

## Proxy Voting Results

### First Trust AlphaDEX US Utilities Sector Index ETF

**Company** : Zayo Group Holdings, Inc.  
**Ticker** : ZAYO  
**CUSIP No.** : 98919V105

**Meeting Date** : 2019-11-05  
**Meeting Type** : Annual  
**ISIN** : US98919V1052

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Rick Connor	For	For
1.2	Elect Director Cathy Morris	For	For
1.3	Elect Director Emily White	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

**Company** : UGI Corporation  
**Ticker** : UGI  
**CUSIP No.** : 902681105

**Meeting Date** : 2020-01-22  
**Meeting Type** : Annual  
**ISIN** : US9026811052

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director M. Shawn Bort	For	For
1.2	Elect Director Theodore A. Dosch	For	For
1.3	Elect Director Alan N. Harris	For	For
1.4	Elect Director Frank S. Hermance	For	For
1.5	Elect Director William J. Marrazzo	For	For
1.6	Elect Director Kelly A. Romano	For	For
1.7	Elect Director Marvin O. Schlanger	For	For
1.8	Elect Director James B. Stallings, Jr.	For	For
1.9	Elect Director K. Richard Turner	For	For
1.10	Elect Director John L. Walsh	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

**Company** : Atmos Energy Corporation  
**Ticker** : ATO  
**CUSIP No.** : 049560105

**Meeting Date** : 2020-02-05  
**Meeting Type** : Annual  
**ISIN** : US0495601058

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director J. Kevin Akers	For	For
1b	Elect Director Robert W. Best	For	For
1c	Elect Director Kim R. Cocklin	For	For
1d	Elect Director Kelly H. Compton	For	For
1e	Elect Director Sean Donohue	For	For
1f	Elect Director Rafael G. Garza	For	For
1g	Elect Director Richard K. Gordon	For	For
1h	Elect Director Robert C. Grable	For	For
1i	Elect Director Nancy K. Quinn	For	For
1j	Elect Director Richard A. Sampson	For	For

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### First Trust AlphaDEX US Utilities Sector Index ETF

1k	Elect Director Stephen R. Springer	For	For
1l	Elect Director Diana J. Walters	For	For
1m	Elect Director Richard Ware, II	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

**Company** : National Fuel Gas Company

**Ticker** : NFG

**CUSIP No.** : 636180101

**Meeting Date** : 2020-03-11

**Meeting Type** : Annual

**ISIN** : US6361801011

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director David H. Anderson	For	For
1.2	Elect Director David P. Bauer	For	For
1.3	Elect Director Barbara M. Baumann	For	For
1.4	Elect Director Jeffrey W. Shaw	For	For
1.5	Elect Director Thomas E. Skains	For	For
1.6	Elect Director Ronald J. Tanski	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Declassify the Board of Directors	Against	For

**Company** : American Electric Power Company, Inc.

**Ticker** : AEP

**CUSIP No.** : 025537101

**Meeting Date** : 2020-04-21

**Meeting Type** : Annual

**ISIN** : US0255371017

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Nicholas K. Akins	For	For
1.2	Elect Director David J. Anderson	For	For
1.3	Elect Director J. Barnie Beasley, Jr.	For	For
1.4	Elect Director Ralph D. Crosby, Jr.	For	For
1.5	Elect Director Art A. Garcia	For	For
1.6	Elect Director Linda A. Goodspeed	For	For
1.7	Elect Director Thomas E. Hoaglin	For	For
1.8	Elect Director Sandra Beach Lin	For	For
1.9	Elect Director Margaret M. McCarthy	For	For
1.10	Elect Director Richard C. Notebaert	For	For
1.11	Elect Director Stephen S. Rasmussen	For	For
1.12	Elect Director Oliver G. Richard, III	For	For
1.13	Elect Director Sara Martinez Tucker	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Utilities Sector Index ETF

Company : Public Service Enterprise Group Incorporated  
 Ticker : PEG  
 CUSIP No. : 744573106

