

## Proxy Voting Results

### First Trust AlphaDEX™ US Utilities Sector Index ETF

Company : Great Plains Energy Incorporated  
 Ticker : GXP  
 CUSIP No. : 391164100

Meeting Date : 9/26/2016  
 Meeting Type : Special  
 ISIN : US3911641005

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Issue Shares in Connection with Merger	For	For
2	Increase Authorized Common Stock	For	For
3	Adjourn Meeting	For	For

Company : Westar Energy, Inc.  
 Ticker : WR  
 CUSIP No. : 95709T100

Meeting Date : 9/26/2016  
 Meeting Type : Special  
 ISIN : US95709T1007

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Merger Agreement	For	For
2	Advisory Vote on Golden Parachutes	For	Against
3	Adjourn Meeting	For	For

Company : Sprint Corporation  
 Ticker : S  
 CUSIP No. : 85207U105

Meeting Date : 11/1/2016  
 Meeting Type : Annual  
 ISIN : US85207U1051

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Gordon Bethune	For	Withhold
1.2	Elect Director Marcelo Claire	For	For
1.3	Elect Director Ronald Fisher	For	Withhold
1.4	Elect Director Julius Genachowski	For	For
1.5	Elect Director Michael Mullen	For	Withhold
1.6	Elect Director Masayoshi Son	For	For
1.7	Elect Director Sara Martinez Tucker	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
4	Amend Omnibus Stock Plan	For	For

Company : Zayo Group Holdings, Inc.  
 Ticker : ZAYO  
 CUSIP No. : 98919V105

Meeting Date : 11/8/2016  
 Meeting Type : Annual  
 ISIN : US98919V1052

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Rick Connor	For	Withhold
1.2	Elect Director Cathy Morris	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against

## Proxy Voting Results

### First Trust AlphaDEX™ US Utilities Sector Index ETF

Company : UGI Corporation  
 Ticker : UGI  
 CUSIP No. : 902681105

Meeting Date : 1/24/2017  
 Meeting Type : Annual  
 ISIN : US9026811052

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director M. Shawn Bort	For	For
1.2	Elect Director Richard W. Gochnauer	For	For
1.3	Elect Director Frank S. Hermance	For	For
1.4	Elect Director Anne Pol	For	For
1.5	Elect Director Marvin O. Schlanger	For	For
1.6	Elect Director James B. Stallings, Jr.	For	For
1.7	Elect Director Roger B. Vincent	For	For
1.8	Elect Director John L. Walsh	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	For	For

Company : Atmos Energy Corporation  
 Ticker : ATO  
 CUSIP No. : 049560105

Meeting Date : 2/8/2017  
 Meeting Type : Annual  
 ISIN : US0495601058

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Robert W. Best	For	For
1b	Elect Director Kim R. Cocklin	For	For
1c	Elect Director Kelly H. Compton	For	For
1d	Elect Director Richard W. Douglas	For	For
1e	Elect Director Ruben E. Esquivel	For	For
1f	Elect Director Rafael G. Garza	For	For
1g	Elect Director Richard K. Gordon	For	For
1h	Elect Director Robert C. Grable	For	For
1i	Elect Director Michael E. Haefner	For	For
1j	Elect Director Nancy K. Quinn	For	For
1k	Elect Director Richard A. Sampson	For	For
1l	Elect Director Stephen R. Springer	For	For
1m	Elect Director Richard Ware, II	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : CenturyLink, Inc.  
 Ticker : CTL  
 CUSIP No. : 156700106

Meeting Date : 3/16/2017  
 Meeting Type : Special  
 ISIN : US1567001060

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Issue Shares in Connection with Merger	For	For
2	Adjourn Meeting	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Utilities Sector Index ETF

Company : Level 3 Communications, Inc.  
 Ticker : LVLT  
 CUSIP No. : 52729N308

Meeting Date : 3/16/2017  
 Meeting Type : Special  
 ISIN : US52729N3089

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Merger Agreement	For	For
2	Advisory Vote on Golden Parachutes	For	For
3	Adjourn Meeting	For	For

Company : Public Service Enterprise Group Incorporated  
 Ticker : PEG  
 CUSIP No. : 744573106

