

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : Healthcare Trust of America, Inc.
Ticker : HTA
CUSIP No. : 42225P501

Meeting Date : 2019-07-09
Meeting Type : Annual
ISIN : US42225P5017

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Scott D. Peters	For	For
1b	Elect Director W. Bradley Blair, II	For	Against
1c	Elect Director Vicki U. Booth	For	Against
1d	Elect Director Maurice J. DeWald	For	Against
1e	Elect Director Warren D. Fix	For	For
1f	Elect Director Peter N. Foss	For	Against
1g	Elect Director Daniel S. Henson	For	For
1h	Elect Director Larry L. Mathis	For	Against
1i	Elect Director Gary T. Wescombe	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Fidelity National Information Services, Inc.
Ticker : FIS
CUSIP No. : 31620M106

Meeting Date : 2019-07-24
Meeting Type : Special
ISIN : US31620M1062

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Issue Shares in Connection with Merger	For	For
2	Increase Authorized Common Stock	For	For
3	Adjourn Meeting	For	For

Company : Worldpay, Inc.
Ticker : WP
CUSIP No. : 981558109

Meeting Date : 2019-07-24
Meeting Type : Special
ISIN : US9815581098

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Merger Agreement	For	For
2	Advisory Vote on Golden Parachutes	For	For
3	Adjourn Meeting	For	For

Company : BB&T Corporation
Ticker : BBT
CUSIP No. : 054937107

Meeting Date : 2019-07-30
Meeting Type : Special
ISIN : US0549371070

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Issue Shares in Connection with Merger	For	For
2	Change Company Name to Truist Financial Corporation	For	For
3	Adjourn Meeting	For	For

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Company : SunTrust Banks, Inc.
Ticker : STI
CUSIP No. : 867914103

Meeting Date : 2019-07-30
Meeting Type : Special
ISIN : US8679141031

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Merger Agreement	For	For
2	Advisory Vote on Golden Parachutes	For	For
3	Adjourn Meeting	For	For

Company : Global Payments Inc.
Ticker : GPN
CUSIP No. : 37940X102

Meeting Date : 2019-08-29
Meeting Type : Special
ISIN : US37940X1028

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Issue Shares in Connection with Merger	For	For
2	Increase Authorized Common Stock	For	For
3	Declassify the Board of Directors	For	For
4	Adjourn Meeting	For	For

Company : Total System Services, Inc.
Ticker : TSS
CUSIP No. : 891906109

Meeting Date : 2019-08-29
Meeting Type : Special
ISIN : US8919061098

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Merger Agreement	For	For
2	Advisory Vote on Golden Parachutes	For	For
3	Declassify the Board of Directors	For	For
4	Adjourn Meeting	For	For

Company : Prosperity Bancshares, Inc.
Ticker : PB
CUSIP No. : 743606105

Meeting Date : 2019-10-29
Meeting Type : Special
ISIN : US7436061052

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Merger and Issuance of Shares in Connection with Merger	For	For
2	Adjourn Meeting	For	For

Company : Broadridge Financial Solutions, Inc.
Ticker : BR
CUSIP No. : 11133T103

Meeting Date : 2019-11-14
Meeting Type : Annual
ISIN : US11133T1034

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Leslie A. Brun	For	For
1b	Elect Director Pamela L. Carter	For	For
1c	Elect Director Richard J. Daly	For	For
1d	Elect Director Robert N. Duelks	For	For

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1e	Elect Director Timothy C. Gokey	For	For
1f	Elect Director Brett A. Keller	For	For
1g	Elect Director Maura A. Markus	For	For
1h	Elect Director Thomas J. Perna	For	For
1i	Elect Director Alan J. Weber	For	For
1j	Elect Director Amit K. Zavery	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Jack Henry & Associates, Inc.

Ticker : JKHY

CUSIP No. : 426281101

Meeting Date : 2019-11-14

Meeting Type : Annual

ISIN : US4262811015

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Matthew C. Flanigan	For	For
1.2	Elect Director John F. Prim	For	For
1.3	Elect Director Thomas H. Wilson, Jr.	For	For
1.4	Elect Director Jacques R. Fiegel	For	For
1.5	Elect Director Thomas A. Wimsett	For	For
1.6	Elect Director Laura G. Kelly	For	For
1.7	Elect Director Shruti S. Miyashiro	For	For
1.8	Elect Director Wesley A. Brown	For	For
1.9	Elect Director David B. Foss	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers, LLP as Auditors	For	For

Company : Visa Inc.

Ticker : V

CUSIP No. : 92826C839

Meeting Date : 2020-01-28

Meeting Type : Annual

ISIN : US92826C8394

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Lloyd A. Carney	For	Against
1b	Elect Director Mary B. Cranston	For	For
1c	Elect Director Francisco Javier Fernandez-Carbajal	For	For
1d	Elect Director Alfred F. Kelly, Jr.	For	For
1e	Elect Director Ramon L. Laguarda	For	For
1f	Elect Director John F. Lundgren	For	For
1g	Elect Director Robert W. Matschullat	For	For
1h	Elect Director Denise M. Morrison	For	For
1i	Elect Director Suzanne Nora Johnson	For	For
1j	Elect Director John A. C. Swainson	For	For
1k	Elect Director Maynard G. Webb, Jr.	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

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Company : Aon plc
 Ticker : AON
 CUSIP No. : G0408V102

Meeting Date : 2020-02-04
 Meeting Type : Special
 ISIN : GB00B5BT0K07

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Scheme of Arrangement	For	For
2	Approve Reduction of Share Capital and Creation of Distributable Profits	For	For
3	Approve Terms of an Off-Exchange Buyback and Cancellation of Class B Ordinary Shares	For	For
4	Approve Delisting of Shares from the New York Stock Exchange	For	For
5	Adjourn Meeting	For	For
1	Approve Scheme of Arrangement	For	For

Company : Franklin Resources, Inc.
 Ticker : BEN
 CUSIP No. : 354613101

Meeting Date : 2020-02-11
 Meeting Type : Annual
 ISIN : US3546131018

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Peter K. Barker	For	For
1b	Elect Director Mariann Byerwalter	For	For
1c	Elect Director Gregory E. Johnson	For	For
1d	Elect Director Jennifer M. Johnson	For	For
1e	Elect Director Rupert H. Johnson, Jr.	For	For
1f	Elect Director Anthony J. Noto	For	For
1g	Elect Director Mark C. Pigott	For	For
1h	Elect Director Laura Stein	For	For
1i	Elect Director Seth H. Waugh	For	For
1j	Elect Director Geoffrey Y. Yang	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Amend Qualified Employee Stock Purchase Plan	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Athene Holding Ltd.
 Ticker : ATH
 CUSIP No. : G0684D107

Meeting Date : 2020-02-12
 Meeting Type : Special
 ISIN : BMG0684D1074

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Amend Bylaws	For	For
2	Approve Conversion of Class B Common Shares	For	For
3	Approve Conversion of Class M Common Shares	For	For
4	Approve Issuance of Shares Pursuant to Transactions with Related Parties	For	For
5	Adjourn Meeting	For	For

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Shareholder Represents that Neither they nor Any of its Tax Attributed Affiliates Owns Any Class B Shares or Any Equity Interests of Apollo Global Management or AP Alternative Assets.

If You do not Mark Yes your Vote may Not Count. For = Yes;

A	Against= No	None	For
B	Shareholder Represents that it is Neither an Employee of Apollo Group nor a Management Shareholder, If You do not Mark Yes your Vote may Not Count For = Yes and Against= No	None	For

Company : Raymond James Financial, Inc.

Ticker : RJF

CUSIP No. : 754730109

Meeting Date : 2020-02-20

Meeting Type : Annual

ISIN : US7547301090

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Charles G. von Arentschildt	For	For
1.2	Elect Director Robert M. Dutkowsky	For	For
1.3	Elect Director Jeffrey N. Edwards	For	For
1.4	Elect Director Benjamin C. Esty	For	For
1.5	Elect Director Anne Gates	For	For
1.6	Elect Director Francis S. Godbold	For	For
1.7	Elect Director Thomas A. James	For	For
1.8	Elect Director Gordon L. Johnson	For	For
1.9	Elect Director Roderick C. McGeary	For	For
1.10	Elect Director Paul C. Reilly	For	For
1.11	Elect Director Raj Seshadri	For	For
1.12	Elect Director Susan N. Story	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Amend Omnibus Stock Plan	For	For
4	Ratify KPMG LLP as Auditors	For	For

Company : Fair Isaac Corporation

Ticker : FICO

CUSIP No. : 303250104

Meeting Date : 2020-03-04

Meeting Type : Annual

ISIN : US3032501047

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Braden R. Kelly	For	For
1b	Elect Director Fabiola R. Arredondo	For	For
1c	Elect Director A. George Battle	For	For
1d	Elect Director James D. Kirsner	For	For
1e	Elect Director William J. Lansing	For	For
1f	Elect Director Eva Manolis	For	For
1g	Elect Director Marc F. McMorris	For	For
1h	Elect Director Joanna Rees	For	For
1i	Elect Director David A. Rey	For	For
2	Amend Omnibus Stock Plan	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

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4 Ratify Deloitte & Touche LLP as Auditors For For

Company : Fifth Third Bancorp

Meeting Date : 2020-04-14

Ticker : FITB

Meeting Type : Annual

CUSIP No. : 316773100

ISIN : US3167731005

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Nicholas K. Akins	For	For
1.2	Elect Director B. Evan Bayh, III	For	For
1.3	Elect Director Jorge L. Benitez	For	For
1.4	Elect Director Katherine B. Blackburn	For	For
1.5	Elect Director Emerson L. Brumback	For	For
1.6	Elect Director Jerry W. Burris	For	For
1.7	Elect Director Greg D. Carmichael	For	For
1.8	Elect Director C. Bryan Daniels	For	For
1.9	Elect Director Thomas H. Harvey	For	For
1.10	Elect Director Gary R. Heminger	For	For
1.11	Elect Director Jewell D. Hoover	For	For
1.12	Elect Director Eileen A. Mallesch	For	For
1.13	Elect Director Michael B. McCallister	For	For
1.14	Elect Director Marsha C. Williams	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Commerce Bancshares, Inc.

Meeting Date : 2020-04-15

Ticker : CBSH

Meeting Type : Annual

CUSIP No. : 200525103

ISIN : US2005251036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John R. Capps	For	For
1.2	Elect Director Karen L. Daniel	For	For
1.3	Elect Director W. Thomas Grant, II	For	For
1.4	Elect Director David W. Kemper	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : The Bank of New York Mellon Corporation

Meeting Date : 2020-04-15

Ticker : BK

Meeting Type : Annual

CUSIP No. : 064058100

ISIN : US0640581007

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Linda Z. Cook	For	For
1b	Elect Director Joseph J. Echevarria	For	For
1c	Elect Director Thomas P. "Todd" Gibbons	For	For
1d	Elect Director Jeffrey A. Goldstein	For	For

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1e	Elect Director Edmund F. "Ted" Kelly	For	For
1f	Elect Director Jennifer B. Morgan	For	For
1g	Elect Director Elizabeth E. Robinson	For	For
1h	Elect Director Samuel C. Scott, III	For	For
1i	Elect Director Frederick O. Terrell	For	For
1j	Elect Director Alfred W. "Al" Zollar	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For
4	Report on Gender Pay Gap	Against	Against
5	Require Shareholder Approval of Bylaw Amendments Adopted by the Board of Directors	Against	Against

Company : **Jefferies Financial Group Inc.**

Ticker : **JEF**

CUSIP No. : **47233W109**

Meeting Date : **2020-04-17**

Meeting Type : **Annual**

ISIN : **US47233W1099**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Linda L. Adamany	For	For
1b	Elect Director Barry J. Alperin	For	For
1c	Elect Director Robert D. Beyer	For	For
1d	Elect Director Francisco L. Borges	For	For
1e	Elect Director Brian P. Friedman	For	For
1f	Elect Director MaryAnne Gilmartin	For	For
1g	Elect Director Richard B. Handler	For	For
1h	Elect Director Robert E. Joyal	For	For
1i	Elect Director Jacob M. Katz	For	For
1j	Elect Director Michael T. O'Kane	For	For
1k	Elect Director Stuart H. Reese	For	For
1l	Elect Director Joseph S. Steinberg	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : **Umpqua Holdings Corporation**

Ticker : **UMPQ**

CUSIP No. : **904214103**

Meeting Date : **2020-04-20**

Meeting Type : **Annual**

ISIN : **US9042141039**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Peggy Y. Fowler	For	For
1.2	Elect Director Stephen M. Gambee	For	For
1.3	Elect Director James S. Greene	For	For
1.4	Elect Director Luis F. Machuca	For	For
1.5	Elect Director Maria M. Pope	For	For
1.6	Elect Director Cort L. O'Haver	For	For
1.7	Elect Director John F. Schultz	For	For
1.8	Elect Director Susan F. Stevens	For	For
1.9	Elect Director Hilliard C. Terry, III	For	For

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First Trust AlphaDEX US Financial Sector Index ETF

1.10	Elect Director Bryan L. Timm	For	For
1.11	Elect Director Anddria Varnado	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Citigroup Inc.

Meeting Date : 2020-04-21

Ticker : C

Meeting Type : Annual

CUSIP No. : 172967424

ISIN : US1729674242

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Michael L. Corbat	For	For
1b	Elect Director Ellen M. Costello	For	For
1c	Elect Director Grace E. Dailey	For	For
1d	Elect Director Barbara J. Desoer	For	For
1e	Elect Director John C. Dugan	For	For
1f	Elect Director Duncan P. Hennes	For	For
1g	Elect Director Peter B. Henry	For	For
1h	Elect Director S. Leslie Ireland	For	For
1i	Elect Director Lew W. (Jay) Jacobs, IV	For	For
1j	Elect Director Renee J. James	For	For
1k	Elect Director Gary M. Reiner	For	For
1l	Elect Director Diana L. Taylor	For	For
1m	Elect Director James S. Turley	For	For
1n	Elect Director Deborah C. Wright	For	For
1o	Elect Director Alexander R. Wynaendts	For	For
1p	Elect Director Ernesto Zedillo Ponce de Leon	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Amend Omnibus Stock Plan	For	For
5	Amend Proxy Access Right	Against	For
6	Review on Governance Documents	Against	Against
7	Report on Lobbying Payments and Policy	Against	Against

Company : M&T Bank Corporation

Meeting Date : 2020-04-21

Ticker : MTB

Meeting Type : Annual

CUSIP No. : 55261F104

ISIN : US55261F1049

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director C. Angela Bontempo	For	For
1.2	Elect Director Robert T. Brady	For	For
1.3	Elect Director T. Jefferson Cunningham, III	For	For
1.4	Elect Director Gary N. Geisel	For	For
1.5	Elect Director Richard S. Gold	For	For
1.6	Elect Director Richard A. Grossi	For	For
1.7	Elect Director John D. Hawke, Jr.	For	For
1.8	Elect Director Rene F. Jones	For	For

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1.9	Elect Director Richard H. Ledgett, Jr.	For	For
1.10	Elect Director Newton P.S. Merrill	For	For
1.11	Elect Director Kevin J. Pearson	For	For
1.12	Elect Director Melinda R. Rich	For	For
1.13	Elect Director Robert E. Sadler, Jr.	For	For
1.14	Elect Director Denis J. Salamone	For	Withhold
1.15	Elect Director John R. Scannell	For	For
1.16	Elect Director David S. Scharfstein	For	For
1.17	Elect Director Herbert L. Washington	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : [Moody's Corporation](#)

Ticker : [MCO](#)

CUSIP No. : [615369105](#)

Meeting Date : [2020-04-21](#)

Meeting Type : [Annual](#)

ISIN : [US6153691059](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Basil L. Anderson	For	For
1b	Elect Director Jorge A. Bermudez	For	For
1c	Elect Director Therese Esperdy	For	For
1d	Elect Director Vincent A. Forlenza	For	For
1e	Elect Director Kathryn M. Hill	For	For
1f	Elect Director Raymond W. McDaniel, Jr.	For	For
1g	Elect Director Henry A. McKinnell, Jr.	For	For
1h	Elect Director Leslie F. Seidman	For	For
1i	Elect Director Bruce Van Saun	For	For
2a	Eliminate Supermajority Vote Requirements to Amend Charter and Bylaws	For	For
2b	Eliminate Supermajority Vote Requirement to Remove Directors Eliminate Supermajority Vote Requirement for Filling Open Board	For	For
2c	Seats	For	For
3	Ratify KPMG LLP as Auditors	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : [Northern Trust Corporation](#)

Ticker : [NTRS](#)

CUSIP No. : [665859104](#)

Meeting Date : [2020-04-21](#)

Meeting Type : [Annual](#)

ISIN : [US6658591044](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Linda Walker Bynoe	For	For
1b	Elect Director Susan Crown	For	For
1c	Elect Director Dean M. Harrison	For	For
1d	Elect Director Jay L. Henderson	For	For
1e	Elect Director Marcy S. Klevorn	For	For
1f	Elect Director Siddharth N. "Bobby" Mehta	For	For

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1g	Elect Director Michael G. O'Grady	For	For
1h	Elect Director Jose Luis Prado	For	For
1i	Elect Director Thomas E. Richards	For	For
1j	Elect Director Martin P. Slark	For	For
1k	Elect Director David H. B. Smith, Jr.	For	For
1l	Elect Director Donald Thompson	For	For
1m	Elect Director Charles A. Tribbett, III	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : Pinnacle Financial Partners, Inc.
 Ticker : PNF
 CUSIP No. : 72346Q104