Meeting Date : 2020-04-21  
 Meeting Type : Annual  
 ISIN : US7445731067

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Ralph Izzo	For	For
1.2	Elect Director Shirley Ann Jackson	For	For
1.3	Elect Director Willie A. Deese	For	For
1.4	Elect Director David Lilley	For	For
1.5	Elect Director Barry H. Ostrowsky	For	For
1.6	Elect Director Scott G. Stephenson	For	For
1.7	Elect Director Laura A. Sugg	For	For
1.8	Elect Director John P. Surma	For	For
1.9	Elect Director Susan Tomasky	For	For
1.10	Elect Director Alfred W. Zollar	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : AT&T Inc.  
 Ticker : T  
 CUSIP No. : 00206R102

Meeting Date : 2020-04-24  
 Meeting Type : Annual  
 ISIN : US00206R1023

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Randall L. Stephenson	For	For
1.2	Elect Director Samuel A. Di Piazza, Jr.	For	For
1.3	Elect Director Richard W. Fisher	For	For
1.4	Elect Director Scott T. Ford	For	For
1.5	Elect Director Glenn H. Hutchins	For	For
1.6	Elect Director William E. Kennard	For	For
1.7	Elect Director Debra L. Lee	For	For
1.8	Elect Director Stephen J. Luczo	For	For
1.9	Elect Director Michael B. McCallister	For	For
1.10	Elect Director Beth E. Mooney	For	For
1.11	Elect Director Matthew K. Rose	For	For
1.12	Elect Director Cynthia B. Taylor	For	For
1.13	Elect Director Geoffrey Y. Yang	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Require Independent Board Chairman	Against	For
5	Approve Nomination of Employee Representative Director	Against	Against
6	Improve Guiding Principles of Executive Compensation	Against	Against

## Proxy Voting Results

### First Trust AlphaDEX US Utilities Sector Index ETF

Company : CenterPoint Energy, Inc.  
 Ticker : CNP  
 CUSIP No. : 15189T107

Meeting Date : 2020-04-24  
 Meeting Type : Annual  
 ISIN : US15189T1079

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Leslie D. Biddle	For	For
1b	Elect Director Milton Carroll	For	For
1c	Elect Director Scott J. McLean	For	For
1d	Elect Director Martin H. Nesbitt	For	For
1e	Elect Director Theodore F. Pound	For	For
1f	Elect Director Susan O. Rheney	For	For
1g	Elect Director Phillip R. Smith	For	For
1h	Elect Director John W. Somerhalder, II	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
4	Amend Non-Employee Director Restricted Stock Plan	For	For

Company : Exelon Corporation  
 Ticker : EXC  
 CUSIP No. : 30161N101

Meeting Date : 2020-04-28  
 Meeting Type : Annual  
 ISIN : US30161N1019

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Anthony Anderson	For	For
1b	Elect Director Ann Berzin	For	For
1c	Elect Director Laurie Brlas	For	For
1d	Elect Director Christopher M. Crane	For	For
1e	Elect Director Yves C. de Balmann	For	For
1f	Elect Director Nicholas DeBenedictis	For	For
1g	Elect Director Linda P. Jojo	For	For
1h	Elect Director Paul Joskow	For	For
1i	Elect Director Robert J. Lawless	For	For
1j	Elect Director John Richardson	For	For
1k	Elect Director Mayo Shattuck, III	For	For
1l	Elect Director John F. Young	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Approve Omnibus Stock Plan	For	For

Company : Vistra Energy Corp.  
 Ticker : VST  
 CUSIP No. : 92840M102

Meeting Date : 2020-04-29  
 Meeting Type : Annual  
 ISIN : US92840M1027

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Declassify the Board of Directors	For	For
2.1	Elect Director Hilary E. Ackermann	For	For

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### First Trust AlphaDEX US Utilities Sector Index ETF

