

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : Healthcare Trust of America, Inc.
Ticker : HTA
CUSIP No. : 42225P501

Meeting Date : 7/7/2016
Meeting Type : Annual
ISIN : US42225P5017

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Scott D. Peters	For	For
1b	Elect Director W. Bradley Blair, II	For	For
1c	Elect Director Maurice J. DeWald	For	For
1d	Elect Director Warren D. Fix	For	For
1e	Elect Director Peter N. Foss	For	For
1f	Elect Director Daniel S. Henson	For	For
1g	Elect Director Larry L. Mathis	For	For
1h	Elect Director Gary T. Wescombe	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Legg Mason, Inc.
Ticker : LM
CUSIP No. : 524901105

Meeting Date : 7/26/2016
Meeting Type : Annual
ISIN : US5249011058

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Robert E. Angelica	For	For
1.2	Elect Director Carol Anthony 'John' Davidson	For	For
1.3	Elect Director Barry W. Huff	For	For
1.4	Elect Director Dennis M. Kass	For	For
1.5	Elect Director Cheryl Gordon Krongard	For	For
1.6	Elect Director John V. Murphy	For	For
1.7	Elect Director John H. Myers	For	For
1.8	Elect Director W. Allen Reed	For	For
1.9	Elect Director Margaret Milner Richardson	For	For
1.10	Elect Director Kurt L. Schmoke	For	For
1.11	Elect Director Joseph A. Sullivan	For	For
2	Amend Omnibus Stock Plan	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Apple Hospitality REIT, Inc.
Ticker : APLE
CUSIP No. : 03784Y200

Meeting Date : 8/31/2016
Meeting Type : Special
ISIN : US03784Y2000

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Issue Shares in Connection with Acquisition	For	For
2	Adjourn Meeting	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : Global Payments Inc.
 Ticker : GPN
 CUSIP No. : 37940X102

Meeting Date : 9/28/2016
 Meeting Type : Annual
 ISIN : US37940X1028

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Robert H.B. Baldwin, Jr.	For	For
1.2	Elect Director Mitchell L. Hollin	For	For
1.3	Elect Director Ruth Ann Marshall	For	For
1.4	Elect Director John M. Partridge	For	For
1.5	Elect Director Jeffrey S. Sloan	For	For
2	Amend Omnibus Stock Plan	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Intercontinental Exchange, Inc.
 Ticker : ICE
 CUSIP No. : 45866F104

Meeting Date : 10/12/2016
 Meeting Type : Special
 ISIN : US45866F1049

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Increase Authorized Common Stock	For	For

Company : Jack Henry & Associates, Inc.
 Ticker : JKHY
 CUSIP No. : 426281101

Meeting Date : 11/10/2016
 Meeting Type : Annual
 ISIN : US4262811015

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Matthew C. Flanigan	For	For
1.2	Elect Director John F. Prim	For	For
1.3	Elect Director Thomas H. Wilson, Jr.	For	For
1.4	Elect Director Jacques R. Fiegel	For	For
1.5	Elect Director Thomas A. Wimsett	For	For
1.6	Elect Director Laura G. Kelly	For	For
1.7	Elect Director Shruti S. Miyashiro	For	For
1.8	Elect Director Wesley A. Brown	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Amend Qualified Employee Stock Purchase Plan	For	For
4	Ratify PricewaterhouseCoopers, LLP as Auditors	For	For

Company : Mid-America Apartment Communities, Inc.
 Ticker : MAA
 CUSIP No. : 59522J103

Meeting Date : 11/10/2016
 Meeting Type : Special
 ISIN : US59522J1034

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Issue Shares in Connection with Merger	For	For
2	Increase Authorized Common Stock	For	For

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3 Adjourn Meeting For For

Company : Broadridge Financial Solutions, Inc.
Ticker : BR
CUSIP No. : 11133T103

Meeting Date : 11/17/2016
Meeting Type : Annual
ISIN : US11133T1034

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Leslie A. Brun	For	For
1b	Elect Director Richard J. Daly	For	For
1c	Elect Director Robert N. Duelks	For	For
1d	Elect Director Richard J. Haviland	For	For
1e	Elect Director Brett A. Keller	For	For
1f	Elect Director Stuart R. Levine	For	For
1g	Elect Director Maura A. Markus	For	For
1h	Elect Director Thomas J. Perna	For	For
1i	Elect Director Alan J. Weber	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : FactSet Research Systems Inc.
Ticker : FDS
CUSIP No. : 303075105

Meeting Date : 12/20/2016
Meeting Type : Annual
ISIN : US3030751057

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Malcolm Frank	For	For
1.2	Elect Director Sheila B. Jordan	For	For
1.3	Elect Director James J. McGonigle	For	For
1.4	Elect Director F. Philip Snow	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : NorthStar Asset Management Group Inc.
Ticker : NSAM
CUSIP No. : 108486155

Meeting Date : 12/20/2016
Meeting Type : Special
ISIN : 0

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Merger Agreement	For	For
2	Approve Charter Proposal	For	For
3	Advisory Vote on Golden Parachutes	For	Against
4	Adjourn Meeting	For	Against

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Company : **Endurance Specialty Holdings Ltd.**
 Ticker : **ENH**
 CUSIP No. : **G30397106**

Meeting Date : **1/27/2017**
 Meeting Type : **Special**
 ISIN : **BMG303971060**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Merger Agreement	For	For
2	Advisory Vote on Golden Parachutes	For	For
3	Adjourn Meeting	For	For

Company : **Visa Inc.**
 Ticker : **V**
 CUSIP No. : **92826C839**

Meeting Date : **1/31/2017**
 Meeting Type : **Annual**
 ISIN : **US92826C8394**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Lloyd A. Carney	For	For
1b	Elect Director Mary B. Cranston	For	For
1c	Elect Director Francisco Javier Fernandez-Carbajal	For	For
1d	Elect Director Gary A. Hoffman	For	For
1e	Elect Director Alfred F. Kelly, Jr.	For	For
1f	Elect Director Robert W. Matschullat	For	For
1g	Elect Director Suzanne Nora Johnson	For	For
1h	Elect Director John A.C. Swainson	For	For
1i	Elect Director Maynard G. Webb, Jr.	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify KPMG LLP as Auditors	For	For

Company : **Franklin Resources, Inc.**
 Ticker : **BEN**
 CUSIP No. : **354613101**

Meeting Date : **2/15/2017**
 Meeting Type : **Annual**
 ISIN : **US3546131018**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Peter K. Barker	For	For
1b	Elect Director Mariann Byerwalter	For	For
1c	Elect Director Charles E. Johnson	For	For
1d	Elect Director Gregory E. Johnson	For	For
1e	Elect Director Rupert H. Johnson, Jr.	For	For
1f	Elect Director Mark C. Pigott	For	For
1g	Elect Director Chutta Ratnathicam	For	For
1h	Elect Director Laura Stein	For	For
1i	Elect Director Seth H. Waugh	For	For
1j	Elect Director Geoffrey Y. Yang	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	Three Years	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

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5	Report on Climate Change Position and Proxy Voting	Against	Against
6	Report on Executive Pay and Proxy Voting	Against	Against

Company : Raymond James Financial, Inc.

Ticker : RJF

CUSIP No. : 754730109

Meeting Date : 2/16/2017

Meeting Type : Annual

ISIN : US7547301090

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Charles G. von Arentschildt	For	For
1.2	Elect Director Shelley G. Broader	For	For
1.3	Elect Director Jeffrey N. Edwards	For	For
1.4	Elect Director Benjamin C. Esty	For	For
1.5	Elect Director Francis S. Godbold	For	For
1.6	Elect Director Thomas A. James	For	For
1.7	Elect Director Gordon L. Johnson	For	For
1.8	Elect Director Roderick C. McGeary	For	For
1.9	Elect Director Paul C. Reilly	For	For
1.10	Elect Director Robert P. Saltzman	For	For
1.11	Elect Director Susan N. Story	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify KPMG LLP as Auditors	For	For

Company : TD Ameritrade Holding Corporation

Ticker : AMTD

CUSIP No. : 87236Y108

Meeting Date : 2/17/2017

Meeting Type : Annual

ISIN : US87236Y1082

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Lorenzo A. Bettino	For	For
1.2	Elect Director V. Ann Hailey	For	For
1.3	Elect Director Joseph H. Moglia	For	For
1.4	Elect Director Wilbur J. Prezzano	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For

Company : Allied World Assurance Company Holdings, AG

Ticker : AWH

CUSIP No. : G0219G203

Meeting Date : 3/22/2017

Meeting Type : Special

ISIN : CH0121032772

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Amend Articles	For	For
2	Approve Special Dividend	For	For
A	Transact Other Business (Voting)	For	Against

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First Trust AlphaDEX™ US Financial Sector Index ETF

Company : The Bank of New York Mellon Corporation
 Ticker : BK
 CUSIP No. : 064058100

Meeting Date : 4/11/2017
 Meeting Type : Annual
 ISIN : US0640581007

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Linda Z. Cook	For	For
1.2	Elect Director Nicholas M. Donofrio	For	For
1.3	Elect Director Joseph J. Echevarria	For	For
1.4	Elect Director Edward P. Garden	For	For
1.5	Elect Director Jeffrey A. Goldstein	For	For
1.6	Elect Director Gerald L. Hassell	For	For
1.7	Elect Director John M. Hinshaw	For	For
1.8	Elect Director Edmund F. "Ted" Kelly	For	For
1.9	Elect Director John A. Luke, Jr.	For	For
1.10	Elect Director Jennifer B. Morgan	For	For
1.11	Elect Director Mark A. Nordenberg	For	For
1.12	Elect Director Elizabeth E. Robinson	For	For
1.13	Elect Director Samuel C. Scott, III	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify KPMG LLP as Auditors	For	For
5	Report on and Assess Proxy Voting Policies in Relation to Climate Change Position	Against	Against

Company : Fifth Third Bancorp
 Ticker : FITB
 CUSIP No. : 316773100

Meeting Date : 4/18/2017
 Meeting Type : Annual
 ISIN : US3167731005

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Nicholas K. Akins	For	For
1.2	Elect Director B. Evan Bayh, III	For	For
1.3	Elect Director Jorge L. Benitez	For	For
1.4	Elect Director Katherine B. Blackburn	For	For
1.5	Elect Director Jerry W. Burris	For	For
1.6	Elect Director Emerson L. Brumback	For	For
1.7	Elect Director Greg D. Carmichael	For	For
1.8	Elect Director Gary R. Heminger	For	For
1.9	Elect Director Jewell D. Hoover	For	For
1.10	Elect Director Eileen A. Mallesch	For	For
1.11	Elect Director Michael B. McCallister	For	For
1.12	Elect Director Marsha C. Williams	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Approve Omnibus Stock Plan	For	For

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First Trust AlphaDEX™ US Financial Sector Index ETF

Company : M&T Bank Corporation
 Ticker : MTB
 CUSIP No. : 55261F104

Meeting Date : 4/18/2017
 Meeting Type : Annual
 ISIN : US55261F1049

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Brent D. Baird	For	For
1.2	Elect Director C. Angela Bontempo	For	For
1.3	Elect Director Robert T. Brady	For	For
1.4	Elect Director T. Jefferson Cunningham, III	For	For
1.5	Elect Director Gary N. Geisel	For	For
1.6	Elect Director Richard A. Grossi	For	For
1.7	Elect Director John D. Hawke, Jr.	For	For
1.8	Elect Director Newton P.S. Merrill	For	For
1.9	Elect Director Melinda R. Rich	For	For
1.10	Elect Director Robert E. Sadler, Jr.	For	For
1.11	Elect Director Denis J. Salamone	For	For
1.12	Elect Director David S. Scharfstein	For	For
1.13	Elect Director Herbert L. Washington	For	For
1.14	Elect Director Robert G. Wilmers	For	For
2	Advisory Vote on Say on Pay Frequency	One Year	One Year
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : U.S. Bancorp
 Ticker : USB
 CUSIP No. : 902973304

Meeting Date : 4/18/2017
 Meeting Type : Annual
 ISIN : US9029733048

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Douglas M. Baker, Jr.	For	For
1b	Elect Director Warner L. Baxter	For	For
1c	Elect Director Marc N. Casper	For	For
1d	Elect Director Andrew Cecere	For	For
1e	Elect Director Arthur D. Collins, Jr.	For	For
1f	Elect Director Richard K. Davis	For	For
1g	Elect Director Kimberly J. Harris	For	For
1h	Elect Director Roland A. Hernandez	For	For
1i	Elect Director Doreen Woo Ho	For	For
1j	Elect Director Olivia F. Kirtley	For	For
1k	Elect Director Karen S. Lynch	For	For
1l	Elect Director David B. O'Maley	For	For
1m	Elect Director O'dell M. Owens	For	For
1n	Elect Director Craig D. Schnuck	For	For
1o	Elect Director Scott W. Wine	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Require Independent Board Chairman	Against	For

Company : AGNC Investment Corp.

Ticker : AGNC

CUSIP No. : 00123Q104

Meeting Date : 4/20/2017

Meeting Type : Annual

ISIN : US00123Q1040

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Gary D. Kain	For	For
1.2	Elect Director Morris A. Davis	For	For
1.3	Elect Director Larry K. Harvey	For	For
1.4	Elect Director Prue B. Larocca	For	For
1.5	Elect Director Paul E. Mullings	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For

Company : Huntington Bancshares Incorporated

Ticker : HBAN

CUSIP No. : 446150104

Meeting Date : 4/20/2017

Meeting Type : Annual

ISIN : US4461501045

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Lizabeth Ardisana	For	For
1.2	Elect Director Ann B. "Tanny" Crane	For	For
1.3	Elect Director Robert S. Cubbin	For	For
1.4	Elect Director Steven G. Elliott	For	For
1.5	Elect Director Michael J. Endres	For	For
1.6	Elect Director Gina D. France	For	For
1.7	Elect Director J. Michael Hochschwender	For	For
1.8	Elect Director Chris Inglis	For	For
1.9	Elect Director Peter J. Kight	For	For
1.10	Elect Director Jonathan A. Levy	For	For
1.11	Elect Director Eddie R. Munson	For	For
1.12	Elect Director Richard W. Neu	For	For
1.13	Elect Director David L. Porteous	For	For
1.14	Elect Director Kathleen H. Ransier	For	For
1.15	Elect Director Stephen D. Steinour	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

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First Trust AlphaDEX™ US Financial Sector Index ETF

Company : Interactive Brokers Group, Inc.
 Ticker : IBKR
 CUSIP No. : 45841N107

Meeting Date : 4/20/2017
 Meeting Type : Annual
 ISIN : US45841N1072

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Thomas Peterffy	For	Against
1B	Elect Director Earl H. Nemser	For	Against
1C	Elect Director Milan Galik	For	Against
1D	Elect Director Paul J. Brody	For	Against
1E	Elect Director Lawrence E. Harris	For	For
1F	Elect Director Wayne H. Wagner	For	For
1G	Elect Director Richard Gates	For	For
1H	Elect Director Gary Katz	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	Two Years	One Year

Company : People's United Financial, Inc.
 Ticker : PBCT
 CUSIP No. : 712704105

Meeting Date : 4/20/2017
 Meeting Type : Annual
 ISIN : US7127041058

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John P. Barnes	For	For
1b	Elect Director Collin P. Baron	For	For
1c	Elect Director Kevin T. Bottomley	For	For
1d	Elect Director George P. Carter	For	For
1e	Elect Director William F. Cruger, Jr.	For	For
1f	Elect Director John K. Dwight	For	For
1g	Elect Director Jerry Franklin	For	For
1h	Elect Director Janet M. Hansen	For	For
1i	Elect Director Nancy McAllister	For	For
1j	Elect Director Mark W. Richards	For	For
1k	Elect Director Kirk W. Walters	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Approve Executive Incentive Bonus Plan	For	For
5	Amend Omnibus Stock Plan	For	For
	Amend Certificate of Incorporation Concerning Shareholder		
6	Nominations and Proposals	For	For
7	Ratify KPMG LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : Regions Financial Corporation
 Ticker : RF
 CUSIP No. : 7591EP100

Meeting Date : 4/20/2017
 Meeting Type : Annual
 ISIN : US7591EP1005

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Carolyn H. Byrd	For	For
1b	Elect Director David J. Cooper, Sr.	For	For
1c	Elect Director Don DeFosset	For	For
1d	Elect Director Samuel A. Di Piazza, Jr.	For	For
1e	Elect Director Eric C. Fast	For	For
1f	Elect Director O. B. Grayson Hall, Jr.	For	For
1g	Elect Director John D. Johns	For	For
1h	Elect Director Ruth Ann Marshall	For	For
1i	Elect Director Susan W. Matlock	For	For
1j	Elect Director John E. Maupin, Jr.	For	For
1k	Elect Director Charles D. McCrary	For	For
1l	Elect Director James T. Prokopanko	For	For
1m	Elect Director Lee J. Styslinger, III	For	For
1n	Elect Director Jose S. Suquet	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Signature Bank
 Ticker : SBNY
 CUSIP No. : 82669G104

Meeting Date : 4/20/2017
 Meeting Type : Annual
 ISIN : US82669G1040

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Scott A. Shay	For	For
1.2	Elect Director Joseph J. DePaolo	For	For
1.3	Elect Director Barney Frank	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Adopt Majority Voting for Uncontested Election of Directors	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
6	Amend Omnibus Stock Plan	For	For

Company : Weingarten Realty Investors
 Ticker : WRI
 CUSIP No. : 948741103

Meeting Date : 4/24/2017
 Meeting Type : Annual
 ISIN : US9487411038

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Andrew M. Alexander	For	For
1.2	Elect Director Standford Alexander	For	For
1.3	Elect Director Shelagmichael Brown	For	For

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1.4	Elect Director James W. Crownover	For	For
1.5	Elect Director Stephen A. Lasher	For	For
1.6	Elect Director Thomas L. Ryan	For	For
1.7	Elect Director Douglas W. Schnitzer	For	For
1.8	Elect Director C. Park Shaper	For	For
1.9	Elect Director Marc J. Shapiro	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : **Associated Banc-Corp**
 Ticker : **ASB**
 CUSIP No. : **045487105**

