	Renew the Board's Authority to Opt-Out of Statutory Pre-Emptions Rights Under Irish Law	For	For
6	Authorize Overseas Market Purchases of Ordinary Shares	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

**Company** : Premier, Inc.  
**Ticker** : PINC  
**CUSIP No.** : 74051N102

**Meeting Date** : 2019-12-06  
**Meeting Type** : Annual  
**ISIN** : US74051N1028

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Susan D. DeVore	For	Withhold
1.2	Elect Director Jody R. Davids	For	For
1.3	Elect Director Peter S. Fine	For	Withhold
1.4	Elect Director David H. Langstaff	For	For
1.5	Elect Director Marvin R. O'Quinn	For	Withhold
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

**Company** : Cantel Medical Corp.  
**Ticker** : CMD  
**CUSIP No.** : 138098108

**Meeting Date** : 2019-12-18  
**Meeting Type** : Annual  
**ISIN** : US1380981084

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Charles M. Diker	For	For
1b	Elect Director Alan R. Batkin	For	For
1c	Elect Director Ann E. Berman	For	For
1d	Elect Director Mark N. Diker	For	For
1e	Elect Director Anthony B. Evnin	For	For
1f	Elect Director Laura L. Forese	For	For
1g	Elect Director George L. Fotiades	For	For
1h	Elect Director Ronnie Myers	For	For
1i	Elect Director Karen N. Prange	For	For
1j	Elect Director Peter J. Pronovost	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

**Company** : DaVita Inc.  
**Ticker** : DVA  
**CUSIP No.** : 23918K108

**Meeting Date** : 2020-01-23  
**Meeting Type** : Special  
**ISIN** : US23918K1088

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Amend Omnibus Stock Plan	For	For

**Company** : Becton, Dickinson and Company  
**Ticker** : BDX  
**CUSIP No.** : 075887109

**Meeting Date** : 2020-01-28  
**Meeting Type** : Annual  
**ISIN** : US0758871091

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Catherine M. Burzik	For	For
1.2	Elect Director R. Andrew Eckert	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

1.3	Elect Director Vincent A. Forlenza	For	For
1.4	Elect Director Claire M. Fraser	For	For
1.5	Elect Director Jeffrey W. Henderson	For	For
1.6	Elect Director Christopher Jones	For	For
1.7	Elect Director Marshall O. Larsen	For	For
1.8	Elect Director David F. Melcher	For	For
1.9	Elect Director Thomas E. Polen	For	For
1.10	Elect Director Claire Pomeroy	For	For
1.11	Elect Director Rebecca W. Rimel	For	For
1.12	Elect Director Timothy M. Ring	For	For
1.13	Elect Director Bertram L. Scott	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Amend Omnibus Stock Plan	For	For
5	Amend Omnibus Stock Plan	For	For
6	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For

Company : **Varian Medical Systems, Inc.**

Ticker : **VAR**

CUSIP No. : **92220P105**

Meeting Date : **2020-02-13**

Meeting Type : **Annual**

ISIN : **US92220P1057**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Anat Ashkenazi	For	For
1b	Elect Director Jeffrey R. Balsler	For	For
1c	Elect Director Judy Bruner	For	For
1d	Elect Director Jean-Luc Butel	For	For
1e	Elect Director Regina E. Dugan	For	For
1f	Elect Director R. Andrew Eckert	For	For
1g	Elect Director Phillip G. Febbo	For	For
1h	Elect Director David J. Illingworth	For	For
1i	Elect Director Michelle M. Le Beau	For	For
1j	Elect Director Dow R. Wilson	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Amend Qualified Employee Stock Purchase Plan	For	For
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : **Hill-Rom Holdings, Inc.**

Ticker : **HRC**

CUSIP No. : **431475102**

Meeting Date : **2020-02-25**

Meeting Type : **Annual**

ISIN : **US4314751029**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director William G. Dempsey	For	For
1.2	Elect Director Gary L. Ellis	For	For
1.3	Elect Director Stacy Enxing Seng	For	For

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### First Trust AlphaDEX US Health Care Sector Index ETF

1.4	Elect Director Mary Garrett	For	For
1.5	Elect Director James R. Giertz	For	For
1.6	Elect Director John P. Groetelaars	For	For
1.7	Elect Director William H. Kucheman	For	For
1.8	Elect Director Ronald A. Malone	For	For
1.9	Elect Director Gregory J. Moore	For	For
1.10	Elect Director Felicia F. Norwood	For	For
1.11	Elect Director Nancy M. Schlichting	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Amend Qualified Employee Stock Purchase Plan	For	For

Company : AmerisourceBergen Corporation  
 Ticker : ABC  
 CUSIP No. : 03073E105

Meeting Date : 2020-03-05  
 Meeting Type : Annual  
 ISIN : US03073E1055

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Ornella Barra	For	For
1.2	Elect Director Steven H. Collis	For	For
1.3	Elect Director D. Mark Durcan	For	For
1.4	Elect Director Richard W. Gochbauer	For	For
1.5	Elect Director Lon R. Greenberg	For	For
1.6	Elect Director Jane E. Henney	For	For
1.7	Elect Director Kathleen W. Hyle	For	For
1.8	Elect Director Michael J. Long	For	For
1.9	Elect Director Henry W. McGee	For	For
1.10	Elect Director Dennis M. Nally	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Provide Right to Act by Written Consent	Against	For
5	Adopt Policy on Bonus Banking	Against	For

Company : Hologic, Inc.  
 Ticker : HOLX  
 CUSIP No. : 436440101

Meeting Date : 2020-03-05  
 Meeting Type : Annual  
 ISIN : US4364401012

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Stephen P. MacMillan	For	For
1.2	Elect Director Sally W. Crawford	For	For
1.3	Elect Director Charles J. Dockendorff	For	For
1.4	Elect Director Scott T. Garrett	For	For
1.5	Elect Director Ludwig N. Hantson	For	For
1.6	Elect Director Namal Nawana	For	For
1.7	Elect Director Christiana Stamoulis	For	For
1.8	Elect Director Amy M. Wendell	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