2.2	Elect Director Arcilia C. Acosta	For	For
2.3	Elect Director Gavin R. Baiera	For	For
2.4	Elect Director Paul M. Barbas	For	For
2.5	Elect Director Lisa Crutchfield	For	For
2.6	Elect Director Brian K. Ferraioli	For	For
2.7	Elect Director Scott B. Helm	For	For
2.8	Elect Director Jeff D. Hunter	For	For
2.9	Elect Director Curtis A. Morgan	For	For
2.10	Elect Director John R. (JR) Sult	For	For
3.1	Elect Director Gavin R. Baiera	For	For
3.2	Elect Director Scott B. Helm	For	For
3.3	Elect Director Curtis A. Morgan	For	For
3.4	Elect Director John R. (JR) Sult	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
5	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Evergy, Inc.  
 Ticker : EVRG  
 CUSIP No. : 30034W106

Meeting Date : 2020-05-05  
 Meeting Type : Annual  
 ISIN : US30034W1062

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Kirkland B. Andrews	For	For
1b	Elect Director Terry Bassham	For	For
1c	Elect Director Mollie Hale Carter	For	For
1d	Elect Director Richard L. Hawley	For	For
1e	Elect Director Thomas D. Hyde	For	For
1f	Elect Director B. Anthony Isaac	For	For
1g	Elect Director Paul M. Keglevic	For	For
1h	Elect Director Sandra A.J. Lawrence	For	For
1i	Elect Director Ann D. Murtlow	For	For
1j	Elect Director Sandra J. Price	For	For
1k	Elect Director Mark A. Ruelle	For	For
1l	Elect Director S. Carl Soderstrom, Jr.	For	For
1m	Elect Director John Arthur Stall	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Hawaiian Electric Industries, Inc.  
 Ticker : HE  
 CUSIP No. : 419870100

Meeting Date : 2020-05-05  
 Meeting Type : Annual  
 ISIN : US4198701009

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Peggy Y. Fowler	For	For
1.2	Elect Director Keith P. Russell	For	For
1.3	Elect Director Eva T. Zlotnicka	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Utilities Sector Index ETF

1.4	Elect Director Micah A. Kane	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Declassify the Board of Directors	For	For
4	Adopt Majority Voting for Uncontested Election of Directors	For	For
5	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Sempra Energy

Ticker : SRE

CUSIP No. : 816851109

Meeting Date : 2020-05-05

Meeting Type : Annual

ISIN : US8168511090

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Alan L. Boeckmann	For	For
1.2	Elect Director Kathleen L. Brown	For	For
1.3	Elect Director Andres Conesa	For	For
1.4	Elect Director Maria Contreras-Sweet	For	For
1.5	Elect Director Pablo A. Ferrero	For	For
1.6	Elect Director William D. Jones	For	For
1.7	Elect Director Jeffrey W. Martin	For	For
1.8	Elect Director Bethany J. Mayer	For	For
1.9	Elect Director Michael N. Mears	For	For
1.10	Elect Director Jack T. Taylor	For	For
1.11	Elect Director Cynthia L. Walker	For	For
1.12	Elect Director Cynthia J. Warner	For	For
1.13	Elect Director James C. Yardley	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Require Independent Board Chair	Against	Against

Company : Eversource Energy

Ticker : ES

CUSIP No. : 30040W108

Meeting Date : 2020-05-06

Meeting Type : Annual

ISIN : US30040W1080

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Cotton M. Cleveland	For	For
1.2	Elect Director James S. DiStasio	For	For
1.3	Elect Director Francis A. Doyle	For	For
1.4	Elect Director Linda Dorcena Forry	For	For
1.5	Elect Director James J. Judge	For	For
1.6	Elect Director John Y. Kim	For	For
1.7	Elect Director Kenneth R. Leibler	For	For
1.8	Elect Director David H. Long	For	For
1.9	Elect Director William C. Van Faasen	For	For
1.10	Elect Director Frederica M. Williams	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Utilities Sector Index ETF

3	Ratify Deloitte & Touche LLP as Auditors	For	For
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Company : WEC Energy Group, Inc.