Meeting Date : **4/25/2017**
 Meeting Type : **Annual**
 ISIN : **US0454871056**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John F. Bergstrom	For	For
1.2	Elect Director Philip B. Flynn	For	For
1.3	Elect Director R. Jay Gerken	For	For
1.4	Elect Director Judith P. Greffin	For	For
1.5	Elect Director William R. Hutchinson	For	For
1.6	Elect Director Robert A. Jeffe	For	For
1.7	Elect Director Eileen A. Kamerick	For	For
1.8	Elect Director Gale E. Klappa	For	For
1.9	Elect Director Richard T. Lommen	For	For
1.10	Elect Director Cory L. Nettles	For	For
1.11	Elect Director Karen T. van Lith	For	For
1.12	Elect Director John (Jay) B. Williams	For	For
2	Approve Omnibus Stock Plan	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Ratify KPMG LLP as Auditors	For	For

Company : **BB&T Corporation**
 Ticker : **BBT**
 CUSIP No. : **054937107**

Meeting Date : **4/25/2017**
 Meeting Type : **Annual**
 ISIN : **US0549371070**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Jennifer S. Banner	For	For
1.2	Elect Director K. David Boyer, Jr.	For	For
1.3	Elect Director Anna R. Cablik	For	For
1.4	Elect Director James A. Faulkner	For	For
1.5	Elect Director I. Patricia Henry	For	For
1.6	Elect Director Eric C. Kendrick	For	For
1.7	Elect Director Kelly S. King	For	For
1.8	Elect Director Louis B. Lynn	For	For
1.9	Elect Director Charles A. Patton	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1.10	Elect Director Nido R. Qubein	For	For
1.11	Elect Director William J. Reuter	For	For
1.12	Elect Director Tollie W. Rich, Jr.	For	For
1.13	Elect Director Christine Sears	For	For
1.14	Elect Director Thomas E. Skains	For	For
1.15	Elect Director Thomas N. Thompson	For	For
1.16	Elect Director Stephen T. Williams	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Omnibus Stock Plan	For	For
6	Reduce Supermajority Vote Requirement	Against	For

Company : BOK Financial Corporation

Ticker : BOKF

CUSIP No. : 05561Q201

Meeting Date : 4/25/2017

Meeting Type : Annual

ISIN : US05561Q2012

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Alan S. Armstrong	For	For
1.2	Elect Director C. Fred Ball, Jr.	For	Withhold
1.3	Elect Director Peter C. Boylan, III	For	For
1.4	Elect Director Steven G. Bradshaw	For	Withhold
1.5	Elect Director Chester E. Cadieux, III	For	For
1.6	Elect Director Joseph W. Craft, III	For	For
1.7	Elect Director Jack Finley	For	For
1.8	Elect Director John W. Gibson	For	Withhold
1.9	Elect Director David F. Griffin	For	For
1.10	Elect Director V. Burns Hargis	For	For
1.11	Elect Director Douglas D. Hawthorne	For	For
1.12	Elect Director Kimberley D. Henry	For	Withhold
1.13	Elect Director E. Carey Joullian, IV	For	Withhold
1.14	Elect Director George B. Kaiser	For	Withhold
1.15	Elect Director Robert J. LaFortune	For	Withhold
1.16	Elect Director Stanley A. Lybarger	For	Withhold
1.17	Elect Director Steven J. Malcolm	For	Withhold
1.18	Elect Director E.C. Richards	For	For
1.19	Elect Director Michael C. Turpen	For	Withhold
1.20	Elect Director R. A. Walker	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : Citigroup Inc.

Ticker : C

CUSIP No. : 172967424

Meeting Date : 4/25/2017

Meeting Type : Annual

ISIN : US1729674242

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Michael L. Corbat	For	For
1b	Elect Director Ellen M. Costello	For	For
1c	Elect Director Duncan P. Hennes	For	For
1d	Elect Director Peter B. Henry	For	For
1e	Elect Director Franz B. Humer	For	For
1f	Elect Director Renee J. James	For	For
1g	Elect Director Eugene M. McQuade	For	For
1h	Elect Director Michael E. O'Neill	For	For
1i	Elect Director Gary M. Reiner	For	For
1j	Elect Director Anthony M. Santomero	For	For
1k	Elect Director Diana L. Taylor	For	For
1l	Elect Director William S. Thompson, Jr.	For	For
1m	Elect Director James S. Turley	For	For
1n	Elect Director Deborah C. Wright	For	For
1o	Elect Director Ernesto Zedillo Ponce de Leon	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Demonstrate No Gender Pay Gap	Against	Against
6	Appoint a Stockholder Value Committee	Against	Against
7	Report on Lobbying Payments and Policy	Against	For
8	Claw-back of Payments under Restatements	Against	Against
9	Limit/Prohibit Accelerated Vesting of Awards	Against	For

Company : Comerica Incorporated

Ticker : CMA

CUSIP No. : 200340107

Meeting Date : 4/25/2017

Meeting Type : Annual

ISIN : US2003401070

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Ralph W. Babb, Jr.	For	For
1.2	Elect Director Michael E. Collins	For	For
1.3	Elect Director Roger A. Cregg	For	For
1.4	Elect Director T. Kevin DeNicola	For	For
1.5	Elect Director Jacqueline P. Kane	For	For
1.6	Elect Director Richard G. Lindner	For	For
1.7	Elect Director Alfred A. Piergallini	For	For
1.8	Elect Director Robert S. Taubman	For	For
1.9	Elect Director Reginald M. Turner, Jr.	For	For
1.10	Elect Director Nina G. Vaca	For	For
1.11	Elect Director Michael G. Van de Ven	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : **First Data Corporation**

Ticker : **FDC**

CUSIP No. : **32008D106**

Meeting Date : **4/25/2017**

Meeting Type : **Annual**

ISIN : **US32008D1063**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Scott C. Nuttall	For	Withhold
1.2	Elect Director Joseph J. Plumeri	For	Withhold
2	Ratify Ernst & Young LLP as Auditors	For	For

Company : **First Horizon National Corporation**

Ticker : **FHN**

CUSIP No. : **320517105**

Meeting Date : **4/25/2017**

Meeting Type : **Annual**

ISIN : **US3205171057**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John C. Compton	For	For
1.2	Elect Director Mark A. Emkes	For	For
1.3	Elect Director Corydon J. Gilchrist	For	For
1.4	Elect Director D. Bryan Jordan	For	For
1.5	Elect Director R. Brad Martin	For	For
1.6	Elect Director Scott M. Niswonger	For	For
1.7	Elect Director Vicki R. Palmer	For	For
1.8	Elect Director Colin V. Reed	For	For
1.9	Elect Director Cecelia D. Stewart	For	For
1.10	Elect Director Rajesh Subramaniam	For	For
1.11	Elect Director Luke Yancy, III	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify KPMG LLP as Auditors	For	For

Company : **Kimco Realty Corporation**

Ticker : **KIM**

CUSIP No. : **49446R109**

Meeting Date : **4/25/2017**

Meeting Type : **Annual**

ISIN : **US49446R1095**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Milton Cooper	For	For
1b	Elect Director Philip E. Coviello	For	For
1c	Elect Director Richard G. Dooley	For	For
1d	Elect Director Conor C. Flynn	For	For
1e	Elect Director Joe Grills	For	For
1f	Elect Director Frank Lourenso	For	For
1g	Elect Director Colombe M. Nicholas	For	For
1h	Elect Director Mary Hogan Preusse	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1i	Elect Director Richard B. Saltzman	For	Against
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Lazard Ltd
 Ticker : LAZ
 CUSIP No. : G54050102

Meeting Date : 4/25/2017
 Meeting Type : Annual
 ISIN : BMG540501027

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Kenneth M. Jacobs	For	For
1.2	Elect Director Michelle Jarrard	For	For
1.3	Elect Director Philip A. Laskawy	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Approve Deloitte & Touche LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For
5	Limit/Prohibit Accelerated Vesting of Awards	Against	For

Company : Moody's Corporation
 Ticker : MCO
 CUSIP No. : 615369105

Meeting Date : 4/25/2017
 Meeting Type : Annual
 ISIN : US6153691059

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Basil L. Anderson	For	For
1.2	Elect Director Jorge A. Bermudez	For	For
1.3	Elect Director Darrell Duffie	For	For
1.4	Elect Director Kathryn M. Hill	For	For
1.5	Elect Director Ewald Kist	For	For
1.6	Elect Director Raymond W. McDaniel, Jr.	For	For
1.7	Elect Director Henry A. McKinnell, Jr.	For	For
1.8	Elect Director Leslie F. Seidman	For	For
1.9	Elect Director Bruce Van Saun	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : SunTrust Banks, Inc.
 Ticker : STI
 CUSIP No. : 867914103

Meeting Date : 4/25/2017
 Meeting Type : Annual
 ISIN : US8679141031

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Dallas S. Clement	For	For
1.2	Elect Director Paul R. Garcia	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1.3	Elect Director M. Douglas Ivester	For	For
1.4	Elect Director Kyle Prechtl Legg	For	For
1.5	Elect Director Donna S. Morea	For	For
1.6	Elect Director David M. Ratcliffe	For	For
1.7	Elect Director William H. Rogers, Jr.	For	For
1.8	Elect Director Agnes Bundy Scanlan	For	For
1.9	Elect Director Frank P. Scruggs, Jr.	For	For
1.10	Elect Director Bruce L. Tanner	For	For
1.11	Elect Director Thomas R. Watjen	For	For
1.12	Elect Director Phail Wynn, Jr.	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For

Company : The PNC Financial Services Group, Inc.
 Ticker : PNC
 CUSIP No. : 693475105

Meeting Date : 4/25/2017
 Meeting Type : Annual
 ISIN : US6934751057

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Charles E. Bunch	For	For
1.2	Elect Director Marjorie Rodgers Cheshire	For	For
1.3	Elect Director William S. Demchak	For	For
1.4	Elect Director Andrew T. Feldstein	For	For
1.5	Elect Director Daniel R. Hesse	For	For
1.6	Elect Director Kay Coles James	For	For
1.7	Elect Director Richard B. Kelson	For	For
1.8	Elect Director Jane G. Pepper	For	For
1.9	Elect Director Donald J. Shepard	For	For
1.10	Elect Director Lorene K. Steffes	For	For
1.11	Elect Director Dennis F. Strigl	For	For
1.12	Elect Director Michael J. Ward	For	For
1.13	Elect Director Gregory D. Wasson	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Prepare Employment Diversity Report and Report on Diversity Policies	Against	Against

Company : Wells Fargo & Company
 Ticker : WFC
 CUSIP No. : 949746101

Meeting Date : 4/25/2017
 Meeting Type : Annual
 ISIN : US9497461015

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John D. Baker, II	For	Against
1b	Elect Director John S. Chen	For	Against

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1c	Elect Director Lloyd H. Dean	For	Against
1d	Elect Director Elizabeth A. Duke	For	Against
1e	Elect Director Enrique Hernandez, Jr.	For	Against
1f	Elect Director Donald M. James	For	Against
1g	Elect Director Cynthia H. Milligan	For	Against
1h	Elect Director Karen B. Peetz	For	For
1i	Elect Director Federico F. Peña	For	Against
1j	Elect Director James H. Quigley	For	Against
1k	Elect Director Stephen W. Sanger	For	Against
1l	Elect Director Ronald L. Sargent	For	For
1m	Elect Director Timothy J. Sloan	For	For
1n	Elect Director Susan G. Swenson	For	Against
1o	Elect Director Suzanne M. Vautrinot	For	Against
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify KPMG LLP as Auditors	For	For
5	Review and Report on Business Standards	Against	For
6	Provide for Cumulative Voting	Against	Against
7	Report on Divesting Non-Core Business	Against	Against
8	Report on Gender Pay Gap	Against	Against
9	Report on Lobbying Payments and Policy	Against	Against
10	Adopt Global Policy Regarding the Rights of Indigenous People	Against	For

Company : Ameriprise Financial, Inc.

Ticker : AMP

CUSIP No. : 03076C106

Meeting Date : 4/26/2017

Meeting Type : Annual

ISIN : US03076C1062

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director James M. Cracchiolo	For	For
1b	Elect Director Dianne Neal Blixt	For	For
1c	Elect Director Amy DiGeso	For	For
1d	Elect Director Lon R. Greenberg	For	For
1e	Elect Director Siri S. Marshall	For	For
1f	Elect Director Jeffrey Noddle	For	For
1g	Elect Director H. Jay Sarles	For	For
1h	Elect Director Robert F. Sharpe, Jr.	For	For
1i	Elect Director Christopher J. Williams	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : Aspen Insurance Holdings Limited
 Ticker : AHL
 CUSIP No. : G05384105

Meeting Date : 4/26/2017
 Meeting Type : Annual
 ISIN : BMG053841059

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Christopher O'Kane	For	For
1.2	Elect Director John Cavoores	For	For
1.3	Elect Director Albert J. Beer	For	For
1.4	Elect Director Heidi Hutter	For	For
1.5	Elect Director Matthew Botein	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For

Company : Bank of America Corporation
 Ticker : BAC
 CUSIP No. : 060505104

Meeting Date : 4/26/2017
 Meeting Type : Annual
 ISIN : US0605051046

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Sharon L. Allen	For	For
1b	Elect Director Susan S. Bies	For	For
1c	Elect Director Jack O. Bovender, Jr.	For	For
1d	Elect Director Frank P. Bramble, Sr.	For	For
1e	Elect Director Pierre J.P. de Weck	For	For
1f	Elect Director Arnold W. Donald	For	For
1g	Elect Director Linda P. Hudson	For	For
1h	Elect Director Monica C. Lozano	For	For
1i	Elect Director Thomas J. May	For	For
1j	Elect Director Brian T. Moynihan	For	For
1k	Elect Director Lionel L. Nowell, III	For	For
1l	Elect Director Michael D. White	For	For
1m	Elect Director Thomas D. Woods	For	For
1n	Elect Director R. David Yost	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
5	Amend the General Clawback Policy	Against	Against
6	Non-core banking operations	Against	Against
7	Require Independent Board Chairman	Against	For
8	Report on Gender Pay Gap	Against	Against

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : Popular, Inc.
 Ticker : BPOP
 CUSIP No. : 733174700

Meeting Date : 4/26/2017
 Meeting Type : Annual
 ISIN : PR7331747001

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Maria Luisa Ferre	For	For
1b	Elect Director C. Kim Goodwin	For	For
1c	Elect Director William J. Teuber, Jr.	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : T. Rowe Price Group, Inc.
 Ticker : TROW
 CUSIP No. : 74144T108

Meeting Date : 4/26/2017
 Meeting Type : Annual
 ISIN : US74144T1088

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Mark S. Bartlett	For	For
1b	Elect Director Edward C. Bernard	For	For
1c	Elect Director Mary K. Bush	For	For
1d	Elect Director H. Lawrence Culp, Jr.	For	For
1e	Elect Director Freeman A. Hrabowski, III	For	For
1f	Elect Director Robert F. MacLellan	For	For
1g	Elect Director Brian C. Rogers	For	For
1h	Elect Director Olympia J. Snowe	For	For
1i	Elect Director William J. Stromberg	For	For
1j	Elect Director Dwight S. Taylor	For	For
1k	Elect Director Anne Marie Whittemore	For	For
1l	Elect Director Sandra S. Wijnberg	For	For
1m	Elect Director Alan D. Wilson	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Amend Omnibus Stock Plan	For	For
5	Approve Non-Employee Director Omnibus Stock Plan	For	For
6	Amend Nonqualified Employee Stock Purchase Plan	For	For
7	Ratify KPMG LLP as Auditors	For	For
8	Report on and Assess Proxy Voting Policies in Relation to Climate Change Position	Against	Against
9	Report on and Assess Proxy Voting Policies in Relation to Executive Compensation	Against	Against
10	Prepare Employment Diversity Report and Report on Diversity Policies	None	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : TCF Financial Corporation

Ticker : TCB

CUSIP No. : 872275102

Meeting Date : 4/26/2017

Meeting Type : Annual

ISIN : US8722751026

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Peter Bell	For	For
1.2	Elect Director William F. Bieber	For	For
1.3	Elect Director Theodore J. Bigos	For	For
1.4	Elect Director Craig R. Dahl	For	For
1.5	Elect Director Karen L. Grandstrand	For	For
1.6	Elect Director Thomas F. Jasper	For	For
1.7	Elect Director George G. Johnson	For	For
1.8	Elect Director Richard H. King	For	For
1.9	Elect Director Vance K. Opperman	For	For
1.10	Elect Director James M. Ramstad	For	For
1.11	Elect Director Roger J. Sit	For	For
1.12	Elect Director Julie H. Sullivan	For	For
1.13	Elect Director Barry N. Winslow	For	For
1.14	Elect Director Richard A. Zona	For	For
2	Amend Certificate of Incorporation to Permit Removal of Directors Without Cause	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Ratify KPMG LLP as Auditors	For	For

Company : Citizens Financial Group, Inc.

