

First Trust Indxx Innovative Transaction and Process ETF

Ticker: BLCK

Quarter End Statement of Investments

3rd Quarter as at September 30, 2023

Sector Allocation	% of Net Asset Value
Exchange-Traded Fund (First Trust Indxx Innovative Transaction & Process ETF ["Underlying Fund"])*	99.91%
Cash and Cash Equivalents	0.88%
Total	100.79%
Other Assets and Liabilities	(0.79%)
Total Net Asset Value	100.00%

Holdings	% of Net Asset Value
First Trust Indxx Innovative Transaction & Process ETF	99.91%
Total Net Asset Value	\$1,895,617

Country Allocation of the Underlying Fund	% of Net Asset Value of the Underlying Fund
United States	37.45%
Germany	8.00%
India	7.82%
China	6.85%
United Kingdom	5.05%
Cayman Islands	4.70%
France	4.34%
Switzerland	2.94%
Spain	2.52%
Australia	2.49%
United Arab Emirates	2.32%
Finland	2.15%
Japan	2.11%
South Korea	2.01%
Hong Kong	1.54%
Netherlands	1.53%
Taiwan	1.33%
Ireland	1.29%
Austria	0.83%
Denmark	0.82%
Singapore	0.81%
Canada	0.80%

Sector Allocation of the Underlying Fund	% of Net Asset Value of the Underlying Fund
Financials	39.83%
Information Technology	27.85%
Communication Services	9.84%
Consumer Discretionary	8.63%
Industrials	5.24%
Utilities	2.96%
Materials	1.67%
Consumer Staples	1.59%
Health Care	1.28%
Energy	0.83%
Cash and Cash Equivalents	0.05%
Total	99.77%
Other Assets and Liabilities	(0.23%)
Total Net Asset Value of the Underlying Fund	100.00%

Top 25 Holdings of the Underlying Fund	% of Net Asset Value of the Underlying Fund
Emirates Telecommunications Group Company PJSC	1.48%
AT&T Inc.	1.42%
China CITIC Bank Corporation Limited (Class H)	1.41%
Tata Consultancy Services Limited	1.41%
Nordea Bank Abp	1.41%
VMware, Inc.	1.39%
Honeywell International Inc.	1.38%
Industrial and Commercial Bank of China Limited (Class H)	1.38%
ICICI Bank Limited (ADR)	1.37%
Swisscom AG	1.35%
Deutsche Telekom AG	1.35%
Baidu, Inc.	1.35%
Engie S.A.	1.35%
Infosys Limited (ADR)	1.35%
Micron Technology, Inc.	1.34%
Capgemini SE	1.34%
SoftBank Corp.	1.33%
Taiwan Semiconductor Manufacturing Company Ltd. (ADR)	1.33%
Advanced Micro Devices, Inc.	1.33%
Alibaba Group Holding Limited (ADR)	1.33%
Infineon Technologies AG	1.33%
Samsung Electronics	1.33%
Microsoft Corporation	1.32%
Mastercard, Inc.	1.32%
International Business Machines Corporation	1.31%
Total Net Asset Value of the Underlying Fund (in USD)	\$94,343,100

*The First Trust ETF obtains exposure to the Indxx Blockchain Index (the "Index") by investing in the Underlying Fund, a U.S.-listed index ETF (i.e. First Trust Indxx Innovative Transaction & Process ETF (the "Underlying Fund") which itself replicates or substantially replicates the Index.

Commissions, management fees and expenses all may be associated with ETF investments. Please carefully read the simplified prospectus of the ETF before investing. ETFs are not guaranteed, their values change frequently and past performance may not be repeated. Data is as of September 30, 2023 (unaudited).

(10/23)