**Company** : The Cooper Companies, Inc.

**Ticker** : COO

**CUSIP No.** : 216648402

**Meeting Date** : 2020-03-18

**Meeting Type** : Annual

**ISIN** : US2166484020

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director A. Thomas Bender	For	For
1.2	Elect Director Colleen E. Jay	For	For
1.3	Elect Director William A. Kozy	For	For
1.4	Elect Director Jody S. Lindell	For	For
1.5	Elect Director Gary S. Petersmeyer	For	For
1.6	Elect Director Allan E. Rubenstein	For	For
1.7	Elect Director Robert S. Weiss	For	For
1.8	Elect Director Albert G. White, III	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Approve Non-Employee Director Omnibus Stock Plan	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

**Company** : IQVIA Holdings Inc.

**Ticker** : IQV

**CUSIP No.** : 46266C105

**Meeting Date** : 2020-04-06

**Meeting Type** : Annual

**ISIN** : US46266C1053

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Carol J. Burt	For	For
1.2	Elect Director Colleen A. Goggins	For	For
1.3	Elect Director Ronald A. Rittenmeyer	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

**Company** : Agilent Technologies, Inc.

**Ticker** : A

**CUSIP No.** : 00846U101

**Meeting Date** : 2020-04-17

**Meeting Type** : Annual

**ISIN** : US00846U1016

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Heidi Kunz	For	For
1.2	Elect Director Susan H. Rataj	For	For
1.3	Elect Director George A. Scangos	For	For
1.4	Elect Director Dow R. Wilson	For	For
2	Approve Qualified Employee Stock Purchase Plan	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

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### First Trust AlphaDEX US Health Care Sector Index ETF

Company : Cigna Corporation  
 Ticker : CI  
 CUSIP No. : 125523100

Meeting Date : 2020-04-22  
 Meeting Type : Annual  
 ISIN : US1255231003

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director David M. Cordani	For	For
1.2	Elect Director William J. DeLaney	For	For
1.3	Elect Director Eric J. Foss	For	For
1.4	Elect Director Elder Granger	For	For
1.5	Elect Director Isaiah Harris, Jr.	For	For
1.6	Elect Director Roman Martinez, IV	For	For
1.7	Elect Director Kathleen M. Mazzarella	For	For
1.8	Elect Director Mark B. McClellan	For	For
1.9	Elect Director John M. Partridge	For	For
1.10	Elect Director William L. Roper	For	For
1.11	Elect Director Eric C. Wiseman	For	For
1.12	Elect Director Donna F. Zarcone	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors Reduce Ownership Threshold for Shareholders to Call Special	For	For
4	Meeting	Against	For
5	Report on Gender Pay Gap	Against	Against

Company : Humana Inc.  
 Ticker : HUM  
 CUSIP No. : 444859102

Meeting Date : 2020-04-23  
 Meeting Type : Annual  
 ISIN : US4448591028

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Kurt J. Hilzinger	For	For
1b	Elect Director Frank J. Bisignano	For	For
1c	Elect Director Bruce D. Broussard	For	For
1d	Elect Director Frank A. D'Amelio	For	For
1e	Elect Director W. Roy Dunbar	For	For
1f	Elect Director Wayne A.I. Frederick	For	For
1g	Elect Director John W. Garratt	For	For
1h	Elect Director David A. Jones, Jr.	For	For
1i	Elect Director Karen W. Katz	For	For
1j	Elect Director William J. McDonald	For	For
1k	Elect Director James J. O'Brien	For	For
1l	Elect Director Marissa T. Peterson	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

Company : Intuitive Surgical, Inc.  
Ticker : ISRG  
CUSIP No. : 46120E602

Meeting Date : 2020-04-23  
Meeting Type : Annual  
ISIN : US46120E6023

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Craig H. Barratt	For	For
1b	Elect Director Joseph C. Beery	For	For
1c	Elect Director Gary S. Guthart	For	For
1d	Elect Director Amal M. Johnson	For	For
1e	Elect Director Don R. Kania	For	For
1f	Elect Director Amy L. Ladd	For	For
1g	Elect Director Keith R. Leonard, Jr.	For	For
1h	Elect Director Alan J. Levy	For	For
1i	Elect Director Jami Dover Nachtsheim	For	For
1j	Elect Director Mark J. Rubash	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Amend Omnibus Stock Plan	For	Against
5	Eliminate Supermajority Vote Requirement	For	For
6	Provide Right to Call Special Meeting	For	For

Company : Johnson & Johnson  
Ticker : JNJ  
CUSIP No. : 478160104

Meeting Date : 2020-04-23  
Meeting Type : Annual  
ISIN : US4781601046

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Mary C. Beckerle	For	For
1b	Elect Director D. Scott Davis	For	For
1c	Elect Director Ian E. L. Davis	For	For
1d	Elect Director Jennifer A. Doudna	For	For
1e	Elect Director Alex Gorsky	For	For
1f	Elect Director Marillyn A. Hewson	For	For
1g	Elect Director Hubert Joly	For	For
1h	Elect Director Mark B. McClellan	For	For
1i	Elect Director Anne M. Mulcahy	For	For
1j	Elect Director Charles Prince	For	For
1k	Elect Director A. Eugene Washington	For	For
1l	Elect Director Mark A. Weinberger	For	For
1m	Elect Director Ronald A. Williams	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Amend Certificate of Incorporation to Permit Removal of Directors With or Without Cause	For	For
5	Require Independent Board Chair	Against	For



## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

6 Report on Governance Measures Implemented Related to Opioids Against For

Company : Pfizer Inc.  
 Ticker : PFE  
 CUSIP No. : 717081103

Meeting Date : 2020-04-23  
 Meeting Type : Annual  
 ISIN : US7170811035

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Ronald E. Blaylock	For	For
1.2	Elect Director Albert Bourla	For	For
1.3	Elect Director W. Don Cornwell	For	For
1.4	Elect Director Joseph J. Echevarria	For	For
1.5	Elect Director Scott Gottlieb	For	For
1.6	Elect Director Helen H. Hobbs	For	For
1.7	Elect Director Susan Hockfield	For	For
1.8	Elect Director James M. Kilts	For	For
1.9	Elect Director Dan R. Littman	For	For
1.10	Elect Director Shantanu Narayen	For	For
1.11	Elect Director Suzanne Nora Johnson	For	For
1.12	Elect Director James Quincey	For	For
1.13	Elect Director James C. Smith	For	For
2	Ratify KPMG LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Provide Right to Act by Written Consent	Against	Against
5	Amend Proxy Access Right	Against	For
6	Report on Lobbying Payments and Policy	Against	Against
7	Require Independent Board Chair	Against	Against
8	Report on Gender Pay Gap	Against	For
9	Elect Director Susan Desmond-Hellmann	For	For