Ticker : CFG

CUSIP No. : 174610105

Meeting Date : 4/27/2017

Meeting Type : Annual

ISIN : US1746101054

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Bruce Van Saun	For	For
1.2	Elect Director Mark Casady	For	For
1.3	Elect Director Christine M. Cumming	For	For
1.4	Elect Director Anthony Di Iorio	For	For
1.5	Elect Director William P. Hankowsky	For	For
1.6	Elect Director Howard W. Hanna, III	For	For
1.7	Elect Director Leo I. "Lee" Higdon	For	For
1.8	Elect Director Charles J. "Bud" Koch	For	For
1.9	Elect Director Arthur F. Ryan	For	For
1.10	Elect Director Shivan S. Subramaniam	For	For
1.11	Elect Director Wendy A. Watson	For	For
1.12	Elect Director Marita Zuraitis	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : Cullen/Frost Bankers, Inc.
 Ticker : CFR
 CUSIP No. : 229899109

Meeting Date : 4/27/2017
 Meeting Type : Annual
 ISIN : US2298991090

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director R. Denny Alexander	For	For
1.2	Elect Director Carlos Alvarez	For	For
1.3	Elect Director Chris M. Avery	For	For
1.4	Elect Director Samuel G. Dawson	For	For
1.5	Elect Director Crawford H. Edwards	For	For
1.6	Elect Director Ruben M. Escobedo	For	For
1.7	Elect Director Patrick B. Frost	For	For
1.8	Elect Director Phillip D. Green	For	For
1.9	Elect Director David J. Haemisegger	For	For
1.10	Elect Director Karen E. Jennings	For	For
1.11	Elect Director Richard M. Kleberg, III	For	For
1.12	Elect Director Charles W. Matthews	For	For
1.13	Elect Director Ida Clement Steen	For	For
1.14	Elect Director Graham Weston	For	For
1.15	Elect Director Horace Wilkins, Jr.	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : SVB Financial Group
 Ticker : SIVB
 CUSIP No. : 78486Q101

Meeting Date : 4/27/2017
 Meeting Type : Annual
 ISIN : US78486Q1013

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Greg W. Becker	For	For
1.2	Elect Director Eric A. Benhamou	For	For
1.3	Elect Director David M. Clapper	For	For
1.4	Elect Director Roger F. Dunbar	For	For
1.5	Elect Director Joel P. Friedman	For	For
1.6	Elect Director Lata Krishnan	For	For
1.7	Elect Director Jeffrey N. Maggioncalda	For	For
1.8	Elect Director Mary J. Miller	For	For
1.9	Elect Director Kate D. Mitchell	For	For
1.10	Elect Director John F. Robinson	For	For
1.11	Elect Director Garen K. Staglin	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : Torchmark Corporation
 Ticker : TMK
 CUSIP No. : 891027104

Meeting Date : 4/27/2017
 Meeting Type : Annual
 ISIN : US8910271043

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Charles E. Adair	For	For
1.2	Elect Director Marilyn A. Alexander	For	For
1.3	Elect Director David L. Boren	For	For
1.4	Elect Director Jane M. Buchan	For	For
1.5	Elect Director Gary L. Coleman	For	For
1.6	Elect Director Larry M. Hutchison	For	For
1.7	Elect Director Robert W. Ingram	For	For
1.8	Elect Director Steven P. Johnson	For	For
1.9	Elect Director Lloyd W. Newton	For	For
1.10	Elect Director Darren M. Rebelez	For	For
1.11	Elect Director Lamar C. Smith	For	For
1.12	Elect Director Paul J. Zucconi	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Total System Services, Inc.
 Ticker : TSS
 CUSIP No. : 891906109

Meeting Date : 4/27/2017
 Meeting Type : Annual
 ISIN : US8919061098

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Kriss Cloninger, III	For	For
1b	Elect Director Walter W. Driver, Jr.	For	For
1c	Elect Director Sidney E. Harris	For	For
1d	Elect Director William M. Isaac	For	For
1e	Elect Director Pamela A. Joseph	For	For
1f	Elect Director Mason H. Lampton	For	For
1g	Elect Director Connie D. McDaniel	For	For
1h	Elect Director Philip W. Tomlinson	For	For
1i	Elect Director John T. Turner	For	For
1j	Elect Director Richard W. Ussery	For	For
1k	Elect Director M. Troy Woods	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Approve Omnibus Stock Plan	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : Alleghany Corporation
Ticker : Y
CUSIP No. : 017175100

Meeting Date : 4/28/2017
Meeting Type : Annual
ISIN : US0171751003

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Ian H. Chippendale	For	For
1b	Elect Director Weston M. Hicks	For	For
1c	Elect Director Jefferson W. Kirby	For	For
2	Approve Omnibus Stock Plan	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
5	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : The Goldman Sachs Group, Inc.
Ticker : GS
CUSIP No. : 38141G104

Meeting Date : 4/28/2017
Meeting Type : Annual
ISIN : US38141G1040

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Lloyd C. Blankfein	For	For
1b	Elect Director M. Michele Burns	For	For
1c	Elect Director Mark A. Flaherty	For	For
1d	Elect Director William W. George	For	For
1e	Elect Director James A. Johnson	For	For
1f	Elect Director Ellen J. Kullman	For	For
1g	Elect Director Lakshmi N. Mittal	For	For
1h	Elect Director Adebayo O. Ogunlesi	For	For
1i	Elect Director Peter Oppenheimer	For	For
1j	Elect Director David A. Viniar	For	For
1k	Elect Director Mark O. Winkelman	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Aflac Incorporated
Ticker : AFL
CUSIP No. : 001055102

Meeting Date : 5/1/2017
Meeting Type : Annual
ISIN : US0010551028

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Daniel P. Amos	For	For
1b	Elect Director Paul S. Amos, II	For	For
1c	Elect Director W. Paul Bowers	For	For
1d	Elect Director Kriss Cloninger, III	For	For
1e	Elect Director Toshihiko Fukuzawa	For	For
1f	Elect Director Elizabeth J. Hudson	For	For
1g	Elect Director Douglas W. Johnson	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1h	Elect Director Robert B. Johnson	For	For
1i	Elect Director Thomas J. Kenny	For	For
1j	Elect Director Charles B. Knapp	For	For
1k	Elect Director Karole F. Lloyd	For	For
1l	Elect Director Joseph L. Moskowitz	For	For
1m	Elect Director Barbara K. Rimer	For	For
1n	Elect Director Melvin T. Stith	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify KPMG LLP as Auditors	For	For
5	Amend Omnibus Stock Plan	For	For
6	Amend Executive Incentive Bonus Plan	For	For

Company : American Express Company

Ticker : AXP

CUSIP No. : 025816109

Meeting Date : 5/1/2017

Meeting Type : Annual

ISIN : US0258161092

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Charlene Barshefsky	For	For
1b	Elect Director John J. Brennan	For	For
1c	Elect Director Ursula M. Burns	For	For
1d	Elect Director Kenneth I. Chenault	For	For
1e	Elect Director Peter Chernin	For	For
1f	Elect Director Ralph de la Vega	For	For
1g	Elect Director Anne L. Lauvergeon	For	For
1h	Elect Director Michael O. Leavitt	For	For
1i	Elect Director Theodore J. Leonsis	For	For
1j	Elect Director Richard C. Levin	For	For
1k	Elect Director Samuel J. Palmisano	For	For
1l	Elect Director Daniel L. Vasella	For	For
1m	Elect Director Robert D. Walter	For	For
1n	Elect Director Ronald A. Williams	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Provide Right to Act by Written Consent	Against	For
6	Report on Gender Pay Gap	Against	Against

Company : Ally Financial Inc.

Ticker : ALLY

CUSIP No. : 02005N100

Meeting Date : 5/2/2017

Meeting Type : Annual

ISIN : US02005N1000

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Franklin W. Hobbs	For	For
1.2	Elect Director Kenneth J. Bacon	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1.3	Elect Director Robert T. Blakely	For	For
1.4	Elect Director Maureen A. Breakiron-Evans	For	For
1.5	Elect Director William H. Cary	For	For
1.6	Elect Director Mayree C. Clark	For	For
1.7	Elect Director Kim S. Fennebresque	For	For
1.8	Elect Director Marjorie Magner	For	For
1.9	Elect Director John J. Stack	For	For
1.10	Elect Director Michael F. Steib	For	For
1.11	Elect Director Jeffrey J. Brown	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Amend Omnibus Stock Plan	For	For
4	Amend Non-Employee Director Omnibus Stock Plan	For	For
5	Amend Executive Incentive Bonus Plan	For	For
6	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Columbia Property Trust, Inc.

Ticker : CXP

CUSIP No. : 198287203

Meeting Date : 5/2/2017

Meeting Type : Annual

ISIN : US1982872038

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Carmen M. Bowser	For	For
1.2	Elect Director Charles R. Brown	For	For
1.3	Elect Director Richard W. Carpenter	For	For
1.4	Elect Director John L. Dixon	For	For
1.5	Elect Director David B. Henry	For	For
1.6	Elect Director Murray J. McCabe	For	For
1.7	Elect Director E. Nelson Mills	For	For
1.8	Elect Director Michael S. Robb	For	For
1.9	Elect Director George W. Sands	For	For
1.10	Elect Director Thomas G. Wattles	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Amend Omnibus Stock Plan	For	For
5	Amend Bylaws	For	For

Company : Vantiv, Inc.

Ticker : VNTV

CUSIP No. : 92210H105

Meeting Date : 5/2/2017

Meeting Type : Annual

ISIN : US92210H1059

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Kevin Costello	For	For
1.2	Elect Director Lisa Hook	For	For
1.3	Elect Director David Karnstedt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

3 Ratify Deloitte & Touche LLP as Auditors For For

Company : Assured Guaranty Ltd.

Ticker : AGO

CUSIP No. : G0585R106

Meeting Date : 5/3/2017

Meeting Type : Annual

ISIN : BMG0585R1060

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Francisco L. Borges	For	For
1b	Elect Director G. Lawrence Buhl	For	For
1c	Elect Director Dominic J. Frederico	For	For
1d	Elect Director Bonnie L. Howard	For	For
1e	Elect Director Thomas W. Jones	For	For
1f	Elect Director Patrick W. Kenny	For	For
1g	Elect Director Alan J. Kreczko	For	For
1h	Elect Director Simon W. Leathes	For	For
1i	Elect Director Michael T. O'Kane	For	For
1j	Elect Director Yukiko Omura	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For
5aa	Elect Howard W. Albert as Director of Assured Guaranty Re Ltd	For	For
5ab	Elect Robert A. Bailenson as Director of Assured Guaranty Re Ltd	For	For
5ac	Elect Russell B. Brewer, II as Director of Assured Guaranty Re Ltd	For	For
5ad	Elect Gary Burnet as Director of Assured Guaranty Re Ltd	For	For
5ae	Elect Stephen Donnarumma as Director of Assured Guaranty Re Ltd	For	For
5af	Elect Dominic J. Frederico as Director of Assured Guaranty Re Ltd	For	For
5ag	Elect James M. Michener as Director of Assured Guaranty Re Ltd	For	For
5ah	Elect Walter A. Scott as Director of Assured Guaranty Re Ltd	For	For
5B	Ratify PricewaterhouseCoopers LLP as AG Re's as Auditors	For	For

Company : Brown & Brown, Inc.

Ticker : BRO

CUSIP No. : 115236101

Meeting Date : 5/3/2017

Meeting Type : Annual

ISIN : US1152361010

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director J. Hyatt Brown	For	For
1.2	Elect Director Samuel P. Bell, III	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1.3	Elect Director Hugh M. Brown	For	For
1.4	Elect Director J. Powell Brown	For	For
1.5	Elect Director Bradley Currey, Jr.	For	For
1.6	Elect Director Theodore J. Hoepner	For	For
1.7	Elect Director James S. Hunt	For	For
1.8	Elect Director Toni Jennings	For	For
1.9	Elect Director Timothy R.M. Main	For	For
1.10	Elect Director H. Palmer Proctor, Jr.	For	For
1.11	Elect Director Wendell S. Reilly	For	For
1.12	Elect Director Chilton D. Varner	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Omnibus Stock Plan	For	For

Company : CoreLogic, Inc.

Ticker : CLGX

CUSIP No. : 21871D103

Meeting Date : 5/3/2017

Meeting Type : Annual

ISIN : US21871D1037

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director J. David Chatham	For	For
1.2	Elect Director Douglas C. Curling	For	For
1.3	Elect Director John C. Dorman	For	For
1.4	Elect Director Paul F. Folino	For	For
1.5	Elect Director Frank D. Martell	For	For
1.6	Elect Director Thomas C. O'Brien	For	For
1.7	Elect Director Jaynie Miller Studenmund	For	For
1.8	Elect Director David F. Walker	For	For
1.9	Elect Director Mary Lee Widener	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Prologis, Inc.

Ticker : PLD

CUSIP No. : 74340W103

Meeting Date : 5/3/2017

Meeting Type : Annual

ISIN : US74340W1036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Hamid R. Moghadam	For	For
1b	Elect Director George L. Fotiades	For	For
1c	Elect Director Lydia H. Kennard	For	For
1d	Elect Director J. Michael Losh	For	For
1e	Elect Director Irving F. Lyons, III	For	For
1f	Elect Director David P. O'Connor	For	For
1g	Elect Director Olivier Piani	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1h	Elect Director Jeffrey L. Skelton	For	For
1i	Elect Director Carl B. Webb	For	For
1j	Elect Director William D. Zollars	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify KPMG LLP as Auditors	For	For

Company : **Realogy Holdings Corp.**

Ticker : **RLGY**

CUSIP No. : **75605Y106**

Meeting Date : **5/3/2017**

Meeting Type : **Annual**

ISIN : **US75605Y1064**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Raul Alvarez	For	For
1.2	Elect Director Fiona P. Dias	For	For
1.3	Elect Director Matthew J. Espe	For	For
1.4	Elect Director V. Ann Hailey	For	For
1.5	Elect Director Duncan L. Niederauer	For	For
1.6	Elect Director Richard A. Smith	For	For
1.7	Elect Director Sherry M. Smith	For	For
1.8	Elect Director Christopher S. Terrill	For	For
1.9	Elect Director Michael J. Williams	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : **Thomson Reuters Corporation**

Ticker : **TRI**

CUSIP No. : **884903105**

Meeting Date : **5/3/2017**

Meeting Type : **Annual/Special**

ISIN : **CA8849031056**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director David Thomson	For	For
1.2	Elect Director James C. Smith	For	For
1.3	Elect Director Sheila C. Bair	For	For
1.4	Elect Director David W. Binet	For	For
1.5	Elect Director W. Edmund Clark	For	For
1.6	Elect Director Michael E. Daniels	For	For
1.7	Elect Director Ken Olisa	For	For
1.8	Elect Director Vance K. Opperman	For	For
1.9	Elect Director Kristin C. Peck	For	For
1.10	Elect Director Barry Salzberg	For	For
1.11	Elect Director Peter J. Thomson	For	For
1.12	Elect Director Wulf von Schimmelmann	For	For
	Approve PricewaterhouseCoopers LLP as Auditors and Authorize		
2	Board to Fix Their Remuneration	For	For
3	Amend Stock Incentive Plan	For	For
4	Advisory Vote on Executive Compensation Approach	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : **TransUnion**
 Ticker : **TRU**
 CUSIP No. : **89400J107**

Meeting Date : **5/3/2017**
 Meeting Type : **Annual**
 ISIN : **US89400J1079**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Leo F. Mullin	For	Withhold
1.2	Elect Director Steven M. Tadler	For	Withhold
2	Ratify Ernst & Young LLP as Auditors	For	For

Company : **Arch Capital Group Ltd.**
 Ticker : **ACGL**
 CUSIP No. : **G0450A105**

Meeting Date : **5/4/2017**
 Meeting Type : **Annual**
 ISIN : **BMG0450A1053**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Kewsong Lee	For	For
1b	Elect Director Louis J. Paglia	For	For
1c	Elect Director Brian S. Posner	For	For
1d	Elect Director John D. Vollaro	For	For
2a	Elect Director Robert Appleby as Designated Company Director of Non-U.S. Subsidiaries	For	For
2b	Elect Director Anthony Asquith as Designated Company Director of Non-U.S. Subsidiaries	For	For
2c	Elect Director Dennis R. Brand as Designated Company Director of Non-U.S. Subsidiaries	For	For
2d	Elect Director Ian Britchfield as Designated Company Director of Non-U.S. Subsidiaries	For	For
2e	Elect Director Pierre-Andre Camps as Designated Company Director of Non-U.S. Subsidiaries	For	For
2f	Elect Director Paul Cole as Designated Company Director of Non-U.S. Subsidiaries	For	For
2g	Elect Director Graham B.R. Collis as Designated Company Director of Non-U.S. Subsidiaries	For	For
2h	Elect Director Michael Constantinides as Designated Company Director of Non-U.S. Subsidiaries	For	For
2i	Elect Director Stephen J. Curley as Designated Company Director of Non-U.S. Subsidiaries	For	For
2j	Elect Director Nick Denniston as Designated Company Director of Non-U.S. Subsidiaries	For	For
2k	Elect Director Seamus Fearon as Designated Company Director of Non-U.S. Subsidiaries	For	For
2l	Elect Director Michael Feetham as Designated Company Director of Non-U.S. Subsidiaries	For	For
2m	Elect Director Beau H. Franklin as Designated Company Director of Non-U.S. Subsidiaries	For	For
2n	Elect Director Giuliano Giovannetti as Designated Company Director of Non-U.S. Subsidiaries	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

2o	Elect Director Michael Hammer as Designated Company Director of Non-U.S. Subsidiaries	For	For
2p	Elect Director W. Preston Hutchings as Designated Company Director of Non-U.S. Subsidiaries	For	For
2q	Elect Director Constantine Iordanou as Designated Company Director of Non-U.S. Subsidiaries	For	For
2r	Elect Director Michael H. Kier as Designated Company Director of Non-U.S. Subsidiaries	For	For
2s	Elect Director Jason Kittinger as Designated Company Director of Non-U.S. Subsidiaries	For	For
2t	Elect Director Gerald Konig as Designated Company Director of Non-U.S. Subsidiaries	For	For
2u	Elect Director Mark D. Lyons as Designated Company Director of Non-U.S. Subsidiaries	For	For
2v	Elect Director Patrick Mailloux as Designated Company Director of Non-U.S. Subsidiaries	For	For
2w	Elect Director Paul Martin as Designated Company Director of Non-U.S. Subsidiaries	For	For
2x	Elect Director Robert McDowell as Designated Company Director of Non-U.S. Subsidiaries	For	For
2y	Elect Director David H. McElroy as Designated Company Director of Non-U.S. Subsidiaries	For	For
2z	Elect Director Francois Morin as Designated Company Director of Non-U.S. Subsidiaries	For	For
2aa	Elect Director David J. Mulholland as Designated Company Director of Non-U.S. Subsidiaries	For	For
2ab	Elect Director Mark Nolan as Designated Company Director of Non-U.S. Subsidiaries	For	For
2ac	Elect Director Nicolas Papadopoulo as Designated Company Director of Non-U.S. Subsidiaries	For	For
2ad	Elect Director Michael Price as Designated Company Director of Non-U.S. Subsidiaries	For	For
2ae	Elect Director Elisabeth Quinn as Designated Company Director of Non-U.S. Subsidiaries	For	For
2af	Elect Director Maamoun Rajeh as Designated Company Director of Non-U.S. Subsidiaries	For	For
2ag	Elect Director Andrew T. Rippert as Designated Company Director of Non-U.S. Subsidiaries	For	For
2ah	Elect Director Arthur Scace as Designated Company Director of Non-U.S. Subsidiaries	For	For
2ai	Elect Director Soren Scheuer as Designated Company Director of Non-U.S. Subsidiaries	For	For
2aj	Elect Director Matthew Shulman as Designated Company Director of Non-U.S. Subsidiaries	For	For
2ak	Elect Director Budhi Singh as Designated Company Director of Non-U.S. Subsidiaries	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