Meeting Date : 2020-04-21
 Meeting Type : Annual
 ISIN : US72346Q1040

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Abney S. Boxley, III	For	For
1.2	Elect Director Gregory L. Burns	For	For
1.3	Elect Director Thomas C. Farnsworth, III	For	For
1.4	Elect Director David B. Ingram	For	For
1.5	Elect Director Gary L. Scott	For	For
1.6	Elect Director G. Kennedy Thompson	For	For
1.7	Elect Director Charles E. Brock	For	For
1.8	Elect Director Richard D. Callicutt, II	For	For
1.9	Elect Director Joseph C. Galante	For	For
1.10	Elect Director Robert A. McCabe, Jr.	For	For
1.11	Elect Director Reese L. Smith, III	For	For
1.12	Elect Director M. Terry Turner	For	For
1.13	Elect Director Renda J. Burkhart	For	For
1.14	Elect Director Marty G. Dickens	For	For
1.15	Elect Director Glenda Baskin Glover	For	For
1.16	Elect Director Ronald L. Samuels	For	For
2	Ratify Crowe LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Prosperity Bancshares, Inc.
 Ticker : PB
 CUSIP No. : 743606105

Meeting Date : 2020-04-21
 Meeting Type : Annual
 ISIN : US7436061052

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Kevin J. Hanigan	For	For
1.2	Elect Director William T. Luedke, IV	For	For
1.3	Elect Director Perry Mueller, Jr.	For	For
1.4	Elect Director Harrison Stafford, II	For	For
1.5	Elect Director Bruce W. Hunt	For	For
1.6	Elect Director George A. Fisk	For	For
2	Approve Omnibus Stock Plan	For	For

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3	Ratify Deloitte & Touche LLP as Auditors	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Public Storage
 Ticker : PSA
 CUSIP No. : 74460D109

Meeting Date : 2020-04-21
 Meeting Type : Annual
 ISIN : US74460D1090

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Ronald L. Havner, Jr.	For	For
1.2	Elect Director Tamara Hughes Gustavson	For	For
1.3	Elect Director Uri P. Harkham	For	For
1.4	Elect Director Leslie S. Heisz	For	For
1.5	Elect Director B. Wayne Hughes, Jr.	For	For
1.6	Elect Director Avedick B. Poladian	For	For
1.7	Elect Director Gary E. Pruitt	For	For
1.8	Elect Director John Reyes	For	For
1.9	Elect Director Joseph D. Russell, Jr.	For	For
1.10	Elect Director Tariq M. Shaukat	For	For
1.11	Elect Director Ronald P. Spogli	For	For
1.12	Elect Director Daniel C. Staton	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

Company : U.S. Bancorp
 Ticker : USB
 CUSIP No. : 902973304

Meeting Date : 2020-04-21
 Meeting Type : Annual
 ISIN : US9029733048

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Warner L. Baxter	For	For
1b	Elect Director Dorothy J. Bridges	For	For
1c	Elect Director Elizabeth L. Buse	For	For
1d	Elect Director Marc N. Casper	For	For
1e	Elect Director Andrew Cecere	For	For
1f	Elect Director Kimberly J. Harris	For	For
1g	Elect Director Roland A. Hernandez	For	For
1h	Elect Director Olivia F. Kirtley	For	For
1i	Elect Director Karen S. Lynch	For	For
1j	Elect Director Richard P. McKenney	For	For
1k	Elect Director Yusuf I. Mehdi	For	For
1l	Elect Director John P. Wiehoff	For	For
1m	Elect Director Scott W. Wine	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : Bank of America Corporation
 Ticker : BAC
 CUSIP No. : 060505104

Meeting Date : 2020-04-22
 Meeting Type : Annual
 ISIN : US0605051046

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Sharon L. Allen	For	For
1b	Elect Director Susan S. Bies	For	For
1c	Elect Director Jack O. Bovender, Jr.	For	For
1d	Elect Director Frank P. Bramble, Sr.	For	For
1e	Elect Director Pierre J.P. de Weck	For	For
1f	Elect Director Arnold W. Donald	For	For
1g	Elect Director Linda P. Hudson	For	For
1h	Elect Director Monica C. Lozano	For	For
1i	Elect Director Thomas J. May	For	For
1j	Elect Director Brian T. Moynihan	For	For
1k	Elect Director Lionel L. Nowell, III	For	For
1l	Elect Director Denise L. Ramos	For	For
1m	Elect Director Clayton S. Rose	For	For
1n	Elect Director Michael D. White	For	For
1o	Elect Director Thomas D. Woods	For	For
1p	Elect Director R. David Yost	For	For
1q	Elect Director Maria T. Zuber	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Amend Proxy Access Right	Against	For
5	Provide Right to Act by Written Consent	Against	For
6	Report on Gender Pay Gap	Against	Against
7	Review of Statement of the Purpose of a Corporation and Report on Recommended Changes to Governance Documents, Policies, and Practices	Against	Against

Company : First Hawaiian, Inc.
 Ticker : FHB
 CUSIP No. : 32051X108

Meeting Date : 2020-04-22
 Meeting Type : Annual
 ISIN : US32051X1081

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Matthew J. Cox	For	For
1b	Elect Director W. Allen Doane	For	For
1c	Elect Director Faye Watanabe Kurren	For	For
1d	Elect Director Robert S. Harrison	For	For
1e	Elect Director Allen B. Uyeda	For	For
1f	Elect Director Jenai S. Wall	For	For
1g	Elect Director C. Scott Wo	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : **Huntington Bancshares Incorporated**
 Ticker : **HBAN**
 CUSIP No. : **446150104**

Meeting Date : **2020-04-22**
 Meeting Type : **Annual**
 ISIN : **US4461501045**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Lizabeth Ardisana	For	For
1.2	Elect Director Alanna Y. Cotton	For	For
1.3	Elect Director Ann B. "Tanny" Crane	For	For
1.4	Elect Director Robert S. Cubbin	For	For
1.5	Elect Director Steven G. Elliott	For	For
1.6	Elect Director Gina D. France	For	For
1.7	Elect Director J. Michael Hochschwender	For	For
1.8	Elect Director John C. "Chris" Inglis	For	For
1.9	Elect Director Katherine M. A. "Allie" Kline	For	For
1.10	Elect Director Richard W. Neu	For	For
1.11	Elect Director Kenneth J. Phelan	For	For
1.12	Elect Director David L. Porteous	For	For
1.13	Elect Director Stephen D. Steinour	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : **Regions Financial Corporation**
 Ticker : **RF**
 CUSIP No. : **7591EP100**

Meeting Date : **2020-04-22**
 Meeting Type : **Annual**
 ISIN : **US7591EP1005**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Carolyn H. Byrd	For	For
1b	Elect Director Don DeFosset	For	For
1c	Elect Director Samuel A. Di Piazza, Jr.	For	For
1d	Elect Director Zhanna Golodryga	For	For
1e	Elect Director John D. Johns	For	For
1f	Elect Director Ruth Ann Marshall	For	For
1g	Elect Director Charles D. McCrary	For	For
1h	Elect Director James T. Prokopanko	For	For
1i	Elect Director Lee J. Styslinger, III	For	For
1j	Elect Director Jose S. Suquet	For	For
1k	Elect Director John M. Turner, Jr.	For	For
1l	Elect Director Timothy Vines	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : Signature Bank
Ticker : SBNY
CUSIP No. : 82669G104

Meeting Date : 2020-04-22
Meeting Type : Annual
ISIN : US82669G1040

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Joseph J. DePaolo	For	For
1.2	Elect Director Barney Frank	For	For
1.3	Elect Director Scott A. Shay	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Authorize Share Repurchase Program	For	For

Company : Synovus Financial Corp.
Ticker : SNV
CUSIP No. : 87161C501

Meeting Date : 2020-04-22
Meeting Type : Annual
ISIN : US87161C5013

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Tim E. Bentsen	For	For
1B	Elect Director F. Dixon Brooke, Jr.	For	For
1C	Elect Director Stephen T. Butler	For	For
1D	Elect Director Elizabeth W. Camp	For	For
1E	Elect Director Diana M. Murphy	For	For
1F	Elect Director Harris Pastides	For	For
1G	Elect Director Joseph J. Prochaska, Jr.	For	For
1H	Elect Director John L. Stallworth	For	For
1I	Elect Director Kessel D. Stelling	For	For
1J	Elect Director Barry L. Storey	For	For
1K	Elect Director Teresa White	For	For
2	Amend Articles of Incorporation to Eliminate 10-1 Voting Provisions	For	For
3	Eliminate Supermajority Vote Requirement	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
5	Advisory Vote on Say on Pay Frequency	One Year	One Year
6	Ratify KPMG LLP as Auditors	For	For

Company : Citizens Financial Group, Inc.
Ticker : CFG
CUSIP No. : 174610105

Meeting Date : 2020-04-23
Meeting Type : Annual
ISIN : US1746101054

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Bruce Van Saun	For	For
1.2	Elect Director Christine M. Cumming	For	For
1.3	Elect Director William P. Hankowsky	For	For
1.4	Elect Director Howard W. Hanna, III	For	For
1.5	Elect Director Leo I. ("Lee") Higdon	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

1.6	Elect Director Edward J. ("Ned") Kelly, III	For	For
1.7	Elect Director Charles J. ("Bud") Koch	For	For
1.8	Elect Director Robert G. Leary	For	For
1.9	Elect Director Terrance J. Lillis	For	For
1.10	Elect Director Shivan Subramaniam	For	For
1.11	Elect Director Wendy A. Watson	For	For
1.12	Elect Director Marita Zuraitis	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For
4	Provide Right to Call Special Meeting	For	For
5	Amend Certificate of Incorporation to Remove Non-Operative Provisions	For	For

Company : Healthpeak Properties, Inc.

Ticker : PEAK

CUSIP No. : 42250P103

Meeting Date : 2020-04-23

Meeting Type : Annual

ISIN : US42250P1030

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Brian G. Cartwright	For	For
1b	Elect Director Christine N. Garvey	For	For
1c	Elect Director R. Kent Griffin, Jr.	For	For
1d	Elect Director David B. Henry	For	For
1e	Elect Director Thomas M. Herzog	For	For
1f	Elect Director Lydia H. Kennard	For	For
1g	Elect Director Sara G. Lewis	For	For
1h	Elect Director Katherine M. Sandstrom	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : MGIC Investment Corporation

Ticker : MTG

CUSIP No. : 552848103

Meeting Date : 2020-04-23

Meeting Type : Annual

ISIN : US5528481030

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Daniel A. Arrigoni	For	For
1.2	Elect Director C. Edward Chaplin	For	For
1.3	Elect Director Curt S. Culver	For	For
1.4	Elect Director Jay C. Hartzell	For	For
1.5	Elect Director Timothy A. Holt	For	For
1.6	Elect Director Kenneth M. Jastrow, II	For	For
1.7	Elect Director Jodeen A. Kozlak	For	For
1.8	Elect Director Michael E. Lehman	For	For
1.9	Elect Director Melissa B. Lora	For	For
1.10	Elect Director Timothy J. Mattke	For	For
1.11	Elect Director Gary A. Poliner	For	For
1.12	Elect Director Sheryl L. Sculley	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

1.13	Elect Director Mark M. Zandi	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Approve Omnibus Stock Plan	For	For
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : SVB Financial Group

Ticker : SIVB

CUSIP No. : 78486Q101

Meeting Date : 2020-04-23

Meeting Type : Annual

ISIN : US78486Q1013

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Greg W. Becker	For	For
1.2	Elect Director Eric A. Benhamou	For	For
1.3	Elect Director John S. Clendening	For	For
1.4	Elect Director Roger F. Dunbar	For	For
1.5	Elect Director Joel P. Friedman	For	For
1.6	Elect Director Jeffrey N. Maggioncalda	For	For
1.7	Elect Director Kay Matthews	For	For
1.8	Elect Director Mary J. Miller	For	For
1.9	Elect Director Kate D. Mitchell	For	For
1.10	Elect Director John F. Robinson	For	For
1.11	Elect Director Garen K. Staglin	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : Webster Financial Corporation

Ticker : WBS

CUSIP No. : 947890109

Meeting Date : 2020-04-23

Meeting Type : Annual

ISIN : US9478901096

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director William L. Atwell	For	For
1b	Elect Director John R. Ciulla	For	For
1c	Elect Director Elizabeth E. Flynn	For	For
1d	Elect Director E. Carol Hayles	For	For
1e	Elect Director Linda H. Ianieri	For	For
1f	Elect Director Laurence C. Morse	For	For
1g	Elect Director Karen R. Osar	For	For
1h	Elect Director Mark Pettie	For	For
1i	Elect Director Lauren C. States	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : First Horizon National Corporation
Ticker : FHN
CUSIP No. : 320517105

Meeting Date : 2020-04-24
Meeting Type : Special
ISIN : US3205171057

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Issue Shares in Connection with Merger	For	For
2	Increase Authorized Common Stock	For	For
3	Advisory Vote on Golden Parachutes	For	For
4	Adjourn Meeting	For	For

Company : Park Hotels & Resorts Inc.
Ticker : PK
CUSIP No. : 700517105

Meeting Date : 2020-04-24
Meeting Type : Annual
ISIN : US7005171050

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Thomas J. Baltimore, Jr.	For	For
1B	Elect Director Gordon M. Bethune	For	For
1C	Elect Director Patricia M. Bedient	For	For
1D	Elect Director Thomas D. Eckert	For	For
1E	Elect Director Geoffrey M. Garrett	For	For
1F	Elect Director Christie B. Kelly	For	For
1G	Elect Director Joseph I. Lieberman	For	For
1H	Elect Director Thomas A. Natelli	For	For
1I	Elect Director Timothy J. Naughton	For	For
1J	Elect Director Stephen I. Sadove	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

Company : Ally Financial Inc.
Ticker : ALLY
CUSIP No. : 02005N100

Meeting Date : 2020-04-28
Meeting Type : Annual
ISIN : US02005N1000

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Franklin W. Hobbs	For	For
1.2	Elect Director Kenneth J. Bacon	For	For
1.3	Elect Director Katryn (Trynka) Shineman Blake	For	For
1.4	Elect Director Maureen A. Breakiron-Evans	For	For
1.5	Elect Director William H. Cary	For	For
1.6	Elect Director Mayree C. Clark	For	For
1.7	Elect Director Kim S. Fennebresque	For	For
1.8	Elect Director Marjorie Magner	For	For
1.9	Elect Director Brian H. Sharples	For	For
1.10	Elect Director John J. Stack	For	For
1.11	Elect Director Michael F. Steib	For	For
1.12	Elect Director Jeffrey J. Brown	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : **Associated Banc-Corp**
 Ticker : **ASB**
 CUSIP No. : **045487105**

Meeting Date : **2020-04-28**
 Meeting Type : **Annual**
 ISIN : **US0454871056**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John F. Bergstrom	For	For
1.2	Elect Director Michael T. Crowley, Jr.	For	For
1.3	Elect Director Philip B. Flynn	For	For
1.4	Elect Director R. Jay Gerken	For	For
1.5	Elect Director Judith P. Greffin	For	For
1.6	Elect Director Michael J. Haddad	For	For
1.7	Elect Director William R. Hutchinson	For	For
1.8	Elect Director Robert A. Jeffe	For	For
1.9	Elect Director Eileen A. Kamerick	For	For
1.10	Elect Director Gale E. Klappa	For	For
1.11	Elect Director Richard T. Lommen	For	For
1.12	Elect Director Cory L. Nettles	For	For
1.13	Elect Director Karen T. van Lith	For	For
1.14	Elect Director John (Jay) B. Williams	For	For
2	Approve Omnibus Stock Plan	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
4	Ratify KPMG LLP as Auditors	For	For

Company : **BOK Financial Corporation**
 Ticker : **BOKF**
 CUSIP No. : **05561Q201**

Meeting Date : **2020-04-28**
 Meeting Type : **Annual**
 ISIN : **US05561Q2012**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Alan S. Armstrong	For	For
1.2	Elect Director C. Fred Ball, Jr.	For	For
1.3	Elect Director Steven Bangert	For	Withhold
1.4	Elect Director Peter C. Boylan, III	For	For
1.5	Elect Director Steven G. Bradshaw	For	Withhold
1.6	Elect Director Chester E. Cadieux, III	For	Withhold
1.7	Elect Director Gerard P. Clancy	For	Withhold
1.8	Elect Director John W. Coffey	For	For
1.9	Elect Director Joseph W. Craft, III	For	For
1.10	Elect Director Jack E. Finley	For	Withhold
1.11	Elect Director David F. Griffin	For	Withhold
1.12	Elect Director V. Burns Hargis	For	For
1.13	Elect Director Douglas D. Hawthorne	For	Withhold
1.14	Elect Director Kimberley D. Henry	For	For
1.15	Elect Director E. Carey Joullian, IV	For	Withhold

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

1.16	Elect Director George B. Kaiser	For	Withhold
1.17	Elect Director Stanley A. Lybarger	For	Withhold
1.18	Elect Director Steven J. Malcolm	For	For
1.19	Elect Director Steven E. Nell	For	Withhold
1.20	Elect Director E.C. Richards	For	Withhold
1.21	Elect Director Claudia San Pedro	For	Withhold
1.22	Elect Director Michael C. Turpen	For	For
1.23	Elect Director R.A. Walker	For	For
1.24	Elect Director Rose M. Washington	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Brixmor Property Group Inc.

