

# First Trust SMID Cap Rising Dividend Achievers ETF

**Ticker: SDVY / SDVY.F**

## Quarter End Statement of Investments

1st Quarter as at March 31, 2026

Sector Allocation	% of Net Asset Value
Exchange-Traded Fund (First Trust SMID Cap Rising Dividend Achievers ETF ["Underlying Fund"])*	100.05%
Cash and Cash Equivalents	0.26%
<b>Total</b>	<b>100.31%</b>
<b>Other Assets and Liabilities</b>	<b>(0.31%)</b>
<b>Total Net Asset Value</b>	<b>100.00%</b>

Sector Allocation of the Underlying Fund	% of Net Asset Value of the Underlying Fund
Industrials	33.04%
Financials	31.44%
Consumer Discretionary	13.97%
Technology	6.60%
Consumer Staples	4.45%
Energy	3.86%
Basic Materials	2.98%
Health Care	2.72%
Telecommunications	0.88%
Cash & Cash Equivalents	0.41%

Country Allocation of the Underlying Fund	% of Net Asset Value of the Underlying Fund
United States	95.13%
Bermuda	1.97%
Ireland	1.40%
Other	0.98%
Bahamas	0.46%

Holdings	% of Net Asset Value
First Trust SMID Cap Rising Dividend Achievers ETF	100.05%
<b>Total Net Asset Value</b>	<b>\$29,117,734</b>

Top 25 Holdings of the Underlying Fund	% of Net Asset Value of the Underlying Fund
Comfort Systems USA, Inc.	1.51%
EnerSys	1.27%
Weatherford International Plc	1.22%
Clear Secure, Inc. (Class A)	1.19%
Primoris Services Corporation	1.11%
The New York Times Company (Class A)	1.11%
Woodward, Inc.	1.10%
EMCOR Group, Inc.	1.06%
Powell Industries, Inc.	1.06%
PriceSmart, Inc.	1.06%
Atmus Filtration Technologies, Inc.	1.03%
LeMaitre Vascular, Inc.	1.02%
Allison Transmission Holdings, Inc.	1.01%
Perdoceo Education Corporation	1.00%
Mueller Industries, Inc.	0.96%
Cboe Global Markets, Inc.	0.95%
Installed Building Products, Inc.	0.94%
Northern Trust Corporation	0.94%
Coca-Cola Consolidated, Inc.	0.93%
ITT, Inc.	0.93%
Interactive Brokers Group, Inc. (Class A)	0.92%
Cactus, Inc. (Class A)	0.91%
Cullen/Frost Bankers, Inc.	0.91%
Ralph Lauren Corporation	0.91%
Snap-on, Inc.	0.91%
<b>Total Net Asset Value of the Underlying Fund (in USD)</b>	<b>\$10,101,459,466</b>

\*The First Trust ETF obtains exposure to the performance of an index of U.S. small and mid cap companies, net of expenses, initially the Nasdaq US Small Mid Cap Rising Dividend Achievers™ Index (the "Index") by investing in the Underlying Fund, a U.S.-listed fund (i.e. *First Trust SMID Cap Rising Dividend Achievers ETF [the "Underlying Fund"]*) which itself replicates or substantially replicates the Index.

Commissions, management fees and expenses all may be associated with ETF investments. Please carefully read the simplified prospectus of the ETF before investing. ETFs are not guaranteed, their values change frequently and past performance may not be repeated. Data is as of March 31, 2026 (unaudited).