2al	Elect Director William A. Soares as Designated Company Director of Non-U.S. Subsidiaries	For	For
2am	Elect Director Scott Stirling as Designated Company Director of Non-U.S. Subsidiaries	For	For
2an	Elect Director Hugh Sturgess as Designated Company Director of Non-U.S. Subsidiaries	For	For
2ao	Elect Director Ross Totten as Designated Company Director of Non-U.S. Subsidiaries	For	For
2ap	Elect Director Gerald Wolfe as Designated Company Director of Non-U.S. Subsidiaries	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
5	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : **AXIS Capital Holdings Limited**
 Ticker : **AXS**
 CUSIP No. : **G0692U109**

Meeting Date : **5/4/2017**
 Meeting Type : **Annual**
 ISIN : **BMG0692U1099**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Robert L. Friedman	For	For
1.2	Elect Director Cheryl-Ann Lister	For	For
1.3	Elect Director Thomas C. Ramey	For	For
1.4	Elect Director Wilhelm Zeller	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Approve Deloitte Ltd., Hamilton, Bermuda as Auditors and Authorize Board to Fix Their Remuneration	For	For
5	Approve Omnibus Stock Plan	For	For

Company : **Capital One Financial Corporation**
 Ticker : **COF**
 CUSIP No. : **14040H105**

Meeting Date : **5/4/2017**
 Meeting Type : **Annual**
 ISIN : **US14040H1059**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Richard D. Fairbank	For	For
1B	Elect Director Ann Fritz Hackett	For	For
1C	Elect Director Lewis Hay, III	For	For
1D	Elect Director Benjamin P. Jenkins, III	For	For
1E	Elect Director Peter Thomas Killalea	For	For
1F	Elect Director Pierre E. Leroy	For	For
1G	Elect Director Peter E. Raskind	For	For
1H	Elect Director Mayo A. Shattuck, III	For	For
1I	Elect Director Bradford H. Warner	For	For
1J	Elect Director Catherine G. West	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Nonqualified Employee Stock Purchase Plan	For	For
6	Provide Right to Act by Written Consent	Against	For

Company : Colony NorthStar, Inc.

Ticker : CLNS

CUSIP No. : 19625W104

Meeting Date : 5/4/2017

Meeting Type : Annual

ISIN : US19625W1045

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Thomas J. Barrack, Jr.	For	For
1.2	Elect Director David T. Hamamoto	For	For
1.3	Elect Director Douglas Crocker, II	For	For
1.4	Elect Director Nancy A. Curtin	For	For
1.5	Elect Director Jon A. Fosheim	For	For
1.6	Elect Director Justin E. Metz	For	For
1.7	Elect Director George G. C. Parker	For	For
1.8	Elect Director Charles W. Schoenherr	For	For
1.9	Elect Director John A. Somers	For	For
1.10	Elect Director John L. Steffens	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For

Company : Starwood Property Trust, Inc.

Ticker : STWD

CUSIP No. : 85571B105

Meeting Date : 5/4/2017

Meeting Type : Annual

ISIN : US85571B1052

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Richard D. Bronson	For	Withhold
1.2	Elect Director Jeffrey G. Dishner	For	For
1.3	Elect Director Camille J. Douglas	For	Withhold
1.4	Elect Director Solomon J. Kumin	For	For
1.5	Elect Director Barry S. Sternlicht	For	For
1.6	Elect Director Strauss Zelnick	For	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Approve Omnibus Stock Plan	For	For
5	Approve Omnibus Stock Plan	For	For
6	Ratify Deloitte & Touche LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : Welltower Inc.

Ticker : HCN

CUSIP No. : 95040Q104

Meeting Date : 5/4/2017

Meeting Type : Annual

ISIN : US95040Q1040

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Kenneth J. Bacon	For	For
1b	Elect Director Thomas J. DeRosa	For	For
1c	Elect Director Jeffrey H. Donahue	For	For
1d	Elect Director Fred S. Klipsch	For	For
1e	Elect Director Geoffrey G. Meyers	For	For
1f	Elect Director Timothy J. Naughton	For	For
1g	Elect Director Sharon M. Oster	For	For
1h	Elect Director Judith C. Pelham	For	For
1i	Elect Director Sergio D. Rivera	For	For
1j	Elect Director R. Scott Trumbull	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Berkshire Hathaway Inc.

Ticker : BRK.B

CUSIP No. : 084670702

Meeting Date : 5/6/2017

Meeting Type : Annual

ISIN : US0846707026

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Warren E. Buffett	For	For
1.2	Elect Director Charles T. Munger	For	For
1.3	Elect Director Howard G. Buffett	For	For
1.4	Elect Director Stephen B. Burke	For	For
1.5	Elect Director Susan L. Decker	For	For
1.6	Elect Director William H. Gates, III	For	For
1.7	Elect Director David S. Gottesman	For	For
1.8	Elect Director Charlotte Guyman	For	For
1.9	Elect Director Thomas S. Murphy	For	For
1.10	Elect Director Ronald L. Olson	For	For
1.11	Elect Director Walter Scott, Jr.	For	For
1.12	Elect Director Meryl B. Witmer	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	Three Years	One Year
4	Report on Political Contributions	Against	For
5	Assess and Report on Exposure to Climate Change Risks	Against	For
6	Require Divestment from Fossil Fuels	Against	Against

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : Cincinnati Financial Corporation
 Ticker : CINP
 CUSIP No. : 172062101

Meeting Date : 5/6/2017
 Meeting Type : Annual
 ISIN : US1720621010

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director William F. Bahl	For	For
1.2	Elect Director Gregory T. Bier	For	For
1.3	Elect Director Linda W. Clement-Holmes	For	For
1.4	Elect Director Dirk J. Debbink	For	For
1.5	Elect Director Steven J. Johnston	For	For
1.6	Elect Director Kenneth C. Lichtendahl	For	For
1.7	Elect Director W. Rodney McMullen	For	For
1.8	Elect Director David P. Osborn	For	For
1.9	Elect Director Gretchen W. Price	For	For
1.10	Elect Director Thomas R. Schiff	For	For
1.11	Elect Director Douglas S. Skidmore	For	For
1.12	Elect Director Kenneth W. Stecher	For	For
1.13	Elect Director John F. Steele, Jr.	For	For
1.14	Elect Director Larry R. Webb	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Digital Realty Trust, Inc.
 Ticker : DLR
 CUSIP No. : 253868103

Meeting Date : 5/8/2017
 Meeting Type : Annual
 ISIN : US2538681030

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Dennis E. Singleton	For	Against
1b	Elect Director Laurence A. Chapman	For	Against
1c	Elect Director Kathleen Earley	For	For
1d	Elect Director Kevin J. Kennedy	For	Against
1e	Elect Director William G. LaPerch	For	Against
1f	Elect Director Afshin Mohebbi	For	For
1g	Elect Director Mark R. Patterson	For	For
1h	Elect Director A. William Stein	For	For
1i	Elect Director Robert H. Zerbst	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : Alexandria Real Estate Equities, Inc.
 Ticker : ARE
 CUSIP No. : 015271109

Meeting Date : 5/9/2017
 Meeting Type : Annual
 ISIN : US0152711091

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Joel S. Marcus	For	For
1.2	Elect Director Steven R. Hash	For	Withhold
1.3	Elect Director John L. Atkins, III	For	Withhold
1.4	Elect Director James P. Cain	For	Withhold
1.5	Elect Director Maria C. Freire	For	Withhold
1.6	Elect Director Richard H. Klein	For	Withhold
1.7	Elect Director James H. Richardson	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Increase Authorized Common Stock	For	For
5	Ratify Ernst & Young LLP as Auditors	For	For

Company : CIT Group Inc.
 Ticker : CIT
 CUSIP No. : 125581801

Meeting Date : 5/9/2017
 Meeting Type : Annual
 ISIN : US1255818015

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Ellen R. Alemany	For	For
1b	Elect Director Michael L. Brosnan	For	For
1c	Elect Director Michael A. Carpenter	For	For
1d	Elect Director Dorene C. Dominguez	For	For
1e	Elect Director Alan Frank	For	For
1f	Elect Director William M. Freeman	For	For
1g	Elect Director R. Brad Oates	For	For
1h	Elect Director Marianne Miller Parrs	For	For
1i	Elect Director Gerald Rosenfeld	For	For
1j	Elect Director John R. Ryan	For	For
1k	Elect Director Sheila A. Stamps	For	For
1l	Elect Director Laura S. Unger	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : First American Financial Corporation
 Ticker : FAF
 CUSIP No. : 31847R102

Meeting Date : 5/9/2017
 Meeting Type : Annual
 ISIN : US31847R1023

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director James L. Doti	For	For
1.2	Elect Director Michael D. McKee	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1.3	Elect Director Thomas V. McKernan	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Amend Omnibus Stock Plan	For	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : **First Republic Bank**
 Ticker : **FRC**
 CUSIP No. : **33616C100**

Meeting Date : **5/9/2017**
 Meeting Type : **Annual**
 ISIN : **US33616C1009**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director James H. Herbert, II	For	For
1b	Elect Director Katherine August-deWilde	For	For
1c	Elect Director Thomas J. Barrack, Jr.	For	For
1d	Elect Director Frank J. Fahrenkopf, Jr.	For	For
1e	Elect Director L. Martin Gibbs	For	For
1f	Elect Director Boris Groysberg	For	For
1g	Elect Director Sandra R. Hernandez	For	For
1h	Elect Director Pamela J. Joyner	For	For
1i	Elect Director Reynold Levy	For	For
1j	Elect Director Duncan L. Niederauer	For	For
1k	Elect Director George G.C. Parker	For	For
1l	Elect Director Cheryl Spielman	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Approve Executive Incentive Bonus Plan	For	Against
4	Approve Omnibus Stock Plan	For	For
5	Advisory Vote on Say on Pay Frequency	One Year	One Year
6	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
7	Prepare Employment Diversity Report and Report on Diversity Policies	Against	For

Company : **Prudential Financial, Inc.**
 Ticker : **PRU**
 CUSIP No. : **744320102**

Meeting Date : **5/9/2017**
 Meeting Type : **Annual**
 ISIN : **US7443201022**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Thomas J. Baltimore, Jr.	For	For
1.2	Elect Director Gilbert F. Casellas	For	For
1.3	Elect Director Mark B. Grier	For	For
1.4	Elect Director Martina Hund-Mejean	For	For
1.5	Elect Director Karl J. Krapek	For	For
1.6	Elect Director Peter R. Lighte	For	For
1.7	Elect Director George Paz	For	For
1.8	Elect Director Sandra Pianalto	For	For
1.9	Elect Director Christine A. Poon	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1.10	Elect Director Douglas A. Scovanner	For	For
1.11	Elect Director John R. Strangfeld	For	For
1.12	Elect Director Michael A. Todman	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Require Independent Board Chairman	Against	For

Company : Nasdaq, Inc.

Ticker : NDAQ

CUSIP No. : 631103108

Meeting Date : 5/10/2017

Meeting Type : Annual

ISIN : US6311031081

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Melissa M. Arnoldi	For	For
1b	Elect Director Charlene T. Begley	For	For
1c	Elect Director Steven D. Black	For	For
1d	Elect Director Adena T. Friedman	For	For
1e	Elect Director Glenn H. Hutchins	For	For
1f	Elect Director Essa Kazim	For	For
1g	Elect Director Thomas A. Kloet	For	For
1h	Elect Director Michael R. Splinter	For	For
1i	Elect Director Lars R. Wedenborn	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For
5	Provide Right to Act by Written Consent	Against	For

Company : The Dun & Bradstreet Corporation

Ticker : DNB

CUSIP No. : 26483E100

Meeting Date : 5/10/2017

Meeting Type : Annual

ISIN : US26483E1001

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Robert P. Carrigan	For	For
1b	Elect Director Cindy Christy	For	For
1c	Elect Director L. Gordon Crovitz	For	For
1d	Elect Director James N. Fernandez	For	For
1e	Elect Director Paul R. Garcia	For	For
1f	Elect Director Anastassia Lauterbach	For	For
1g	Elect Director Thomas J. Manning	For	For
1h	Elect Director Randall D. Mott	For	For
1i	Elect Director Judith A. Reinsdorf	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : Validus Holdings, Ltd.

Ticker : VR

CUSIP No. : G9319H102

Meeting Date : 5/10/2017

Meeting Type : Annual

ISIN : BMG9319H1025

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Matthew J. Grayson	For	For
1.2	Elect Director Jean-Marie Nessi	For	For
1.3	Elect Director Mandakini Puri	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify PricewaterhouseCoopers Ltd. as Auditors	For	For

Company : Assurant, Inc.

Ticker : AIZ

CUSIP No. : 04621X108

Meeting Date : 5/11/2017

Meeting Type : Annual

ISIN : US04621X1081

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Elaine D. Rosen	For	For
1b	Elect Director Howard L. Carver	For	For
1c	Elect Director Juan N. Cento	For	For
1d	Elect Director Alan B. Colberg	For	For
1e	Elect Director Elyse Douglas	For	For
1f	Elect Director Lawrence V. Jackson	For	For
1g	Elect Director Charles J. Koch	For	For
1h	Elect Director Jean-Paul L. Montupet	For	For
1i	Elect Director Paul J. Reilly	For	For
1j	Elect Director Robert W. Stein	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Approve Omnibus Stock Plan	For	For
6	Eliminate Supermajority Vote Requirement	For	For

Company : CoreCivic, Inc.

Ticker : CXW

CUSIP No. : 21871N101

Meeting Date : 5/11/2017

Meeting Type : Annual

ISIN : US21871N1019

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Donna M. Alvarado	For	For
1b	Elect Director Robert J. Dennis	For	For
1c	Elect Director Mark A. Emkes	For	Against
1d	Elect Director Damon T. Hininger	For	For
1e	Elect Director Stacia A. Hylton	For	For
1f	Elect Director Anne L. Mariucci	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1g	Elect Director Thurgood Marshall, Jr.	For	Against
1h	Elect Director Charles L. Overby	For	Against
1i	Elect Director John R. Prann, Jr.	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Omnibus Stock Plan	For	For

Company : Discover Financial Services

Ticker : DFS

CUSIP No. : 254709108

Meeting Date : 5/11/2017

Meeting Type : Annual

ISIN : US2547091080

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Jeffrey S. Aronin	For	For
1.2	Elect Director Mary K. Bush	For	For
1.3	Elect Director Gregory C. Case	For	For
1.4	Elect Director Candace H. Duncan	For	For
1.5	Elect Director Joseph F. Eazor	For	For
1.6	Elect Director Cynthia A. Glassman	For	For
1.7	Elect Director Richard H. Lenny	For	For
1.8	Elect Director Thomas G. Maheras	For	For
1.9	Elect Director Michael H. Moskow	For	For
1.10	Elect Director David W. Nelms	For	For
1.11	Elect Director Mark A. Thierer	For	For
1.12	Elect Director Lawrence A. Weinbach	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : E*TRADE Financial Corporation

Ticker : ETFC

CUSIP No. : 269246401

Meeting Date : 5/11/2017

Meeting Type : Annual

ISIN : US2692464017

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Richard J. Carbone	For	For
1b	Elect Director James P. Healy	For	For
1c	Elect Director Kevin T. Kabat	For	For
1d	Elect Director Frederick W. Kanner	For	For
1e	Elect Director James Lam	For	For
1f	Elect Director Rodger A. Lawson	For	For
1g	Elect Director Shelley B. Leibowitz	For	For
1h	Elect Director Karl A. Roessner	For	For
1i	Elect Director Rebecca Saeger	For	For
1j	Elect Director Joseph L. Sclafani	For	For
1k	Elect Director Gary H. Stern	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1l	Elect Director Donna L. Weaver	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : **Host Hotels & Resorts, Inc.**
 Ticker : **HST**
 CUSIP No. : **44107P104**

Meeting Date : **5/11/2017**
 Meeting Type : **Annual**
 ISIN : **US44107P1049**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Mary L. Baglivo	For	For
1.2	Elect Director Sheila C. Bair	For	For
1.3	Elect Director Sandeep L. Mathrani	For	For
1.4	Elect Director Ann McLaughlin Korologos	For	For
1.5	Elect Director Richard E. Marriott	For	For
1.6	Elect Director John B. Morse, Jr.	For	For
1.7	Elect Director Walter C. Rakowich	For	For
1.8	Elect Director James F. Risoleo	For	For
1.9	Elect Director Gordon H. Smith	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : **Invesco Ltd.**
 Ticker : **IVZ**
 CUSIP No. : **G491BT108**

Meeting Date : **5/11/2017**
 Meeting Type : **Annual**
 ISIN : **BMG491BT1088**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Sarah E. Beshar	For	For
1.2	Elect Director Joseph R. Canion	For	For
1.3	Elect Director Martin L. Flanagan	For	For
1.4	Elect Director C. Robert Henrikson	For	For
1.5	Elect Director Ben F. Johnson, III	For	For
1.6	Elect Director Denis Kessler	For	For
1.7	Elect Director Nigel Sheinwald	For	For
1.8	Elect Director G. Richard Wagoner, Jr.	For	For
1.9	Elect Director Phoebe A. Wood	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Provide Proxy Access Right	For	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : The Western Union Company
 Ticker : WU
 CUSIP No. : 959802109