Ticker : WEC

CUSIP No. : 92939U106

Meeting Date : 2020-05-06

Meeting Type : Annual

ISIN : US92939U1060

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Patricia W. Chadwick	For	For
1.2	Elect Director Curt S. Culver	For	For
1.3	Elect Director Danny L. Cunningham	For	For
1.4	Elect Director William M. Farrow, III	For	For
1.5	Elect Director Thomas J. Fischer	For	For
1.6	Elect Director J. Kevin Fletcher	For	For
1.7	Elect Director Maria C. Green	For	For
1.8	Elect Director Gale E. Klappa	For	For
1.9	Elect Director Henry W. Knueppel	For	For
1.10	Elect Director Thomas K. Lane	For	For
1.11	Elect Director Ulice Payne, Jr.	For	For
1.12	Elect Director Mary Ellen Stanek	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Ameren Corporation

Ticker : AEE

CUSIP No. : 023608102

Meeting Date : 2020-05-07

Meeting Type : Annual

ISIN : US0236081024

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Warner L. Baxter	For	For
1b	Elect Director Cynthia J. Brinkley	For	For
1c	Elect Director Catherine S. Brune	For	For
1d	Elect Director J. Edward Coleman	For	For
1e	Elect Director Ward H. Dickson	For	For
1f	Elect Director Noelle K. Eder	For	For
1g	Elect Director Ellen M. Fitzsimmons	For	For
1h	Elect Director Rafael Flores	For	For
1i	Elect Director Richard J. Harshman	For	For
1j	Elect Director Craig S. Ivey	For	For
1k	Elect Director James C. Johnson	For	For
1l	Elect Director Steven H. Lipstein	For	For
1m	Elect Director Stephen R. Wilson	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Require Independent Board Chairman	Against	Against

## Proxy Voting Results

### First Trust AlphaDEX US Utilities Sector Index ETF

Company : DTE Energy Company  
Ticker : DTE  
CUSIP No. : 233331107

Meeting Date : 2020-05-07  
Meeting Type : Annual  
ISIN : US2333311072

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Gerard M. Anderson	For	For
1.2	Elect Director David A. Brandon	For	For
1.3	Elect Director Charles G. McClure, Jr.	For	For
1.4	Elect Director Gail J. McGovern	For	For
1.5	Elect Director Mark A. Murray	For	For
1.6	Elect Director Gerardo Norcia	For	For
1.7	Elect Director Ruth G. Shaw	For	For
1.8	Elect Director Robert C. Skaggs, Jr.	For	For
1.9	Elect Director David A. Thomas	For	For
1.10	Elect Director Gary H. Torgow	For	For
1.11	Elect Director James H. Vandenberghe	For	For
1.12	Elect Director Valerie M. Williams	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Report on Political Contributions	Against	For

Company : Duke Energy Corporation  
Ticker : DUK  
CUSIP No. : 26441C204

Meeting Date : 2020-05-07  
Meeting Type : Annual  
ISIN : US26441C2044

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Michael G. Browning	For	For
1.2	Elect Director Annette K. Clayton	For	For
1.3	Elect Director Theodore F. Craver, Jr.	For	For
1.4	Elect Director Robert M. Davis	For	For
1.5	Elect Director Daniel R. DiMicco	For	For
1.6	Elect Director Nicholas C. Fanandakis	For	For
1.7	Elect Director Lynn J. Good	For	For
1.8	Elect Director John T. Herron	For	For
1.9	Elect Director William E. Kennard	For	For
1.10	Elect Director E. Marie McKee	For	For
1.11	Elect Director Marya M. Rose	For	For
1.12	Elect Director Thomas E. Skains	For	For
1.13	Elect Director William E. Webster, Jr.	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Require Independent Board Chairman	Against	For
5	Eliminate Supermajority Vote Requirement	None	For
6	Report on Political Contributions	Against	For
7	Report on Lobbying Payments and Policy	Against	For



## Proxy Voting Results

### First Trust AlphaDEX US Utilities Sector Index ETF

Company : Verizon Communications Inc.  
 Ticker : VZ  
 CUSIP No. : 92343V104

Meeting Date : 2020-05-07  
 Meeting Type : Annual  
 ISIN : US92343V1044

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Shellye L. Archambeau	For	For
1.2	Elect Director Mark T. Bertolini	For	For
1.3	Elect Director Vittorio Colao	For	For
1.4	Elect Director Melanie L. Healey	For	For
1.5	Elect Director Clarence Otis, Jr.	For	For
1.6	Elect Director Daniel H. Schulman	For	For
1.7	Elect Director Rodney E. Slater	For	For
1.8	Elect Director Hans E. Vestberg	For	For
1.9	Elect Director Gregory G. Weaver	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For
4	Eliminate Above-Market Earnings in Executive Retirement Plans	Against	For
5	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For
6	Report on Lobbying Payments and Policy	Against	For
7	Assess Feasibility of Data Privacy as a Performance Measure for Senior Executive Compensation	Against	For
8	Submit Severance Agreement (Change-in-Control) to Shareholder Vote	Against	For