Meeting Date : 4/18/2017  
 Meeting Type : Annual  
 ISIN : US7445731067

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Willie A. Deese	For	For
1.2	Elect Director Albert R. Gamper, Jr.	For	For
1.3	Elect Director William V. Hickey	For	For
1.4	Elect Director Ralph Izzo	For	For
1.5	Elect Director Shirley Ann Jackson	For	For
1.6	Elect Director David Lilley	For	For
1.7	Elect Director Thomas A. Renyi	For	For
1.8	Elect Director Hak Cheol (H.C.) Shin	For	For
1.9	Elect Director Richard J. Swift	For	For
1.10	Elect Director Susan Tomasky	For	For
1.11	Elect Director Alfred W. Zollar	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Exelon Corporation  
 Ticker : EXC  
 CUSIP No. : 30161N101

Meeting Date : 4/25/2017  
 Meeting Type : Annual  
 ISIN : US30161N1019

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Anthony K. Anderson	For	For
1b	Elect Director Ann C. Berzin	For	For
1c	Elect Director Christopher M. Crane	For	For
1d	Elect Director Yves C. de Balmann	For	For
1e	Elect Director Nicholas DeBenedictis	For	For
1f	Elect Director Nancy L. Gioia	For	For
1g	Elect Director Linda P. Jojo	For	For
1h	Elect Director Paul L. Joskow	For	For
1i	Elect Director Robert J. Lawless	For	For
1j	Elect Director Richard W. Mies	For	For
1k	Elect Director John W. Rogers, Jr.	For	For

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### First Trust AlphaDEX™ US Utilities Sector Index ETF

1l	Elect Director Mayo A. Shattuck, III	For	For
1m	Elect Director Stephen D. Steinour	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

**Company** : Ameren Corporation

**Ticker** : AEE

**CUSIP No.** : 023608102

**Meeting Date** : 4/27/2017

**Meeting Type** : Annual

**ISIN** : US0236081024

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Warner L. Baxter	For	For
1b	Elect Director Catherine S. Brune	For	For
1c	Elect Director J. Edward Coleman	For	For
1d	Elect Director Ellen M. Fitzsimmons	For	For
1e	Elect Director Rafael Flores	For	For
1f	Elect Director Walter J. Galvin	For	For
1g	Elect Director Richard J. Harshman	For	For
1h	Elect Director Gayle P. W. Jackson	For	For
1i	Elect Director James C. Johnson	For	For
1j	Elect Director Steven H. Lipstein	For	For
1k	Elect Director Stephen R. Wilson	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
5	Report Analyzing Renewable Energy Adoption	Against	Against
6	Assess Impact of a 2 Degree Scenario	Against	For
7	Report on Coal Combustion Residual and Water Impacts	Against	For

**Company** : Edison International

**Ticker** : EIX

**CUSIP No.** : 281020107

**Meeting Date** : 4/27/2017

**Meeting Type** : Annual

**ISIN** : US2810201077

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Vanessa C.L. Chang	For	For
1.2	Elect Director Louis Hernandez, Jr.	For	For
1.3	Elect Director James T. Morris	For	For
1.4	Elect Director Pedro J. Pizarro	For	For
1.5	Elect Director Linda G. Stuntz	For	For
1.6	Elect Director William P. Sullivan	For	For
1.7	Elect Director Ellen O. Tauscher	For	For
1.8	Elect Director Peter J. Taylor	For	For
1.9	Elect Director Brett White	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Utilities Sector Index ETF

3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Proxy Access Right	Against	For

Company : SCANA Corporation  
 Ticker : SCG  
 CUSIP No. : 80589M102

Meeting Date : 4/27/2017  
 Meeting Type : Annual  
 ISIN : US80589M1027

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John F.A.V. Cecil	For	For
1.2	Elect Director D. Maybank Hagood	For	For
1.3	Elect Director Alfredo Trujillo	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For
5	Declassify the Board of Directors	For	For