Meeting Date : 5/11/2017
 Meeting Type : Annual
 ISIN : US9598021098

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Martin I. Cole	For	For
1b	Elect Director Hikmet Ersek	For	For
1c	Elect Director Richard A. Goodman	For	For
1d	Elect Director Betsy D. Holden	For	For
1e	Elect Director Jeffrey A. Joerres	For	For
1f	Elect Director Roberto G. Mendoza	For	For
1g	Elect Director Michael A. Miles, Jr.	For	For
1h	Elect Director Robert W. Selander	For	For
1i	Elect Director Frances Fragos Townsend	For	For
1j	Elect Director Solomon D. Trujillo	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For
5	Report on Political Contributions	Against	For
6	Provide Right to Act by Written Consent	Against	For
7	Report on Company Non-Discrimination Policies in States with Pro-Discrimination Laws	Against	Against

Company : Camden Property Trust
 Ticker : CPT
 CUSIP No. : 133131102

Meeting Date : 5/12/2017
 Meeting Type : Annual
 ISIN : US1331311027

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Richard J. Campo	For	For
1.2	Elect Director Heather J. Brunner	For	For
1.3	Elect Director Scott S. Ingraham	For	For
1.4	Elect Director Renu Khator	For	For
1.5	Elect Director William B. McGuire, Jr.	For	For
1.6	Elect Director D. Keith Oden	For	For
1.7	Elect Director William F. Paulsen	For	For
1.8	Elect Director Frances Aldrich Sevilla-Sacasa	For	For
1.9	Elect Director Steven A. Webster	For	For
1.10	Elect Director Kelvin R. Westbrook	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : The Progressive Corporation
 Ticker : PGR
 CUSIP No. : 743315103

Meeting Date : 5/12/2017
 Meeting Type : Annual
 ISIN : US7433151039

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Stuart B. Burgdoerfer	For	For
1b	Elect Director Charles A. Davis	For	For
1c	Elect Director Roger N. Farah	For	For
1d	Elect Director Lawton W. Fitt	For	For
1e	Elect Director Susan Patricia Griffith	For	For
1f	Elect Director Jeffrey D. Kelly	For	For
1g	Elect Director Patrick H. Nettles	For	For
1h	Elect Director Glenn M. Renwick	For	For
1i	Elect Director Bradley T. Sheares	For	For
1j	Elect Director Barbara R. Snyder	For	For
2	Approve Executive Incentive Bonus Plan	For	For
3	Approve Non-Employee Director Omnibus Stock Plan	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
5	Advisory Vote on Say on Pay Frequency	One Year	One Year
6	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : WEX Inc.
 Ticker : WEX
 CUSIP No. : 96208T104

Meeting Date : 5/12/2017
 Meeting Type : Annual
 ISIN : US96208T1043

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Michael E. Dubyak	For	For
1.2	Elect Director Rowland T. Moriarty	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Markel Corporation
 Ticker : MKL
 CUSIP No. : 570535104

Meeting Date : 5/15/2017
 Meeting Type : Annual
 ISIN : US5705351048

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director J. Alfred Broaddus, Jr.	For	For
1.2	Elect Director K. Bruce Connell	For	For
1.3	Elect Director Douglas C. Eby	For	For
1.4	Elect Director Thomas S. Gayner	For	For
1.5	Elect Director Stewart M. Kasen	For	For
1.6	Elect Director Alan I. Kirshner	For	For
1.7	Elect Director Lemuel E. Lewis	For	For
1.8	Elect Director Anthony F. Markel	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1.9	Elect Director Steven A. Markel	For	For
1.10	Elect Director Darrell D. Martin	For	For
1.11	Elect Director Michael O'Reilly	For	For
1.12	Elect Director Michael J. Schewel	For	For
1.13	Elect Director Jay M. Weinberg	For	For
1.14	Elect Director Richard R. Whitt, III	For	For
1.15	Elect Director Debora J. Wilson	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify KPMG LLP as Auditors	For	For

Company : PacWest Bancorp

Ticker : PACW

CUSIP No. : 695263103

Meeting Date : 5/15/2017

Meeting Type : Annual

ISIN : US6952631033

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Tanya M. Acker	For	For
1.2	Elect Director Paul R. Burke	For	For
1.3	Elect Director Craig A. Carlson	For	For
1.4	Elect Director John M. Eggemeyer, III	For	For
1.5	Elect Director C. William Hosler	For	For
1.6	Elect Director Susan E. Lester	For	For
1.7	Elect Director Roger H. Molvar	For	For
1.8	Elect Director James J. Pieczynski	For	For
1.9	Elect Director Daniel B. Platt	For	For
1.10	Elect Director Robert A. Stine	For	For
1.11	Elect Director Matthew P. Wagner	For	For
2	Approve Omnibus Stock Plan	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Ratify KPMG LLP as Auditors	For	For
6	Adjourn Meeting	For	For
7	Other Business	For	Against

Company : Arthur J. Gallagher & Co.

Ticker : AJG

CUSIP No. : 363576109

Meeting Date : 5/16/2017

Meeting Type : Annual

ISIN : US3635761097

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Sherry S. Barrat	For	For
1b	Elect Director William L. Bax	For	For
1c	Elect Director D. John Coldman	For	For
1d	Elect Director Frank E. English, Jr.	For	For
1e	Elect Director J. Patrick Gallagher, Jr.	For	For
1f	Elect Director Elbert O. Hand	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1g	Elect Director David S. Johnson	For	For
1h	Elect Director Kay W. McCurdy	For	For
1i	Elect Director Ralph J. Nicoletti	For	For
1j	Elect Director Norman L. Rosenthal	For	For
2	Approve Omnibus Stock Plan	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
5	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : BankUnited, Inc.

Ticker : BKU

CUSIP No. : 06652K103

Meeting Date : 5/16/2017

Meeting Type : Annual

ISIN : US06652K1034

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John A. Kanas	For	For
1.2	Elect Director Rajinder P. Singh	For	For
1.3	Elect Director Tere Blanca	For	For
1.4	Elect Director Eugene F. DeMark	For	For
1.5	Elect Director Michael J. Dowling	For	For
1.6	Elect Director Douglas J. Pauls	For	For
1.7	Elect Director A. Gail Prudenti	For	For
1.8	Elect Director Sanjiv Sobti	For	For
1.9	Elect Director A. Robert Towbin	For	For
1.10	Elect Director Lynne Wines	For	For
2	Ratify KPMG LLP as Auditors	For	For

Company : Essex Property Trust, Inc.

Ticker : ESS

CUSIP No. : 297178105

Meeting Date : 5/16/2017

Meeting Type : Annual

ISIN : US2971781057

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Keith R. Guericke	For	For
1.2	Elect Director Irving F. Lyons, III	For	Withhold
1.3	Elect Director George M. Marcus	For	For
1.4	Elect Director Gary P. Martin	For	Withhold
1.5	Elect Director Issie N. Rabinovitch	For	For
1.6	Elect Director Thomas E. Robinson	For	For
1.7	Elect Director Michael J. Schall	For	For
1.8	Elect Director Byron A. Scordelis	For	Withhold
1.9	Elect Director Janice L. Sears	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : JPMorgan Chase & Co.
 Ticker : JPM
 CUSIP No. : 46625H100

Meeting Date : 5/16/2017
 Meeting Type : Annual
 ISIN : US46625H1005

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Linda B. Bammann	For	For
1b	Elect Director James A. Bell	For	For
1c	Elect Director Crandall C. Bowles	For	For
1d	Elect Director Stephen B. Burke	For	For
1e	Elect Director Todd A. Combs	For	For
1f	Elect Director James S. Crown	For	For
1g	Elect Director James Dimon	For	For
1h	Elect Director Timothy P. Flynn	For	For
1i	Elect Director Laban P. Jackson, Jr.	For	For
1j	Elect Director Michael A. Neal	For	For
1k	Elect Director Lee R. Raymond	For	For
1l	Elect Director William C. Weldon	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Require Independent Board Chairman	Against	For
6	Prohibit Accelerated Vesting of Awards to Pursue Government Service	Against	For
7	Clawback Amendment	Against	Against
8	Report on Gender Pay Gap	Against	Against
9	Provide Vote Counting to Exclude Abstentions	Against	Against
10	Reduce Ownership Threshold for Shareholders to Call a Special Meeting	Against	For

Company : Principal Financial Group, Inc.
 Ticker : PFG
 CUSIP No. : 74251V102

Meeting Date : 5/16/2017
 Meeting Type : Annual
 ISIN : US74251V1026

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Betsy J. Bernard	For	For
1.2	Elect Director Jocelyn Carter-Miller	For	For
1.3	Elect Director Dennis H. Ferro	For	For
1.4	Elect Director Scott M. Mills	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young, LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : The Charles Schwab Corporation
 Ticker : SCHW
 CUSIP No. : 808513105

Meeting Date : 5/16/2017
 Meeting Type : Annual
 ISIN : US8085131055

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director William S. Haraf	For	For
1b	Elect Director Frank C. Herringer	For	For
1c	Elect Director Stephen T. McLin	For	For
1d	Elect Director Roger O. Walther	For	For
1e	Elect Director Robert N. Wilson	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
5	Report on Lobbying Payments and Policy Prepare Employment Diversity Report and Report on Diversity	Against	For
6	Policies	Against	For
7	Adopt Proxy Access Right	Against	For
8	Provide Vote Counting to Exclude Abstentions	Against	Against

Company : The Hanover Insurance Group, Inc.
 Ticker : THG
 CUSIP No. : 410867105

Meeting Date : 5/16/2017
 Meeting Type : Annual
 ISIN : US4108671052

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Michael P. Angelini	For	For
1.2	Elect Director Jane D. Carlin	For	For
1.3	Elect Director Daniel T. Henry	For	For
1.4	Elect Director Wendell J. Knox	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify PricewaterhouseCoopers as Auditors	For	For

Company : W. R. Berkley Corporation
 Ticker : WRB
 CUSIP No. : 084423102

Meeting Date : 5/16/2017
 Meeting Type : Annual
 ISIN : US0844231029

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Maria Luisa Ferre	For	For
1B	Elect Director Jack H. Nusbaum	For	Against
1C	Elect Director Mark L. Shapiro	For	Against
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify KPMG LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : Everest Re Group, Ltd.
 Ticker : RE
 CUSIP No. : G3223R108

Meeting Date : 5/17/2017
 Meeting Type : Annual
 ISIN : BMG3223R1088

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Dominic J. Adesso	For	For
1.2	Elect Director John J. Amore	For	For
1.3	Elect Director William F. Galtney, Jr.	For	For
1.4	Elect Director John A. Graf	For	For
1.5	Elect Director Geraldine Losquadro	For	For
1.6	Elect Director Roger M. Singer	For	For
1.7	Elect Director Joseph V. Taranto	For	For
1.8	Elect Director John A. Weber	For	For
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : GGP Inc.
 Ticker : GGP
 CUSIP No. : 36174X101

Meeting Date : 5/17/2017
 Meeting Type : Annual
 ISIN : US36174X1019

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Richard B. Clark	For	For
1b	Elect Director Mary Lou Fiala	For	For
1c	Elect Director J. Bruce Flatt	For	For
1d	Elect Director Janice R. Fukakusa	For	For
1e	Elect Director John K. Haley	For	For
1f	Elect Director Daniel B. Hurwitz	For	For
1g	Elect Director Brian W. Kingston	For	For
1h	Elect Director Christina M. Lofgren	For	For
1i	Elect Director Sandeep Mathrani	For	For
2	Advisory Vote on Say on Pay Frequency	One Year	One Year
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Ratify Deloitte & Touche LLP as Auditors	For	For
5	Provide Directors May Be Removed With or Without Cause	For	For
6	Adopt Proxy Access Right	Against	For

Company : LPL Financial Holdings Inc.
 Ticker : LPLA
 CUSIP No. : 50212V100

Meeting Date : 5/17/2017
 Meeting Type : Annual
 ISIN : US50212V1008

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Dan H. Arnold	For	For

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1.2	Elect Director Viet D. Dinh	For	For
1.3	Elect Director H. Paulett Eberhart	For	For
1.4	Elect Director William F. Glavin Jr.	For	For
1.5	Elect Director Marco (Mick) W. Hellman	For	For
1.6	Elect Director Anne M. Mulcahy	For	For
1.7	Elect Director James S. Putnam	For	For
1.8	Elect Director James S. Riepe	For	For
1.9	Elect Director Richard P. Schifter	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : RenaissanceRe Holdings Ltd.

Ticker : RNR

CUSIP No. : G7496G103

Meeting Date : 5/17/2017

Meeting Type : Annual

ISIN : BMG7496G1033

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director David C. Bushnell	For	For
1b	Elect Director James L. Gibbons	For	For
1c	Elect Director Jean D. Hamilton	For	For
1d	Elect Director Anthony M. Santomero	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Approve Ernst & Young Ltd. as Auditors and Authorize Board to Fix Their Remuneration	For	For

Company : State Street Corporation

Ticker : STT

CUSIP No. : 857477103

Meeting Date : 5/17/2017

Meeting Type : Annual

ISIN : US8574771031

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Kennett F. Burnes	For	For
1b	Elect Director Patrick de Saint-Aignan	For	For
1c	Elect Director Lynn A. Dugle	For	For
1d	Elect Director Amelia C. Fawcett	For	For
1e	Elect Director William C. Freda	For	For
1f	Elect Director Linda A. Hill	For	For
1g	Elect Director Joseph L. Hooley	For	For
1h	Elect Director Sean O'Sullivan	For	For
1i	Elect Director Richard P. Sergel	For	For
1j	Elect Director Gregory L. Summe	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Approve Omnibus Stock Plan	For	For

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5 Ratify Ernst & Young LLP as Auditors For For

Company : The Hartford Financial Services Group, Inc.
Ticker : HIG
CUSIP No. : 416515104

Meeting Date : 5/17/2017
Meeting Type : Annual
ISIN : US4165151048

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Robert B. Allardice, III	For	For
1b	Elect Director Trevor Fetter	For	For
1c	Elect Director Kathryn A. Mikells	For	For
1d	Elect Director Michael G. Morris	For	For
1e	Elect Director Thomas A. Renyi	For	For
1f	Elect Director Julie G. Richardson	For	For
1g	Elect Director Teresa Wynn Roseborough	For	For
1h	Elect Director Virginia P. Ruesterholz	For	For
1i	Elect Director Charles B. Strauss	For	For
1j	Elect Director Christopher J. Swift	For	For
1k	Elect Director H. Patrick Swygert	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Two Harbors Investment Corp.
Ticker : TWO
CUSIP No. : 90187B101

Meeting Date : 5/17/2017
Meeting Type : Annual
ISIN : US90187B1017

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director E. Spencer Abraham	For	For
1B	Elect Director James J. Bender	For	For
1C	Elect Director Stephen G. Kasnet	For	For
1D	Elect Director Lisa A. Pollina	For	For
1E	Elect Director William Roth	For	For
1F	Elect Director W. Reid Sanders	For	For
1G	Elect Director Thomas E. Siering	For	For
1H	Elect Director Brian C. Taylor	For	For
1I	Elect Director Hope B. Woodhouse	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For

Company : AmTrust Financial Services, Inc.
Ticker : AFSI
CUSIP No. : 032359309

Meeting Date : 5/18/2017
Meeting Type : Annual
ISIN : US0323593097

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Donald T. DeCarlo	For	For

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1.2	Elect Director Susan C. Fisch	For	For
1.3	Elect Director Abraham Gulkowitz	For	For
1.4	Elect Director George Karfunkel	For	For
1.5	Elect Director Leah Karfunkel	For	For
1.6	Elect Director Raul Rivera	For	For
1.7	Elect Director Barry D. Zyskind	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	Three Years	One Year
4	Require a Majority Vote for the Election of Directors	For	For

Company : **Apple Hospitality REIT, Inc.**

Ticker : **APLE**

CUSIP No. : **03784Y200**

Meeting Date : **5/18/2017**

Meeting Type : **Annual**

ISIN : **US03784Y2000**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Glenn W. Bunting	For	For
1.2	Elect Director Glade M. Knight	For	For
1.3	Elect Director Daryl A. Nickel	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For
5A	Declassify the Board of Directors	For	For
	Amend Charter to Require a Majority Vote for All Charter		
5B	Amendments	For	For
5C	Eliminate Supermajority Vote Requirement	For	For
	Amend Charter to Eliminate Provisions that are No Longer		
5D	Applicable	For	For

Company : **AvalonBay Communities, Inc.**

Ticker : **AVB**

CUSIP No. : **053484101**

Meeting Date : **5/18/2017**

Meeting Type : **Annual**

ISIN : **US0534841012**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Glyn F. Aeppel	For	Against
1b	Elect Director Terry S. Brown	For	For
1c	Elect Director Alan B. Buckelew	For	For
1d	Elect Director Ronald L. Havner, Jr.	For	Against
1e	Elect Director Richard J. Lieb	For	For
1f	Elect Director Timothy J. Naughton	For	For
1g	Elect Director Peter S. Rummell	For	Against
1h	Elect Director H. Jay Sarles	For	Against
1i	Elect Director Susan Swanezy	For	For
1j	Elect Director W. Edward Walter	For	Against
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Amend Omnibus Stock Plan	For	For

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4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
5	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Care Capital Properties, Inc.

Ticker : CCP

CUSIP No. : 141624106

Meeting Date : 5/18/2017

Meeting Type : Annual

ISIN : US1416241065

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Douglas Crocker, II	For	For
1B	Elect Director John S. Gates, Jr.	For	Against
1C	Elect Director Ronald G. Geary	For	For
1D	Elect Director Raymond J. Lewis	For	For
1E	Elect Director Jeffrey A. Malehorn	For	For
1F	Elect Director Dale Anne Reiss	For	Against
1G	Elect Director John L. Workman	For	Against
2	Ratify KPMG LLP as Auditors	For	For

Company : CBOE Holdings, Inc.