Ticker : BRX

CUSIP No. : 11120U105

Meeting Date : 2020-04-28

Meeting Type : Annual

ISIN : US11120U1051

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director James M. Taylor, Jr.	For	For
1.2	Elect Director John G. Schreiber	For	For
1.3	Elect Director Michael Berman	For	For
1.4	Elect Director Julie Bowerman	For	For
1.5	Elect Director Sheryl M. Crosland	For	For
1.6	Elect Director Thomas W. Dickson	For	For
1.7	Elect Director Daniel B. Hurwitz	For	For
1.8	Elect Director William D. Rahm	For	For
1.9	Elect Director Gabrielle Sulzberger	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Comerica Incorporated

Ticker : CMA

CUSIP No. : 200340107

Meeting Date : 2020-04-28

Meeting Type : Annual

ISIN : US2003401070

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Michael E. Collins	For	For
1.2	Elect Director Roger A. Cregg	For	For
1.3	Elect Director T. Kevin DeNicola	For	For
1.4	Elect Director Curtis C. Farmer	For	For
1.5	Elect Director Jacqueline P. Kane	For	For
1.6	Elect Director Richard G. Lindner	For	For
1.7	Elect Director Barbara R. Smith	For	For
1.8	Elect Director Robert S. Taubman	For	For
1.9	Elect Director Reginald M. Turner, Jr.	For	For
1.10	Elect Director Nina G. Vaca	For	For
1.11	Elect Director Michael G. Van de Ven	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Equity LifeStyle Properties, Inc.

Ticker : ELS

CUSIP No. : 29472R108

Meeting Date : 2020-04-28

Meeting Type : Annual

ISIN : US29472R1086

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Andrew Berkenfield	For	For
1.2	Elect Director Philip Calian	For	For
1.3	Elect Director David Contis	For	For
1.4	Elect Director Constance Freedman	For	For
1.5	Elect Director Thomas Heneghan	For	For
1.6	Elect Director Tao Huang	For	For
1.7	Elect Director Marguerite Nader	For	For
1.8	Elect Director Scott Peppet	For	For
1.9	Elect Director Sheli Rosenberg	For	For
1.10	Elect Director Samuel Zell	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Increase Authorized Common Stock	For	For

Company : First Citizens BancShares, Inc.

Ticker : FCNCA

CUSIP No. : 31946M103

Meeting Date : 2020-04-28

Meeting Type : Annual

ISIN : US31946M1036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John M. Alexander, Jr.	For	For
1.2	Elect Director Victor E. Bell, III	For	For
1.3	Elect Director Peter M. Bristow	For	For
1.4	Elect Director Hope H. Bryant	For	For
1.5	Elect Director H. Lee Durham, Jr.	For	For
1.6	Elect Director Daniel L. Heavner	For	For
1.7	Elect Director Frank B. Holding, Jr.	For	For
1.8	Elect Director Robert R. Hoppe	For	For
1.9	Elect Director Floyd L. Keels	For	For
1.10	Elect Director Robert E. Mason, IV	For	For
1.11	Elect Director Robert T. Newcomb	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Dixon Hughes Goodman LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : First Horizon National Corporation
Ticker : FHN
CUSIP No. : 320517105

Meeting Date : 2020-04-28
Meeting Type : Annual
ISIN : US3205171057

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Kenneth A. Burdick	For	For
1.2	Elect Director John C. Compton	For	For
1.3	Elect Director Wendy P. Davidson	For	For
1.4	Elect Director Mark A. Emkes	For	For
1.5	Elect Director Corydon J. Gilchrist	For	For
1.6	Elect Director D. Bryan Jordan	For	For
1.7	Elect Director Vicki R. Palmer	For	For
1.8	Elect Director Colin V. Reed	For	For
1.9	Elect Director Cecelia D. Stewart	For	For
1.10	Elect Director Rajesh Subramaniam	For	For
1.11	Elect Director R. Eugene Taylor	For	For
1.12	Elect Director Luke Yancy, III	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : Kimco Realty Corporation
Ticker : KIM
CUSIP No. : 49446R109

Meeting Date : 2020-04-28
Meeting Type : Annual
ISIN : US49446R1095

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Milton Cooper	For	For
1b	Elect Director Philip E. Coviello	For	For
1c	Elect Director Conor C. Flynn	For	For
1d	Elect Director Frank Lourenso	For	For
1e	Elect Director Colombe M. Nicholas	For	For
1f	Elect Director Mary Hogan Preusse	For	For
1g	Elect Director Valerie Richardson	For	For
1h	Elect Director Richard B. Saltzman	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Pricewaterhouse Coopers LLP as Auditors	For	For
4	Approve Omnibus Stock Plan	For	For

Company : Lazard Ltd
Ticker : LAZ
CUSIP No. : G54050102

Meeting Date : 2020-04-28
Meeting Type : Annual
ISIN : BMG540501027

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Kenneth M. Jacobs	For	For
1.2	Elect Director Michelle Jarrard	For	For
1.3	Elect Director Iris Knobloch	For	For
1.4	Elect Director Philip A. Laskawy	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

2	Advisory Vote to Ratify Named Executive Officers' Compensation Approve Deloitte & Touche LLP as Auditors and Authorize Board to Fix Their Remuneration	For	Against
3		For	For

Company : MSCI Inc.
 Ticker : MSCI
 CUSIP No. : 55354G100

Meeting Date : 2020-04-28
 Meeting Type : Annual
 ISIN : US55354G1004

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Henry A. Fernandez	For	For
1b	Elect Director Robert G. Ashe	For	For
1c	Elect Director Benjamin F. duPont	For	For
1d	Elect Director Wayne Edmunds	For	For
1e	Elect Director Catherine R. Kinney	For	For
1f	Elect Director Jacques P. Perold	For	For
1g	Elect Director Sandy C. Rattray	For	For
1h	Elect Director Linda H. Riefler	For	For
1i	Elect Director Marcus L. Smith	For	For
1j	Elect Director Paula Volent	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : The PNC Financial Services Group, Inc.
 Ticker : PNC
 CUSIP No. : 693475105

Meeting Date : 2020-04-28
 Meeting Type : Annual
 ISIN : US6934751057

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Joseph Alvarado	For	For
1.2	Elect Director Charles E. Bunch	For	For
1.3	Elect Director Debra A. Cafaro	For	For
1.4	Elect Director Marjorie Rodgers Cheshire	For	For
1.5	Elect Director William S. Demchak	For	For
1.6	Elect Director Andrew T. Feldstein	For	For
1.7	Elect Director Richard J. Harshman	For	For
1.8	Elect Director Daniel R. Hesse	For	For
1.9	Elect Director Linda R. Medler	For	For
1.10	Elect Director Martin Pfinsgraff	For	For
1.11	Elect Director Toni Townes-Whitley	For	For
1.12	Elect Director Michael J. Ward	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Amend Qualified Employee Stock Purchase Plan	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : **Truist Financial Corporation**
Ticker : **TFC**
CUSIP No. : **89832Q109**

Meeting Date : **2020-04-28**
Meeting Type : **Annual**
ISIN : **US89832Q1094**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Jennifer S. Banner	For	For
1.2	Elect Director K. David Boyer, Jr.	For	For
1.3	Elect Director Agnes Bundy Scanlan	For	For
1.4	Elect Director Anna R. Cablik	For	For
1.5	Elect Director Dallas S. Clement	For	For
1.6	Elect Director Paul D. Donahue	For	For
1.7	Elect Director Paul R. Garcia	For	For
1.8	Elect Director Patrick C. Graney, III	For	For
1.9	Elect Director Linnie M. Haynesworth	For	For
1.10	Elect Director Kelly S. King	For	For
1.11	Elect Director Easter A. Maynard	For	For
1.12	Elect Director Donna S. Morea	For	For
1.13	Elect Director Charles A. Patton	For	For
1.14	Elect Director Nido R. Qubein	For	For
1.15	Elect Director David M. Ratcliffe	For	For
1.16	Elect Director William H. Rogers, Jr.	For	For
1.17	Elect Director Frank P. Scruggs, Jr.	For	For
1.18	Elect Director Christine Sears	For	For
1.19	Elect Director Thomas E. Skains	For	For
1.20	Elect Director Bruce L. Tanner	For	For
1.21	Elect Director Thomas N. Thompson	For	For
1.22	Elect Director Steven C. Voorhees	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Require Independent Board Chairman	Against	For

Company : **Wells Fargo & Company**
Ticker : **WFC**
CUSIP No. : **949746101**

Meeting Date : **2020-04-28**
Meeting Type : **Annual**
ISIN : **US9497461015**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Steven D. Black	For	For
1b	Elect Director Celeste A. Clark	For	For
1c	Elect Director Theodore F. Craver, Jr.	For	For
1d	Elect Director Wayne M. Hewett	For	For
1e	Elect Director Donald M. James	For	For
1f	Elect Director Maria R. Morris	For	For
1g	Elect Director Charles H. Noski	For	For
1h	Elect Director Richard B. Payne, Jr.	For	For
1i	Elect Director Juan A. Pujadas	For	For
1j	Elect Director Ronald L. Sargent	For	For
1k	Elect Director Charles W. Scharf	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

1l	Elect Director Suzanne M. Vautrinot	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For
4	Require Shareholder Approval of Bylaw Amendments Adopted by the Board of Directors	Against	Against
5	Report on Incentive-Based Compensation and Risks of Material Losses	Against	For
6	Report on Global Median Gender Pay Gap	Against	Against

Company : Ameriprise Financial, Inc.

Ticker : AMP

CUSIP No. : 03076C106

Meeting Date : 2020-04-29

Meeting Type : Annual

ISIN : US03076C1062

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director James M. Cracchiolo	For	For
1b	Elect Director Dianne Neal Blixt	For	For
1c	Elect Director Amy DiGesio	For	For
1d	Elect Director Lon R. Greenberg	For	For
1e	Elect Director Jeffrey Noddle	For	For
1f	Elect Director Robert F. Sharpe, Jr.	For	For
1g	Elect Director Brian T. Shea	For	For
1h	Elect Director W. Edward Walter, III	For	For
1i	Elect Director Christopher J. Williams	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Cullen/Frost Bankers, Inc.

Ticker : CFR

CUSIP No. : 229899109

Meeting Date : 2020-04-29

Meeting Type : Annual

ISIN : US2298991090

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Carlos Alvarez	For	For
1.2	Elect Director Chris M. Avery	For	For
1.3	Elect Director Anthony R. (Tony) Chase	For	For
1.4	Elect Director Cynthia J. Comparin	For	For
1.5	Elect Director Samuel G. Dawson	For	For
1.6	Elect Director Crawford H. Edwards	For	For
1.7	Elect Director Patrick B. Frost	For	For
1.8	Elect Director Phillip D. Green	For	For
1.9	Elect Director David J. Haemisegger	For	For
1.10	Elect Director Karen E. Jennings	For	For
1.11	Elect Director Charles W. Matthews	For	Against
1.12	Elect Director Ida Clement Steen	For	For
1.13	Elect Director Graham Weston	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

3 Advisory Vote to Ratify Named Executive Officers' Compensation For For

Company : Duke Realty Corporation

Ticker : DRE

CUSIP No. : 264411505

Meeting Date : 2020-04-29

Meeting Type : Annual

ISIN : US2644115055

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John P. Case	For	For
1b	Elect Director James B. Connor	For	For
1c	Elect Director Ngaire E. Cuneo	For	For
1d	Elect Director Charles R. Eitel	For	For
1e	Elect Director Tamara D. Fischer	For	For
1f	Elect Director Norman K. Jenkins	For	For
1g	Elect Director Melanie R. Sabelhaus	For	For
1h	Elect Director Peter M. Scott, III	For	For
1i	Elect Director David P. Stockert	For	For
1j	Elect Director Chris T. Sultemeier	For	For
1k	Elect Director Michael E. Szymanczyk	For	For
1l	Elect Director Warren M. Thompson	For	For
1m	Elect Director Lynn C. Thurber	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : Global Payments Inc.

Ticker : GPN

CUSIP No. : 37940X102

Meeting Date : 2020-04-29

Meeting Type : Annual

ISIN : US37940X1028

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director F. Thaddeus Arroyo	For	For
1b	Elect Director Robert H.B. Baldwin, Jr.	For	Against
1c	Elect Director John G. Bruno	For	For
1d	Elect Director Kriss Cloninger, III	For	For
1e	Elect Director William I. Jacobs	For	For
1f	Elect Director Joia M. Johnson	For	For
1g	Elect Director Ruth Ann Marshall	For	For
1h	Elect Director Connie D. McDaniel	For	For
1i	Elect Director William B. Plummer	For	For
1j	Elect Director Jeffrey S. Sloan	For	For
1k	Elect Director John T. Turner	For	For
1l	Elect Director M. Troy Woods	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Eliminate Supermajority Vote Requirement	For	For
4	Ratify Deloitte & Touche LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : Starwood Property Trust, Inc.
Ticker : STWD
CUSIP No. : 85571B105

Meeting Date : 2020-04-29
Meeting Type : Annual
ISIN : US85571B1052

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Richard D. Bronson	For	For
1.2	Elect Director Jeffrey G. Dishner	For	For
1.3	Elect Director Camille J. Douglas	For	For
1.4	Elect Director Solomon J. Kumin	For	For
1.5	Elect Director Fred S. Ridley	For	For
1.6	Elect Director Barry S. Sternlicht	For	For
1.7	Elect Director Strauss Zelnick	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Weingarten Realty Investors
Ticker : WRI
CUSIP No. : 948741103

Meeting Date : 2020-04-29
Meeting Type : Annual
ISIN : US9487411038

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Andrew M. Alexander	For	For
1b	Elect Director Stanford J. Alexander	For	For
1c	Elect Director Shelaghmichael C. Brown	For	For
1d	Elect Director Stephen A. Lasher	For	For
1e	Elect Director Thomas L. Ryan	For	For
1f	Elect Director Douglas W. Schnitzer	For	For
1g	Elect Director C. Park Shaper	For	For
1h	Elect Director Marc J. Shapiro	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Capital One Financial Corporation
Ticker : COF
CUSIP No. : 14040H105

Meeting Date : 2020-04-30
Meeting Type : Annual
ISIN : US14040H1059

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Richard D. Fairbank	For	For
1b	Elect Director Aparna Chennapragada	For	For
1c	Elect Director Ann Fritz Hackett	For	For
1d	Elect Director Peter Thomas Killalea	For	For
1e	Elect Director Cornelis "Eli" Leenaars	For	For
1f	Elect Director Pierre E. Leroy	For	For
1g	Elect Director Francois Locoh-Donou	For	For
1h	Elect Director Peter E. Raskind	For	For
1i	Elect Director Eileen Serra	For	For
1j	Elect Director Mayo A. Shattuck, III	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

1k	Elect Director Bradford H. Warner	For	For
1l	Elect Director Catherine G. West	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
4	Provide Right to Act by Written Consent	For	For
5	Require Independent Board Chairman	Against	For

Company : [Globe Life Inc.](#)

Ticker : [GL](#)

CUSIP No. : [37959E102](#)

Meeting Date : [2020-04-30](#)

Meeting Type : [Annual](#)

ISIN : [US37959E1029](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Charles E. Adair	For	For
1.2	Elect Director Linda L. Addison	For	For
1.3	Elect Director Marilyn A. Alexander	For	For
1.4	Elect Director Cheryl D. Alston	For	For
1.5	Elect Director Jane M. Buchan	For	For
1.6	Elect Director Gary L. Coleman	For	For
1.7	Elect Director Larry M. Hutchison	For	For
1.8	Elect Director Robert W. Ingram	For	For
1.9	Elect Director Steven P. Johnson	For	For
1.10	Elect Director Darren M. Rebelez	For	For
1.11	Elect Director Mary E. Thigpen	For	For
2	Ratify Deloitte & Touche LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : [Janus Henderson Group Plc](#)

Ticker : [JHG](#)

CUSIP No. : [G4474Y214](#)

Meeting Date : [2020-04-30](#)

Meeting Type : [Annual](#)

ISIN : [JE00BYPZJM29](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Accept Financial Statements and Statutory Reports	For	For
2	Elect Director Kalpana Desai	For	For
3	Elect Director Jeffrey Diermeier	For	For
4	Elect Director Kevin Dolan	For	For
5	Elect Director Eugene Flood, Jr.	For	For
6	Elect Director Richard Gillingwater	For	For
7	Elect Director Lawrence Kochard	For	For
8	Elect Director Glenn Schafer	For	For
9	Elect Director Angela Seymour-Jackson	For	For
10	Elect Director Richard Weil	For	For
11	Elect Director Tatsusaburo Yamamoto	For	For
12	Approve PricewaterhouseCoopers LLP as Auditors and Authorise Audit Committee to Fix Their Remuneration	For	For
13	Authorise Market Purchase of Ordinary Shares	For	For
14	Authorise Market Purchase of CDIs	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : The Goldman Sachs Group, Inc.
 Ticker : GS
 CUSIP No. : 38141G104

Meeting Date : 2020-04-30
 Meeting Type : Annual
 ISIN : US38141G1040

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director M. Michele Burns	For	For
1b	Elect Director Drew G. Faust	For	For
1c	Elect Director Mark A. Flaherty	For	For
1d	Elect Director Ellen J. Kullman	For	For
1e	Elect Director Lakshmi N. Mittal	For	For
1f	Elect Director Adebayo O. Ogunlesi	For	For
1g	Elect Director Peter Oppenheimer	For	For
1h	Elect Director David M. Solomon	For	For
1i	Elect Director Jan E. Tighe	For	For
1j	Elect Director David A. Viniar	For	For
1k	Elect Director Mark O. Winkelman	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Provide Right to Act by Written Consent	Against	For
5	Review of Statement on the Purpose of a Corporation	Against	Against

Company : VICI Properties Inc.
 Ticker : VICI
 CUSIP No. : 925652109

Meeting Date : 2020-04-30
 Meeting Type : Annual
 ISIN : US9256521090

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director James R. Abrahamson	For	For
1b	Elect Director Diana F. Cantor	For	For
1c	Elect Director Monica H. Douglas	For	For
1d	Elect Director Elizabeth I. Holland	For	For
1e	Elect Director Craig Macnab	For	For
1f	Elect Director Edward B. Pitoniak	For	For
1g	Elect Director Michael D. Rumbolz	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Eliminate Supermajority Vote Requirement	For	For

Company : Welltower Inc.
 Ticker : WELL
 CUSIP No. : 95040Q104

Meeting Date : 2020-04-30
 Meeting Type : Annual
 ISIN : US95040Q1040

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Kenneth J. Bacon	For	For
1b	Elect Director Thomas J. DeRosa	For	For
1c	Elect Director Karen B. DeSalvo	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

1d	Elect Director Jeffrey H. Donahue	For	For
1e	Elect Director Sharon M. Oster	For	For
1f	Elect Director Sergio D. Rivera	For	For
1g	Elect Director Johnese M. Spisso	For	For
1h	Elect Director Kathryn M. Sullivan	For	For
2	Ratify Ernst & Young LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Zions Bancorporation, N.A.