Company : Entergy Corporation  
 Ticker : ETR  
 CUSIP No. : 29364G103

Meeting Date : 2020-05-08  
 Meeting Type : Annual  
 ISIN : US29364G1031

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John R. Burbank	For	For
1b	Elect Director Patrick J. Condon	For	For
1c	Elect Director Leo P. Denault	For	For
1d	Elect Director Kirkland H. Donald	For	For
1e	Elect Director Philip L. Frederickson	For	For
1f	Elect Director Alexis M. Herman	For	For
1g	Elect Director M. Elise Hyland	For	For
1h	Elect Director Stuart L. Levenick	For	For
1i	Elect Director Blanche Lambert Lincoln	For	For
1j	Elect Director Karen A. Puckett	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Utilities Sector Index ETF

Company : PPL Corporation

Ticker : PPL

CUSIP No. : 69351T106

Meeting Date : 2020-05-13

Meeting Type : Annual

ISIN : US69351T1060

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John W. Conway	For	For
1b	Elect Director Steven G. Elliott	For	For
1c	Elect Director Raja Rajamannar	For	For
1d	Elect Director Craig A. Rogerson	For	For
1e	Elect Director Vincent Sorgi	For	For
1f	Elect Director William H. Spence	For	For
1g	Elect Director Natica von Althann	For	For
1h	Elect Director Keith H. Williamson	For	For
1i	Elect Director Phoebe A. Wood	For	For
1j	Elect Director Armando Zagalo de Lima	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For
4	Require Independent Board Chairman	Against	For

Company : Consolidated Edison, Inc.

Ticker : ED

CUSIP No. : 209115104

Meeting Date : 2020-05-18

Meeting Type : Annual

ISIN : US2091151041

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director George Campbell, Jr.	For	For
1.2	Elect Director Ellen V. Futter	For	For
1.3	Elect Director John F. Killian	For	For
1.4	Elect Director John McAvoy	For	For
1.5	Elect Director William J. Mulrow	For	For
1.6	Elect Director Armando J. Olivera	For	For
1.7	Elect Director Michael W. Ranger	For	For
1.8	Elect Director Linda S. Sanford	For	For
1.9	Elect Director Deirdre Stanley	For	For
1.10	Elect Director L. Frederick Sutherland	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : FirstEnergy Corp.

Ticker : FE

CUSIP No. : 337932107

Meeting Date : 2020-05-19

Meeting Type : Annual

ISIN : US3379321074

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Michael J. Anderson	For	For
1.2	Elect Director Steven J. Demetriou	For	For
1.3	Elect Director Julia L. Johnson	For	For

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### First Trust AlphaDEX US Utilities Sector Index ETF

1.4	Elect Director Charles E. Jones	For	For
1.5	Elect Director Donald T. Misheff	For	For
1.6	Elect Director Thomas N. Mitchell	For	For
1.7	Elect Director James F. O'Neil, III	For	For
1.8	Elect Director Christopher D. Pappas	For	For
1.9	Elect Director Sandra Pianalto	For	For
1.10	Elect Director Luis A. Reyes	For	For
1.11	Elect Director Leslie M. Turner	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Approve Omnibus Stock Plan	For	For
	Amend Code of Regulations to Authorize Board to Make Certain		
5	Future Amendments	For	For
6	Amend Proxy Access Right	Against	For

Company : GCI Liberty, Inc.

Ticker : GLIBA

CUSIP No. : 36164V305

Meeting Date : 2020-05-19

Meeting Type : Annual

ISIN : US36164V3050

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Ronald A. Duncan	For	For
1.2	Elect Director Donne F. Fisher	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against

Company : NiSource Inc.