Ticker : CBOE

CUSIP No. : 12503M108

Meeting Date : 5/18/2017

Meeting Type : Annual

ISIN : US12503M1080

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Edward T. Tilly	For	For
1.2	Elect Director James R. Boris	For	For
1.3	Elect Director Frank E. English, Jr.	For	For
1.4	Elect Director William M. Farrow, III	For	For
1.5	Elect Director Edward J. Fitzpatrick	For	For
1.6	Elect Director Janet P. Froetscher	For	For
1.7	Elect Director Jill R. Goodman	For	For
1.8	Elect Director Christopher T. Mitchell	For	For
1.9	Elect Director Roderick A. Palmore	For	For
1.10	Elect Director Joseph P. Ratterman	For	For
1.11	Elect Director Michael L. Richter	For	For
1.12	Elect Director Samuel K. Skinner	For	For
1.13	Elect Director Carole E. Stone	For	For
1.14	Elect Director Eugene S. Sunshine	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : Chubb Limited
 Ticker : CB
 CUSIP No. : H0023R105

Meeting Date : 5/18/2017
 Meeting Type : Annual
 ISIN : CH0044328745

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Accept Financial Statements and Statutory Reports	For	For
2.1	Allocate Disposable Profit	For	For
2.2	Approve Dividend Distribution From Legal Reserves Through Capital Contributions Reserve Subaccount	For	For
3	Approve Discharge of Board and Senior Management	For	For
4.1	Ratify PricewaterhouseCoopers AG (Zurich) as Auditors	For	For
4.2	Ratify PricewaterhouseCoopers LLP (United States) as Independent Registered Accounting Firm as Auditors	For	For
4.3	Ratify BDO AG (Zurich) as Special Auditors	For	For
5.1	Elect Director Evan G. Greenberg	For	For
5.2	Elect Director Robert M. Hernandez	For	For
5.3	Elect Director Michael G. Atieh	For	For
5.4	Elect Director Sheila P. Burke	For	For
5.5	Elect Director James I. Cash	For	For
5.6	Elect Director Mary Cirillo	For	For
5.7	Elect Director Michael P. Connors	For	For
5.8	Elect Director John A. Edwardson	For	For
5.9	Elect Director Leo F. Mullin	For	For
5.10	Elect Director Kimberly A. Ross	For	For
5.11	Elect Director Robert W. Scully	For	For
5.12	Elect Director Eugene B. Shanks, Jr.	For	For
5.13	Elect Director Theodore E. Shasta	For	For
5.14	Elect Director David H. Sidwell	For	For
5.15	Elect Director Olivier Steimer	For	For
5.16	Elect Director James M. Zimmerman	For	For
6	Elect Evan G. Greenberg as Board Chairman	For	Against
7.1	Appoint Michael P. Connors as Member of the Compensation Committee	For	For
7.2	Appoint Mary Cirillo as Member of the Compensation Committee	For	For
7.3	Appoint Robert M. Hernandez as Member of the Compensation Committee	For	For
7.4	Appoint Robert W. Scully as Member of the Compensation Committee	For	For
7.5	Appoint James M. Zimmerman as Member of the Compensation Committee	For	For
8	Designate Homburger AG as Independent Proxy	For	For
9	Approve Qualified Employee Stock Purchase Plan	For	For
10.1	Approve the Increase in Maximum Aggregate Remuneration of Directors	For	For
10.2	Approve Remuneration of Executive Management in the Amount of USD 41 Million for Fiscal 2018	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

11	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
12	Advisory Vote on Say on Pay Frequency	One Year	One Year
13	Transact Other Business (Voting)	For	Against

Company : KeyCorp
 Ticker : KEY
 CUSIP No. : 493267108

Meeting Date : 5/18/2017
 Meeting Type : Annual
 ISIN : US4932671088

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Austin A. Adams	For	For
1.2	Elect Director Bruce D. Broussard	For	For
1.3	Elect Director Charles P. Cooley	For	For
1.4	Elect Director Gary M. Crosby	For	For
1.5	Elect Director Alexander M. Cutler	For	For
1.6	Elect Director H. James Dallas	For	For
1.7	Elect Director Elizabeth R. Gile	For	For
1.8	Elect Director Ruth Ann M. Gillis	For	For
1.9	Elect Director William G. Gisel, Jr.	For	For
1.10	Elect Director Carlton L. Highsmith	For	For
1.11	Elect Director Richard J. Hipple	For	For
1.12	Elect Director Kristen L. Manos	For	For
1.13	Elect Director Beth E. Mooney	For	For
1.14	Elect Director Demos Parneros	For	For
1.15	Elect Director Barbara R. Snyder	For	For
1.16	Elect Director David K. Wilson	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Require Independent Board Chairman	Against	Against

Company : Liberty Property Trust
 Ticker : LPT
 CUSIP No. : 531172104

Meeting Date : 5/18/2017
 Meeting Type : Annual
 ISIN : US5311721048

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Frederick F. Buchholz	For	For
1.2	Elect Director Thomas C. DeLoach, Jr.	For	For
1.3	Elect Director Katherine Elizabeth Dietze	For	For
1.4	Elect Director Antonio F. Fernandez	For	For
1.5	Elect Director Daniel P. Garton	For	For
1.6	Elect Director William P. Hankowsky	For	For
1.7	Elect Director M. Leanne Lachman	For	For
1.8	Elect Director David L. Lingerfelt	For	For
1.9	Elect Director Fredric J. Tomczyk	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For

Company : Life Storage, Inc.

Ticker : LSI

CUSIP No. : 53223X107

Meeting Date : 5/18/2017

Meeting Type : Annual

ISIN : US53223X1072

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Robert J. Attea	For	For
1.2	Elect Director Kenneth F. Myszka	For	For
1.3	Elect Director Charles E. Lannon	For	For
1.4	Elect Director Stephen R. Rusmisel	For	For
1.5	Elect Director Arthur L. Havener, Jr.	For	For
1.6	Elect Director Mark G. Barberio	For	For
2	Amend Bylaws	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
5	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Marsh & McLennan Companies, Inc.

Ticker : MMC

CUSIP No. : 571748102

Meeting Date : 5/18/2017

Meeting Type : Annual

ISIN : US5717481023

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Anthony K. Anderson	For	For
1b	Elect Director Oscar Fanjul	For	For
1c	Elect Director Daniel S. Glaser	For	For
1d	Elect Director H. Edward Hanway	For	For
1e	Elect Director Deborah C. Hopkins	For	For
1f	Elect Director Elaine La Roche	For	For
1g	Elect Director Steven A. Mills	For	For
1h	Elect Director Bruce P. Nolop	For	For
1i	Elect Director Marc D. Oken	For	For
1j	Elect Director Morton O. Schapiro	For	For
1k	Elect Director Lloyd M. Yates	For	For
1l	Elect Director R. David Yost	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For
5	Adopt Holy Land Principles	Against	Against

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : Piedmont Office Realty Trust, Inc.
 Ticker : PDM
 CUSIP No. : 720190206

Meeting Date : 5/18/2017
 Meeting Type : Annual
 ISIN : US7201902068

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Kelly H. Barrett	For	For
1.2	Elect Director Wesley E. Cantrell	For	For
1.3	Elect Director Barbara B. Lang	For	For
1.4	Elect Director Frank C. McDowell	For	For
1.5	Elect Director Donald A. Miller	For	For
1.6	Elect Director Raymond G. Milnes, Jr.	For	For
1.7	Elect Director Jeffrey L. Swope	For	For
1.8	Elect Director Dale H. Taysom	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Omnibus Stock Plan	For	For

Company : Rayonier Inc.
 Ticker : RYN
 CUSIP No. : 754907103

Meeting Date : 5/18/2017
 Meeting Type : Annual
 ISIN : US7549071030

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Richard D. Kincaid	For	For
1b	Elect Director John A. Blumberg	For	For
1c	Elect Director Dod A. Fraser	For	For
1d	Elect Director Scott R. Jones	For	For
1e	Elect Director Bernard Lanigan, Jr.	For	For
1f	Elect Director Blanche L. Lincoln	For	For
1g	Elect Director V. Larkin Martin	For	For
1h	Elect Director David L. Nunes	For	For
1i	Elect Director Andrew G. Wiltshire	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Amend Executive Incentive Bonus Plan	For	For
5	Amend Omnibus Stock Plan	For	For
6	Amend Omnibus Stock Plan	For	For
7	Ratify Ernst & Young LLP as Auditors	For	For

Company : Synchrony Financial
 Ticker : SYF
 CUSIP No. : 87165B103

Meeting Date : 5/18/2017
 Meeting Type : Annual
 ISIN : US87165B1035

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Margaret M. Keane	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1b	Elect Director Paget L. Alves	For	For
1c	Elect Director Arthur W. Coviello, Jr.	For	For
1d	Elect Director William W. Graylin	For	For
1e	Elect Director Roy A. Guthrie	For	For
1f	Elect Director Richard C. Hartnack	For	For
1g	Elect Director Jeffrey G. Naylor	For	For
1h	Elect Director Laurel J. Richie	For	For
1i	Elect Director Olympia J. Snowe	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Amend Omnibus Stock Plan	For	For
4	Ratify KPMG LLP as Auditors	For	For

Company : The Howard Hughes Corporation

Ticker : HHC

CUSIP No. : 44267D107

Meeting Date : 5/18/2017

Meeting Type : Annual

ISIN : US44267D1072

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director William A. Ackman	For	For
1.2	Elect Director Adam Flatto	For	For
1.3	Elect Director Jeffrey Furber	For	For
1.4	Elect Director Allen Model	For	For
1.5	Elect Director R. Scot Sellers	For	For
1.6	Elect Director Steven Shepsman	For	For
1.7	Elect Director Burton M. Tansky	For	For
1.8	Elect Director Mary Ann Tighe	For	For
1.9	Elect Director David R. Weinreb	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Amend Omnibus Stock Plan	For	For
5	Approve Issuance of Warrants	For	For
6	Ratify Ernst & Young LLP as Auditors	For	For

Company : The Travelers Companies, Inc.

Ticker : TRV

CUSIP No. : 89417E109

Meeting Date : 5/18/2017

Meeting Type : Annual

ISIN : US89417E1091

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Alan L. Beller	For	For
1b	Elect Director John H. Dasburg	For	For
1c	Elect Director Janet M. Dolan	For	For
1d	Elect Director Kenneth M. Duberstein	For	For
1e	Elect Director Patricia L. Higgins	For	For
1f	Elect Director William J. Kane	For	For
1g	Elect Director Cleve L. Killingsworth, Jr.	For	For
1h	Elect Director Philip T. (Pete) Ruegger, III	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1i	Elect Director Todd C. Schermerhorn	For	For
1j	Elect Director Alan D. Schnitzer	For	For
1k	Elect Director Donald J. Shepard	For	For
1l	Elect Director Laurie J. Thomsen	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
5	Amend Omnibus Stock Plan	For	For
6	Report on Lobbying Payments and Policy	Against	For
7	Report on Gender Pay Gap	Against	Against
8	Prepare Employment Diversity Report and Report on Diversity Policies	Against	For

Company : CBRE Group, Inc.

Ticker : CBG

CUSIP No. : 12504L109

Meeting Date : 5/19/2017

Meeting Type : Annual

ISIN : US12504L1098

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Brandon B. Boze	For	Against
1b	Elect Director Beth F. Cobert	For	For
1c	Elect Director Curtis F. Feeny	For	Against
1d	Elect Director Bradford M. Freeman	For	Against
1e	Elect Director Christopher T. Jenny	For	Against
1f	Elect Director Gerardo I. Lopez	For	Against
1g	Elect Director Frederic V. Malek	For	For
1h	Elect Director Paula R. Reynolds	For	For
1i	Elect Director Robert E. Sulentic	For	For
1j	Elect Director Laura D. Tyson	For	For
1k	Elect Director Ray Wirta	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Approve Omnibus Stock Plan	For	For

Company : Intercontinental Exchange, Inc.

Ticker : ICE

CUSIP No. : 45866F104

Meeting Date : 5/19/2017

Meeting Type : Annual

ISIN : US45866F1049

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Ann M. Cairns	For	For
1b	Elect Director Charles R. Crisp	For	For
1c	Elect Director Duriya M. Farooqui	For	For
1d	Elect Director Jean-Marc Forneri	For	For
1e	Elect Director The Right Hon. the Lord Hague of Richmond	For	For
1f	Elect Director Fred W. Hatfield	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1g	Elect Director Thomas E. Noonan	For	For
1h	Elect Director Frederic V. Salerno	For	For
1i	Elect Director Jeffrey C. Sprecher	For	For
1j	Elect Director Judith A. Sprieser	For	For
1k	Elect Director Vincent Tese	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Approve Omnibus Stock Plan	For	For
5	Amend Non-Employee Director Omnibus Stock Plan	For	For
6	Amend Articles	For	For
7	Amend Bylaws	For	For
8	Ratify Ernst & Young LLP as Auditors	For	For
9	Report on Assessing Environmental, Social and Governance Market Disclosure	Against	Against

Company : **Tanger Factory Outlet Centers, Inc.**

Ticker : **SKT**

CUSIP No. : **875465106**

Meeting Date : **5/19/2017**

Meeting Type : **Annual**

ISIN : **US8754651060**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director William G. Benton	For	For
1.2	Elect Director Jeffrey B. Citrin	For	For
1.3	Elect Director David B. Henry	For	For
1.4	Elect Director Thomas J. Reddin	For	For
1.5	Elect Director Thomas E. Robinson	For	For
1.6	Elect Director Bridget M. Ryan-Berman	For	For
1.7	Elect Director Allan L. Schuman	For	For
1.8	Elect Director Steven B. Tanger	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : **XL Group Ltd**

Ticker : **XL**

CUSIP No. : **G98255105**

Meeting Date : **5/19/2017**

Meeting Type : **Annual**

ISIN : **BMG982941046**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Ramani Ayer	For	For
1.2	Elect Director Dale R. Comey	For	For
1.3	Elect Director Claus-Michael Dill	For	For
1.4	Elect Director Robert R. Glauber	For	For
1.5	Elect Director Edward J. Kelly, III	For	For
1.6	Elect Director Joseph Mauriello	For	For
1.7	Elect Director Michael S. McGavick	For	For
1.8	Elect Director Eugene M. McQuade	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1.9	Elect Director Clayton S. Rose	For	For
1.10	Elect Director Anne Stevens	For	For
1.11	Elect Director John M. Vereker	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Approve PricewaterhouseCoopers as Auditors and Authorize Board to Fix Their Remuneration	For	For

Company : Morgan Stanley

Ticker : MS

CUSIP No. : 617446448

Meeting Date : 5/22/2017

Meeting Type : Annual

ISIN : US6174464486

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Erskine B. Bowles	For	For
1b	Elect Director Alistair Darling	For	For
1c	Elect Director Thomas H. Glocer	For	For
1d	Elect Director James P. Gorman	For	For
1e	Elect Director Robert H. Herz	For	For
1f	Elect Director Nobuyuki Hirano	For	For
1g	Elect Director Klaus Kleinfeld	For	For
1h	Elect Director Jami Miscik	For	For
1i	Elect Director Dennis M. Nally	For	For
1j	Elect Director Hutham S. Olayan	For	For
1k	Elect Director James W. Owens	For	For
1l	Elect Director Ryosuke Tamakoshi	For	For
1m	Elect Director Perry M. Traquina	For	For
1n	Elect Director Rayford Wilkins, Jr.	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Omnibus Stock Plan	For	Against
6	Amend Non-Employee Director Omnibus Stock Plan	For	For
7	Provide Vote Counting to Exclude Abstentions	Against	Against
8	Prohibit Accelerated Vesting of Awards to Pursue Government Service	Against	For

Company : American Financial Group, Inc.

Ticker : AFG

CUSIP No. : 025932104

Meeting Date : 5/23/2017

Meeting Type : Annual

ISIN : US0259321042

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Carl H. Lindner, III	For	For
1.2	Elect Director S. Craig Lindner	For	For
1.3	Elect Director Kenneth C. Ambrecht	For	For
1.4	Elect Director John B. Berding	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1.5	Elect Director Joseph E. (Jeff) Consolino	For	For
1.6	Elect Director Virginia 'Gina' C. Drosos	For	For
1.7	Elect Director James E. Evans	For	For
1.8	Elect Director Terry S. Jacobs	For	For
1.9	Elect Director Gregory G. Joseph	For	For
1.10	Elect Director William W. Verity	For	For
1.11	Elect Director John I. Von Lehman	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : East West Bancorp, Inc.

Ticker : EWBC

CUSIP No. : 27579R104

Meeting Date : 5/23/2017

Meeting Type : Annual

ISIN : US27579R1041

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Molly Campbell	For	For
1.2	Elect Director Iris S. Chan	For	For
1.3	Elect Director Rudolph I. Estrada	For	For
1.4	Elect Director Paul H. Irving	For	For
1.5	Elect Director Herman Y. Li	For	For
1.6	Elect Director Jack C. Liu	For	For
1.7	Elect Director Dominic Ng	For	For
1.8	Elect Director Keith W. Renken	For	For
1.9	Elect Director Lester M. Sussman	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Amend Executive Incentive Bonus Plan	For	For
5	Ratify KPMG LLP as Auditors	For	For

Company : Euronet Worldwide, Inc.