Meeting Date : 2020-05-01

Ticker : ZION

Meeting Type : Annual

CUSIP No. : 989701107

ISIN : US9897011071

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Jerry C. Atkin	For	For
1b	Elect Director Gary L. Crittenden	For	For
1c	Elect Director Suren K. Gupta	For	For
1d	Elect Director J. David Heaney	For	For
1e	Elect Director Vivian S. Lee	For	For
1f	Elect Director Scott J. McLean	For	For
1g	Elect Director Edward F. Murphy	For	For
1h	Elect Director Stephen D. Quinn	For	For
1i	Elect Director Harris H. Simmons	For	For
1j	Elect Director Aaron B. Skonnard	For	For
1k	Elect Director Barbara A. Yastine	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Berkshire Hathaway Inc.

Meeting Date : 2020-05-02

Ticker : BRK.B

Meeting Type : Annual

CUSIP No. : 084670702

ISIN : US0846707026

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Warren E. Buffett	For	For
1.2	Elect Director Charles T. Munger	For	For
1.3	Elect Director Gregory E. Abel	For	For
1.4	Elect Director Howard G. Buffett	For	For
1.5	Elect Director Stephen B. Burke	For	For
1.6	Elect Director Kenneth I. Chenault	For	For
1.7	Elect Director Susan L. Decker	For	For
1.8	Elect Director David S. Gottesman	For	For
1.9	Elect Director Charlotte Guyman	For	For
1.10	Elect Director Ajit Jain	For	For
1.11	Elect Director Thomas S. Murphy	For	For
1.12	Elect Director Ronald L. Olson	For	For
1.13	Elect Director Walter Scott, Jr.	For	For
1.14	Elect Director Meryl B. Witmer	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Advisory Vote on Say on Pay Frequency	Three Years	One Year
4	Adopt a Policy on Board Diversity	Against	Against

Company : Cincinnati Financial Corporation
 Ticker : CINF
 CUSIP No. : 172062101

Meeting Date : 2020-05-02
 Meeting Type : Annual
 ISIN : US1720621010

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Thomas J. Aaron	For	For
1.2	Elect Director William F. Bahl	For	For
1.3	Elect Director Linda W. Clement-Holmes	For	For
1.4	Elect Director Dirk J. Debbink	For	For
1.5	Elect Director Steven J. Johnston	For	For
1.6	Elect Director Kenneth C. Lichtendahl	For	For
1.7	Elect Director Jill P. Meyer	For	For
1.8	Elect Director David P. Osborn	For	For
1.9	Elect Director Gretchen W. Price	For	For
1.10	Elect Director Thomas R. Schiff	For	For
1.11	Elect Director Douglas S. Skidmore	For	For
1.12	Elect Director John F. Steele, Jr.	For	For
1.13	Elect Director Larry R. Webb	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Aflac Incorporated
 Ticker : AFL
 CUSIP No. : 001055102

Meeting Date : 2020-05-04
 Meeting Type : Annual
 ISIN : US0010551028

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Daniel P. Amos	For	For
1b	Elect Director W. Paul Bowers	For	For
1c	Elect Director Toshihiko Fukuzawa	For	For
1d	Elect Director Thomas J. Kenny	For	For
1e	Elect Director Georgette D. Kiser	For	For
1f	Elect Director Karole F. Lloyd	For	For
1g	Elect Director Nobuchika Mori	For	For
1h	Elect Director Joseph L. Moskowitz	For	For
1i	Elect Director Barbara K. Rimer	For	For
1j	Elect Director Katherine T. Rohrer	For	For
1k	Elect Director Melvin T. Stith	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : Bank OZK
 Ticker : OZK
 CUSIP No. : 06417N103

Meeting Date : 2020-05-04
 Meeting Type : Annual
 ISIN : US06417N1037

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Nicholas Brown	For	For
1b	Elect Director Paula Cholmondeley	For	For
1c	Elect Director Beverly Cole	For	For
1d	Elect Director Robert East	For	For
1e	Elect Director Kathleen Franklin	For	For
1f	Elect Director Catherine B. Freedberg	For	For
1g	Elect Director Jeffrey Gearhart	For	For
1h	Elect Director George G. Gleason	For	For
1i	Elect Director Peter Kenny	For	For
1j	Elect Director William Koefoed, Jr.	For	For
1k	Elect Director Walter J. (Jack) Mullen, Jr.	For	For
1l	Elect Director Christopher Orndorff	For	For
1m	Elect Director John Reynolds	For	For
1n	Elect Director Steven Sadoff	For	For
1o	Elect Director Ross Whipple	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : American Express Company
 Ticker : AXP
 CUSIP No. : 025816109

Meeting Date : 2020-05-05
 Meeting Type : Annual
 ISIN : US0258161092

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Charlene Barshefsky	For	For
1b	Elect Director John J. Brennan	For	For
1c	Elect Director Peter Chernin	For	For
1d	Elect Director Ralph de la Vega	For	For
1e	Elect Director Anne Lauvergeon	For	For
1f	Elect Director Michael O. Leavitt	For	For
1g	Elect Director Theodore J. Leonsis	For	For
1h	Elect Director Karen L. Parkhill	For	For
1i	Elect Director Lynn A. Pike	For	For
1j	Elect Director Stephen J. Squeri	For	For
1k	Elect Director Daniel L. Vasella	For	For
1l	Elect Director Ronald A. Williams	For	For
1m	Elect Director Christopher D. Young	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Amend Omnibus Stock Plan	For	For
5	Provide Right to Act by Written Consent	Against	For
6	Report on Gender/Racial Pay Gap	Against	Against

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : First American Financial Corporation
Ticker : FAF
CUSIP No. : 31847R102

Meeting Date : 2020-05-05
Meeting Type : Annual
ISIN : US31847R1023

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director James L. Doti	For	For
1.2	Elect Director Michael D. McKee	For	For
1.3	Elect Director Thomas V. McKernan	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Approve Omnibus Stock Plan	For	For
4	Ratify PricewaterhouseCoopers LLP as Auditor	For	For

Company : Kemper Corporation
Ticker : KMPR
CUSIP No. : 488401100

Meeting Date : 2020-05-05
Meeting Type : Annual
ISIN : US4884011002

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Teresa A. Canida	For	For
1b	Elect Director George N. Cochran	For	For
1c	Elect Director Kathleen M. Cronin	For	For
1d	Elect Director Lacy M. Johnson	For	For
1e	Elect Director Robert J. Joyce	For	For
1f	Elect Director Joseph P. Lacher, Jr.	For	For
1g	Elect Director Gerald Laderman	For	For
1h	Elect Director Christopher B. Sarofim	For	For
1i	Elect Director David P. Storch	For	For
1j	Elect Director Susan D. Whiting	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Approve Omnibus Stock Plan	For	For

Company : Assured Guaranty Ltd.
Ticker : AGO
CUSIP No. : G0585R106

Meeting Date : 2020-05-06
Meeting Type : Annual
ISIN : BMG0585R1060

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Francisco L. Borges	For	For
1b	Elect Director G. Lawrence Buhl	For	For
1c	Elect Director Dominic J. Frederico	For	For
1d	Elect Director Bonnie L. Howard	For	For
1e	Elect Director Thomas W. Jones	For	For
1f	Elect Director Patrick W. Kenny	For	For
1g	Elect Director Alan J. Kreczko	For	For
1h	Elect Director Simon W. Leathes	For	For
1i	Elect Director Michael T. O'Kane	For	For

Proxy Voting Results

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1j	Elect Director Yukiko Omura	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For
4aa	Elect Howard W. Albert as Director of Assured Guaranty Re Ltd	For	For
4ab	Elect Robert A. Bailenson as Director of Assured Guaranty Re Ltd	For	For
4ac	Elect Russell B. Brewer, II as Director of Assured Guaranty Re Ltd	For	For
4ad	Elect Gary Burnet as Director of Assured Guaranty Re Ltd	For	For
4ae	Elect Ling Chow as Director of Assured Guaranty Re Ltd	For	For
4af	Elect Stephen Donnarumma as Director of Assured Guaranty Re Ltd	For	For
4ag	Elect Dominic J. Frederico as Director of Assured Guaranty Re Ltd	For	For
4ah	Elect Walter A. Scott as Director of Assured Guaranty Re Ltd	For	For
4B	Ratify PricewaterhouseCoopers LLP as Auditor of Assured Guaranty Re Ltd	For	For

Company : **Brown & Brown, Inc.**

Ticker : **BRO**

CUSIP No. : **115236101**

Meeting Date : **2020-05-06**

Meeting Type : **Annual**

ISIN : **US1152361010**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director J. Hyatt Brown	For	For
1.2	Elect Director Samuel P. Bell, III	For	For
1.3	Elect Director Hugh M. Brown	For	For
1.4	Elect Director J. Powell Brown	For	For
1.5	Elect Director Lawrence L. Gellerstedt, III	For	For
1.6	Elect Director James C. Hays	For	For
1.7	Elect Director Theodore J. Hoepner	For	For
1.8	Elect Director James S. Hunt	For	For
1.9	Elect Director Toni Jennings	For	For
1.10	Elect Director Timothy R.M. Main	For	For
1.11	Elect Director H. Palmer Proctor, Jr.	For	For
1.12	Elect Director Wendell S. Reilly	For	For
1.13	Elect Director Chilton D. Varner	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

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Company : LPL Financial Holdings Inc.
 Ticker : LPLA
 CUSIP No. : 50212V100

Meeting Date : 2020-05-06
 Meeting Type : Annual
 ISIN : US50212V1008

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Dan H. Arnold	For	For
1b	Elect Director Edward C. Bernard	For	For
1c	Elect Director H. Paulett Eberhart	For	For
1d	Elect Director William F. Glavin, Jr.	For	For
1e	Elect Director Allison H. Mnookin	For	For
1f	Elect Director Anne M. Mulcahy	For	For
1g	Elect Director James S. Putnam	For	For
1h	Elect Director Richard P. Schifter	For	For
1i	Elect Director Corey E. Thomas	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : American Homes 4 Rent
 Ticker : AMH
 CUSIP No. : 02665T306

Meeting Date : 2020-05-07
 Meeting Type : Annual
 ISIN : US02665T3068

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Tamara Hughes Gustavson	For	For
1.2	Elect Director David P. Singelyn	For	For
1.3	Elect Director Douglas N. Benham	For	For
1.4	Elect Director John "Jack" Corrigan	For	For
1.5	Elect Director David Goldberg	For	For
1.6	Elect Director Matthew J. Hart	For	For
1.7	Elect Director James H. Kropp	For	For
1.8	Elect Director Winifred "Wendy" M. Webb	For	For
1.9	Elect Director Jay Willoughby	For	For
1.10	Elect Director Kenneth M. Woolley	For	For
1.11	Elect Director Matthew R. Zaist	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Axis Capital Holdings Limited
 Ticker : AXS
 CUSIP No. : G0692U109

Meeting Date : 2020-05-07
 Meeting Type : Annual
 ISIN : BMG0692U1099

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Thomas C. Ramey	For	For
1.2	Elect Director Wilhelm Zeller	For	For
1.3	Elect Director Lizabeth H. Zlatkus	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Proxy Voting Results

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3 Their Remuneration For For

Company : E*TRADE Financial Corporation

Meeting Date : 2020-05-07

Ticker : ETFC

Meeting Type : Annual

CUSIP No. : 269246401

ISIN : US2692464017

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Richard J. Carbone	For	For
1b	Elect Director Robert J. Chersi	For	For
1c	Elect Director Jaime W. Ellertson	For	For
1d	Elect Director James P. Healy	For	For
1e	Elect Director Kevin T. Kabat	For	For
1f	Elect Director James Lam	For	For
1g	Elect Director Rodger A. Lawson	For	For
1h	Elect Director Shelley B. Leibowitz	For	For
1i	Elect Director Michael A. Pizzi	For	For
1j	Elect Director Rebecca Saeger	For	For
1k	Elect Director Donna L. Weaver	For	For
1l	Elect Director Joshua A. Weinreich	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify Deloitte & Touche LLP as Auditors	For	For
4	Adopt Simple Majority Vote	None	For

Company : Alleghany Corporation

Meeting Date : 2020-05-08

Ticker : Y

Meeting Type : Annual

CUSIP No. : 017175100

ISIN : US0171751003

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Ian H. Chippendale	For	For
1.2	Elect Director Weston M. Hicks	For	For
1.3	Elect Director Jefferson W. Kirby	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditor	For	For

Company : Arch Capital Group Ltd.

Meeting Date : 2020-05-08

Ticker : AGL

Meeting Type : Annual

CUSIP No. : G0450A105

ISIN : BMG0450A1053

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Louis J. Paglia	For	For
1b	Elect Director Brian S. Posner	For	For
1c	Elect Director John D. Vollaro	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4a	Elect Director Robert Appleby as Designated Company Director of Non-U.S. Subsidiaries	For	For

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4b	Elect Director Dennis R. Brand as Designated Company Director of Non-U.S. Subsidiaries	For	For
4c	Elect Director Graham B.R. Collis as Designated Company Director of Non-U.S. Subsidiaries	For	For
4d	Elect Director Matthew Dragonetti as Designated Company Director of Non-U.S. Subsidiaries	For	For
4e	Elect Director Seamus Fearon as Designated Company Director of Non-U.S. Subsidiaries	For	For
4f	Elect Director Beau H. Franklin as Designated Company Director of Non-U.S. Subsidiaries	For	For
4g	Elect Director Jerome Halgan as Designated Company Director of Non-U.S. Subsidiaries	For	For
4h	Elect Director James Haney as Designated Company Director of Non-U.S. Subsidiaries	For	For
4i	Elect Director W. Preston Hutchings as Designated Company Director of Non-U.S. Subsidiaries	For	For
4j	Elect Director Pierre Jal as Designated Company Director of Non-U.S. Subsidiaries	For	For
4k	Elect Director Francois Morin as Designated Company Director of Non-U.S. Subsidiaries	For	For
4l	Elect Director David J. Mulholland as Designated Company Director of Non-U.S. Subsidiaries	For	For
4m	Elect Director Tim Peckett as Designated Company Director of Non-U.S. Subsidiaries	For	For
4n	Elect Director Maamoun Rajeh as Designated Company Director of Non-U.S. Subsidiaries	For	For
4o	Elect Director Roderick Romeo as Designated Company Director of Non-U.S. Subsidiaries	For	For

Company : **The Progressive Corporation**

Ticker : **PGR**

CUSIP No. : **743315103**

Meeting Date : **2020-05-08**

Meeting Type : **Annual**

ISIN : **US7433151039**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Philip Bleser	For	For
1b	Elect Director Stuart B. Burgdoerfer	For	For
1c	Elect Director Pamela J. Craig	For	For
1d	Elect Director Charles A. Davis	For	For
1e	Elect Director Roger N. Farah	For	For
1f	Elect Director Lawton W. Fitt	For	For
1g	Elect Director Susan Patricia Griffith	For	For
1h	Elect Director Jeffrey D. Kelly	For	For
1i	Elect Director Patrick H. Nettles	For	For
1j	Elect Director Barbara R. Snyder	For	For
1k	Elect Director Jan E. Tighe	For	For
1l	Elect Director Kahina Van Dyke	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

3 Ratify PricewaterhouseCoopers LLP as Auditor For For

Company : Everest Re Group, Ltd.