Company : Bio-Rad Laboratories, Inc.  
 Ticker : BIO  
 CUSIP No. : 090572207

Meeting Date : 2020-04-28  
 Meeting Type : Annual  
 ISIN : US0905722072

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Arnold A. Pinkston	For	For
1.2	Elect Director Melinda Litherland	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

**Company** : Centene Corporation  
**Ticker** : CNC  
**CUSIP No.** : 15135B101

**Meeting Date** : 2020-04-28  
**Meeting Type** : Annual  
**ISIN** : US15135B1017

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Michael F. Neidorff	For	For
1b	Elect Director H. James Dallas	For	For
1c	Elect Director Robert K. Ditmore	For	For
1d	Elect Director Richard A. Gephardt	For	For
1e	Elect Director Lori J. Robinson	For	For
1f	Elect Director William L. Trubeck	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For
4	Amend Qualified Employee Stock Purchase Plan	For	For
5	Report on Political Contributions Disclosure	Against	For
6	Eliminate Supermajority Vote Requirement	Against	For

**Company** : PerkinElmer, Inc.  
**Ticker** : PKI  
**CUSIP No.** : 714046109

**Meeting Date** : 2020-04-28  
**Meeting Type** : Annual  
**ISIN** : US7140461093

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Peter Barrett	For	For
1b	Elect Director Samuel R. Chapin	For	For
1c	Elect Director Sylvie Gregoire	For	For
1d	Elect Director Alexis P. Michas	For	For
1e	Elect Director Prahlad R. Singh	For	For
1f	Elect Director Michel Vounatsos	For	For
1g	Elect Director Frank Witney	For	For
1h	Elect Director Pascale Witz	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

**Company** : Moderna, Inc.  
**Ticker** : MRNA  
**CUSIP No.** : 60770K107

**Meeting Date** : 2020-04-29  
**Meeting Type** : Annual  
**ISIN** : US60770K1079

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Stephen Berenson	For	Withhold
1.2	Elect Director Sandra Horning	For	For
1.3	Elect Director Paul Sagan	For	Withhold
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

Company : [Horizon Therapeutics plc](#)  
 Ticker : [HZNP](#)  
 CUSIP No. : [G46188101](#)

Meeting Date : [2020-04-30](#)  
 Meeting Type : [Annual](#)  
 ISIN : [IE00BQPVQZ61](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Gino Santini	For	For
1b	Elect Director James Shannon	For	For
1c	Elect Director Timothy Walbert	For	For
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Authorize Market Purchases or Overseas Market Purchases of Ordinary Shares	For	For
5	Approve Omnibus Stock Plan	For	For
6	Approve Qualified Employee Stock Purchase Plan	For	For

Company : [HCA Healthcare, Inc.](#)  
 Ticker : [HCA](#)  
 CUSIP No. : [40412C101](#)

Meeting Date : [2020-05-01](#)  
 Meeting Type : [Annual](#)  
 ISIN : [US40412C1018](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Thomas F. Frist, III	For	For
1b	Elect Director Samuel N. Hazen	For	For
1c	Elect Director Meg G. Crofton	For	For
1d	Elect Director Robert J. Dennis	For	For
1e	Elect Director Nancy-Ann DeParle	For	For
1f	Elect Director William R. Frist	For	For
1g	Elect Director Charles O. Holliday, Jr.	For	For
1h	Elect Director Michael W. Michelson	For	For
1i	Elect Director Wayne J. Riley	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Approve Omnibus Stock Plan	For	For
5	Provide Right to Call Special Meeting	For	For
6	Provide Right to Act by Written Consent	Against	For

Company : [Teleflex Incorporated](#)  
 Ticker : [TFX](#)  
 CUSIP No. : [879369106](#)

Meeting Date : [2020-05-01](#)  
 Meeting Type : [Annual](#)  
 ISIN : [US8793691069](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director George Babich, Jr.	For	For
1b	Elect Director Gretchen R. Haggerty	For	For
1c	Elect Director Liam J. Kelly	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Eli Lilly and Company

Ticker : LLY

CUSIP No. : 532457108

Meeting Date : 2020-05-04

Meeting Type : Annual

ISIN : US5324571083

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Michael L. Eskew	For	Against
1b	Elect Director William G. Kaelin, Jr.	For	For
1c	Elect Director David A. Ricks	For	For
1d	Elect Director Marschall S. Runge	For	For
1e	Elect Director Karen Walker	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For
4	Declassify the Board of Directors	For	For
5	Eliminate Supermajority Voting Provisions	For	For
6	Report on Lobbying Payments and Policy	Against	For
7	Report on Forced Swim Test	Against	Against
8	Require Independent Board Chairman	Against	For
9	Disclose Board Matrix Including Ideological Perspectives	Against	Against
10	Report on Integrating Drug Pricing Risks into Senior Executive Compensation Arrangements	Against	For
11	Adopt Policy on Bonus Banking	Against	For
12	Clawback Disclosure of Recoupment Activity from Senior Officers	Against	For

Company : Baxter International Inc.