Ticker : EEFT

CUSIP No. : 298736109

Meeting Date : 5/23/2017

Meeting Type : Annual

ISIN : US2987361092

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Andrzej Olechowski	For	For
1.2	Elect Director Eriberto R. Scocimara	For	For
1.3	Elect Director Mark R. Callegari	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : Kilroy Realty Corporation
Ticker : KRC
CUSIP No. : 49427F108

Meeting Date : 5/23/2017
Meeting Type : Annual
ISIN : US49427F1084

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John Kilroy	For	For
1b	Elect Director Edward Brennan	For	For
1c	Elect Director Jolie Hunt	For	For
1d	Elect Director Scott Ingraham	For	For
1e	Elect Director Gary Stevenson	For	For
1f	Elect Director Peter Stoneberg	For	For
2	Amend Omnibus Stock Plan	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Reinsurance Group of America, Incorporated
Ticker : RGA
CUSIP No. : 759351604

Meeting Date : 5/23/2017
Meeting Type : Annual
ISIN : US7593516047

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Patricia L. Guinn	For	For
1B	Elect Director Arnoud W.A. Boot	For	For
1C	Elect Director John F. Danahy	For	For
1D	Elect Director J. Cliff Eason	For	Against
1E	Elect Director Anna Manning	For	For
2	Advisory Vote on Say on Pay Frequency	One Year	One Year
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Amend Omnibus Stock Plan	For	For
5	Amend Non-Employee Director Omnibus Stock Plan	For	For
6	Amend Non-Employee Director Stock Awards in Lieu of Cash	For	For
7	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : CME Group Inc.
Ticker : CME
CUSIP No. : 12572Q105

Meeting Date : 5/24/2017
Meeting Type : Annual
ISIN : US12572Q1058

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Terrence A. Duffy	For	For
1b	Elect Director Timothy S. Bitsberger	For	For
1c	Elect Director Charles P. Carey	For	For
1d	Elect Director Dennis H. Chookaszian	For	For
1e	Elect Director Ana Dutra	For	For
1f	Elect Director Martin J. Gepsman	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1g	Elect Director Larry G. Gerdes	For	For
1h	Elect Director Daniel R. Glickman	For	For
1i	Elect Director Leo Melamed	For	For
1j	Elect Director Alex J. Pollock	For	For
1k	Elect Director John F. Sandner	For	For
1l	Elect Director Terry L. Savage	For	For
1m	Elect Director William R. Shepard	For	For
1n	Elect Director Dennis A. Suskind	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Executive Incentive Bonus Plan	For	For
6	Amend Omnibus Stock Plan	For	For

Company : **Fiserv, Inc.**
 Ticker : **FISV**
 CUSIP No. : **337738108**

Meeting Date : **5/24/2017**
 Meeting Type : **Annual**
 ISIN : **US3377381088**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Alison Davis	For	For
1.2	Elect Director John Y. Kim	For	For
1.3	Elect Director Dennis F. Lynch	For	For
1.4	Elect Director Denis J. O'Leary	For	For
1.5	Elect Director Glenn M. Renwick	For	For
1.6	Elect Director Kim M. Robak	For	For
1.7	Elect Director JD Sherman	For	For
1.8	Elect Director Doyle R. Simons	For	For
1.9	Elect Director Jeffery W. Yabuki	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For
5	Amend Proxy Access Right	Against	For

Company : **MFA Financial, Inc.**
 Ticker : **MFA**
 CUSIP No. : **55272X102**

Meeting Date : **5/24/2017**
 Meeting Type : **Annual**
 ISIN : **US55272X1028**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Stephen R. Blank	For	For
1b	Elect Director Laurie Goodman	For	For
1c	Elect Director William S. Gorin	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : PayPal Holdings, Inc.

Ticker : PYPL

CUSIP No. : 70450Y103

Meeting Date : 5/24/2017

Meeting Type : Annual

ISIN : US70450Y1038

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Wences Casares	For	For
1b	Elect Director Jonathan Christodoro	For	For
1c	Elect Director John J. Donahoe	For	For
1d	Elect Director David W. Dorman	For	For
1e	Elect Director Belinda J. Johnson	For	For
1f	Elect Director Gail J. McGovern	For	For
1g	Elect Director David M. Moffett	For	For
1h	Elect Director Daniel H. Schulman	For	For
1i	Elect Director Frank D. Yeary	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Amend Certificate of Incorporation	For	For
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
5	Provide Right to Act by Written Consent	Against	For
6	Report on Sustainability	Against	For
7	Report on Feasibility of Net-Zero GHG Emissions	Against	For

Company : Annaly Capital Management, Inc.

Ticker : NLY

CUSIP No. : 035710409

Meeting Date : 5/25/2017

Meeting Type : Annual

ISIN : US0357104092

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Francine J. Bovich	For	For
1b	Elect Director Jonathan D. Green	For	For
1c	Elect Director John H. Schaefer	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For

Company : BlackRock, Inc.

Ticker : BLK

CUSIP No. : 09247X101

Meeting Date : 5/25/2017

Meeting Type : Annual

ISIN : US09247X1019

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Abdlatif Yousef Al-Hamad	For	For
1b	Elect Director Mathis Cabiallavetta	For	For
1c	Elect Director Pamela Daley	For	For
1d	Elect Director William S. Demchak	For	For
1e	Elect Director Jessica P. Einhorn	For	For
1f	Elect Director Laurence D. Fink	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1g	Elect Director Fabrizio Freda	For	For
1h	Elect Director Murry S. Gerber	For	For
1i	Elect Director James Grosfeld	For	For
1j	Elect Director Robert S. Kapito	For	For
1k	Elect Director Deryck Maughan	For	For
1l	Elect Director Cheryl D. Mills	For	For
1m	Elect Director Gordon M. Nixon	For	For
1n	Elect Director Charles H. Robbins	For	For
1o	Elect Director Ivan G. Seidenberg	For	For
1p	Elect Director Marco Antonio Slim Domit	For	For
1q	Elect Director John S. Varley	For	For
1r	Elect Director Susan L. Wagner	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For
5	Report on Proxy Voting and Executive Compensation	Against	Against
6	Report on Lobbying Payments and Policy	Against	For

Company : Lamar Advertising Company

Ticker : LAMR

CUSIP No. : 512816109

Meeting Date : 5/25/2017

Meeting Type : Annual

ISIN : US5128161099

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John Maxwell Hamilton	For	For
1.2	Elect Director John E. Koerner, III	For	For
1.3	Elect Director Stephen P. Mumblow	For	For
1.4	Elect Director Thomas V. Reifenheiser	For	For
1.5	Elect Director Anna Reilly	For	For
1.6	Elect Director Kevin P. Reilly, Jr.	For	For
1.7	Elect Director Wendell Reilly	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	Three Years	One Year
4	Ratify KPMG LLP as Auditors	For	For

Company : Leucadia National Corporation

Ticker : LUK

CUSIP No. : 527288104

Meeting Date : 5/25/2017

Meeting Type : Annual

ISIN : US5272881047

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Linda L. Adamany	For	For
1.2	Elect Director Robert D. Beyer	For	For
1.3	Elect Director Francisco L. Borges	For	For
1.4	Elect Director W. Patrick Campbell	For	For
1.5	Elect Director Brian P. Friedman	For	For
1.6	Elect Director Richard B. Handler	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1.7	Elect Director Robert E. Joyal	For	For
1.8	Elect Director Jeffrey C. Keil	For	For
1.9	Elect Director Michael T. O'Kane	For	For
1.10	Elect Director Stuart H. Reese	For	For
1.11	Elect Director Joseph S. Steinberg	For	Against
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : National Retail Properties, Inc.

Ticker : NNN

CUSIP No. : 637417106

Meeting Date : 5/25/2017

Meeting Type : Annual

ISIN : US6374171063

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Pamela K. M. Beall	For	For
1.2	Elect Director Steven D. Cosler	For	For
1.3	Elect Director Don DeFosset	For	For
1.4	Elect Director David M. Fick	For	For
1.5	Elect Director Edward J. Fritsch	For	For
1.6	Elect Director Kevin B. Habicht	For	For
1.7	Elect Director Robert C. Legler	For	For
1.8	Elect Director Sam L. Susser	For	For
1.9	Elect Director Julian E. Whitehurst	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Approve Omnibus Stock Plan	For	For
5	Ratify Ernst & Young LLP as Auditors	For	For

Company : Navient Corporation

Ticker : NAVI

CUSIP No. : 63938C108

Meeting Date : 5/25/2017

Meeting Type : Annual

ISIN : US63938C1080

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John K. Adams, Jr.	For	For
1b	Elect Director Anna Escobedo Cabral	For	For
1c	Elect Director William M. Diefenderfer, III	For	For
1d	Elect Director Diane Suitt Gilleland	For	For
1e	Elect Director Katherine A. Lehman	For	For
1f	Elect Director Linda A. Mills	For	For
1g	Elect Director John (Jack) F. Remondi	For	For
1h	Elect Director Jane J. Thompson	For	For
1i	Elect Director Laura S. Unger	For	For
1j	Elect Director Barry L. Williams	For	For
1k	Elect Director David L. Yowan	For	For
2	Ratify KPMG LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Amend Omnibus Stock Plan	For	For

Company : OneMain Holdings, Inc.

Ticker : OMF

CUSIP No. : 68268W103

Meeting Date : 5/25/2017

Meeting Type : Annual

ISIN : US68268W1036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Jay N. Levine	For	Withhold
1.2	Elect Director Roy A. Guthrie	For	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Retail Properties of America, Inc.

Ticker : RPAI

CUSIP No. : 76131V202

Meeting Date : 5/25/2017

Meeting Type : Annual

ISIN : US76131V2025

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Bonnie S. Biumi	For	For
1.2	Elect Director Frank A. Catalano, Jr.	For	For
1.3	Elect Director Paul R. Gauvreau	For	For
1.4	Elect Director Robert G. Gifford	For	For
1.5	Elect Director Gerald M. Gorski	For	For
1.6	Elect Director Steven P. Grimes	For	For
1.7	Elect Director Richard P. Imperiale	For	For
1.8	Elect Director Peter L. Lynch	For	For
1.9	Elect Director Thomas J. Sargeant	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Amend Bylaws	For	For
5	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : The Allstate Corporation

Ticker : ALL

CUSIP No. : 020002101

Meeting Date : 5/25/2017

Meeting Type : Annual

ISIN : US0200021014

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Kermit R. Crawford	For	For
1b	Elect Director Michael L. Eskew	For	For
1c	Elect Director Siddharth N. (Bobby) Mehta	For	For
1d	Elect Director Jacques P. Perold	For	For
1e	Elect Director Andrea Redmond	For	For
1f	Elect Director John W. Rowe	For	For
1g	Elect Director Judith A. Sprieser	For	For

Proxy Voting Results

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1h	Elect Director Mary Alice Taylor	For	For
1i	Elect Director Perry M. Traquina	For	For
1j	Elect Director Thomas J. Wilson	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Approve Non-Employee Director Omnibus Stock Plan	For	For
5	Ratify Deloitte & Touche LLP as Auditors	For	For
6	Require Independent Board Chairman	Against	Against
7	Lead Director Qualifications	Against	Against
8	Political Contributions Disclosure	Against	Against

Company : Unum Group

Ticker : UNM

CUSIP No. : 91529Y106

Meeting Date : 5/25/2017

Meeting Type : Annual

ISIN : US91529Y1064

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Theodore H. Bunting, Jr.	For	For
1.2	Elect Director E. Michael Caulfield	For	For
1.3	Elect Director Joseph J. Echevarria	For	For
1.4	Elect Director Cynthia L. Egan	For	For
1.5	Elect Director Pamela H. Godwin	For	For
1.6	Elect Director Kevin T. Kabat	For	For
1.7	Elect Director Timothy F. Keaney	For	For
1.8	Elect Director Gloria C. Larson	For	For
1.9	Elect Director Richard P. McKenney	For	For
1.10	Elect Director Ronald P. O'Hanley	For	For
1.11	Elect Director Francis J. Shammo	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For
5	Approve Omnibus Stock Plan	For	For

Company : White Mountains Insurance Group, Ltd.

Ticker : WTM

CUSIP No. : G9618E107

Meeting Date : 5/25/2017

Meeting Type : Annual

ISIN : BMG9618E1075

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Yves Brouillette	For	For
1.2	Elect Director G. Manning Rountree	For	For
2.1	Elect Director Kevin Pearson for HG Re Ltd.	For	For
2.2	Elect Director Jennifer Pitts for HG Re Ltd.	For	For
2.3	Elect Director Christine Repasy for HG Re Ltd.	For	For
2.4	Elect Director John Sinkus for HG Re Ltd.	For	For
3.1	Elect Director Reid T. Campbell for Any New Non-United States Operating Subsidiary of WTM	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

3.2	Elect Director Kevin Pearson for Any New Non-United States Operating Subsidiary of WTM	For	For
3.3	Elect Director Jennifer Pitts for Any New Non-United States Operating Subsidiary of WTM	For	For
3.4	Elect Director G. Manning Rountree for Any New Non-United States Operating Subsidiary of WTM	For	For
4.1	Elect Director Christopher Garrod for Split Rock Insurance, Ltd.	For	For
4.2	Elect Director Sarah Kolar for Split Rock Insurance, Ltd.	For	For
4.3	Elect Director Kevin Pearson for Split Rock Insurance, Ltd.	For	For
4.4	Elect Director John Treacy for Split Rock Insurance, Ltd.	For	For
5.1	Elect Director Sarah Kolar for Grand Marais Capital Limited	For	For
5.2	Elect Director Jonah Pfeffer for Grand Marais Capital Limited	For	For
5.3	Elect Director Davinia Smith for Grand Marais Capital Limited	For	For
6.1	Elect Director Sarah Kolar for Any New Non-United States Operating Subsidiary of OneBeacon	For	For
6.2	Elect Director Paul McDonough for Any New Non-United States Operating Subsidiary of OneBeacon	For	For
6.3	Elect Director Kevin Pearson for Any New Non-United States Operating Subsidiary of OneBeacon	For	For
6.4	Elect Director John Treacy for Any New Non-United States Operating Subsidiary of OneBeacon	For	For
7	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
8	Advisory Vote on Say on Pay Frequency	One Year	One Year
9	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Lincoln National Corporation

Ticker : LNC

CUSIP No. : 534187109

Meeting Date : 5/26/2017

Meeting Type : Annual

ISIN : US5341871094

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Deirdre P. Connelly	For	For
1.2	Elect Director William H. Cunningham	For	For
1.3	Elect Director Dennis R. Glass	For	For
1.4	Elect Director George W. Henderson, III	For	For
1.5	Elect Director Eric G. Johnson	For	For
1.6	Elect Director Gary C. Kelly	For	For
1.7	Elect Director M. Leanne Lachman	For	For
1.8	Elect Director Michael F. Mee	For	For
1.9	Elect Director Patrick S. Pittard	For	For
1.10	Elect Director Isaiah Tidwell	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5a	Eliminate Supermajority Vote Requirement for Existing Preferred Stock and Bylaw Amendments	For	For
5b	Eliminate Supermajority Vote Requirement to Remove Directors	For	For
5c	Eliminate Supermajority Vote Requirement for Business Combinations	For	For

Company : Old Republic International Corporation
 Ticker : ORI
 CUSIP No. : 680223104

Meeting Date : 5/26/2017
 Meeting Type : Annual
 ISIN : US6802231042

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director James C. Hellauer	For	Withhold
1.2	Elect Director Arnold L. Steiner	For	Withhold
1.3	Elect Director Fredricka Taubitz	For	Withhold
1.4	Elect Director Aldo C. Zucaro	For	Withhold
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Adopt Proxy Access Right	Against	For

Company : EPR Properties
 Ticker : EPR
 CUSIP No. : 26884U109

Meeting Date : 5/31/2017
 Meeting Type : Annual
 ISIN : US26884U1097

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Robert J. Druten	For	For
1.2	Elect Director Gregory K. Silvers	For	For
1.3	Elect Director Robin P. Sterneck	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Amend Executive Incentive Bonus Plan	For	For
5	Ratify KPMG LLP as Auditors	For	For

Company : Equinix, Inc.
 Ticker : EQIX
 CUSIP No. : 29444U700

Meeting Date : 5/31/2017
 Meeting Type : Annual
 ISIN : US29444U7000

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Thomas Bartlett	For	For
1.2	Elect Director Nanci Caldwell	For	For
1.3	Elect Director Gary Hromadko	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1.4	Elect Director John Hughes	For	For
1.5	Elect Director Scott Kriens	For	For
1.6	Elect Director William Luby	For	For
1.7	Elect Director Irving Lyons, III	For	For
1.8	Elect Director Christopher Paisley	For	For
1.9	Elect Director Stephen Smith	For	For
1.10	Elect Director Peter Van Camp	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency Re-approve Material Terms for Long-Term Incentive Performance	One Year	One Year
4	Awards	For	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Fidelity National Information Services, Inc.