Ticker : NI

CUSIP No. : 65473P105

Meeting Date : 2020-05-19

Meeting Type : Annual

ISIN : US65473P1057

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Peter A. Altabef	For	For
1b	Elect Director Theodore H. Bunting, Jr.	For	For
1c	Elect Director Eric L. Butler	For	For
1d	Elect Director Aristides S. Candris	For	For
1e	Elect Director Wayne S. DeVeydt	For	For
1f	Elect Director Joseph Hamrock	For	For
1g	Elect Director Deborah A. Henretta	For	For
1h	Elect Director Deborah A. P. Hersman	For	For
1i	Elect Director Michael E. Jesanis	For	For
1j	Elect Director Kevin T. Kabat	For	For
1k	Elect Director Carolyn Y. Woo	For	For
1l	Elect Director Lloyd M. Yates	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditor	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Utilities Sector Index ETF

4	Approve Omnibus Stock Plan	For	For
5	Provide Right to Act by Written Consent	Against	For

Company : Pinnacle West Capital Corporation  
 Ticker : PNW  
 CUSIP No. : 723484101

Meeting Date : 2020-05-20  
 Meeting Type : Annual  
 ISIN : US7234841010

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Glynis A. Bryan	For	For
1.2	Elect Director Denis A. Cortese	For	For
1.3	Elect Director Richard P. Fox	For	For
1.4	Elect Director Jeffrey B. Guldner	For	For
1.5	Elect Director Dale E. Klein	For	For
1.6	Elect Director Humberto S. Lopez	For	For
1.7	Elect Director Kathryn L. Munro	For	For
1.8	Elect Director Bruce J. Nordstrom	For	For
1.9	Elect Director Paula J. Sims	For	For
1.10	Elect Director James E. Trevathan, Jr.	For	For
1.11	Elect Director David P. Wagener	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For
4	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For

Company : Alliant Energy Corporation  
 Ticker : LNT  
 CUSIP No. : 018802108

Meeting Date : 2020-05-21  
 Meeting Type : Annual  
 ISIN : US0188021085

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Patrick E. Allen	For	For
1.2	Elect Director Michael D. Garcia	For	For
1.3	Elect Director Singleton B. McAllister	For	For
1.4	Elect Director Susan D. Whiting	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Approve Omnibus Stock Plan	For	For
4	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : IDACORP, Inc.  
 Ticker : IDA  
 CUSIP No. : 451107106

Meeting Date : 2020-05-21  
 Meeting Type : Annual  
 ISIN : US4511071064

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Darrel T. Anderson	For	For
1.2	Elect Director Thomas Carlile	For	For
1.3	Elect Director Richard J. Dahl	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Utilities Sector Index ETF

1.4	Elect Director Annette G. Elg	For	For
1.5	Elect Director Lisa A. Grow	For	For
1.6	Elect Director Ronald W. Jibson	For	For
1.7	Elect Director Judith A. Johansen	For	For
1.8	Elect Director Dennis L. Johnson	For	For
1.9	Elect Director Christine King	For	For
1.10	Elect Director Richard J. Navarro	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditor	For	For

Company : OGE Energy Corp.

Ticker : OGE

CUSIP No. : 670837103

Meeting Date : 2020-05-21

Meeting Type : Annual

ISIN : US6708371033

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Frank A. Bozich	For	For
1b	Elect Director James H. Brandi	For	For
1c	Elect Director Peter D. Clarke	For	For
1d	Elect Director Luke R. Corbett	For	For
1e	Elect Director David L. Hauser	For	For
1f	Elect Director Judy R. McReynolds	For	For
1g	Elect Director David E. Rainbolt	For	For
1h	Elect Director J. Michael Sanner	For	For
1i	Elect Director Sheila G. Talton	For	For
1j	Elect Director Sean Trauschke	For	For
2	Ratify Ernst & Young LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Eliminate Supermajority Voting Provisions	For	For
5	Provide Right to Act by Written Consent	Against	For

Company : Telephone and Data Systems, Inc.