Meeting Date : 2020-05-11

Ticker : RE

Meeting Type : Annual

CUSIP No. : G3223R108

ISIN : BMG3223R1088

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John J. Amore	For	For
1.2	Elect Director Juan C. Andrade	For	For
1.3	Elect Director William F. Galtney, Jr.	For	For
1.4	Elect Director John A. Graf	For	For
1.5	Elect Director Meryl Hartzband	For	For
1.6	Elect Director Gerri Losquadro	For	For
1.7	Elect Director Roger M. Singer	For	For
1.8	Elect Director Joseph V. Taranto	For	For
1.9	Elect Director John A. Weber	For	For
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Approve Omnibus Stock Plan	For	For

Company : Markel Corporation

Meeting Date : 2020-05-11

Ticker : MKL

Meeting Type : Annual

CUSIP No. : 570535104

ISIN : US5705351048

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director K. Bruce Connell	For	For
1.2	Elect Director Thomas S. Gayner	For	For
1.3	Elect Director Stewart M. Kasen	For	For
1.4	Elect Director Diane Leopold	For	For
1.5	Elect Director Lemuel E. Lewis	For	For
1.6	Elect Director Anthony F. Markel	For	For
1.7	Elect Director Steven A. Markel	For	For
1.8	Elect Director Darrell D. Martin	For	For
1.9	Elect Director Harold L. Morrison, Jr.	For	For
1.10	Elect Director Michael O'Reilly	For	For
1.11	Elect Director Richard R. Whitt, III	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Approve Nonqualified Employee Stock Purchase Plan and Qualified Employee Stock Purchase Plan	For	For
4	Ratify KPMG LLP as Auditors	For	For

Proxy Voting Results

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Company : Arthur J. Gallagher & Co.
 Ticker : AJG
 CUSIP No. : 363576109

Meeting Date : 2020-05-12
 Meeting Type : Annual
 ISIN : US3635761097

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Sherry S. Barrat	For	For
1b	Elect Director William L. Bax	For	For
1c	Elect Director D. John Coldman	For	For
1d	Elect Director Frank E. English, Jr.	For	For
1e	Elect Director J. Patrick Gallagher, Jr.	For	For
1f	Elect Director David S. Johnson	For	For
1g	Elect Director Kay W. McCurdy	For	For
1h	Elect Director Christopher C. Miskel	For	For
1i	Elect Director Ralph J. Nicoletti	For	For
1j	Elect Director Norman L. Rosenthal	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Adopt a Policy on Board Diversity	Against	Against

Company : AvalonBay Communities, Inc.
 Ticker : AVB
 CUSIP No. : 053484101

Meeting Date : 2020-05-12
 Meeting Type : Annual
 ISIN : US0534841012

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Glyn F. Aeppel	For	For
1b	Elect Director Terry S. Brown	For	For
1c	Elect Director Alan B. Buckelew	For	For
1d	Elect Director Ronald L. Havner, Jr.	For	For
1e	Elect Director Stephen P. Hills	For	For
1f	Elect Director Richard J. Lieb	For	For
1g	Elect Director Timothy J. Naughton	For	For
1h	Elect Director H. Jay Sarles	For	For
1i	Elect Director Susan Swanezy	For	For
1j	Elect Director W. Edward Walter	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation Eliminate Supermajority Vote Requirement for Future Charter	For	For
4	Amendments and Other Extraordinary Actions	For	For

Company : Cboe Global Markets, Inc.
 Ticker : CBOE
 CUSIP No. : 12503M108

Meeting Date : 2020-05-12
 Meeting Type : Annual
 ISIN : US12503M1080

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Edward T. Tilly	For	For
1b	Elect Director Eugene S. Sunshine	For	For

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1c	Elect Director William M. Farrow, III	For	For
1d	Elect Director Edward J. Fitzpatrick	For	For
1e	Elect Director Janet P. Froetscher	For	For
1f	Elect Director Jill R. Goodman	For	For
1g	Elect Director Roderick A. Palmore	For	For
1h	Elect Director James E. Parisi	For	For
1i	Elect Director Joseph P. Ratterman	For	For
1j	Elect Director Michael L. Richter	For	For
1k	Elect Director Jill E. Sommers	For	For
1l	Elect Director Fredric J. Tomczyk	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : CIT Group Inc.

Ticker : CIT

CUSIP No. : 125581801

Meeting Date : 2020-05-12

Meeting Type : Annual

ISIN : US1255818015

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Ellen R. Alemany	For	For
1b	Elect Director Michael L. Brosnan	For	For
1c	Elect Director Michael A. Carpenter	For	For
1d	Elect Director Dorene C. Dominguez	For	For
1e	Elect Director Alan Frank	For	For
1f	Elect Director William M. Freeman	For	For
1g	Elect Director R. Brad Oates	For	For
1h	Elect Director Gerald Rosenfeld	For	For
1i	Elect Director John R. Ryan	For	For
1j	Elect Director Sheila A. Stamps	For	For
1k	Elect Director Khanh T. Tran	For	For
1l	Elect Director Laura S. Unger	For	For
2	Ratify Deloitte & Touche LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : First Republic Bank

Ticker : FRC

CUSIP No. : 33616C100

Meeting Date : 2020-05-12

Meeting Type : Annual

ISIN : US33616C1009

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director James H. Herbert, II	For	For
1b	Elect Director Katherine August-deWilde	For	For
1c	Elect Director Hafize Gaye Erkan	For	For
1d	Elect Director Frank J. Fahrenkopf, Jr.	For	For
1e	Elect Director Boris Groysberg	For	For
1f	Elect Director Sandra R. Hernandez	For	For
1g	Elect Director Pamela J. Joyner	For	For
1h	Elect Director Reynold Levy	For	For

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1i	Elect Director Duncan L. Niederauer	For	For
1j	Elect Director George G.C. Parker	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Amend Omnibus Stock Plan	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Loews Corporation
 Ticker : L
 CUSIP No. : 540424108

Meeting Date : 2020-05-12
 Meeting Type : Annual
 ISIN : US5404241086

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Ann E. Berman	For	For
1b	Elect Director Joseph L. Bower	For	For
1c	Elect Director Charles D. Davidson	For	For
1d	Elect Director Charles M. Diker	For	For
1e	Elect Director Paul J. Fribourg	For	For
1f	Elect Director Walter L. Harris	For	Against
1g	Elect Director Philip A. Laskawy	For	For
1h	Elect Director Susan P. Peters	For	For
1i	Elect Director Andrew H. Tisch	For	For
1j	Elect Director James S. Tisch	For	For
1k	Elect Director Jonathan M. Tisch	For	For
1l	Elect Director Anthony Welters	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditor	For	For
4	Report on Political Contributions	Against	For

Company : PacWest Bancorp
 Ticker : PACW
 CUSIP No. : 695263103

Meeting Date : 2020-05-12
 Meeting Type : Annual
 ISIN : US6952631033

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Tanya M. Acker	For	For
1B	Elect Director Paul R. Burke	For	For
1C	Elect Director Craig A. Carlson	For	For
1D	Elect Director John M. Eggemeyer, III	For	For
1E	Elect Director C. William Hosler	For	For
1F	Elect Director Susan E. Lester	For	For
1G	Elect Director Arnold W. Messer	For	For
1H	Elect Director Roger H. Molvar	For	For
1I	Elect Director James J. Pieczynski	For	For
1J	Elect Director Daniel B. Platt	For	For
1K	Elect Director Robert A. Stine	For	For
1L	Elect Director Matthew P. Wagner	For	For
1M	Elect Director Mark T. Yung	For	For

Proxy Voting Results

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2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : Popular, Inc.

Ticker : BPOP

CUSIP No. : 733174700

Meeting Date : 2020-05-12

Meeting Type : Annual

ISIN : PR7331747001

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Ignacio Alvarez	For	For
1b	Elect Director Maria Luisa Ferre	For	For
1c	Elect Director C. Kim Goodwin	For	For
2	Declassify the Board of Directors	For	For
3	Change Range for Size of the Board	For	For
4	Eliminate Supermajority Vote Requirement	For	For
5	Approve Omnibus Stock Plan	For	For
6	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
7	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
8	Adjourn Meeting	For	For

Company : Prudential Financial, Inc.

Ticker : PRU

CUSIP No. : 744320102

Meeting Date : 2020-05-12

Meeting Type : Annual

ISIN : US7443201022

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Thomas J. Baltimore, Jr.	For	For
1.2	Elect Director Gilbert F. Casellas	For	For
1.3	Elect Director Robert M. Falzon	For	For
1.4	Elect Director Martina Hund-Mejean	For	For
1.5	Elect Director Karl J. Krapek	For	For
1.6	Elect Director Peter R. Lighte	For	For
1.7	Elect Director Charles F. Lowrey	For	For
1.8	Elect Director George Paz	For	For
1.9	Elect Director Sandra Pianalto	For	For
1.10	Elect Director Christine A. Poon	For	For
1.11	Elect Director Douglas A. Scovanner	For	For
1.12	Elect Director Michael A. Todman	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Require Independent Board Chairman	Against	For

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Company : SITE Centers Corp.
 Ticker : SITC
 CUSIP No. : 82981J109

Meeting Date : 2020-05-12
 Meeting Type : Annual
 ISIN : US82981J1097

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Linda B. Abraham	For	For
1.2	Elect Director Terrance R. Ahern	For	For
1.3	Elect Director Jane E. DeFlorio	For	For
1.4	Elect Director Thomas Finne	For	For
1.5	Elect Director David R. Lukes	For	For
1.6	Elect Director Victor B. MacFarlane	For	For
1.7	Elect Director Alexander Otto	For	For
1.8	Elect Director Dawn M. Sweeney	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditor	For	For

Company : T. Rowe Price Group, Inc.
 Ticker : TROW
 CUSIP No. : 74144T108

Meeting Date : 2020-05-12
 Meeting Type : Annual
 ISIN : US74144T1088

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Mark S. Bartlett	For	For
1b	Elect Director Mary K. Bush	For	For
1c	Elect Director Dina Dublon	For	For
1d	Elect Director Freeman A. Hrabowski, III	For	For
1e	Elect Director Robert F. MacLellan	For	For
1f	Elect Director Olympia J. Snowe	For	For
1g	Elect Director Robert J. Stevens	For	For
1h	Elect Director William J. Stromberg	For	For
1i	Elect Director Richard R. Verma	For	For
1j	Elect Director Sandra S. Wijnberg	For	For
1k	Elect Director Alan D. Wilson	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For
4	Approve Omnibus Stock Plan	For	For
5	Report on and Assess Proxy Voting Policies in Relation to Climate Change Position	Against	Against

Company : The Charles Schwab Corporation
 Ticker : SCHW
 CUSIP No. : 808513105

Meeting Date : 2020-05-12
 Meeting Type : Annual
 ISIN : US8085131055

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director William S. Haraf	For	For
1b	Elect Director Frank C. Herringer	For	For
1c	Elect Director Roger O. Walther	For	For

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2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Amend Omnibus Stock Plan	For	For
5	Provide Proxy Access Right	For	For
6	Adopt Policy to Annually Disclose EEO-1 Data	Against	For
7	Report on Lobbying Payments and Policy	Against	For

Company : The Hanover Insurance Group, Inc.

Meeting Date : 2020-05-12

Ticker : THG

Meeting Type : Annual

CUSIP No. : 410867105

ISIN : US4108671052

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Jane D. Carlin	For	For
1.2	Elect Director Daniel T. Henry	For	For
1.3	Elect Director Wendell J. Knox	For	For
1.4	Elect Director Kathleen S. Lane	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditor	For	For

Company : TransUnion

Meeting Date : 2020-05-12

Ticker : TRU

Meeting Type : Annual

CUSIP No. : 89400J107

ISIN : US89400J1079

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Declassify the Board of Directors	For	For
2a	Eliminate Supermajority Vote Requirement to Amend Certificate of Incorporation and Bylaws	For	For
2b	Eliminate Supermajority Vote Requirement to Remove Directors	For	For
	Amend Articles of Incorporation to Remove the Corporate		
3	Opportunity Waiver	For	For
4	Amend Articles of Association	For	For
5a	Elect Director Suzanne P. Clark	For	For
5b	Elect Director Kermit R. Crawford	For	For
5c	Elect Director Thomas L. Monahan, III	For	For
6	Amend Omnibus Stock Plan	For	For
7	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : American International Group, Inc.

Meeting Date : 2020-05-13

Ticker : AIG

Meeting Type : Annual

CUSIP No. : 026874784

ISIN : US0268747849

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director W. Don Cornwell	For	For
1b	Elect Director Brian Duperreault	For	For
1c	Elect Director John H. Fitzpatrick	For	For

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1d	Elect Director William G. Jurgensen	For	For
1e	Elect Director Christopher S. Lynch	For	For
1f	Elect Director Henry S. Miller	For	For
1g	Elect Director Linda A. Mills	For	For
1h	Elect Director Thomas F. Motamed	For	For
1i	Elect Director Peter R. Porrino	For	For
1j	Elect Director Amy L. Schioldager	For	For
1k	Elect Director Douglas M. Steenland	For	For
1l	Elect Director Therese M. Vaughan	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Amend Securities Transfer Restrictions	For	For
4	Ratify NOL Rights Plan (NOL Pill)	For	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
6	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For

Company : F.N.B. Corporation

Ticker : FNB

CUSIP No. : 302520101

Meeting Date : 2020-05-13

Meeting Type : Annual

ISIN : US3025201019

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Pamela A. Bena	For	For
1.2	Elect Director William B. Campbell	For	For
1.3	Elect Director James D. Chiafullo	For	For
1.4	Elect Director Vincent J. Delie, Jr.	For	For
1.5	Elect Director Mary Jo Dively	For	For
1.6	Elect Director Robert A. Hormell	For	For
1.7	Elect Director David J. Malone	For	For
1.8	Elect Director Frank C. Mencini	For	For
1.9	Elect Director David L. Motley	For	For
1.10	Elect Director Heidi A. Nicholas	For	For
1.11	Elect Director John S. Stanik	For	For
1.12	Elect Director William J. Strimbu	For	For
2	Amend Omnibus Stock Plan	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Ratify Ernst & Young LLP as Auditor	For	For

Company : Iron Mountain Incorporated

Ticker : IRM

CUSIP No. : 46284V101

Meeting Date : 2020-05-13

Meeting Type : Annual

ISIN : US46284V1017

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Jennifer Allerton	For	For
1b	Elect Director Pamela M. Arway	For	For
1c	Elect Director Clarke H. Bailey	For	For
1d	Elect Director Kent P. Dauten	For	For

Proxy Voting Results

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1e	Elect Director Paul F. Deninger	For	For
1f	Elect Director Monte Ford	For	For
1g	Elect Director Per-Kristian Halvorsen	For	For
1h	Elect Director Robin L. Matlock	For	For
1i	Elect Director William L. Meaney	For	For
1j	Elect Director Wendy J. Murdock	For	For
1k	Elect Director Walter C. Rakowich	For	For
1l	Elect Director Doyle R. Simons	For	For
1m	Elect Director Alfred J. Verrecchia	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Mercury General Corporation

Ticker : MCY

CUSIP No. : 589400100

Meeting Date : 2020-05-13

Meeting Type : Annual

ISIN : US5894001008

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director George Joseph	For	For
1.2	Elect Director Martha E. Marcon	For	For
1.3	Elect Director Joshua E. Little	For	For
1.4	Elect Director Gabriel Tirador	For	For
1.5	Elect Director James G. Ellis	For	For
1.6	Elect Director George G. Braunegg	For	For
1.7	Elect Director Ramona L. Cappello	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : Primerica, Inc.

Ticker : PRI

CUSIP No. : 74164M108

Meeting Date : 2020-05-13

Meeting Type : Annual

ISIN : US74164M1080

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John A. Addison, Jr.	For	For
1b	Elect Director Joel M. Babbit	For	For
1c	Elect Director P. George Benson	For	For
1d	Elect Director C. Saxby Chambliss	For	For
1e	Elect Director Gary L. Crittenden	For	For
1f	Elect Director Cynthia N. Day	For	For
1g	Elect Director Sanjeev Dheer	For	For
1h	Elect Director Beatriz R. Perez	For	For
1i	Elect Director D. Richard Williams	For	For
1j	Elect Director Glenn J. Williams	For	For
1k	Elect Director Barbara A. Yastine	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Approve Omnibus Stock Plan	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

4 Ratify KPMG LLP as Auditor For For

Company : S&P Global Inc.

Meeting Date : 2020-05-13

Ticker : SPGI

Meeting Type : Annual

CUSIP No. : 78409V104

ISIN : US78409V1044

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Marco Alvera	For	For
1.2	Elect Director William J. Amelio	For	For
1.3	Elect Director William D. Green	For	For
1.4	Elect Director Charles E. Haldeman, Jr.	For	For
1.5	Elect Director Stephanie C. Hill	For	For
1.6	Elect Director Rebecca J. Jacoby	For	For
1.7	Elect Director Monique F. Leroux	For	For
1.8	Elect Director Maria R. Morris	For	For
1.9	Elect Director Douglas L. Peterson	For	For
1.10	Elect Director Edward B. Rust, Jr.	For	For
1.11	Elect Director Kurt L. Schmoke	For	For
1.12	Elect Director Richard E. Thornburgh	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Provide Directors May Be Removed With or Without Cause	For	For
4	Ratify Ernst & Young LLP as Auditors	For	For

Company : Apple Hospitality REIT, Inc.