Company : AT&T Inc.  
 Ticker : T  
 CUSIP No. : 00206R102

Meeting Date : 4/28/2017  
 Meeting Type : Annual  
 ISIN : US00206R1023

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Randall L. Stephenson	For	For
1.2	Elect Director Samuel A. Di Piazza, Jr.	For	For
1.3	Elect Director Richard W. Fisher	For	For
1.4	Elect Director Scott T. Ford	For	For
1.5	Elect Director Glenn H. Hutchins	For	For
1.6	Elect Director William E. Kennard	For	For
1.7	Elect Director Michael B. McCallister	For	For
1.8	Elect Director Beth E. Mooney	For	For
1.9	Elect Director Joyce M. Roche	For	For
1.10	Elect Director Matthew K. Rose	For	For
1.11	Elect Director Cynthia B. Taylor	For	For
1.12	Elect Director Laura D'Andrea Tyson	For	For
1.13	Elect Director Geoffrey Y. Yang	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Report on Indirect Political Contributions	Against	For
6	Report on Lobbying Payments and Policy	Against	For
7	Amend Proxy Access Right	Against	For
8	Provide Right to Act by Written Consent	Against	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Utilities Sector Index ETF

Company : Great Plains Energy Incorporated  
 Ticker : GXP  
 CUSIP No. : 391164100

Meeting Date : 5/2/2017  
 Meeting Type : Annual  
 ISIN : US3911641005

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Terry Bassham	For	For
1.2	Elect Director David L. Bodde	For	For
1.3	Elect Director Randall C. Ferguson, Jr.	For	For
1.4	Elect Director Gary D. Forsee	For	For
1.5	Elect Director Scott D. Grimes	For	For
1.6	Elect Director Thomas D. Hyde	For	For
1.7	Elect Director Ann D. Murtlow	For	For
1.8	Elect Director Sandra J. Price	For	For
1.9	Elect Director John J. Sherman	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For
5	Report Analyzing Profit Potential Based on Renewable Energy Metrics	Against	For
6	Report on Lobbying Payments and Political Contributions	Against	For

Company : Eversource Energy  
 Ticker : ES  
 CUSIP No. : 30040W108

Meeting Date : 5/3/2017  
 Meeting Type : Annual  
 ISIN : US30040W1080

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John S. Clarkeson	For	For
1.2	Elect Director Cotton M. Cleveland	For	For
1.3	Elect Director Sanford Cloud, Jr.	For	For
1.4	Elect Director James S. DiStasio	For	For
1.5	Elect Director Francis A. Doyle	For	For
1.6	Elect Director Charles K. Gifford	For	For
1.7	Elect Director James J. Judge	For	For
1.8	Elect Director Paul A. La Camera	For	For
1.9	Elect Director Kenneth R. Leibler	For	For
1.10	Elect Director William C. Van Faasen	For	For
1.11	Elect Director Frederica M. Williams	For	For
1.12	Elect Director Dennis R. Wraase	For	For
2	Provide Proxy Access Right	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Amend Omnibus Stock Plan	For	For
6	Ratify Deloitte & Touche LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Utilities Sector Index ETF

Company : DTE Energy Company  
 Ticker : DTE  
 CUSIP No. : 233331107

Meeting Date : 5/4/2017  
 Meeting Type : Annual  
 ISIN : US2333311072

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Gerard M. Anderson	For	For
1.2	Elect Director David A. Brandon	For	For
1.3	Elect Director W. Frank Fountain, Jr.	For	For
1.4	Elect Director Charles G. McClure, Jr.	For	For
1.5	Elect Director Gail J. McGovern	For	For
1.6	Elect Director Mark A. Murray	For	For
1.7	Elect Director James B. Nicholson	For	For
1.8	Elect Director Charles W. Pryor, Jr.	For	For
1.9	Elect Director Josue Robles, Jr.	For	For
1.10	Elect Director Ruth G. Shaw	For	For
1.11	Elect Director David A. Thomas	For	For
1.12	Elect Director James H. Vandenberghe	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Assess Portfolio Impacts of Policies to Meet 2 Degree Scenario	Against	For

Company : Duke Energy Corporation  
 Ticker : DUK  
 CUSIP No. : 26441C204

Meeting Date : 5/4/2017  
 Meeting Type : Annual  
 ISIN : US26441C2044

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Michael J. Angelakis	For	For
1.2	Elect Director Michael G. Browning	For	For
1.3	Elect Director Theodore F. Craver, Jr.	For	For
1.4	Elect Director Daniel R. DiMicco	For	For
1.5	Elect Director John H. Forsgren	For	For
1.6	Elect Director Lynn J. Good	For	For
1.7	Elect Director John T. Herron	For	For
1.8	Elect Director James B. Hylar, Jr.	For	For
1.9	Elect Director William E. Kennard	For	For
1.10	Elect Director E. Marie McKee	For	For
1.11	Elect Director Charles W. Moorman, IV	For	For
1.12	Elect Director Carlos A. Saladrigas	For	For
1.13	Elect Director Thomas E. Skains	For	For
1.14	Elect Director William E. Webster, Jr.	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