Ticker : BAX

CUSIP No. : 071813109

Meeting Date : 2020-05-05

Meeting Type : Annual

ISIN : US0718131099

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Jose "Joe" E. Almeida	For	For
1b	Elect Director Thomas F. Chen	For	For
1c	Elect Director John D. Forsyth	For	For
1d	Elect Director James R. Gavin, III	For	For
1e	Elect Director Peter S. Hellman	For	For
1f	Elect Director Michael F. Mahoney	For	For
1g	Elect Director Patricia B. Morrison	For	For
1h	Elect Director Stephen N. Oesterle	For	For
1i	Elect Director Cathy R. Smith	For	For
1j	Elect Director Thomas T. Stallkamp	For	For
1k	Elect Director Albert P.L. Stroucken	For	For
1l	Elect Director Amy A. Wendell	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Require Independent Board Chair	Against	For
5	Provide Right to Act by Written Consent	Against	For

Company : **Bristol-Myers Squibb Company**  
 Ticker : **BMJ**  
 CUSIP No. : **110122108**

Meeting Date : **2020-05-05**  
 Meeting Type : **Annual**  
 ISIN : **US1101221083**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Peter J. Arduini	For	For
1B	Elect Director Robert Bertolini	For	For
1C	Elect Director Michael W. Bonney	For	For
1D	Elect Director Giovanni Caforio	For	For
1E	Elect Director Matthew W. Emmens	For	For
1F	Elect Director Julia A. Haller	For	For
1G	Elect Director Dinesh C. Paliwal	For	For
1H	Elect Director Theodore R. Samuels	For	For
1I	Elect Director Vicki L. Sato	For	For
1J	Elect Director Gerald L. Storch	For	For
1K	Elect Director Karen H. Vousden	For	For
1L	Elect Director Phyllis R. Yale	For	For

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For
4	Require Independent Board Chairman	Against	For
5	Provide Right to Act by Written Consent	Against	For

Company : **Danaher Corporation**  
 Ticker : **DHR**  
 CUSIP No. : **235851102**

Meeting Date : **2020-05-05**  
 Meeting Type : **Annual**  
 ISIN : **US2358511028**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Linda Hefner Filler	For	For
1.2	Elect Director Thomas P. Joyce, Jr.	For	For
1.3	Elect Director Teri List-Stoll	For	Against
1.4	Elect Director Walter G. Lohr, Jr.	For	Against
1.5	Elect Director Jessica L. Mega	For	For
1.6	Elect Director Pardis C. Sabeti	For	For
1.7	Elect Director Mitchell P. Rales	For	For
1.8	Elect Director Steven M. Rales	For	For
1.9	Elect Director John T. Schwieters	For	Against
1.10	Elect Director Alan G. Spoon	For	For
1.11	Elect Director Raymond C. Stevens	For	For
1.12	Elect Director Elias A. Zerhouni	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For

Company : Stryker Corporation

Ticker : SYK

CUSIP No. : 863667101

Meeting Date : 2020-05-05

Meeting Type : Annual

ISIN : US8636671013

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Mary K. Brainerd	For	For
1b	Elect Director Srikant M. Datar	For	For
1c	Elect Director Roch Doliveux	For	For
1d	Elect Director Allan C. Golston	For	For
1e	Elect Director Kevin A. Lobo	For	For
1f	Elect Director Sherilyn S. McCoy	For	For
1g	Elect Director Andrew K. Silvernail	For	For
1h	Elect Director Lisa M. Skeete Tatum	For	For
1i	Elect Director Ronda E. Stryker	For	For
1j	Elect Director Rajeev Suri	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Report on Non-Management Employee Representation on the Board of Directors	Against	Against

Company : West Pharmaceutical Services, Inc.

Ticker : WST

CUSIP No. : 955306105

Meeting Date : 2020-05-05

Meeting Type : Annual

ISIN : US9553061055

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Mark A. Buthman	For	For
1b	Elect Director William F. Feehery	For	For
1c	Elect Director Robert F. Friel	For	For
1d	Elect Director Eric M. Green	For	For
1e	Elect Director Thomas W. Hofmann	For	For
1f	Elect Director Paula A. Johnson	For	For
1g	Elect Director Deborah L. V. Keller	For	For
1h	Elect Director Myla P. Lai-Goldman	For	For
1i	Elect Director Douglas A. Michels	For	For
1j	Elect Director Paolo Pucci	For	For
1k	Elect Director Patrick J. Zenner	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Increase Authorized Common Stock	For	For
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

Company : Alnylam Pharmaceuticals, Inc.  
Ticker : ALNY  
CUSIP No. : 02043Q107

Meeting Date : 2020-05-06  
Meeting Type : Annual  
ISIN : US02043Q1076

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Michael W. Bonney	For	For
1b	Elect Director John M. Maraganore	For	For
1c	Elect Director Phillip A. Sharp	For	For
2	Amend Omnibus Stock Plan	For	For
3	Amend Qualified Employee Stock Purchase Plan	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Charles River Laboratories International, Inc.  
Ticker : CRL  
CUSIP No. : 159864107

Meeting Date : 2020-05-06  
Meeting Type : Annual  
ISIN : US1598641074

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director James C. Foster	For	For
1.2	Elect Director Nancy C. Andrews	For	For
1.3	Elect Director Robert J. Bertolini	For	For
1.4	Elect Director Stephen D. Chubb	For	For
1.5	Elect Director Deborah T. Kochevar	For	For
1.6	Elect Director Martin W. MacKay	For	For
1.7	Elect Director George E. Massaro	For	For
1.8	Elect Director George M. Milne, Jr.	For	For
1.9	Elect Director C. Richard Reese	For	For
1.10	Elect Director Richard F. Wallman	For	For
1.11	Elect Director Virginia M. Wilson	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Amend Omnibus Stock Plan	For	For
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Encompass Health Corporation  
Ticker : EHC  
CUSIP No. : 29261A100

Meeting Date : 2020-05-06  
Meeting Type : Annual  
ISIN : US29261A1007

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Greg D. Carmichael	For	For
1b	Elect Director John W. Chidsey	For	For
1c	Elect Director Donald L. Correll	For	For
1d	Elect Director Yvonne M. Curl	For	For
1e	Elect Director Charles M. Elson	For	For
1f	Elect Director Joan E. Herman	For	For
1g	Elect Director Leo I. Higdon, Jr.	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

1h	Elect Director Leslye G. Katz	For	For
1i	Elect Director Patricia A. Maryland	For	For
1j	Elect Director John E. Maupin, Jr.	For	For
1k	Elect Director Nancy M. Schlichting	For	For
1l	Elect Director L. Edward Shaw, Jr.	For	For
1m	Elect Director Mark J. Tarr	For	For
1n	Elect Director Terrance Williams	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Gilead Sciences, Inc.