Meeting Date : 2020-05-14

Ticker : APLE

Meeting Type : Annual

CUSIP No. : 03784Y200

ISIN : US03784Y2000

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Glenn W. Bunting	For	For
1.2	Elect Director Jon A. Fosheim	For	For
1.3	Elect Director Kristian M. Gathright	For	For
1.4	Elect Director Glade M. Knight	For	For
1.5	Elect Director Justin G. Knight	For	For
1.6	Elect Director Blythe J. McGarvie	For	For
1.7	Elect Director Daryl A. Nickel	For	For
1.8	Elect Director L. Hugh Redd	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

Company : CBRE Group, Inc.

Meeting Date : 2020-05-14

Ticker : CBRE

Meeting Type : Annual

CUSIP No. : 12504L109

ISIN : US12504L1098

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Brandon B. Boze	For	For
1b	Elect Director Beth F. Cobert	For	For

Proxy Voting Results

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1c	Elect Director Curtis F. Feeny	For	For
1d	Elect Director Reginald H. Gilyard	For	For
1e	Elect Director Shira D. Goodman	For	For
1f	Elect Director Christopher T. Jenny	For	For
1g	Elect Director Gerardo I. Lopez	For	For
1h	Elect Director Robert E. Sulentic	For	For
1i	Elect Director Laura D. Tyson	For	For
1j	Elect Director Ray Wirta	For	For
1k	Elect Director Sanjiv Yajnik	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation Reduce Ownership Threshold for Shareholders to Call Special Meeting	For	For
4		Against	For

Company : Crown Castle International Corp.

Ticker : CCI

CUSIP No. : 22822V101

Meeting Date : 2020-05-14

Meeting Type : Annual

ISIN : US22822V1017

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director P. Robert Bartolo	For	For
1b	Elect Director Jay A. Brown	For	For
1c	Elect Director Cindy Christy	For	For
1d	Elect Director Ari Q. Fitzgerald	For	For
1e	Elect Director Robert E. Garrison, II	For	For
1f	Elect Director Andrea J. Goldsmith	For	For
1g	Elect Director Lee W. Hogan	For	For
1h	Elect Director Edward C. Hutcheson, Jr.	For	For
1i	Elect Director J. Landis Martin	For	For
1j	Elect Director Robert F. McKenzie	For	For
1k	Elect Director Anthony J. Melone	For	For
1l	Elect Director W. Benjamin Moreland	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Discover Financial Services

Ticker : DFS

CUSIP No. : 254709108

Meeting Date : 2020-05-14

Meeting Type : Annual

ISIN : US2547091080

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Jeffrey S. Aronin	For	For
1.2	Elect Director Mary K. Bush	For	For
1.3	Elect Director Gregory C. Case	For	For
1.4	Elect Director Candace H. Duncan	For	For
1.5	Elect Director Joseph F. Eazor	For	For
1.6	Elect Director Cynthia A. Glassman	For	For
1.7	Elect Director Roger C. Hochschild	For	For
1.8	Elect Director Thomas G. Maheras	For	For

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1.9	Elect Director Michael H. Moskow	For	For
1.10	Elect Director Mark A. Thierer	For	For
1.11	Elect Director Lawrence A. Weinbach - Withdrawn Resolution		
1.12	Elect Director Jennifer L. Wong	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Fiserv, Inc.

Meeting Date : 2020-05-14

Ticker : FISV

Meeting Type : Annual

CUSIP No. : 337738108

ISIN : US3377381088

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Frank J. Bisignano	For	For
1.2	Elect Director Alison Davis	For	For
1.3	Elect Director Henrique de Castro	For	For
1.4	Elect Director Harry F. DiSimone	For	For
1.5	Elect Director Dennis F. Lynch	For	For
1.6	Elect Director Heidi G. Miller	For	For
1.7	Elect Director Scott C. Nuttall	For	For
1.8	Elect Director Denis J. O'Leary	For	For
1.9	Elect Director Doyle R. Simons	For	For
1.10	Elect Director Jeffery W. Yabuki	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify Deloitte & Touche LLP as Auditors	For	For
4	Report on Political Contributions	Against	For

Company : Invesco Ltd.

Meeting Date : 2020-05-14

Ticker : IVZ

Meeting Type : Annual

CUSIP No. : G491BT108

ISIN : BMG491BT1088

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Sarah E. Beshar	For	For
1.2	Elect Director Martin L. Flanagan	For	For
1.3	Elect Director William F. Glavin, Jr.	For	For
1.4	Elect Director C. Robert Henrikson	For	For
1.5	Elect Director Denis Kessler	For	For
1.6	Elect Director Nigel Sheinwald	For	For
1.7	Elect Director G. Richard Wagoner, Jr.	For	For
1.8	Elect Director Phoebe A. Wood	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : SBA Communications Corporation

Meeting Date : 2020-05-14

Ticker : SBAC

Meeting Type : Annual

CUSIP No. : 78410G104

ISIN : US78410G1040

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Steven E. Bernstein	For	For
1.2	Elect Director Duncan H. Cocroft	For	For
1.3	Elect Director Fidelma Russo	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Approve Omnibus Stock Plan	For	For

Company : The Howard Hughes Corporation

Ticker : HHC

CUSIP No. : 44267D107

Meeting Date : 2020-05-14

Meeting Type : Annual

ISIN : US44267D1072

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director William A. Ackman	For	For
1b	Elect Director Adam Flatto	For	For
1c	Elect Director Jeffrey Furber	For	For
1d	Elect Director Beth Kaplan	For	For
1e	Elect Director Paul Layne	For	For
1f	Elect Director Allen Model	For	For
1g	Elect Director R. Scot Sellers	For	For
1h	Elect Director Steven Shepsman	For	For
1i	Elect Director Mary Ann Tighe	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Approve Omnibus Stock Plan	For	For
4	Ratify Ernst & Young LLP as Auditors	For	For

Company : The Western Union Company

Ticker : WU

CUSIP No. : 959802109

Meeting Date : 2020-05-14

Meeting Type : Annual

ISIN : US9598021098

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Martin I. Cole	For	For
1b	Elect Director Hikmet Ersek	For	For
1c	Elect Director Richard A. Goodman	For	For
1d	Elect Director Betsy D. Holden	For	For
1e	Elect Director Jeffrey A. Joerres	For	For
1f	Elect Director Michael A. Miles, Jr.	For	For
1g	Elect Director Timothy P. Murphy	For	For
1h	Elect Director Jan Siegmund	For	For
1i	Elect Director Angela A. Sun	For	For
1j	Elect Director Solomon D. Trujillo	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For
4	Report on Political Contributions Disclosure	Against	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : Vornado Realty Trust
 Ticker : VNO
 CUSIP No. : 929042109

Meeting Date : 2020-05-14
 Meeting Type : Annual
 ISIN : US9290421091

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Steven Roth	For	For
1.2	Elect Director Candace K. Beinecke	For	For
1.3	Elect Director Michael D. Fascitelli	For	For
1.4	Elect Director Beatrice Hamza Bassey	For	For
1.5	Elect Director William W. Helman, IV	For	For
1.6	Elect Director David M. Mandelbaum	For	For
1.7	Elect Director Mandakini Puri	For	For
1.8	Elect Director Daniel R. Tisch	For	For
1.9	Elect Director Richard R. West	For	For
1.10	Elect Director Russell B. Wight, Jr.	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
4	Amend Omnibus Stock Plan	For	Against

Company : WEX Inc.
 Ticker : WEX
 CUSIP No. : 96208T104

Meeting Date : 2020-05-14
 Meeting Type : Annual
 ISIN : US96208T1043

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Susan Sobbott	For	For
1.2	Elect Director Stephen Smith	For	For
1.3	Elect Director James Groch	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Wyndham Destinations, Inc.
 Ticker : WYND
 CUSIP No. : 98310W108

Meeting Date : 2020-05-14
 Meeting Type : Annual
 ISIN : US98310W1080

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Louise F. Brady	For	For
1.2	Elect Director Michael D. Brown	For	For
1.3	Elect Director James E. Buckman	For	For
1.4	Elect Director George Herrera	For	For
1.5	Elect Director Stephen P. Holmes	For	For
1.6	Elect Director Denny Marie Post	For	For
1.7	Elect Director Ronald L. Rickles	For	For
1.8	Elect Director Michael H. Wargotz	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

3 Ratify Deloitte & Touche LLP as Auditors For For

Company : BankUnited, Inc.

Meeting Date : 2020-05-15

Ticker : BKU

Meeting Type : Annual

CUSIP No. : 06652K103

ISIN : US06652K1034

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Rajinder P. Singh	For	For
1.2	Elect Director Tere Blanca	For	For
1.3	Elect Director John N. DiGiacomo	For	For
1.4	Elect Director Michael J. Dowling	For	For
1.5	Elect Director Douglas J. Pauls	For	For
1.6	Elect Director A. Gail Prudenti	For	For
1.7	Elect Director William S. Rubenstein	For	For
1.8	Elect Director Sanjiv Sobti	For	For
1.9	Elect Director Lynne Wines	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Amend Omnibus Stock Plan	For	For

Company : Host Hotels & Resorts, Inc.

Meeting Date : 2020-05-15

Ticker : HST

Meeting Type : Annual

CUSIP No. : 44107P104

ISIN : US44107P1049

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Mary L. Baglivo	For	For
1.2	Elect Director Sheila C. Bair	For	For
1.3	Elect Director Richard E. Marriott	For	For
1.4	Elect Director Sandeep L. Mathrani	For	For
1.5	Elect Director John B. Morse, Jr.	For	For
1.6	Elect Director Mary Hogan Preusse	For	For
1.7	Elect Director Walter C. Rakowich	For	For
1.8	Elect Director James F. Risoleo	For	For
1.9	Elect Director Gordon H. Smith	For	For
1.10	Elect Director A. William Stein	For	For
2	Ratify KPMG LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Amend Omnibus Stock Plan	For	For

Company : Morningstar, Inc.

Meeting Date : 2020-05-15

Ticker : MORN

Meeting Type : Annual

CUSIP No. : 617700109

ISIN : US6177001095

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Joe Mansueto	For	For
1b	Elect Director Kunal Kapoor	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

1c	Elect Director Robin Diamonte	For	Against
1d	Elect Director Cheryl Francis	For	Against
1e	Elect Director Steve Joynt	For	For
1f	Elect Director Steve Kaplan	For	For
1g	Elect Director Gail Landis	For	Against
1h	Elect Director Bill Lyons	For	For
1i	Elect Director Jack Noonan	For	Against
1j	Elect Director Caroline Tsay	For	Against
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditor	For	For

Company : American Tower Corporation
 Ticker : AMT
 CUSIP No. : 03027X100

Meeting Date : 2020-05-18
 Meeting Type : Annual
 ISIN : US03027X1000

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Thomas A. Bartlett	For	For
1b	Elect Director Raymond P. Dolan	For	For
1c	Elect Director Robert D. Hormats	For	For
1d	Elect Director Gustavo Lara Cantu	For	For
1e	Elect Director Grace D. Lieblein	For	For
1f	Elect Director Craig Macnab	For	For
1g	Elect Director JoAnn A. Reed	For	For
1h	Elect Director Pamela D.A. Reeve	For	For
1i	Elect Director David E. Sharbutt	For	For
1j	Elect Director Bruce L. Tanner	For	For
1k	Elect Director Samme L. Thompson	For	For
2	Ratify Deloitte & Touche LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Report on Political Contributions and Expenditures	Against	For
5	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For

Company : RenaissanceRe Holdings Ltd.
 Ticker : RNR
 CUSIP No. : G7496G103

Meeting Date : 2020-05-18
 Meeting Type : Annual
 ISIN : BMG7496G1033

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director David C. Bushnell	For	For
1b	Elect Director James L. Gibbons	For	For
1c	Elect Director Jean D. Hamilton	For	For
1d	Elect Director Anthony M. Santomero	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Approve Ernst & Young Ltd. as Auditors and Authorize Board to Fix Their Remuneration	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : East West Bancorp, Inc.

Ticker : EWBC

CUSIP No. : 27579R104

Meeting Date : 2020-05-19

Meeting Type : Annual

ISIN : US27579R1041

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Molly Campbell	For	For
1.2	Elect Director Iris S. Chan	For	For
1.3	Elect Director Archana Deskus	For	For
1.4	Elect Director Rudolph I. Estrada	For	For
1.5	Elect Director Paul H. Irving	For	For
1.6	Elect Director Jack C. Liu	For	For
1.7	Elect Director Dominic Ng	For	For
1.8	Elect Director Lester M. Sussman	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : JPMorgan Chase & Co.

Ticker : JPM

CUSIP No. : 46625H100

Meeting Date : 2020-05-19

Meeting Type : Annual

ISIN : US46625H1005

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Linda B. Bammann	For	For
1b	Elect Director Stephen B. Burke	For	For
1c	Elect Director Todd A. Combs	For	For
1d	Elect Director James S. Crown	For	For
1e	Elect Director James Dimon	For	For
1f	Elect Director Timothy P. Flynn	For	For
1g	Elect Director Melody Hobson	For	For
1h	Elect Director Michael A. Neal	For	For
1i	Elect Director Lee R. Raymond	For	For
1j	Elect Director Virginia M. Rometty	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditor	For	For
4	Require Independent Board Chair	Against	For
5	Report on Reputational Risk Related to Canadian Oil Sands, Oil Sands Pipeline Companies and Arctic Oil and Gas Exploration and Production.	Against	Against
6	Report on Climate Change	Against	For
7	Amend Shareholder Written Consent Provisions	Against	Against
8	Report on Charitable Contributions	Against	Against
9	Report on Gender/Racial Pay Gap	Against	Against

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : OneMain Holdings, Inc.
Ticker : OMF
CUSIP No. : 68268W103

Meeting Date : 2020-05-19
Meeting Type : Annual
ISIN : US68268W1036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Jay N. Levine	For	For
1.2	Elect Director Roy A. Guthrie	For	Withhold
1.3	Elect Director Peter B. Sinensky	For	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	Three Years	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Principal Financial Group, Inc.
Ticker : PFG
CUSIP No. : 74251V102

Meeting Date : 2020-05-19
Meeting Type : Annual
ISIN : US74251V1026

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Jonathan S. Auerbach	For	For
1.2	Elect Director Jocelyn Carter-Miller	For	For
1.3	Elect Director Scott M. Mills	For	For
2	Approve Non-Employee Director Omnibus Stock Plan	For	For
3	Amend Qualified Employee Stock Purchase Plan	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
5	Ratify Ernst & Young LLP as Auditors	For	For

Company : The Allstate Corporation
Ticker : ALL
CUSIP No. : 020002101

Meeting Date : 2020-05-19
Meeting Type : Annual
ISIN : US0200021014

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Kermit R. Crawford	For	For
1b	Elect Director Michael L. Eskew	For	For
1c	Elect Director Margaret M. Keane	For	For
1d	Elect Director Siddharth N. (Bobby) Mehta	For	For
1e	Elect Director Jacques P. Perold	For	For
1f	Elect Director Andrea Redmond	For	For
1g	Elect Director Gregg M. Sherrill	For	For
1h	Elect Director Judith A. Sprieser	For	For
1i	Elect Director Perry M. Traquina	For	For
1j	Elect Director Thomas J. Wilson	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : American Financial Group, Inc.
 Ticker : AFG
 CUSIP No. : 025932104

Meeting Date : 2020-05-20
 Meeting Type : Annual
 ISIN : US0259321042

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Carl H. Lindner, III	For	For
1.2	Elect Director S. Craig Lindner	For	For
1.3	Elect Director Kenneth C. Ambrecht	For	For
1.4	Elect Director John B. Berding	For	For
1.5	Elect Director Joseph E. (Jeff) Consolino	For	For
1.6	Elect Director Virginia C. "Gina" Drosos	For	For
1.7	Elect Director James E. Evans	For	For
1.8	Elect Director Terry S. Jacobs	For	For
1.9	Elect Director Gregory G. Joseph	For	For
1.10	Elect Director Mary Beth Martin	For	For
1.11	Elect Director William W. Verity	For	For
1.12	Elect Director John I. Von Lehman	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Brandywine Realty Trust
 Ticker : BDN
 CUSIP No. : 105368203

Meeting Date : 2020-05-20
 Meeting Type : Annual
 ISIN : US1053682035

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director James C. Diggs	For	For
1b	Elect Director Wyche Fowler	For	For
1c	Elect Director H. Richard Haverstick, Jr.	For	For
1d	Elect Director Terri A. Herubin	For	For
1e	Elect Director Michael J. Joyce	For	For
1f	Elect Director Charles P. Pizzi	For	For
1g	Elect Director Gerard H. Sweeney	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Chubb Limited
 Ticker : CB
 CUSIP No. : H1467J104

Meeting Date : 2020-05-20
 Meeting Type : Annual
 ISIN : CH0044328745

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Accept Financial Statements and Statutory Reports	For	For
2.1	Allocate Disposable Profit	For	For
2.2	Approve Dividend Distribution From Legal Reserves Through Capital Contributions Reserve Subaccount	For	For
3	Approve Discharge of Board of Directors	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