Ticker : TDS

CUSIP No. : 879433829

Meeting Date : 2020-05-21

Meeting Type : Annual

ISIN : US8794338298

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Clarence A. Davis	For	For
1.2	Elect Director George W. Off	For	For
1.3	Elect Director Wade Oosterman	For	For
1.4	Elect Director Gary L. Sugarman	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditor	For	For
3	Approve Omnibus Stock Plan	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
5	Approve Recapitalization Plan for all Stock to Have One-vote per Share	Against	For

## Proxy Voting Results

### First Trust AlphaDEX US Utilities Sector Index ETF

Company : Xcel Energy Inc.  
 Ticker : XEL  
 CUSIP No. : 98389B100

Meeting Date : 2020-05-22  
 Meeting Type : Annual  
 ISIN : US98389B1008

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Lynn Casey	For	For
1b	Elect Director Ben Fowke	For	For
1c	Elect Director Netha N. Johnson	For	For
1d	Elect Director George Kehl	For	For
1e	Elect Director Richard T. O'Brien	For	For
1f	Elect Director David K. Owens	For	For
1g	Elect Director Christopher J. Policinski	For	For
1h	Elect Director James T. Prokopanko	For	For
1i	Elect Director A. Patricia Sampson	For	For
1j	Elect Director James J. Sheppard	For	For
1k	Elect Director David A. Westerlund	For	For
1l	Elect Director Kim Williams	For	For
1m	Elect Director Timothy V. Wolf	For	For
1n	Elect Director Daniel Yohannes	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For
4	Report on Costs and Benefits of Climate-Related Activities	Against	Against

Company : The Southern Company  
 Ticker : SO  
 CUSIP No. : 842587107

Meeting Date : 2020-05-27  
 Meeting Type : Annual  
 ISIN : US8425871071

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Janaki Akella	For	For
1b	Elect Director Juanita Powell Baranco	For	For
1c	Elect Director Jon A. Boscia	For	For
1d	Elect Director Henry A. 'Hal' Clark, III	For	For
1e	Elect Director Anthony F. 'Tony' Earley, Jr.	For	For
1f	Elect Director Thomas A. Fanning	For	For
1g	Elect Director David J. Grain	For	For
1h	Elect Director Donald M. James	For	For
1i	Elect Director John D. Johns	For	For
1j	Elect Director Dale E. Klein	For	For
1k	Elect Director Ernest J. Moniz	For	For
1l	Elect Director William G. Smith, Jr.	For	For
1m	Elect Director Steven R. Specker	For	For
1n	Elect Director E. Jenner Wood, III	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX US Utilities Sector Index ETF

4	Require Independent Board Chair	Against	Against
5	Report on Lobbying Payments and Policy	Against	For

Company : Clean Harbors, Inc.

Ticker : CLH

CUSIP No. : 184496107

Meeting Date : 2020-06-03

Meeting Type : Annual

ISIN : US1844961078

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Eugene Banucci	For	For
1.2	Elect Director Edward G. Galante	For	For
1.3	Elect Director Thomas J. Shields	For	For
1.4	Elect Director John R. Welch	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Approve Omnibus Stock Plan	For	For
4	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Avangrid, Inc.

Ticker : AGR

CUSIP No. : 05351W103

Meeting Date : 2020-06-22

Meeting Type : Annual

ISIN : US05351W1036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Ignacio S. Galan	For	Withhold
1.2	Elect Director John Baldacci	For	Withhold
1.3	Elect Director Daniel Alcain Lopez	For	Withhold
1.4	Elect Director Pedro Azagra Blazquez	For	Withhold
1.5	Elect Director Robert Duffy	For	For
1.6	Elect Director Teresa Herbert	For	For
1.7	Elect Director Patricia Jacobs	For	For
1.8	Elect Director John Lahey	For	For
1.9	Elect Director Jose Angel Marra Rodriguez	For	Withhold
1.10	Elect Director Santiago Martinez Garrido	For	Withhold
1.11	Elect Director Jose Sainz Armada	For	Withhold
1.12	Elect Director Alan Solomont	For	For
1.13	Elect Director Elizabeth Timm	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For