Ticker : GILD

CUSIP No. : 375558103

Meeting Date : 2020-05-06

Meeting Type : Annual

ISIN : US3755581036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Jacqueline K. Barton	For	For
1b	Elect Director Sandra J. Horning	For	For
1c	Elect Director Kelly A. Kramer	For	For
1d	Elect Director Kevin E. Lofton	For	For
1e	Elect Director Harish Manwani	For	For
1f	Elect Director Daniel P. O'Day	For	For
1g	Elect Director Richard J. Whitley	For	For
1h	Elect Director Per Wold-Olsen	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Require Independent Board Chair	Against	Against
5	Eliminate the Ownership Threshold for Stockholders to Request a Record Date to Take Action by Written Consent	Against	Against

Company : Perrigo Company plc

Ticker : PRGO

CUSIP No. : G97822103

Meeting Date : 2020-05-06

Meeting Type : Annual

ISIN : IE00BGH1M568

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Bradley A. Alford	For	For
1.2	Elect Director Rolf A. Classon	For	For
1.3	Elect Director Adriana Karaboutis	For	For
1.4	Elect Director Murray S. Kessler	For	For
1.5	Elect Director Jeffrey B. Kindler	For	For
1.6	Elect Director Erica L. Mann	For	For
1.7	Elect Director Donal O'Connor	For	For
1.8	Elect Director Geoffrey M. Parker	For	For
1.9	Elect Director Theodore R. Samuels	For	For
2	Approve Ernst & Young LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For



## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Authorize Issue of Equity	For	For
5	Authorize Issuance of Equity without Preemptive Rights	For	For

Company : **Boston Scientific Corporation**

Ticker : **BSX**

CUSIP No. : **101137107**

Meeting Date : **2020-05-07**

Meeting Type : **Annual**

ISIN : **US1011371077**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Nelda J. Connors	For	For
1b	Elect Director Charles J. Dockendorff	For	For
1c	Elect Director Yoshiaki Fujimori	For	For
1d	Elect Director Donna A. James	For	For
1e	Elect Director Edward J. Ludwig	For	For
1f	Elect Director Stephen P. MacMillan	For	For
1g	Elect Director Michael F. Mahoney	For	For
1h	Elect Director David J. Roux	For	For
1i	Elect Director John E. Sununu	For	For
1j	Elect Director Ellen M. Zane	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Amend Omnibus Stock Plan	For	For
4	Ratify Ernst & Young LLP as Auditors	For	For
5	Report on Non-Management Employee Representation on the Board of Directors	Against	Against

Company : **Edwards Lifesciences Corporation**

Ticker : **EW**

CUSIP No. : **28176E108**

Meeting Date : **2020-05-07**

Meeting Type : **Annual**

ISIN : **US28176E1082**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Michael A. Mussallem	For	For
1b	Elect Director Kieran T. Gallahue	For	For
1c	Elect Director Leslie S. Heisz	For	For
1d	Elect Director William J. Link	For	For
1e	Elect Director Steven R. Loranger	For	For
1f	Elect Director Martha H. Marsh	For	For
1g	Elect Director Ramona Sequeira	For	For
1h	Elect Director Nicholas J. Valeriani	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Approve Non-Employee Director Omnibus Stock Plan	For	For
4	Approve Stock Split	For	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
6	Provide Right to Act by Written Consent	Against	Against

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

Company : Molina Healthcare, Inc.

Ticker : MOH

CUSIP No. : 60855R100

Meeting Date : 2020-05-07

Meeting Type : Annual

ISIN : US60855R1005

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.a	Elect Director Ronna E. Romney	For	For
1.b	Elect Director Dale B. Wolf	For	For
1.c	Elect Director Joseph M. Zubretsky	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

Company : AbbVie Inc.

Ticker : ABBV

CUSIP No. : 00287Y109

Meeting Date : 2020-05-08

Meeting Type : Annual

ISIN : US00287Y1091

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Robert J. Alpern	For	For
1.2	Elect Director Edward M. Liddy	For	For
1.3	Elect Director Melody B. Meyer	For	For
1.4	Elect Director Frederick H. Waddell	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Eliminate Supermajority Vote Requirement	For	For
5	Report on Lobbying Payments and Policy	Against	For
6	Require Independent Board Chairman	Against	Against
7	Report on Integrating Risks Related to Drug Pricing into Senior Executive Compensation	Against	For

Company : Alexion Pharmaceuticals, Inc.

Ticker : ALXN

CUSIP No. : 015351109

Meeting Date : 2020-05-13

Meeting Type : Annual

ISIN : US0153511094

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Felix J. Baker	For	For
1.2	Elect Director David R. Brennan	For	For
1.3	Elect Director Christopher J. Coughlin	For	For
1.4	Elect Director Deborah Dunsire	For	For
1.5	Elect Director Paul A. Friedman	For	For
1.6	Elect Director Ludwig N. Hantson	For	For
1.7	Elect Director John T. Mollen	For	For
1.8	Elect Director Francois Nader	For	For
1.9	Elect Director Judith A. Reinsdorf	For	For
1.10	Elect Director Andreas Rummelt	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For

Company : **ICU Medical, Inc.**  
 Ticker : **ICUI**  
 CUSIP No. : **44930G107**

Meeting Date : **2020-05-13**  
 Meeting Type : **Annual**  
 ISIN : **US44930G1076**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Vivek Jain	For	For
1.2	Elect Director George A. Lopez	For	For
1.3	Elect Director Robert S. Swinney	For	For
1.4	Elect Director David C. Greenberg	For	For
1.5	Elect Director Elisha W. Finney	For	For
1.6	Elect Director David F. Hoffmeister	For	For
1.7	Elect Director Donald M. Abbey	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : **Laboratory Corporation of America Holdings**  
 Ticker : **LH**  
 CUSIP No. : **50540R409**