4.1	Ratify PricewaterhouseCoopers AG (Zurich) as Statutory Auditor Ratify PricewaterhouseCoopers LLP (United States) as	For	For
4.2	Independent Registered Accounting Firm	For	For
4.3	Ratify BDO AG (Zurich) as Special Audit Firm	For	For
5.1	Elect Director Evan G. Greenberg	For	For
5.2	Elect Director Michael G. Atieh	For	For
5.3	Elect Director Sheila P. Burke	For	For
5.4	Elect Director James I. Cash	For	For
5.5	Elect Director Mary Cirillo	For	For
5.6	Elect Director Michael P. Connors	For	For
5.7	Elect Director John A. Edwardson	For	For
5.8	Elect Director Robert J. Hugin	For	For
5.9	Elect Director Robert W. Scully	For	For
5.10	Elect Director Eugene B. Shanks, Jr.	For	For
5.11	Elect Director Theodore E. Shasta	For	For
5.12	Elect Director David H. Sidwell	For	For
5.13	Elect Director Olivier Steimer	For	For
5.14	Elect Director Frances F. Townsend	For	For
6	Elect Evan G. Greenberg as Board Chairman Elect Michael P. Connors as Member of the Compensation	For	Against
7.1	Committee	For	For
7.2	Elect Mary Cirillo as Member of the Compensation Committee Elect John A. Edwardson as Member of the Compensation	For	For
7.3	Committee Elect Frances F. Townsend as Member of the Compensation	For	For
7.4	Committee	For	For
8	Designate Homburger AG as Independent Proxy	For	For
9	Issue Shares Without Preemptive Rights	For	For
10	Approve CHF 52,613,190.00 Reduction in Share Capital via Cancellation in Nominal Value of CHF 24.15 each	For	For
11.1	Approve the Maximum Aggregate Remuneration of Directors Approve Remuneration of Executive Management in the Amount	For	For
11.2	of USD 46 Million for Fiscal 2021	For	For
12	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
13	Transact Other Business (Voting)	For	Against

Company : [Equitable Holdings, Inc.](#)
 Ticker : [EQH](#)
 CUSIP No. : [29452E101](#)

Meeting Date : [2020-05-20](#)
 Meeting Type : [Annual](#)
 ISIN : [US29452E1010](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Daniel G. Kaye	For	For
1.2	Elect Director Joan Lamm-Tennant	For	For
1.3	Elect Director Kristi A. Matus	For	Withhold
1.4	Elect Director Ramon de Oliveira	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

1.5	Elect Director Mark Pearson	For	For
1.6	Elect Director Bertram L. Scott	For	Withhold
1.7	Elect Director George Stansfield	For	For
1.8	Elect Director Charles G.T. Stonehill	For	Withhold
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Amend Omnibus Stock Plan	For	For

Company : Navient Corporation

Ticker : NAVI

CUSIP No. : 63938C108

Meeting Date : 2020-05-20

Meeting Type : Annual

ISIN : US63938C1080

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Frederick Arnold	For	For
1b	Elect Director Anna Escobedo Cabral	For	For
1c	Elect Director Larry A. Klane	For	For
1d	Elect Director Katherine A. Lehman	For	For
1e	Elect Director Linda A. Mills	For	For
1f	Elect Director John (Jack) F. Remondi	For	For
1g	Elect Director Jane J. Thompson	For	For
1h	Elect Director Laura S. Unger	For	For
1i	Elect Director David L Yowan	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Reinsurance Group of America, Incorporated

Ticker : RGA

CUSIP No. : 759351604

Meeting Date : 2020-05-20

Meeting Type : Annual

ISIN : US7593516047

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Pina Albo	For	For
1b	Elect Director Christine R. Detrick	For	For
1c	Elect Director J. Cliff Eason	For	For
1d	Elect Director John J. Gauthier	For	For
1e	Elect Director Anna Manning	For	For
1f	Elect Director Hazel M. McNeilage	For	For
1g	Elect Director Steven C. Van Wyk	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation Amend Articles of Incorporation to Allow Special Meetings be	For	For
3	Called from Time to Time and to Remove Certain Provisions	For	For
4	Ratify Deloitte & Touche LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : State Street Corporation
 Ticker : STT
 CUSIP No. : 857477103

Meeting Date : 2020-05-20
 Meeting Type : Annual
 ISIN : US8574771031

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Patrick de Saint-Aignan	For	For
1b	Elect Director Marie A. Chandoha	For	For
1c	Elect Director Lynn A. Dugle	For	For
1d	Elect Director Amelia C. Fawcett	For	For
1e	Elect Director William C. Freda	For	For
1f	Elect Director Sara Mathew	For	For
1g	Elect Director William L. Meaney	For	For
1h	Elect Director Ronald P. O'Hanley	For	For
1i	Elect Director Sean O'Sullivan	For	For
1j	Elect Director Richard P. Sergel	For	For
1k	Elect Director Gregory L. Summe	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify Ernst & Young LLP as Auditors	For	For

Company : The Hartford Financial Services Group, Inc.
 Ticker : HIG
 CUSIP No. : 416515104

Meeting Date : 2020-05-20
 Meeting Type : Annual
 ISIN : US4165151048

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Robert B. Allardice, III	For	For
1b	Elect Director Larry D. De Shon	For	For
1c	Elect Director Carlos Dominguez	For	For
1d	Elect Director Trevor Fetter	For	For
1e	Elect Director Kathryn A. Mikells	For	For
1f	Elect Director Michael G. Morris	For	For
1g	Elect Director Teresa W. Roseborough	For	For
1h	Elect Director Virginia P. Ruesterholz	For	For
1i	Elect Director Christopher J. Swift	For	For
1j	Elect Director Matt Winter	For	For
1k	Elect Director Greig Woodring	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Approve Omnibus Stock Plan	For	For

Company : BlackRock, Inc.
 Ticker : BLK
 CUSIP No. : 09247X101

Meeting Date : 2020-05-21
 Meeting Type : Annual
 ISIN : US09247X1019

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Bader M. Alsaad	For	For
1b	Elect Director Mathis Cabiallavetta	For	For
1c	Elect Director Pamela Daley	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

1d	Elect Director William S. Demchak	For	For
1e	Elect Director Jessica P. Einhorn	For	For
1f	Elect Director Laurence D. Fink	For	For
1g	Elect Director William E. Ford	For	For
1h	Elect Director Fabrizio Freda	For	For
1i	Elect Director Murry S. Gerber	For	For
1j	Elect Director Margaret L. Johnson	For	For
1k	Elect Director Robert S. Kapito	For	For
1l	Elect Director Cheryl D. Mills	For	For
1m	Elect Director Gordon M. Nixon	For	For
1n	Elect Director Charles H. Robbins	For	For
1o	Elect Director Marco Antonio Slim Domit	For	For
1p	Elect Director Susan L. Wagner	For	For
1q	Elect Director Mark Wilson	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte LLP as Auditors	For	For
4	Report on the Statement on the Purpose of a Corporation	Against	Against

Company : Corporate Office Properties Trust
 Ticker : OFC
 CUSIP No. : 22002T108

Meeting Date : 2020-05-21
 Meeting Type : Annual
 ISIN : US22002T1088

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Thomas F. Brady	For	For
1b	Elect Director Stephen E. Budorick	For	For
1c	Elect Director Robert L. Denton, Sr.	For	For
1d	Elect Director Philip L. Hawkins	For	For
1e	Elect Director David M. Jacobstein	For	For
1f	Elect Director Steven D. Kesler	For	For
1g	Elect Director C. Taylor Pickett	For	For
1h	Elect Director Lisa G. Trimberger	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Euronet Worldwide, Inc.
 Ticker : EEFT
 CUSIP No. : 298736109

Meeting Date : 2020-05-21
 Meeting Type : Annual
 ISIN : US2987361092

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Andrzej Olechowski	For	For
1.2	Elect Director Eriberto R. Scocimara	For	For
1.3	Elect Director Mark R. Callegari	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : KeyCorp
Ticker : KEY
CUSIP No. : 493267108

Meeting Date : 2020-05-21
Meeting Type : Annual
ISIN : US4932671088

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Bruce D. Broussard	For	For
1.2	Elect Director Gary M. Crosby	For	For
1.3	Elect Director Alexander M. Cutler	For	For
1.4	Elect Director H. James Dallas	For	For
1.5	Elect Director Elizabeth R. Gile	For	For
1.6	Elect Director Ruth Ann M. Gillis	For	For
1.7	Elect Director Christopher M. Gorman	For	For
1.8	Elect Director Carlton L. Highsmith	For	For
1.9	Elect Director Richard J. Hipple	For	For
1.10	Elect Director Kristen L. Manos	For	For
1.11	Elect Director Barbara R. Snyder	For	For
1.12	Elect Director David K. Wilson	For	For
2	Ratify Ernst & Young LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For

Company : Marsh & McLennan Companies, Inc.
Ticker : MMC
CUSIP No. : 571748102

Meeting Date : 2020-05-21
Meeting Type : Annual
ISIN : US5717481023

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Anthony K. Anderson	For	For
1b	Elect Director Oscar Fanjul	For	For
1c	Elect Director Daniel S. Glaser	For	For
1d	Elect Director H. Edward Hanway	For	For
1e	Elect Director Deborah C. Hopkins	For	For
1f	Elect Director Tamara Ingram	For	For
1g	Elect Director Jane H. Lute	For	For
1h	Elect Director Steven A. Mills	For	For
1i	Elect Director Bruce P. Nolop	For	For
1j	Elect Director Marc D. Oken	For	For
1k	Elect Director Morton O. Schapiro	For	For
1l	Elect Director Lloyd M. Yates	For	For
1m	Elect Director R. David Yost	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditor	For	For
4	Approve Omnibus Stock Plan	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : [Medical Properties Trust, Inc.](#)
Ticker : [MPW](#)
CUSIP No. : [58463J304](#)

Meeting Date : [2020-05-21](#)
Meeting Type : [Annual](#)
ISIN : [US58463J3041](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Edward K. Aldag, Jr.	For	For
1.2	Elect Director G. Steven Dawson	For	For
1.3	Elect Director R. Steven Hamner	For	For
1.4	Elect Director Caterina A. Mozingo	For	For
1.5	Elect Director Elizabeth N. Pitman	For	For
1.6	Elect Director C. Reynolds Thompson, III	For	For
1.7	Elect Director D. Paul Sparks, Jr.	For	For
1.8	Elect Director Michael G. Stewart	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : [Morgan Stanley](#)
Ticker : [MS](#)
CUSIP No. : [617446448](#)

Meeting Date : [2020-05-21](#)
Meeting Type : [Annual](#)
ISIN : [US6174464486](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Elizabeth Corley	For	For
1b	Elect Director Alistair Darling	For	For
1c	Elect Director Thomas H. Glocer	For	For
1d	Elect Director James P. Gorman	For	For
1e	Elect Director Robert H. Herz	For	For
1f	Elect Director Nobuyuki Hirano	For	For
1g	Elect Director Stephen J. Luczo	For	For
1h	Elect Director Jami Miscik	For	For
1i	Elect Director Dennis M. Nally	For	For
1j	Elect Director Takeshi Ogasawara	For	For
1k	Elect Director Hutham S. Olayan	For	For
1l	Elect Director Mary L. Schapiro	For	For
1m	Elect Director Perry M. Traquina	For	For
1n	Elect Director Rayford Wilkins, Jr.	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : [People's United Financial, Inc.](#)
Ticker : [PBCT](#)
CUSIP No. : [712704105](#)

Meeting Date : [2020-05-21](#)
Meeting Type : [Annual](#)
ISIN : [US7127041058](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John P. Barnes	For	For
1b	Elect Director Collin P. Baron	For	For
1c	Elect Director George P. Carter	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

1d	Elect Director Jane Chwick	For	For
1e	Elect Director William F. Cruger, Jr.	For	For
1f	Elect Director John K. Dwight	For	For
1g	Elect Director Jerry Franklin	For	For
1h	Elect Director Janet M. Hansen	For	For
1i	Elect Director Nancy McAllister	For	For
1j	Elect Director Mark W. Richards	For	For
1k	Elect Director Kirk W. Walters	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : Synchrony Financial

Ticker : SYF

CUSIP No. : 87165B103

Meeting Date : 2020-05-21

Meeting Type : Annual

ISIN : US87165B1035

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Margaret M. Keane	For	For
1b	Elect Director Fernando Aguirre	For	For
1c	Elect Director Paget L. Alves	For	For
1d	Elect Director Arthur W. Coviello, Jr.	For	For
1e	Elect Director William W. Graylin	For	For
1f	Elect Director Roy A. Guthrie	For	For
1g	Elect Director Richard C. Hartnack	For	For
1h	Elect Director Jeffrey G. Naylor	For	For
1i	Elect Director Laurel J. Richie	For	For
1j	Elect Director Olympia J. Snowe	For	For
1k	Elect Director Ellen M. Zane	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : The Travelers Companies, Inc.

Ticker : TRV

CUSIP No. : 89417E109

Meeting Date : 2020-05-21

Meeting Type : Annual

ISIN : US89417E1091

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Alan L. Beller	For	For
1b	Elect Director Janet M. Dolan	For	For
1c	Elect Director Patricia L. Higgins	For	For
1d	Elect Director William J. Kane	For	For
1e	Elect Director Clarence Otis, Jr.	For	For
1f	Elect Director Elizabeth E. Robinson	For	For
1g	Elect Director Philip T. (Pete) Ruegger, III	For	For
1h	Elect Director Todd C. Schermerhorn	For	For
1i	Elect Director Alan D. Schnitzer	For	For
1j	Elect Director Donald J. Shepard	For	For
1k	Elect Director Laurie J. Thomsen	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : **Voya Financial, Inc.**

Ticker : **VOYA**

CUSIP No. : **929089100**

Meeting Date : **2020-05-21**

Meeting Type : **Annual**

ISIN : **US9290891004**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Lynne Biggar	For	For
1b	Elect Director Jane P. Chwick	For	For
1c	Elect Director Kathleen DeRose	For	For
1d	Elect Director Ruth Ann M. Gillis	For	For
1e	Elect Director J. Barry Griswell	For	For
1f	Elect Director Rodney O. Martin, Jr.	For	For
1g	Elect Director Byron H. Pollitt, Jr.	For	For
1h	Elect Director Joseph V. Tripodi	For	For
1i	Elect Director David Zwiener	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : **White Mountains Insurance Group, Ltd.**

Ticker : **WTM**

CUSIP No. : **G9618E107**

Meeting Date : **2020-05-21**

Meeting Type : **Annual**

ISIN : **BMG9618E1075**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director G. Manning Rountree	For	For
1.2	Elect Director Mary C. Choksi	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : **Old Republic International Corporation**

Ticker : **ORI**

CUSIP No. : **680223104**

Meeting Date : **2020-05-22**

Meeting Type : **Annual**

ISIN : **US6802231042**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Charles J. Kovaleski	For	Withhold
1.2	Elect Director Craig R. Smiddy	For	Withhold
1.3	Elect Director Arnold L. Steiner	For	Withhold
1.4	Elect Director Fredricka Taubitz	For	Withhold
1.5	Elect Director Aldo C. Zucaro	For	Withhold
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : Sun Communities, Inc.

Ticker : SUI

CUSIP No. : 866674104

Meeting Date : 2020-05-22

Meeting Type : Annual

ISIN : US8666741041

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Gary A. Shiffman	For	For
1b	Elect Director Meghan G. Baivier	For	For
1c	Elect Director Stephanie W. Bergeron	For	For
1d	Elect Director Brian M. Hermelin	For	For
1e	Elect Director Ronald A. Klein	For	For
1f	Elect Director Clunet R. Lewis	For	For
1g	Elect Director Arthur A. Weiss	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Grant Thornton LLP as Auditor	For	For

Company : Americold Realty Trust

Ticker : COLD

CUSIP No. : 03064D108

Meeting Date : 2020-05-27

Meeting Type : Annual

ISIN : US03064D1081

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Fred W. Boehler	For	For
1b	Elect Director George J. Alburger, Jr.	For	For
1c	Elect Director Kelly H. Barrett	For	For
1d	Elect Director Antonio F. Fernandez	For	For
1e	Elect Director James R. Heistand	For	For
1f	Elect Director Michelle M. MacKay	For	For
1g	Elect Director David J. Neithercut	For	For
1h	Elect Director Mark R. Patterson	For	For
1i	Elect Director Andrew P. Power	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Approve Qualified Employee Stock Purchase Plan	For	For
4	Ratify Ernst & Young LLP as Auditors	For	For

Company : Sterling Bancorp

Ticker : STL

CUSIP No. : 85917A100

Meeting Date : 2020-05-27

Meeting Type : Annual

ISIN : US85917A1007

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John P. Cahill	For	For
1.2	Elect Director Navy E. Djonovic	For	For
1.3	Elect Director Fernando Ferrer	For	For
1.4	Elect Director Robert Giambrone	For	For
1.5	Elect Director Mona Aboelnaga Kanaan	For	For
1.6	Elect Director Jack Kopnisky	For	For
1.7	Elect Director James J. Landy	For	For
1.8	Elect Director Maureen Mitchell	For	For

Proxy Voting Results

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1.9	Elect Director Patricia M. Nazemetz	For	For
1.10	Elect Director Richard O'Toole	For	For
1.11	Elect Director Ralph F. Palleschi	For	For
1.12	Elect Director Burt Steinberg	For	For
1.13	Elect Director William E. Whiston	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Crowe LLP as Auditors	For	For

Company : Fidelity National Information Services, Inc.

