

First Trust AlphaDEX™ Emerging Market Dividend ETF (CAD-Hedged)

Tickers: FDE/FDE.A

Quarter End Statement of Investments

3rd Quarter as at September, 2020

Sector Allocation	% of Net Asset Value
Information Technology	25.41%
Materials	19.71%
Communication Services	17.02%
Utilities	10.02%
Energy	7.93%
Financials	5.46%
Health Care	4.84%
Consumer Staples	3.24%
Consumer Discretionary	2.85%
Industrials	2.35%
Cash & Cash Equivalents	0.48%
Total	99.32%
Other Assets and Liabilities	0.68%
Total Net Asset Value	100.00%

Country Allocation	% of Net Asset Value
China	18.87%
Brazil	16.99%
India	15.96%
Taiwan	14.25%
South Africa	9.91%
Mexico	5.68%
Chile	5.46%
Columbia	4.98%
Philippines	3.29%
Russia	2.92%
Indonesia	0.54%

Top 25 Holdings	% of Net Asset Value
United Microelectronics Corporation (ADR)	7.03%
Gold Fields Limited (ADR)	5.92%
Vedanta Limited (ADR)	5.63%
Dr. Reddy's Laboratories Limited (ADR)	4.84%
AngloGold Ashanti Limited (ADR)	3.99%
Taiwan Semiconductor Manufacturing Company Ltd. (ADR)	3.59%
NetEase, Inc. (ADR)	3.53%
China Telecom Corporation Limited (ADR)	3.51%
Ecopetrol S.A. (ADR)	3.51%
Companhia Paranaense de Energia-Copel (Preference, ADR)	3.44%
Infosys Limited (ADR)	3.38%
Gerdau S.A. (ADR)	3.30%
PLDT Inc. (ADR)	3.29%
ASE Industrial Holding Co., Ltd.	2.97%
Mobile TeleSystems PJSC (ADR)	2.92%
Huazhu Group Ltd.	2.85%
Autohome Inc. (ADR)	2.78%
TIM Participacoes S.A. (ADR)	2.76%
Enel Americas S.A. (ADR)	2.59%
China Petroleum & Chemical Corporation (Sinopec) (ADR)	2.53%
Wipro Limited (ADR)	2.11%
Companhia Energetica de Minas Gerais-CEMIG (ADR)	2.07%
Telefonica Brasil S.A. (ADR)	1.95%
PetroChina Company Limited (ADR)	1.89%
China Life Insurance Co., Limited (ADR)	1.78%
Total Net Asset Value	\$5,155,310

Commissions, trailing commissions, management fees and expenses all may be associated with ETF investments. Please carefully read the prospectus of the ETF before investing. ETFs are not guaranteed, their values change frequently and past performance may not be repeated. The Quarter End Statement of Investments may change due to the ETF's ongoing portfolio transactions. Updates are available quarterly. Data is as of September 30, 2020 (unaudited).