Meeting Date : **2020-05-13**  
 Meeting Type : **Annual**  
 ISIN : **US50540R4092**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Kerrii B. Anderson	For	For
1b	Elect Director Jean-Luc Belingard	For	For
1c	Elect Director Jeffrey A. Davis	For	For
1d	Elect Director D. Gary Gilliland	For	For
1e	Elect Director Garheng Kong	For	For
1f	Elect Director Peter M. Neupert	For	For
1g	Elect Director Richelle P. Parham	For	For
1h	Elect Director Adam H. Schechter	For	For
1i	Elect Director R. Sanders Williams	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditor	For	For
4	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For

Company : **Seattle Genetics, Inc.**  
 Ticker : **SGEN**  
 CUSIP No. : **812578102**

Meeting Date : **2020-05-15**  
 Meeting Type : **Annual**  
 ISIN : **US8125781026**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director David W. Gryska	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

1.2	Elect Director John A. Orwin	For	For
1.3	Elect Director Alpa H. Seth	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Amend Omnibus Stock Plan	For	For
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

**Company** : **Chemed Corporation**

**Ticker** : **CHE**

**CUSIP No.** : **16359R103**

**Meeting Date** : **2020-05-18**

**Meeting Type** : **Annual**

**ISIN** : **US16359R1032**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Kevin J. McNamara	For	For
1.2	Elect Director Ron DeLyons	For	For
1.3	Elect Director Joel F. Gemunder	For	For
1.4	Elect Director Patrick P. Grace	For	For
1.5	Elect Director Christopher J. Heaney	For	For
1.6	Elect Director Thomas C. Hutton	For	For
1.7	Elect Director Andrea R. Lindell	For	For
1.8	Elect Director Thomas P. Rice	For	For
1.9	Elect Director Donald E. Saunders	For	For
1.10	Elect Director George J. Walsh, III	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Report on Political Contributions	Against	For

**Company** : **PRA Health Sciences, Inc.**

**Ticker** : **PRAH**

**CUSIP No.** : **69354M108**

**Meeting Date** : **2020-05-18**

**Meeting Type** : **Annual**

**ISIN** : **US69354M1080**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Colin Shannon	For	For
1.2	Elect Director James C. Momtazee	For	For
1.3	Elect Director Alexander G. Dickinson	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Approve Omnibus Stock Plan	For	For

**Company** : **Amgen Inc.**

**Ticker** : **AMGN**

**CUSIP No.** : **031162100**

**Meeting Date** : **2020-05-19**

**Meeting Type** : **Annual**

**ISIN** : **US0311621009**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Wanda M. Austin	For	For
1b	Elect Director Robert A. Bradway	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

1c	Elect Director Brian J. Druker	For	For
1d	Elect Director Robert A. Eckert	For	For
1e	Elect Director Greg C. Garland	For	For
1f	Elect Director Fred Hassan	For	For
1g	Elect Director Charles M. Holley, Jr.	For	For
1h	Elect Director Tyler Jacks	For	For
1i	Elect Director Ellen J. Kullman	For	For
1j	Elect Director Ronald D. Sugar	For	For
1k	Elect Director R. Sanders Williams	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For
4	Require Independent Board Chairman	Against	Against

**Company** : Neurocrine Biosciences, Inc.

**Ticker** : NBIX

**CUSIP No.** : 64125C109

**Meeting Date** : 2020-05-19

**Meeting Type** : Annual

**ISIN** : US64125C1099

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Kevin C. Gorman	For	For
1.2	Elect Director Gary A. Lyons	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Approve Omnibus Stock Plan	For	For
4	Ratify Ernst & Young LLP as Auditors	For	For

**Company** : Quest Diagnostics Incorporated

**Ticker** : DGX

**CUSIP No.** : 74834L100

**Meeting Date** : 2020-05-19

**Meeting Type** : Annual

**ISIN** : US74834L1008

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Vicky B. Gregg	For	For
1.2	Elect Director Wright L. Lassiter, III	For	For
1.3	Elect Director Timothy L. Main	For	For
1.4	Elect Director Denise M. Morrison	For	For
1.5	Elect Director Gary M. Pfeiffer	For	For
1.6	Elect Director Timothy M. Ring	For	For
1.7	Elect Director Stephen H. Rusckowski	For	For
1.8	Elect Director Daniel C. Stanzione	For	For
1.9	Elect Director Helen I. Torley	For	For
1.10	Elect Director Gail R. Wilensky	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

Company : Align Technology, Inc.

Ticker : ALGN

CUSIP No. : 016255101

Meeting Date : 2020-05-20

Meeting Type : Annual

ISIN : US0162551016

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Kevin J. Dallas	For	For
1.2	Elect Director Joseph M. Hogan	For	For
1.3	Elect Director Joseph Lacob	For	For
1.4	Elect Director C. Raymond Larkin, Jr.	For	For
1.5	Elect Director George J. Morrow	For	For
1.6	Elect Director Anne M. Myong	For	For
1.7	Elect Director Thomas M. Prescott	For	For
1.8	Elect Director Andrea L. Saia	For	For
1.9	Elect Director Greg J. Santora	For	For
1.10	Elect Director Susan E. Siegel	For	For
1.11	Elect Director Warren S. Thaler	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Exelixis, Inc.

Ticker : EXEL

CUSIP No. : 30161Q104

Meeting Date : 2020-05-20

Meeting Type : Annual

ISIN : US30161Q1040

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Charles Cohen	For	For
1b	Elect Director Carl B. Feldbaum	For	For
1c	Elect Director Maria C. Freire	For	For
1d	Elect Director Alan M. Garber	For	For
1e	Elect Director Vincent T. Marchesi	For	For
1f	Elect Director Michael M. Morrissey	For	For
1g	Elect Director Stelios Papadopoulos	For	For
1h	Elect Director George Poste	For	For
1i	Elect Director Julie Anne Smith	For	For
1j	Elect Director Lance Willsey	For	For
1k	Elect Director Jack L. Wyszomierski	For	For
2	Ratify Ernst & Young LLP as Auditor	For	For
3	Amend Omnibus Stock Plan	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Thermo Fisher Scientific Inc.