## Proxy Voting Results

### First Trust AlphaDEX™ US Utilities Sector Index ETF

5	Eliminate Supermajority Vote Requirement	For	For
6	Report on Lobbying Expenses	Against	For
7	Assess Portfolio Impacts of Policies to Meet 2 Degree Scenario	Against	For
8	Report on the Public Health Risk of Dukes Energy's Coal Use	Against	For

Company : WEC Energy Group, Inc.  
 Ticker : WEC  
 CUSIP No. : 92939U106

Meeting Date : 5/4/2017  
 Meeting Type : Annual  
 ISIN : US92939U1060

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John F. Bergstrom	For	For
1.2	Elect Director Barbara L. Bowles	For	For
1.3	Elect Director William J. Brodsky	For	For
1.4	Elect Director Albert J. Budney, Jr.	For	For
1.5	Elect Director Patricia W. Chadwick	For	For
1.6	Elect Director Curt S. Culver	For	For
1.7	Elect Director Thomas J. Fischer	For	For
1.8	Elect Director Paul W. Jones	For	For
1.9	Elect Director Gale E. Klappa	For	For
1.10	Elect Director Henry W. Knueppel	For	For
1.11	Elect Director Allen L. Leverett	For	For
1.12	Elect Director Ulice Payne, Jr.	For	For
1.13	Elect Director Mary Ellen Stanek	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : CMS Energy Corporation  
 Ticker : CMS  
 CUSIP No. : 125896100

Meeting Date : 5/5/2017  
 Meeting Type : Annual  
 ISIN : US1258961002

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Jon E. Barfield	For	For
1b	Elect Director Deborah H. Butler	For	For
1c	Elect Director Kurt L. Darrow	For	For
1d	Elect Director Stephen E. Ewing	For	For
1e	Elect Director William D. Harvey	For	For
1f	Elect Director Philip R. Lochner, Jr.	For	For
1g	Elect Director Patricia K. Poppe	For	For
1h	Elect Director John G. Russell	For	For
1i	Elect Director Myrna M. Soto	For	For
1j	Elect Director John G. Sznewajs	For	For
1k	Elect Director Laura H. Wright	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Utilities Sector Index ETF

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Report on Political Contributions	Against	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : **Entergy Corporation**

Ticker : **ETR**

CUSIP No. : **29364G103**

Meeting Date : **5/5/2017**

Meeting Type : **Annual**

ISIN : **US29364G1031**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Maureen Scannell Bateman	For	For
1b	Elect Director Patrick J. Condon	For	For
1c	Elect Director Leo P. Denault	For	For
1d	Elect Director Kirkland H. Donald	For	For
1e	Elect Director Philip L. Frederickson	For	For
1f	Elect Director Alexis M. Herman	For	For
1g	Elect Director Donald C. Hintz	For	For
1h	Elect Director Stuart L. Levenick	For	For
1i	Elect Director Blanche Lambert Lincoln	For	For
1j	Elect Director Karen A. Puckett	For	For
1k	Elect Director W. J. 'Billy' Tauzin	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For
5	Report on Distributed Renewable Generation Resources	Against	For

Company : **Hawaiian Electric Industries, Inc.**

Ticker : **HE**

CUSIP No. : **419870100**

Meeting Date : **5/5/2017**

Meeting Type : **Annual**

ISIN : **US4198701009**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Peggy Y. Fowler	For	For
1.2	Elect Director Keith P. Russell	For	For
1.3	Elect Director Barry K. Taniguchi	For	For
1.4	Elect Director Richard J. Dahl	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Utilities Sector Index ETF

Company : NiSource Inc.

