

First Trust AlphaDEX™ Emerging Market Dividend ETF (CAD-Hedged)

Tickers: FDE/FDE.A

Quarter End Statement of Investments

1st Quarter as at March 31, 2021

Sector Allocation	% of Net Asset Value
Materials	24.85%
Information Technology	22.24%
Communication Services	15.17%
Energy	14.45%
Utilities	12.53%
Consumer Staples	5.58%
Consumer Discretionary	2.49%
Health Care	1.52%
Financials*	0.69%
Cash & Cash Equivalents	0.13%
Total	99.64%
Other Assets and Liabilities	0.36%
Total Net Asset Value	100.00%

*Financials Sector may include Real Estate

Country Allocation	% of Net Asset Value
Brazil	28.75%
China	16.03%
Taiwan	14.56%
Chile	9.57%
South Africa	7.84%
India	7.51%
Russia	3.82%
Columbia	3.61%
Mexico	2.95%
Indonesia	2.56%
Philippines	2.31%

Top 25 Holdings	% of Net Asset Value
Companhia Siderurgica Nacional S.A. (ADR)	6.25%
Sibanye Stillwater Ltd. (ADR)	5.42%
United Microelectronics Corporation (ADR)	5.18%
China Petroleum & Chemical Corporation (Sinopec) (ADR)	4.74%
ASE Industrial Holding Co., Ltd.	4.70%
PetroChina Company Limited (ADR)	4.60%
Centrais Eletricas Brasileiras S.A. (ADR)	4.27%
JOYY Inc. (ADR)	4.27%
Gerdau S.A. (ADR)	4.12%
Taiwan Semiconductor Manufacturing Company Ltd. (ADR)	3.95%
Vale S.A. (ADR)	3.87%
Enel Americas S.A. (ADR)	3.79%
Ecopetrol S.A. (ADR)	3.61%
Companhia Energetica de Minas Gerais-CEMIG (ADR)	3.04%
Compania Cervecierias Unidas S.A. (ADR)	3.01%
Wipro Limited (ADR)	3.00%
Infosys Limited (ADR)	2.99%
Sociedad Quimica y Minera de Chile S.A. (ADR)	2.74%
PT Telekomunikasi Indonesia (ADR)	2.56%
Sendas Distribuidora SA	2.49%
PLDT Inc. (ADR)	2.31%
HeadHunter Group Plc (ADR)	2.25%
AngloGold Ashanti Limited (ADR)	1.64%
Autohome Inc. (ADR)	1.60%
Mobile TeleSystems PJSC (ADR)	1.57%
Total Net Asset Value	\$5,716,982

Commissions, trailing commissions, management fees and expenses all may be associated with ETF investments. Please carefully read the prospectus of the ETF before investing. ETFs are not guaranteed, their values change frequently and past performance may not be repeated. The Quarter End Statement of Investments may change due to the ETF's ongoing portfolio transactions. Updates are available quarterly. Data is as of March 31, 2021 (unaudited).