Ticker : TMO

CUSIP No. : 883556102

Meeting Date : 2020-05-20

Meeting Type : Annual

ISIN : US8835561023

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Marc N. Casper	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

1b	Elect Director Nelson J. Chai	For	For
1c	Elect Director C. Martin Harris	For	For
1d	Elect Director Tyler Jacks	For	For
1e	Elect Director Judy C. Lewent	For	For
1f	Elect Director Thomas J. Lynch	For	For
1g	Elect Director Jim P. Manzi	For	For
1h	Elect Director James C. Mullen	For	For
1i	Elect Director Lars R. Sorensen	For	For
1j	Elect Director Debora L. Spar	For	For
1k	Elect Director Scott M. Sperling	For	For
1l	Elect Director Dion J. Weisler	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditor	For	For

Company : Universal Health Services, Inc.

Ticker : UHS

CUSIP No. : 913903100

Meeting Date : 2020-05-20

Meeting Type : Annual

ISIN : US9139031002

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Elect Director Lawrence S. Gibbs	For	For
2	Approve Omnibus Stock Plan	For	Against
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Zoetis Inc.

Ticker : ZTS

CUSIP No. : 98978V103

Meeting Date : 2020-05-20

Meeting Type : Annual

ISIN : US98978V1035

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Gregory Norden	For	For
1b	Elect Director Louise M. Parent	For	For
1c	Elect Director Kristin C. Peck	For	For
1d	Elect Director Robert W. Scully	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify KPMG LLP as Auditor	For	For

Company : Anthem, Inc.

Ticker : ANTM

CUSIP No. : 036752103

Meeting Date : 2020-05-21

Meeting Type : Annual

ISIN : US0367521038

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Gail K. Boudreaux	For	For
1.2	Elect Director R. Kerry Clark	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

1.3	Elect Director Robert L. Dixon, Jr.	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For
4	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For

Company : Bruker Corporation

Ticker : BRKR

CUSIP No. : 116794108

Meeting Date : 2020-05-21

Meeting Type : Annual

ISIN : US1167941087

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Cynthia M. Friend	For	For
1.2	Elect Director Marc A. Kastner	For	For
1.3	Elect Director Hermann Requardt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : DexCom, Inc.

Ticker : DXCM

CUSIP No. : 252131107

Meeting Date : 2020-05-21

Meeting Type : Annual

ISIN : US2521311074

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Richard A. Collins	For	For
1b	Elect Director Mark G. Foletta	For	For
1c	Elect Director Eric J. Topol	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Cerner Corporation

Ticker : CERN

CUSIP No. : 156782104

Meeting Date : 2020-05-22

Meeting Type : Annual

ISIN : US1567821046

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Julie L. Gerberding	For	For
1b	Elect Director Brent Shafer	For	For
1c	Elect Director William D. Zollars	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Declassify the Board of Directors	For	For
5	Amend Advance Notice Provisions	For	For



## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

Company : **Incyte Corporation**  
Ticker : **INCY**  
CUSIP No. : **45337C102**

Meeting Date : **2020-05-26**  
Meeting Type : **Annual**  
ISIN : **US45337C1027**

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Julian C. Baker	For	For
1.2	Elect Director Jean-Jacques Bienaime	For	For
1.3	Elect Director Paul J. Clancy	For	For
1.4	Elect Director Wendy L. Dixon	For	For
1.5	Elect Director Jacquelyn A. Fouse	For	For
1.6	Elect Director Paul A. Friedman	For	For
1.7	Elect Director Edmund P. Harrigan	For	For
1.8	Elect Director Katherine A. High	For	For
1.9	Elect Director Herve Hoppenot	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Amend Qualified Employee Stock Purchase Plan	For	For
4	Ratify Ernst & Young LLP as Auditors	For	For
5	Require Independent Board Chair	Against	Against

Company : **Merck & Co., Inc.**  
Ticker : **MRK**  
CUSIP No. : **58933Y105**

Meeting Date : **2020-05-26**  
Meeting Type : **Annual**  
ISIN : **US58933Y1055**

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Leslie A. Brun	For	For
1b	Elect Director Thomas R. Cech	For	For
1c	Elect Director Mary Ellen Coe	For	For
1d	Elect Director Pamela J. Craig	For	For
1e	Elect Director Kenneth C. Frazier	For	For
1f	Elect Director Thomas H. Glocer	For	For
1g	Elect Director Risa J. Lavizzo-Mourey	For	For
1h	Elect Director Paul B. Rothman	For	For
1i	Elect Director Patricia F. Russo	For	For
1j	Elect Director Christine E. Seidman	For	For
1k	Elect Director Inge G. Thulin	For	For
1l	Elect Director Kathy J. Warden	For	For
1m	Elect Director Peter C. Wendell	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Provide Right to Act by Written Consent	Against	For
5	Report on Corporate Tax Savings Allocation	Against	Against

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

**Company** : Envista Holdings Corporation  
**Ticker** : NVST  
**CUSIP No.** : 29415F104

**Meeting Date** : 2020-05-27  
**Meeting Type** : Annual  
**ISIN** : US29415F1049

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Kieran T. Gallahue	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

**Company** : Agios Pharmaceuticals, Inc.  
**Ticker** : AGIO  
**CUSIP No.** : 00847X104

**Meeting Date** : 2020-05-28  
**Meeting Type** : Annual  
**ISIN** : US00847X1046

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Paul J. Clancy	For	For
1b	Elect Director Ian T. Clark	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

**Company** : Insulet Corporation  
**Ticker** : PODD  
**CUSIP No.** : 45784P101

**Meeting Date** : 2020-05-29  
**Meeting Type** : Annual  
**ISIN** : US45784P1012

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Sally W. Crawford	For	For
1.2	Elect Director Michael R. Minogue	For	For
1.3	Elect Director Corinne H. Nevinny	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Grant Thornton LLP as Auditors	For	For

**Company** : Masimo Corporation  
**Ticker** : MASI  
**CUSIP No.** : 574795100

**Meeting Date** : 2020-05-29  
**Meeting Type** : Annual  
**ISIN** : US5747951003

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Julie A. Shimer	For	For
1.2	Elect Director H Michael Cohen	For	For
2	Ratify Grant Thornton LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Amend Omnibus Stock Plan	For	Against
5	Amend Executive Incentive Bonus Plan	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