Ticker : NI

CUSIP No. : 65473P105

Meeting Date : 5/9/2017

Meeting Type : Annual

ISIN : US65473P1057

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Richard A. Abdoo	For	For
1.2	Elect Director Peter A. Altabef	For	For
1.3	Elect Director Aristides S. Candris	For	For
1.4	Elect Director Wayne S. DeVeydt	For	For
1.5	Elect Director Joseph Hamrock	For	For
1.6	Elect Director Deborah A. Henretta	For	For
1.7	Elect Director Michael E. Jesanis	For	For
1.8	Elect Director Kevin T. Kabat	For	For
1.9	Elect Director Richard L. Thompson	For	For
1.10	Elect Director Carolyn Y. Woo	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Calpine Corporation

Ticker : CPN

CUSIP No. : 131347304

Meeting Date : 5/10/2017

Meeting Type : Annual

ISIN : US1313473043

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Mary L. Brlas	For	For
1b	Elect Director Frank Cassidy	For	For
1c	Elect Director Jack A. Fusco	For	For
1d	Elect Director John B. (Thad) Hill, III	For	For
1e	Elect Director Michael W. Hofmann	For	For
1f	Elect Director David C. Merritt	For	For
1g	Elect Director W. Benjamin Moreland	For	For
1h	Elect Director Robert A. Mosbacher, Jr.	For	For
1i	Elect Director Denise M. O' Leary	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Approve Omnibus Stock Plan	For	For
6	Approve Non-Employee Director Omnibus Stock Plan	For	For
7	Provide Proxy Access Right	For	For
8	Report on Lobbying Activities and Expenditures	Against	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Utilities Sector Index ETF

Company : Frontier Communications Corporation  
 Ticker : FTR  
 CUSIP No. : 35906A108

Meeting Date : 5/10/2017  
 Meeting Type : Annual  
 ISIN : US35906A1088

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Leroy T. Barnes, Jr.	For	For
1b	Elect Director Peter C.B. Bynoe	For	For
1c	Elect Director Diana S. Ferguson	For	For
1d	Elect Director Edward Fraioli	For	For
1e	Elect Director Daniel J. McCarthy	For	For
1f	Elect Director Pamela D.A. Reeve	For	For
1g	Elect Director Virginia P. Ruesterholz	For	For
1h	Elect Director Howard L. Schrott	For	For
1i	Elect Director Mark Shapiro	For	For
1j	Elect Director Myron A. Wick, III	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Approve Omnibus Stock Plan	For	For
5	Approve Reverse Stock Split	For	For
6	Ratify KPMG LLP as Auditors	For	For

Company : Sempra Energy  
 Ticker : SRE  
 CUSIP No. : 816851109

Meeting Date : 5/12/2017  
 Meeting Type : Annual  
 ISIN : US8168511090

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Alan L. Boeckmann	For	For
1.2	Elect Director Kathleen L. Brown	For	For
1.3	Elect Director Andres Conesa	For	For
1.4	Elect Director Maria Contreras-Sweet	For	For
1.5	Elect Director Pablo A. Ferrero	For	For
1.6	Elect Director William D. Jones	For	For
1.7	Elect Director Bethany J. Mayer	For	For
1.8	Elect Director William G. Ouchi	For	For
1.9	Elect Director Debra L. Reed	For	For
1.10	Elect Director William C. Rusnack	For	For
1.11	Elect Director Lynn Schenk	For	For
1.12	Elect Director Jack T. Taylor	For	For
1.13	Elect Director James C. Yardley	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

## Proxy Voting Results

### First Trust AlphaDEX™ US Utilities Sector Index ETF

Company : Consolidated Edison, Inc.  
 Ticker : ED  
 CUSIP No. : 209115104

Meeting Date : 5/15/2017  
 Meeting Type : Annual  
 ISIN : US2091151041

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Vincent A. Calarco	For	For
1b	Elect Director George Campbell, Jr.	For	For
1c	Elect Director Michael J. Del Giudice	For	For
1d	Elect Director Ellen V. Futter	For	For
1e	Elect Director John F. Killian	For	For
1f	Elect Director John McAvoy	For	For
1g	Elect Director Armando J. Olivera	For	For
1h	Elect Director Michael W. Ranger	For	For
1i	Elect Director Linda S. Sanford	For	For
1j	Elect Director L. Frederick Sutherland	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Vectren Corporation  
 Ticker : VVC  
 CUSIP No. : 92240G101