Ticker : FIS

CUSIP No. : 31620M106

Meeting Date : 2020-05-28

Meeting Type : Annual

ISIN : US31620M1062

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Lee Adrean	For	For
1b	Elect Director Ellen R. Alemany	For	For
1c	Elect Director Lisa A. Hook	For	For
1d	Elect Director Keith W. Hughes	For	For
1e	Elect Director Gary L. Lauer	For	For
1f	Elect Director Gary A. Norcross	For	For
1g	Elect Director Louise M. Parent	For	For
1h	Elect Director Brian T. Shea	For	For
1i	Elect Director James B. Stallings, Jr.	For	For
1j	Elect Director Jeffrey E. Stiefler	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify KPMG LLP as Auditors	For	For

Company : Jones Lang LaSalle Incorporated

Ticker : JLL

CUSIP No. : 48020Q107

Meeting Date : 2020-05-28

Meeting Type : Annual

ISIN : US48020Q1076

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Hugo Bague	For	For
1b	Elect Director Matthew Carter, Jr.	For	For
1c	Elect Director Samuel A. Di Piazza, Jr.	For	For
1d	Elect Director Ming Lu	For	For
1e	Elect Director Bridget A. Macaskill	For	For
1f	Elect Director Deborah H. McAneny	For	For
1g	Elect Director Siddharth (Bobby) N. Mehta	For	For
1h	Elect Director Martin H. Nesbitt	For	For
1i	Elect Director Jeetendra (Jeetu) I. Patel	For	For
1j	Elect Director Sheila A. Penrose	For	For
1k	Elect Director Ann Marie Petach	For	For
1l	Elect Director Christian Ulbrich	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : Lamar Advertising Company

Ticker : LAMR

CUSIP No. : 512816109

Meeting Date : 2020-05-28

Meeting Type : Annual

ISIN : US5128161099

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Nancy Fletcher	For	For
1.2	Elect Director John E. Koerner, III	For	For
1.3	Elect Director Marshall A. Loeb	For	For
1.4	Elect Director Stephen P. Mumblow	For	For
1.5	Elect Director Thomas V. Reifenheiser	For	For
1.6	Elect Director Anna Reilly	For	For
1.7	Elect Director Kevin P. Reilly, Jr.	For	For
1.8	Elect Director Wendell Reilly	For	Withhold
1.9	Elect Director Elizabeth Thompson	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : Life Storage, Inc.

Ticker : LSI

CUSIP No. : 53223X107

Meeting Date : 2020-05-28

Meeting Type : Annual

ISIN : US53223X1072

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Mark G. Barberio	For	For
1b	Elect Director Joseph V. Saffire	For	For
1c	Elect Director Charles E. Lannon	For	For
1d	Elect Director Stephen R. Rusmisel	For	For
1e	Elect Director Arthur L. Havener, Jr.	For	For
1f	Elect Director Dana Hamilton	For	For
1g	Elect Director Edward J. Pettinella	For	For
1h	Elect Director David L. Rogers	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Approve Non-Employee Director Restricted Stock Plan	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : STORE Capital Corporation

Ticker : STOR

CUSIP No. : 862121100

Meeting Date : 2020-05-28

Meeting Type : Annual

ISIN : US8621211007

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Joseph M. Donovan	For	For
1.2	Elect Director Mary Fedewa	For	For
1.3	Elect Director Morton H. Fleischer	For	For
1.4	Elect Director William F. Hipp	For	For
1.5	Elect Director Tawn Kelley	For	For
1.6	Elect Director Catherine D. Rice	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

1.7	Elect Director Einar A. Seadler	For	For
1.8	Elect Director Quentin P. Smith, Jr.	For	For
1.9	Elect Director Christopher H. Volk	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

Company : Unum Group
 Ticker : UNM
 CUSIP No. : 91529Y106

Meeting Date : 2020-05-28
 Meeting Type : Annual
 ISIN : US91529Y1064

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Theodore H. Bunting, Jr.	For	For
1b	Elect Director Susan L. Cross	For	For
1c	Elect Director Susan D. DeVore	For	For
1d	Elect Director Joseph J. Echevarria	For	For
1e	Elect Director Cynthia L. Egan	For	For
1f	Elect Director Kevin T. Kabat	For	For
1g	Elect Director Timothy F. Keaney	For	For
1h	Elect Director Gloria C. Larson	For	For
1i	Elect Director Richard P. McKenney	For	For
1j	Elect Director Ronald P. O'Hanley	For	For
1k	Elect Director Francis J. Shammo	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditor	For	For
4	Approve Qualified Employee Stock Purchase Plan	For	For
5	Approve Nonqualified Employee Stock Purchase Plan	For	For

Company : Wintrust Financial Corporation
 Ticker : WTFC
 CUSIP No. : 97650W108

Meeting Date : 2020-05-28
 Meeting Type : Annual
 ISIN : US97650W1080

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Peter D. Crist	For	For
1.2	Elect Director Bruce K. Crowther	For	For
1.3	Elect Director William J. Doyle	For	For
1.4	Elect Director Marla F. Glabe	For	For
1.5	Elect Director H. Patrick Hackett, Jr.	For	For
1.6	Elect Director Scott K. Heitmann	For	For
1.7	Elect Director Deborah L. Hall Lefevre	For	For
1.8	Elect Director Christopher J. Perry	For	For
1.9	Elect Director Ingrid S. Stafford	For	For
1.10	Elect Director Gary D. Sweeney	For	For
1.11	Elect Director Karin Gustafson Teglia	For	For
1.12	Elect Director Alex E. Washington, III	For	For
1.13	Elect Director Edward J. Wehmer	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

Company : [EPR Properties](#)

Ticker : [EPR](#)

CUSIP No. : [26884U109](#)

Meeting Date : [2020-05-29](#)

Meeting Type : [Annual](#)

ISIN : [US26884U1097](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Barrett Brady	For	For
1.2	Elect Director Peter C. Brown	For	For
1.3	Elect Director James B. Connor	For	For
1.4	Elect Director Robert J. Druten	For	For
1.5	Elect Director Gregory K. Silvers	For	For
1.6	Elect Director Robin P. Sterneck	For	For
1.7	Elect Director Virginia E. Shanks	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
	Amend Declaration of Trust to Permit the Company to Redeem		
3	Securities Held by an Unsuitable Shareholder	For	For
4	Ratify KPMG LLP as Auditors	For	For

Company : [Athene Holding Ltd.](#)

Ticker : [ATH](#)

CUSIP No. : [G0684D107](#)

Meeting Date : [2020-06-02](#)

Meeting Type : [Annual](#)

ISIN : [BMG0684D1074](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Scott Kleinman	For	For
1.2	Elect Director Lawrence J. Ruisi	For	For
1.3	Elect Director Hope Scheffler Taitz	For	For
1.4	Elect Director Arthur Wrubel	For	Against
1.5	Elect Director Fehmi Zeko	For	Against
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Authorize Board to Fix Remuneration of the Auditors	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : [SEI Investments Company](#)

Ticker : [SEIC](#)

CUSIP No. : [784117103](#)

Meeting Date : [2020-06-03](#)

Meeting Type : [Annual](#)

ISIN : [US7841171033](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Sarah W. Blumenstein	For	For
1b	Elect Director Kathryn M. McCarthy	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For
4	Amend Qualified Employee Stock Purchase Plan	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : Virtu Financial, Inc.

Ticker : VIRT

CUSIP No. : 928254101

Meeting Date : 2020-06-05

Meeting Type : Annual

ISIN : US9282541013

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Douglas A. Cifu	For	Withhold
1.2	Elect Director Joseph J. Grano, Jr.	For	Withhold
1.3	Elect Director Robert Greifeld	For	Withhold
1.4	Elect Director John F. (Jack) Sandner	For	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Amend Omnibus Stock Plan	For	For

Company : Spirit Realty Capital, Inc.

Ticker : SRC

CUSIP No. : 84860W300

Meeting Date : 2020-06-08

Meeting Type : Annual

ISIN : US84860W3007

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Jackson Hsieh	For	For
1.2	Elect Director Kevin M. Charlton	For	For
1.3	Elect Director Todd A. Dunn	For	For
1.4	Elect Director Elizabeth F. Frank	For	For
1.5	Elect Director Richard I. Gilchrist	For	For
1.6	Elect Director Diana M. Laing	For	For
1.7	Elect Director Sheli Z. Rosenberg	For	For
1.8	Elect Director Thomas D. Senkbeil	For	For
1.9	Elect Director Nicholas P. Shepherd	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Fidelity National Financial, Inc.

Ticker : FNF

CUSIP No. : 31620R303

Meeting Date : 2020-06-10

Meeting Type : Annual

ISIN : US31620R3030

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director William P. Foley, II	For	For
1.2	Elect Director Douglas K. Ammerman	For	For
1.3	Elect Director Thomas M. Hagerty	For	For
1.4	Elect Director Peter O. Shea, Jr.	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : MarketAxess Holdings Inc.
 Ticker : MKTX
 CUSIP No. : 57060D108

Meeting Date : 2020-06-10
 Meeting Type : Annual
 ISIN : US57060D1081

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Richard M. McVey	For	For
1b	Elect Director Nancy Altobello	For	For
1c	Elect Director Steven L. Begleiter	For	For
1d	Elect Director Stephen P. Casper	For	For
1e	Elect Director Jane Chwick	For	For
1f	Elect Director Christopher R. Concannon	For	For
1g	Elect Director William F. Cruger	For	For
1h	Elect Director Justin G. Gmelich	For	For
1i	Elect Director Richard G. Ketchum	For	For
1j	Elect Director Emily H. Portney	For	For
1k	Elect Director Richard L. Prager	For	For
1l	Elect Director John Steinhardt	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Approve Omnibus Stock Plan	For	For

Company : MFA Financial, Inc.
 Ticker : MFA
 CUSIP No. : 55272X102

Meeting Date : 2020-06-10
 Meeting Type : Annual
 ISIN : US55272X1028

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Laurie S. Goodman	For	For
1b	Elect Director Craig L. Knutson	For	For
1c	Elect Director Richard C. Wald	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Amend Omnibus Stock Plan	For	For

Company : Santander Consumer USA Holdings Inc.
 Ticker : SC
 CUSIP No. : 80283M101

Meeting Date : 2020-06-10
 Meeting Type : Annual
 ISIN : US80283M1018

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Mahesh Aditya	For	Withhold
1.2	Elect Director Homaira Akbari	For	For
1.3	Elect Director Juan Carlos Alvarez de Soto	For	For
1.4	Elect Director Stephen A. Ferriss	For	Withhold
1.5	Elect Director Victor Hill	For	For
1.6	Elect Director Edith E. Holiday	For	For
1.7	Elect Director Javier Maldonado	For	Withhold
1.8	Elect Director Robert J. McCarthy	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

1.9	Elect Director William F. Muir	For	For
1.10	Elect Director William Rainer	For	Withhold
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Report on Risk of Racial Discrimination in Vehicle Lending	Against	For

Company : Service Properties Trust

Ticker : SVC

CUSIP No. : 81761L102

Meeting Date : 2020-06-10

Meeting Type : Annual

ISIN : US81761L1026

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John L. Harrington	For	Against
1.2	Elect Director John G. Murray	For	For
2	Declassify the Board of Directors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Ratify Ernst & Young LLP as Auditors	For	For

Company : Willis Towers Watson Public Limited Company

Ticker : WLTW

CUSIP No. : G96629103

Meeting Date : 2020-06-10

Meeting Type : Annual

ISIN : IE00BDB6Q211

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Anna C. Catalano	For	For
1b	Elect Director Victor F. Ganzi	For	For
1c	Elect Director John J. Haley	For	For
1d	Elect Director Wendy E. Lane	For	For
1e	Elect Director Brendan R. O'Neill	For	For
1f	Elect Director Jaymin B. Patel	For	For
1g	Elect Director Linda D. Rabbitt	For	For
1h	Elect Director Paul D. Thomas	For	For
1i	Elect Director Wilhelm Zeller	For	For
2	Ratify the Appointment of Deloitte & Touche LLP as Auditor and Deloitte Ireland LLP to audit the Irish Statutory Accounts, and Authorize the Board to Fix Their Remuneration	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Renew the Board's Authority to Issue Shares Under Irish Law	For	For
5	Renew the Board's Authority to Opt-Out of Statutory Pre-Emptions Rights	For	For

Company : Lincoln National Corporation

Ticker : LNC

CUSIP No. : 534187109

Meeting Date : 2020-06-11

Meeting Type : Annual

ISIN : US5341871094

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Deirdre P. Connelly	For	For
1.2	Elect Director William H. Cunningham	For	For
1.3	Elect Director Dennis R. Glass	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

1.4	Elect Director George W. Henderson, III	For	For
1.5	Elect Director Eric G. Johnson	For	For
1.6	Elect Director Gary C. Kelly	For	For
1.7	Elect Director M. Leanne Lachman	For	For
1.8	Elect Director Michael F. Mee	For	For
1.9	Elect Director Patrick S. Pittard	For	For
1.10	Elect Director Lynn M. Utter	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Approve Omnibus Stock Plan	For	For
5	Amend Special Meeting Right Provisions	Against	Against
6	Require Independent Board Chair	Against	Against

Company : Western Alliance Bancorporation

Meeting Date : 2020-06-11

Ticker : WAL

Meeting Type : Annual

CUSIP No. : 957638109

ISIN : US9576381092

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Bruce Beach	For	For
1b	Elect Director Juan Figueroe	For	For
1c	Elect Director Howard Gould	For	For
1d	Elect Director Steven Hilton	For	For
1e	Elect Director Marianne Boyd Johnson	For	For
1f	Elect Director Robert Latta	For	For
1g	Elect Director Todd Marshall	For	For
1h	Elect Director Adriane McFetridge	For	For
1i	Elect Director Michael Patriarca	For	For
1j	Elect Director Robert Sarver	For	For
1k	Elect Director Bryan Segedi	For	For
1l	Elect Director Donald Snyder	For	For
1m	Elect Director Sung Won Sohn	For	For
1n	Elect Director Kenneth A. Vecchione	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Amend Omnibus Stock Plan	For	For
4	Ratify RSM US LLP as Auditors	For	For

Company : Evercore Inc.

Meeting Date : 2020-06-16

Ticker : EVR

Meeting Type : Annual

CUSIP No. : 29977A105

ISIN : US29977A1051

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Roger C. Altman	For	For
1.2	Elect Director Richard I. Beattie	For	For
1.3	Elect Director Pamela G. Carlton	For	For
1.4	Elect Director Ellen V. Futter	For	For
1.5	Elect Director Gail B. Harris	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

1.6	Elect Director Robert B. Millard	For	For
1.7	Elect Director Willard J. Overlock, Jr.	For	For
1.8	Elect Director Simon M. Robertson	For	For
1.9	Elect Director Ralph L. Schlosstein	For	For
1.10	Elect Director John S. Weinberg	For	For
1.11	Elect Director William J. Wheeler	For	For
1.12	Elect Director Sarah K. Williamson	For	For
1.13	Elect Director Kendrick R. Wilson, III	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Amend Omnibus Stock Plan	For	Against
4	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : MetLife, Inc.

Ticker : MET

CUSIP No. : 59156R108

Meeting Date : 2020-06-16

Meeting Type : Annual

ISIN : US59156R1086

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Cheryl W. Grise	For	For
1b	Elect Director Carlos M. Gutierrez	For	For
1c	Elect Director Gerald L. Hassell	For	For
1d	Elect Director David L. Herzog	For	For
1e	Elect Director R. Glenn Hubbard	For	For
1f	Elect Director Edward J. Kelly, III	For	For
1g	Elect Director William E. Kennard	For	For
1h	Elect Director Michel A. Khalaf	For	For
1i	Elect Director Catherine R. Kinney	For	For
1j	Elect Director Diana L. McKenzie	For	For
1k	Elect Director Denise M. Morrison	For	For
1l	Elect Director Mark A. Weinberger	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : New Residential Investment Corp.

Ticker : NRZ

CUSIP No. : 64828T201

Meeting Date : 2020-06-18

Meeting Type : Annual

ISIN : US64828T2015

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Pamela F. Lenehan	For	For
1.2	Elect Director David Saltzman	For	For
1.3	Elect Director Alan L. Tyson	For	Withhold
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Adopt Majority Voting for Uncontested Election of Directors	For	For

Proxy Voting Results

First Trust AlphaDEX US Financial Sector Index ETF

Company : SLM Corporation

Ticker : SLM

CUSIP No. : 78442P106

Meeting Date : 2020-06-18

Meeting Type : Annual

ISIN : US78442P1066

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Paul G. Child	For	For
1b	Elect Director Mary Carter Warren Franke	For	For
1c	Elect Director Earl A. Goode	For	For
1d	Elect Director Marianne M. Keler	For	For
1e	Elect Director Mark L. Lavelle	For	For
1f	Elect Director Jim Matheson	For	For
1g	Elect Director Frank C. Puleo	For	For
1h	Elect Director Vivian C. Schneck-Last	For	For
1i	Elect Director William N. Shiebler	For	For
1j	Elect Director Robert S. Strong	For	For
1k	Elect Director Jonathan W. Witter	For	For
1l	Elect Director Kirsten O. Wolberg	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : Chimera Investment Corporation

Ticker : CIM

CUSIP No. : 16934Q208

Meeting Date : 2020-06-25

Meeting Type : Annual

ISIN : US16934Q2084

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Paul A. Donlin	For	For
1b	Elect Director Mark Abrams	For	For
1c	Elect Director Gerard Creagh	For	For
1d	Elect Director Brian P. Reilly	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For