Company : UnitedHealth Group Incorporated  
 Ticker : UNH  
 CUSIP No. : 91324P102

Meeting Date : 2020-06-01  
 Meeting Type : Annual  
 ISIN : US91324P1021

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Richard T. Burke	For	For
1b	Elect Director Timothy P. Flynn	For	For
1c	Elect Director Stephen J. Hemsley	For	For
1d	Elect Director Michele J. Hooper	For	For
1e	Elect Director F. William McNabb, III	For	For
1f	Elect Director Valerie C. Montgomery Rice	For	For
1g	Elect Director John H. Noseworthy	For	For
1h	Elect Director Glenn M. Renwick	For	For
1i	Elect Director David S. Wichmann	For	For
1j	Elect Director Gail R. Wilensky	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For
4	Approve Omnibus Stock Plan	For	For
5	Require Shareholder Approval of Bylaw Amendments Adopted by the Board of Directors	Against	Against

Company : Biogen Inc.  
 Ticker : BIIB  
 CUSIP No. : 09062X103

Meeting Date : 2020-06-03  
 Meeting Type : Annual  
 ISIN : US09062X1037

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Alexander J. Denner	For	For
1b	Elect Director Caroline D. Dorsa	For	For
1c	Elect Director William A. Hawkins	For	For
1d	Elect Director Nancy L. Leaming	For	For
1e	Elect Director Jesus B. Mantas	For	For
1f	Elect Director Richard C. Mulligan	For	For
1g	Elect Director Robert W. Pangia	For	For
1h	Elect Director Stelios Papadopoulos	For	For
1i	Elect Director Brian S. Posner	For	For
1j	Elect Director Eric K. Rowinsky	For	For
1k	Elect Director Stephen A. Sherwin	For	For
1l	Elect Director Michel Vounatsos	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

**Company** : Penumbra, Inc.  
**Ticker** : PEN  
**CUSIP No.** : 70975L107

**Meeting Date** : 2020-06-03  
**Meeting Type** : Annual  
**ISIN** : US70975L1070

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Arani Bose	For	Withhold
1.2	Elect Director Bridget O'Rourke	For	Withhold
1.3	Elect Director Surbhi Sarna	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

**Company** : Vertex Pharmaceuticals Incorporated  
**Ticker** : VRTX  
**CUSIP No.** : 92532F100

**Meeting Date** : 2020-06-03  
**Meeting Type** : Annual  
**ISIN** : US92532F1003

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Sangeeta N. Bhatia	For	For
1.2	Elect Director Lloyd Carney	For	For
1.3	Elect Director Alan Garber	For	For
1.4	Elect Director Terrence Kearney	For	For
1.5	Elect Director Reshma Kewalramani	For	For
1.6	Elect Director Yuchun Lee	For	For
1.7	Elect Director Jeffrey M. Leiden	For	For
1.8	Elect Director Margaret G. McGlynn	For	For
1.9	Elect Director Diana McKenzie	For	For
1.10	Elect Director Bruce I. Sachs	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Report on Lobbying Payments and Policy	Against	For

**Company** : Sarepta Therapeutics, Inc.  
**Ticker** : SRPT  
**CUSIP No.** : 803607100

**Meeting Date** : 2020-06-04  
**Meeting Type** : Annual  
**ISIN** : US8036071004

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Douglas S. Ingram	For	For
1.2	Elect Director Hans Wigzell	For	For
1.3	Elect Director Mary Ann Gray	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Increase Authorized Common Stock	For	For
4	Amend Omnibus Stock Plan	For	For
5	Ratify KPMG LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

Company : **Guardant Health, Inc.**  
 Ticker : **GH**  
 CUSIP No. : **40131M109**

Meeting Date : **2020-06-12**  
 Meeting Type : **Annual**  
 ISIN : **US40131M1099**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Ian Clark	For	Withhold
1b	Elect Director Samir Kaul	For	Withhold
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : **Regeneron Pharmaceuticals, Inc.**  
 Ticker : **REGN**  
 CUSIP No. : **75886F107**

Meeting Date : **2020-06-12**  
 Meeting Type : **Annual**  
 ISIN : **US75886F1075**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director N. Anthony Coles	For	For
1b	Elect Director Joseph L. Goldstein	For	For
1c	Elect Director Christine A. Poon	For	For
1d	Elect Director P. Roy Vagelos	For	For
1e	Elect Director Huda Y. Zoghbi	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Amend Omnibus Stock Plan	For	Against
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against

Company : **Mylan N.V.**  
 Ticker : **MYL**  
 CUSIP No. : **N59465109**

Meeting Date : **2020-06-30**  
 Meeting Type : **Annual/Special**  
 ISIN : **NL0011031208**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Heather Bresch	For	For
1B	Elect Director Robert J. Cindrich	For	For
1C	Elect Director Robert J. Coury	For	For
1D	Elect Director JoEllen Lyons Dillon	For	For
1E	Elect Director Neil Dimick	For	For
1F	Elect Director Melina Higgins	For	For
1G	Elect Director Harry A. Korman	For	For
1H	Elect Director Rajiv Malik	For	For
1I	Elect Director Richard A. Mark	For	For
1J	Elect Director Mark W. Parrish	For	For
1K	Elect Director Pauline van der Meer Mohr	For	For
1L	Elect Director Randall L. (Pete) Vanderveen	For	For
1M	Elect Director Sjoerd S. Vollebregt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Adopt Financial Statements and Statutory Reports	For	For
4	Ratify Deloitte & Touche LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Health Care Sector Index ETF

5	Instruction to Deloitte Accountants B.V. for the Audit of the Company's Dutch Statutory Annual Accounts for Fiscal Year 2020	For	For
6	Authorize Share Repurchase Program	For	For
7	Grant Board Authority to Issue Shares Up To 10 Percent of Issued Capital and Restrict/Exclude Preemptive Rights	For	For
E1E	Approve Combination	For	For
E2E	Advisory Vote on Golden Parachutes	For	Against
E3E	Approve Board Composition	For	For
E4E	Amend Right to Call Special Meeting	For	For