Meeting Date : 5/16/2017  
 Meeting Type : Annual  
 ISIN : US92240G1013

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Carl L. Chapman	For	For
1.2	Elect Director James H. DeGraffenreidt, Jr.	For	For
1.3	Elect Director John D. Engelbrecht	For	For
1.4	Elect Director Anton H. George	For	For
1.5	Elect Director Robert G. Jones	For	For
1.6	Elect Director Patrick K. Mullen	For	For
1.7	Elect Director R. Daniel Sadlier	For	For
1.8	Elect Director Michael L. Smith	For	For
1.9	Elect Director Teresa J. Tanner	For	For
1.10	Elect Director Jean L. Wojtowicz	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Pinnacle West Capital Corporation  
 Ticker : PNW  
 CUSIP No. : 723484101

Meeting Date : 5/17/2017  
 Meeting Type : Annual  
 ISIN : US7234841010

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Donald E. Brandt	For	For
1.2	Elect Director Denis A. Cortese	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Utilities Sector Index ETF

1.3	Elect Director Richard P. Fox	For	For
1.4	Elect Director Michael L. Gallagher	For	For
1.5	Elect Director Roy A. Herberger, Jr.	For	For
1.6	Elect Director Dale E. Klein	For	For
1.7	Elect Director Humberto S. Lopez	For	For
1.8	Elect Director Kathryn L. Munro	For	For
1.9	Elect Director Bruce J. Nordstrom	For	For
1.10	Elect Director Paula J. Sims	For	For
1.11	Elect Director David P. Wagener	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Amend Omnibus Stock Plan	For	For
5	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : PPL Corporation  
 Ticker : PPL  
 CUSIP No. : 69351T106

Meeting Date : 5/17/2017  
 Meeting Type : Annual  
 ISIN : US69351T1060

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Rodney C. Adkins	For	For
1.2	Elect Director John W. Conway	For	For
1.3	Elect Director Steven G. Elliott	For	For
1.4	Elect Director Raja Rajamannar	For	For
1.5	Elect Director Craig A. Rogerson	For	For
1.6	Elect Director William H. Spence	For	For
1.7	Elect Director Natica von Althann	For	For
1.8	Elect Director Keith H. Williamson	For	For
1.9	Elect Director Armando Zagalo de Lima	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Amend Omnibus Stock Plan	For	For
5	Ratify Deloitte & Touche LLP as Auditors	For	For
6	Assess Portfolio Impacts of Policies to Meet 2 Degree Scenario	Against	For

Company : Xcel Energy Inc.  
 Ticker : XEL  
 CUSIP No. : 98389B100

Meeting Date : 5/17/2017  
 Meeting Type : Annual  
 ISIN : US98389B1008

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Gail K. Boudreaux	For	For
1b	Elect Director Richard K. Davis	For	For
1c	Elect Director Ben Fowke	For	For
1d	Elect Director Richard T. O'Brien	For	For
1e	Elect Director Christopher J. Policinski	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Utilities Sector Index ETF

1f	Elect Director James T. Prokopanko	For	For
1g	Elect Director A. Patricia Sampson	For	For
1h	Elect Director James J. Sheppard	For	For
1i	Elect Director David A. Westerlund	For	For
1j	Elect Director Kim Williams	For	For
1k	Elect Director Timothy V. Wolf	For	For
1l	Elect Director Daniel Yohannes	For	For
2	Advisory Vote on Say on Pay Frequency	One Year	One Year
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Ratify Deloitte & Touche LLP as Auditors	For	For
5	Require Independent Board Chairman	Against	Against

Company : NextEra Energy, Inc.

Ticker : NEE

CUSIP No. : 65339F101

Meeting Date : 5/18/2017

Meeting Type : Annual

ISIN : US65339F1012

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Sherry S. Barrat	For	For
1b	Elect Director James L. Camaren	For	For
1c	Elect Director Kenneth B. Dunn	For	For
1d	Elect Director Naren K. Gursahaney	For	For
1e	Elect Director Kirk S. Hachigian	For	For
1f	Elect Director Toni Jennings	For	For
1g	Elect Director Amy B. Lane	For	For
1h	Elect Director James L. Robo	For	For
1i	Elect Director Rudy E. Schupp	For	For
1j	Elect Director John L. Skolds	For	For
1k	Elect Director William H. Swanson	For	For
1l	Elect Director Hansel E. Tookes, II	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Approve Non-Employee Director Restricted Stock Plan	For	For
6	Report on Political Contributions	Against	For

Company : OGE Energy Corp.