Ticker : FIS

CUSIP No. : 31620M106

Meeting Date : 5/31/2017

Meeting Type : Annual

ISIN : US31620M1062

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Ellen R. Alemany	For	For
1b	Elect Director Thomas M. Hagerty	For	For
1c	Elect Director Keith W. Hughes	For	For
1d	Elect Director David K. Hunt	For	For
1e	Elect Director Stephan A. James	For	For
1f	Elect Director Frank R. Martire	For	For
1g	Elect Director Leslie M. Muma	For	For
1h	Elect Director Gary A. Norcross	For	For
1i	Elect Director James B. Stallings, Jr.	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Jones Lang LaSalle Incorporated

Ticker : JLL

CUSIP No. : 48020Q107

Meeting Date : 5/31/2017

Meeting Type : Annual

ISIN : US48020Q1076

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Hugo Bague	For	For
1B	Elect Director Samuel A. Di Piazza, Jr.	For	For
1C	Elect Director Dame DeAnne Julius	For	For
1D	Elect Director Ming Lu	For	For
1E	Elect Director Bridget Macaskill	For	For
1F	Elect Director Martin H. Nesbitt	For	For
1G	Elect Director Sheila A. Penrose	For	For
1H	Elect Director Ann Marie Petach	For	For
1I	Elect Director Shailesh Rao	For	For

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1J	Elect Director Christian Ulbrich	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Approve Omnibus Stock Plan	For	For
5	Ratify KPMG LLP as Auditors	For	For

Company : **Chimera Investment Corporation**
 Ticker : **CIM**
 CUSIP No. : **16934Q208**

Meeting Date : **6/1/2017**
 Meeting Type : **Annual**
 ISIN : **US16934Q2084**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Paul Donlin	For	For
1b	Elect Director Mark Abrams	For	For
1c	Elect Director Gerard Creagh	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For

Company : **SL Green Realty Corp.**
 Ticker : **SLG**
 CUSIP No. : **78440X101**

Meeting Date : **6/1/2017**
 Meeting Type : **Annual**
 ISIN : **US78440X1019**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Betsy Atkins	For	Against
1b	Elect Director Marc Holliday	For	For
1c	Elect Director John S. Levy	For	Against
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Declassify the Board of Directors	For	For
4	Ratify Ernst & Young LLP as Auditors	For	For
5	Advisory Vote on Say on Pay Frequency	One Year	One Year
6	Report on Pay Disparity	Against	Against

Company : **STORE Capital Corporation**
 Ticker : **STOR**
 CUSIP No. : **862121100**

Meeting Date : **6/1/2017**
 Meeting Type : **Annual**
 ISIN : **US8621211007**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Morton H. Fleischer	For	Withhold
1.2	Elect Director Christopher H. Volk	For	For
1.3	Elect Director Joseph M. Donovan	For	For
1.4	Elect Director Mary Fedewa	For	For
1.5	Elect Director William F. Hipp	For	For
1.6	Elect Director Einar A. Seadler	For	Withhold
1.7	Elect Director Mark N. Sklar	For	For

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1.8	Elect Director Quentin P. Smith, Jr.	For	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

Company : The Macerich Company

Ticker : MAC

CUSIP No. : 554382101

Meeting Date : 6/1/2017

Meeting Type : Annual

ISIN : US5543821012

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John H. Alschuler	For	Against
1b	Elect Director Arthur M. Coppola	For	For
1c	Elect Director Edward C. Coppola	For	For
1d	Elect Director Steven R. Hash	For	For
1e	Elect Director Fred S. Hubbell	For	Against
1f	Elect Director Diana M. Laing	For	For
1g	Elect Director Mason G. Ross	For	Against
1h	Elect Director Steven L. Soboroff	For	Against
1i	Elect Director Andrea M. Stephen	For	For
1j	Elect Director John M. Sullivan	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Artisan Partners Asset Management Inc.

Ticker : APAM

CUSIP No. : 04316A108

Meeting Date : 6/2/2017

Meeting Type : Annual

ISIN : US04316A1088

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Matthew R. Barger	For	For
1.2	Elect Director Seth W. Brennan	For	Withhold
1.3	Elect Director Eric R. Colson	For	For
1.4	Elect Director Tench Cox	For	Withhold
1.5	Elect Director Stephanie G. DiMarco	For	For
1.6	Elect Director Jeffrey A. Joerres	For	Withhold
1.7	Elect Director Andrew A. Ziegler	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Zions Bancorporation

Ticker : ZION

CUSIP No. : 989701107

Meeting Date : 6/2/2017

Meeting Type : Annual

ISIN : US9897011071

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Jerry C. Atkin	For	For
1B	Elect Director Gary L. Crittenden	For	For
1C	Elect Director Suren K. Gupta	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

1D	Elect Director J. David Heaney	For	For
1E	Elect Director Vivian S. Lee	For	For
1F	Elect Director Edward F. Murphy	For	For
1G	Elect Director Roger B. Porter	For	For
1H	Elect Director Stephen D. Quinn	For	For
1I	Elect Director Harris H. Simmons	For	For
1J	Elect Director Barbara A. Yastine	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Require Independent Board Chairman	Against	Against

Company : **New York Community Bancorp, Inc.**

Ticker : **NYCB**

CUSIP No. : **649445103**

Meeting Date : **6/6/2017**

Meeting Type : **Annual**

ISIN : **US6494451031**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Dominick Ciampa	For	For
1b	Elect Director Leslie D. Dunn	For	For
1c	Elect Director Lawrence Rosano, Jr.	For	For
1d	Elect Director Robert Wann	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Amend Omnibus Stock Plan	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
5	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : **Western Alliance Bancorporation**

Ticker : **WAL**

CUSIP No. : **957638109**

Meeting Date : **6/6/2017**

Meeting Type : **Annual**

ISIN : **US9576381092**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Bruce Beach	For	For
1B	Elect Director William S. Boyd	For	For
1C	Elect Director Howard N. Gould	For	For
1D	Elect Director Steven J. Hilton	For	For
1E	Elect Director Marianne Boyd Johnson	For	For
1F	Elect Director Robert P. Latta	For	For
1G	Elect Director Cary Mack	For	For
1H	Elect Director Todd Marshall	For	For
1I	Elect Director James E. Nave	For	For
1J	Elect Director Michael Patriarca	For	For
1K	Elect Director Robert Gary Sarver	For	For
1L	Elect Director Donald D. Snyder	For	For
1M	Elect Director Sung Won Sohn	For	For
1N	Elect Director Kenneth A. Vecchione	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify RSM US LLP as Auditors	For	For

Company : Alliance Data Systems Corporation

Ticker : ADS

CUSIP No. : 018581108

Meeting Date : 6/7/2017

Meeting Type : Annual

ISIN : US0185811082

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Bruce K. Anderson	For	For
1.2	Elect Director Roger H. Ballou	For	For
1.3	Elect Director Kelly J. Barlow	For	For
1.4	Elect Director D. Keith Cobb	For	For
1.5	Elect Director E. Linn Draper, Jr.	For	For
1.6	Elect Director Edward J. Heffernan	For	For
1.7	Elect Director Kenneth R. Jensen	For	For
1.8	Elect Director Robert A. Minicucci	For	For
1.9	Elect Director Timothy J. Theriault	For	For
1.10	Elect Director Laurie A. Tucker	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : MarketAxess Holdings Inc.

Ticker : MKTX

CUSIP No. : 57060D108

Meeting Date : 6/8/2017

Meeting Type : Annual

ISIN : US57060D1081

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Richard M. McVey	For	For
1b	Elect Director Steven L. Begleiter	For	For
1c	Elect Director Stephen P. Casper	For	For
1d	Elect Director Jane Chwick	For	For
1e	Elect Director William F. Cruger	For	For
1f	Elect Director David G. Gomach	For	For
1g	Elect Director Carlos M. Hernandez	For	For
1h	Elect Director Richard G. Ketchum	For	For
1i	Elect Director John Steinhardt	For	For
1j	Elect Director James J. Sullivan	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

Company : Omega Healthcare Investors, Inc.
 Ticker : OHI
 CUSIP No. : 681936100

Meeting Date : 6/8/2017
 Meeting Type : Annual
 ISIN : US6819361006

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Craig M. Bernfield	For	Withhold
1.2	Elect Director Norman R. Bobins	For	For
1.3	Elect Director Craig R. Callen	For	For
1.4	Elect Director Barbara B. Hill	For	For
1.5	Elect Director Bernard J. Korman	For	For
1.6	Elect Director Edward Lowenthal	For	For
1.7	Elect Director Ben W. Perks	For	For
1.8	Elect Director C. Taylor Pickett	For	For
1.9	Elect Director Stephen D. Plavin	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Outfront Media Inc.
 Ticker : OUT
 CUSIP No. : 69007J106

Meeting Date : 6/12/2017
 Meeting Type : Annual
 ISIN : US69007J1060

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Jeremy J. Male	For	For
1.2	Elect Director Joseph H. Wender	For	Withhold
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Santander Consumer USA Holdings Inc.
 Ticker : SC
 CUSIP No. : 80283M101

Meeting Date : 6/12/2017
 Meeting Type : Annual
 ISIN : US80283M1018

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Jose Doncel	For	Withhold
1.2	Elect Director Stephen A. Ferriss	For	Withhold
1.3	Elect Director Brian Gunn	For	Withhold
1.4	Elect Director Victor Hill	For	Withhold
1.5	Elect Director Edith E. Holiday	For	For
1.6	Elect Director Mark P. Hurley	For	Withhold
1.7	Elect Director Jason A. Kulas	For	Withhold
1.8	Elect Director Javier Maldonado	For	Withhold
1.9	Elect Director Robert J. McCarthy	For	Withhold
1.10	Elect Director William F. Muir	For	For
1.11	Elect Director Scott Powell	For	Withhold
1.12	Elect Director William Rainer	For	For

Proxy Voting Results

First Trust AlphaDEX™ US Financial Sector Index ETF

2 Ratify PricewaterhouseCoopers LLP as Auditors For For

Company : Affiliated Managers Group, Inc.
Ticker : AMG
CUSIP No. : 008252108

Meeting Date : 6/13/2017
Meeting Type : Annual
ISIN : US0082521081

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Samuel T. Byrne	For	For
1b	Elect Director Dwight D. Churchill	For	For
1c	Elect Director Glenn Earle	For	For
1d	Elect Director Niall Ferguson	For	For
1e	Elect Director Sean M. Healey	For	For
1f	Elect Director Tracy P. Palandjian	For	For
1g	Elect Director Patrick T. Ryan	For	For
1h	Elect Director Jide J. Zeitlin	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Provide Directors May Be Removed With or Without Cause	For	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Fidelity National Financial, Inc.
Ticker : FNF
CUSIP No. : 31620R303

Meeting Date : 6/14/2017
Meeting Type : Annual
ISIN : US31620R3030

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director William P. Foley, II	For	For
1.2	Elect Director Douglas K. Ammerman	For	For
1.3	Elect Director Thomas M. Hagerty	For	For
1.4	Elect Director Peter O. Shea, Jr.	For	For
1.5	Elect Director Raymond R. Quirk	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Square, Inc.
Ticker : SQ
CUSIP No. : 852234103

Meeting Date : 6/14/2017
Meeting Type : Annual
ISIN : US8522341036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Roelof Botha	For	Withhold
1.2	Elect Director Jim McKelvey	For	Withhold
1.3	Elect Director Ruth Simmons	For	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

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3 Ratify KPMG LLP as Auditors For For

Company : Equity Residential
 Ticker : EQR
 CUSIP No. : 29476L107

Meeting Date : 6/15/2017
 Meeting Type : Annual
 ISIN : US29476L1070

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John W. Alexander	For	Withhold
1.2	Elect Director Charles L. Atwood	For	Withhold
1.3	Elect Director Linda Walker Bynoe	For	Withhold
1.4	Elect Director Connie K. Duckworth	For	For
1.5	Elect Director Mary Kay Haben	For	Withhold
1.6	Elect Director Bradley A. Keywell	For	For
1.7	Elect Director John E. Neal	For	For
1.8	Elect Director David J. Neithercut	For	For
1.9	Elect Director Mark S. Shapiro	For	Withhold
1.10	Elect Director Gerald A. Spector	For	For
1.11	Elect Director Stephen E. Sterrett	For	For
1.12	Elect Director Samuel Zell	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Provide Shareholders the Right to Amend Bylaws	Against	For

Company : Gaming and Leisure Properties, Inc.
 Ticker : GLPI
 CUSIP No. : 36467J108

Meeting Date : 6/15/2017
 Meeting Type : Annual
 ISIN : US36467J1088

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Joseph W. Marshall, III	For	For
1.2	Elect Director E. Scott Urdang	For	For
1.3	Elect Director Earl C. Shanks	For	For
1.4	Elect Director James B. Perry	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Require a Majority Vote for the Election of Directors	Against	For

Company : W. P. Carey Inc.
 Ticker : WPC
 CUSIP No. : 92936U109

Meeting Date : 6/15/2017
 Meeting Type : Annual
 ISIN : US92936U1097

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Mark A. Alexander	For	For
1b	Elect Director Mark J. DeCesaris	For	For

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1c	Elect Director Peter J. Farrell	For	For
1d	Elect Director Benjamin H. Griswold, IV	For	For
1e	Elect Director Axel K.A. Hansing	For	For
1f	Elect Director Jean Hoysradt	For	For
1g	Elect Director Richard C. Marston	For	For
1h	Elect Director Christopher J. Niehaus	For	For
1i	Elect Director Nick J.M. van Ommen	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Approve Executive Incentive Bonus Plan	For	For
4	Approve Omnibus Stock Plan	For	For
5	Provide Shareholders and the Board with the Concurrent Power to Amend the Bylaws	For	For
6	Amend Stock Ownership Limitations	For	For
7	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Equity Commonwealth

Ticker : EQC

CUSIP No. : 294628102

Meeting Date : 6/20/2017

Meeting Type : Annual

ISIN : US2946281027

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Sam Zell	For	For
1.2	Elect Director James S. Corl	For	For
1.3	Elect Director Martin L. Edelman	For	For
1.4	Elect Director Edward A. Glickman	For	For
1.5	Elect Director David Helfand	For	For
1.6	Elect Director Peter Linneman	For	For
1.7	Elect Director James L. Lozier, Jr.	For	For
1.8	Elect Director Mary Jane Robertson	For	For
1.9	Elect Director Kenneth Shea	For	For
1.10	Elect Director Gerald A. Spector	For	For
1.11	Elect Director James A. Star	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For

Company : Allied World Assurance Company Holdings, AG

Ticker : AWH

CUSIP No. : G0219G203

Meeting Date : 6/21/2017

Meeting Type : Annual

ISIN : CH0121032772

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Barbara T. Alexander	For	For
1.2	Elect Director Scott A. Carmilani	For	For
1.3	Elect Director Bart Friedman	For	For
1.4	Elect Director Patricia L. Guinn	For	For
1.5	Elect Director Fiona E. Luck	For	For

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1.6	Elect Director Patrick de Saint-Aignan	For	For
1.7	Elect Director Eric S. Schwartz	For	For
1.8	Elect Director Samuel J. Weinhoff	For	For
2	Elect Scott A. Carmilani as Board Chairman	For	Against
3.1	Appoint Barbara T. Alexander as Member of the Compensation Committee	For	For
3.2	Appoint Bart Friedman as Member of the Compensation Committee	For	For
3.3	Appoint Fiona E. Luck as Member of the Compensation Committee	For	For
3.4	Appoint Patrick de Saint-Aignan as Member of the Compensation Committee	For	For
3.5	Appoint Eric S. Schwartz as Member of the Compensation Committee	For	For
3.6	Appoint Samuel J. Weinhoff as Member of the Compensation Committee	For	For
4	Designate Buis Buergi AG as Independent Proxy	For	For
5	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
6	Advisory Vote on Say on Pay Frequency	One Year	One Year
7	Accept Consolidated Financial Statements and Statutory Reports	For	For
8	Approve Retention of Disposable Profits	For	For
9	Appoint Deloitte & Touche LLP as Independent Auditor and Deloitte AG as Statutory Auditor	For	For
10	Appoint PricewaterhouseCoopers AG as Special Auditor	For	For
11	Approve Discharge of Board and Senior Management	For	For
12	Transact Other Business (Voting)	For	Against

Company : Aon plc
 Ticker : AON
 CUSIP No. : 037389103

Meeting Date : 6/23/2017
 Meeting Type : Annual
 ISIN : GB00B5BT0K07

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Lester B. Knight	For	For
1.2	Elect Director Gregory C. Case	For	For
1.3	Elect Director Jin-Yong Cai	For	For
1.4	Elect Director Fulvio Conti	For	For
1.5	Elect Director Cheryl A. Francis	For	For
1.6	Elect Director J. Michael Losh	For	For
1.7	Elect Director Robert S. Morrison	For	For
1.8	Elect Director Richard B. Myers	For	For
1.9	Elect Director Richard C. Notebaert	For	For
1.10	Elect Director Gloria Santona	For	For
1.11	Elect Director Carolyn Y. Woo	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Proxy Voting Results

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3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Approve Remuneration Policy	For	For
5	Advisory Vote to Ratify Directors' Remuneration Report	For	For
6	Accept Financial Statements and Statutory Reports	For	For
7	Ratify Ernst & Young LLP as Aon's Auditors	For	For
8	Ratify Ernst & Young LLP as Aon's U.K. Statutory Auditor	For	For
9	Authorize Board to Fix Remuneration of Auditors	For	For
10	Authorise Shares for Market Purchase	For	For
11	Issue of Equity or Equity-Linked Securities with Pre-emptive Rights	For	For
12	Issue of Equity or Equity-Linked Securities without Pre-emptive Rights	For	For
13	Approve Political Donations	For	For

Company : **Mastercard Incorporated**

Ticker : **MA**

CUSIP No. : **57636Q104**

Meeting Date : **6/27/2017**

Meeting Type : **Annual**

ISIN : **US57636Q1040**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Richard Haythornthwaite	For	For
1b	Elect Director Ajay Banga	For	For
1c	Elect Director Silvio Barzi	For	For
1d	Elect Director David R. Carlucci	For	For
1e	Elect Director Steven J. Freiberg	For	For
1f	Elect Director Julius Genachowski	For	For
1g	Elect Director Merit E. Janow	For	For
1h	Elect Director Nancy J. Karch	For	For
1i	Elect Director Oki Matsumoto	For	For
1j	Elect Director Rima Qureshi	For	For
1k	Elect Director Jose Octavio Reyes Lagunes	For	For
1l	Elect Director Jackson Tai	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Amend Omnibus Stock Plan	For	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
6	Report on Gender Pay Gap	Against	Against

Company : **American International Group, Inc.**

Ticker : **AIG**

CUSIP No. : **026874784**

Meeting Date : **6/28/2017**

Meeting Type : **Annual**

ISIN : **US0268747849**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director W. Don Cornwell	For	For
1b	Elect Director Brian Duperreault	For	For
1c	Elect Director Peter R. Fisher	For	For
1d	Elect Director John H. Fitzpatrick	For	For

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1e	Elect Director William G. Jurgensen	For	For
1f	Elect Director Christopher S. Lynch	For	For
1g	Elect Director Samuel J. Merksamer	For	For
1h	Elect Director Henry S. Miller	For	For
1i	Elect Director Linda A. Mills	For	For
1j	Elect Director Suzanne Nora Johnson	For	For
1k	Elect Director Ronald A. Rittenmeyer	For	For
1l	Elect Director Douglas M. Steenland	For	For
1m	Elect Director Theresa M. Stone	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Amend Securities Transfer Restrictions	For	For
4	Ratify NOL Rights Plan (NOL Pill)	For	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For