Ticker : OGE

CUSIP No. : 670837103

Meeting Date : 5/18/2017

Meeting Type : Annual

ISIN : US6708371033

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Frank A. Bozich	For	For
1B	Elect Director James H. Brandi	For	For
1C	Elect Director Luke R. Corbett	For	For
1D	Elect Director David L. Hauser	For	For
1E	Elect Director Kirk Humphreys	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Utilities Sector Index ETF

1F	Elect Director Robert O. Lorenz	For	For
1G	Elect Director Judy R. McReynolds	For	For
1H	Elect Director Sheila G. Talton	For	For
1I	Elect Director Sean Trauschke	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

Company : Alliant Energy Corporation

Ticker : LNT

CUSIP No. : 018802108

Meeting Date : 5/23/2017

Meeting Type : Annual

ISIN : US0188021085

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Patrick E. Allen	For	For
1.2	Elect Director Patricia L. Kampling	For	For
1.3	Elect Director Singleton B. McAllister	For	For
1.4	Elect Director Susan D. Whiting	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	For	For
5	Report on Lobbying Payments and Political Contributions	Against	For

Company : CenturyLink, Inc.

Ticker : CTL

CUSIP No. : 156700106

Meeting Date : 5/24/2017

Meeting Type : Annual

ISIN : US1567001060

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Martha H. Bejar	For	For
1.2	Elect Director Virginia Boulet	For	For
1.3	Elect Director Peter C. Brown	For	For
1.4	Elect Director W. Bruce Hanks	For	For
1.5	Elect Director Mary L. Landrieu	For	For
1.6	Elect Director Harvey P. Perry	For	For
1.7	Elect Director Glen F. Post, III	For	For
1.8	Elect Director Michael J. Roberts	For	For
1.9	Elect Director Laurie A. Siegel	For	For
2	Ratify KPMG LLP as Auditors	For	For
3a	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3b	Advisory Vote on Say on Pay Frequency	One Year	One Year
4a	Adopt Share Retention Policy For Senior Executives	Against	For
4b	Report on Lobbying Payments and Policy	Against	For
4c	Report on Lobbying Payments and Policy	Against	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Utilities Sector Index ETF

Company : The Southern Company  
 Ticker : SO  
 CUSIP No. : 842587107

Meeting Date : 5/24/2017  
 Meeting Type : Annual  
 ISIN : US8425871071

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Juanita Powell Baranco	For	For
1b	Elect Director Jon A. Boscia	For	For
1c	Elect Director Henry A. 'Hal' Clark, III	For	For
1d	Elect Director Thomas A. Fanning	For	For
1e	Elect Director David J. Grain	For	For
1f	Elect Director Veronica M. Hagen	For	For
1g	Elect Director Warren A. Hood, Jr.	For	For
1h	Elect Director Linda P. Hudson	For	For
1i	Elect Director Donald M. James	For	For
1j	Elect Director John D. Johns	For	For
1k	Elect Director Dale E. Klein	For	For
1l	Elect Director William G. Smith, Jr.	For	For
1m	Elect Director Steven R. Specker	For	For
1n	Elect Director Larry D. Thompson	For	For
1o	Elect Director E. Jenner Wood, III	For	For
2	Reduce Supermajority Vote Requirement	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Ratify Deloitte & Touche LLP as Auditors	For	For
6	Report on Strategy for Aligning with 2 Degree Scenario	Against	For

Company : Level 3 Communications, Inc.  
 Ticker : LVLT  
 CUSIP No. : 52729N308

Meeting Date : 5/25/2017  
 Meeting Type : Annual  
 ISIN : US52729N3089

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director James O. Ellis, Jr.	For	For
1b	Elect Director Jeff K. Storey	For	For
1c	Elect Director Kevin P. Chilton	For	For
1d	Elect Director Steven T. Clontz	For	For
1e	Elect Director Irene M. Esteves	For	For
1f	Elect Director T. Michael Glenn	For	For
1g	Elect Director Spencer B. Hays	For	For
1h	Elect Director Michael J. Mahoney	For	For
1i	Elect Director Kevin W. Mooney	For	For
1j	Elect Director Peter Seah Lim Huat	For	For
1k	Elect Director Peter Van Oppen	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify KPMG LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Utilities Sector Index ETF

Company : Telephone and Data Systems, Inc.  
 Ticker : TDS  
 CUSIP No. : 879433829

Meeting Date : 5/25/2017  
 Meeting Type : Annual  
 ISIN : US8794338298

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Clarence A. Davis	For	For
1.2	Elect Director Kim D. Dixon	For	For
1.3	Elect Director Mitchell H. Saranow	For	For
1.4	Elect Director Gary L. Sugarman	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Approve Executive Incentive Bonus Plan	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
5	Advisory Vote on Say on Pay Frequency	One Year	One Year
6	Approve Recapitalization Plan for all Stock to Have One-vote per Share	Against	For

Company : PG&E Corporation  
 Ticker : PCG  
 CUSIP No. : 69331C108

Meeting Date : 5/30/2017  
 Meeting Type : Annual  
 ISIN : US69331C1080

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Lewis Chew	For	For
1.2	Elect Director Anthony F. Earley, Jr.	For	For
1.3	Elect Director Fred J. Fowler	For	For
1.4	Elect Director Jeh C. Johnson	For	For
1.5	Elect Director Richard C. Kelly	For	For
1.6	Elect Director Roger H. Kimmel	For	For
1.7	Elect Director Richard A. Meserve	For	For
1.8	Elect Director Forrest E. Miller	For	For
1.9	Elect Director Eric D. Mullins	For	For
1.10	Elect Director Rosendo G. Parra	For	For
1.11	Elect Director Barbara L. Rambo	For	For
1.12	Elect Director Anne Shen Smith	For	For
1.13	Elect Director Geisha J. Williams	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Cease Charitable Contributions	Against	Against

## Proxy Voting Results

### First Trust AlphaDEX™ US Utilities Sector Index ETF

Company : T-Mobile US, Inc.  
 Ticker : TMUS  
 CUSIP No. : 872590104

Meeting Date : 6/13/2017  
 Meeting Type : Annual  
 ISIN : US8725901040

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director W. Michael Barnes	For	For
1.2	Elect Director Thomas Dannenfeldt	For	Withhold
1.3	Elect Director Srikant M. Datar	For	For
1.4	Elect Director Lawrence H. Guffey	For	For
1.5	Elect Director Timotheus Hottges	For	Withhold
1.6	Elect Director Bruno Jacobfeuerborn	For	Withhold
1.7	Elect Director Raphael Kubler	For	Withhold
1.8	Elect Director Thorsten Langheim	For	Withhold
1.9	Elect Director John J. Legere	For	Withhold
1.10	Elect Director Teresa A. Taylor	For	For
1.11	Elect Director Kelvin R. Westbrook	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	Three Years	One Year
5	Adopt Proxy Access Right	Against	For
6	Pro-rata Vesting of Equity Awards	Against	For
7	Clawback of Incentive Payments	Against	For

Company : Avangrid, Inc.  
 Ticker : AGR  
 CUSIP No. : 05351W103

Meeting Date : 6/22/2017  
 Meeting Type : Annual  
 ISIN : US05351W1036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Ignacio Sanchez Galan	For	Withhold
1.2	Elect Director John E. Baldacci	For	Withhold
1.3	Elect Director Pedro Azagra Blazquez	For	Withhold
1.4	Elect Director Felipe de Jesus Calderon Hinojosa	For	For
1.5	Elect Director Arnold L. Chase	For	Withhold
1.6	Elect Director Alfredo Elias Ayub	For	For
1.7	Elect Director Carol L. Folt	For	For
1.8	Elect Director John L. Lahey	For	For
1.9	Elect Director Santiago Martinez Garrido	For	Withhold
1.10	Elect Director Juan Carlos Rebollo Liceaga	For	Withhold
1.11	Elect Director Jose Sainz Armada	For	Withhold
1.12	Elect Director Alan D. Solomont	For	For
1.13	Elect Director Elizabeth Timm	For	For
1.14	Elect Director James P. Torgerson	For	Withhold
2	Ratify KPMG US, LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ US Utilities Sector Index ETF

4	Adopt Majority Voting for Uncontested Election of Directors	For	For
5	Approve Increase in the Minimum Number of Independent Directors on the Board	For	For