

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

Company : **Affiliated Managers Group, Inc.**  
 Ticker : **AMG**  
 CUSIP No. : **008252108**

Meeting Date : **5/29/2019**  
 Meeting Type : **Annual**  
 ISIN : **US0082521081**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Samuel T. Byrne	For	For
1b	Elect Director Dwight D. Churchill	For	For
1c	Elect Director Nathaniel Dalton	For	For
1d	Elect Director Glenn Earle	For	For
1e	Elect Director Niall Ferguson	For	For
1f	Elect Director Sean M. Healey	For	For
1g	Elect Director Tracy P. Palandjian	For	For
1h	Elect Director Patrick T. Ryan	For	For
1i	Elect Director Karen L. Yerburgh	For	For
1j	Elect Director Jide J. Zeitlin	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : **Aflac Incorporated**  
 Ticker : **AFL**  
 CUSIP No. : **001055102**

Meeting Date : **5/6/2019**  
 Meeting Type : **Annual**  
 ISIN : **US0010551028**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Daniel P. Amos	For	For
1b	Elect Director W. Paul Bowers	For	For
1c	Elect Director Toshihiko Fukuzawa	For	For
1d	Elect Director Robert B. Johnson	For	For
1e	Elect Director Thomas J. Kenny	For	For
1f	Elect Director Georgette D. Kiser	For	For
1g	Elect Director Karole F. Lloyd	For	For
1h	Elect Director Joseph L. Moskowitz	For	For
1i	Elect Director Barbara K. Rimer	For	For
1j	Elect Director Katherine T. Rohrer	For	For
1k	Elect Director Melvin T. Stith	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditor	For	For

Company : **AGNC Investment Corp.**  
 Ticker : **AGNC**  
 CUSIP No. : **00123Q104**

Meeting Date : **4/18/2019**  
 Meeting Type : **Annual**  
 ISIN : **US00123Q1040**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Gary D. Kain	For	For
1.2	Elect Director Donna J. Blank	For	For
1.3	Elect Director Morris A. Davis	For	For
1.4	Elect Director Larry K. Harvey *Withdrawn Resolution*		

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1.5	Elect Director Prue B. Larocca	For	For
1.6	Elect Director Paul E. Mullings	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify Ernst & Young LLP as Auditors	For	For

**Company** : Alexandria Real Estate Equities, Inc.

**Ticker** : ARE

**CUSIP No.** : 015271109

**Meeting Date** : 5/9/2019

**Meeting Type** : Annual

**ISIN** : US0152711091

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Joel S. Marcus	For	For
1.2	Elect Director Steven R. Hash	For	For
1.3	Elect Director John L. Atkins, III	For	Against
1.4	Elect Director James P. Cain	For	Against
1.5	Elect Director Maria C. Freire	For	Against
1.6	Elect Director Richard H. Klein	For	For
1.7	Elect Director James H. Richardson	For	For
1.8	Elect Director Michael A. Woronoff	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

**Company** : Alleghany Corp.

**Ticker** : Y

**CUSIP No.** : 017175100

**Meeting Date** : 4/26/2019

**Meeting Type** : Annual

**ISIN** : US0171751003

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Karen Brenner	For	For
1.2	Elect Director John G. Foos	For	For
1.3	Elect Director Lauren M. Tyler	For	For
2	Ratify Ernst & Young LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

**Company** : Ally Financial, Inc.

**Ticker** : ALLY

**CUSIP No.** : 02005N100

**Meeting Date** : 5/7/2019

**Meeting Type** : Annual

**ISIN** : US02005N1000

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Franklin W. Hobbs	For	For
1.2	Elect Director Kenneth J. Bacon	For	For
1.3	Elect Director Katryn "Trynka" Shineman Blake	For	For
1.4	Elect Director Maureen A. Breakiron-Evans	For	For
1.5	Elect Director William H. Cary	For	For
1.6	Elect Director Mayree C. Clark	For	For
1.7	Elect Director Kim S. Fennebresque	For	For
1.8	Elect Director Marjorie Magner	For	For
1.9	Elect Director Brian H. Sharples	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1.10	Elect Director John J. Stack	For	For
1.11	Elect Director Michael F. Steib	For	For
1.12	Elect Director Jeffrey J. Brown	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditor	For	For

Company : American Express Company

Ticker : AXP

CUSIP No. : 025816109

Meeting Date : 5/7/2019

Meeting Type : Annual

ISIN : US0258161092

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Charlene Barshefsky	For	For
1b	Elect Director John J. Brennan	For	For
1c	Elect Director Peter Chernin	For	For
1d	Elect Director Ralph de la Vega	For	For
1e	Elect Director Anne Lauvergeon	For	For
1f	Elect Director Michael O. Leavitt	For	For
1g	Elect Director Theodore J. Leonsis	For	For
1h	Elect Director Stephen J. Squeri	For	For
1i	Elect Director Daniel L. Vasella	For	For
1j	Elect Director Ronald A. Williams	For	For
1k	Elect Director Christopher D. Young	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Provide Right to Act by Written Consent	Against	For
5	Adjust Executive Compensation Metrics for Share Buybacks	Against	Against
6	Report on Gender Pay Gap	Against	For

Company : American Financial Group, Inc.

Ticker : AFG

CUSIP No. : 025932104

Meeting Date : 5/22/2019

Meeting Type : Annual

ISIN : US0259321042

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Carl H. Lindner, III	For	For
1.2	Elect Director S. Craig Lindner	For	For
1.3	Elect Director Kenneth C. Ambrecht	For	For
1.4	Elect Director John B. Berding	For	For
1.5	Elect Director Joseph E. "Jeff" Consolino	For	For
1.6	Elect Director Virginia C. "Gina" Drosos	For	For
1.7	Elect Director James E. Evans	For	For
1.8	Elect Director Terry S. Jacobs	For	For
1.9	Elect Director Gregory G. Joseph	For	For
1.10	Elect Director Mary Beth Martin	For	For
1.11	Elect Director William W. Verity	For	For
1.12	Elect Director John I. Von Lehman	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

3 Advisory Vote to Ratify Named Executive Officers' Compensation For For

Company : American Tower Corporation

Meeting Date : 5/21/2019

Ticker : AMT

Meeting Type : Annual

CUSIP No. : 03027X100

ISIN : US03027X1000

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Raymond P. Dolan	For	For
1b	Elect Director Robert D. Hormats	For	For
1c	Elect Director Gustavo Lara Cantu	For	For
1d	Elect Director Grace D. Lieblein	For	For
1e	Elect Director Craig Macnab	For	For
1f	Elect Director JoAnn A. Reed	For	For
1g	Elect Director Pamela D.A. Reeve	For	For
1h	Elect Director David E. Sharbutt	For	For
1i	Elect Director James D. Taiclet	For	For
1j	Elect Director Samme L. Thompson	For	For
2	Ratify Deloitte & Touche LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Require Independent Board Chairman	Against	Against
5	Report on Political Contributions	Against	For

Company : Ameriprise Financial, Inc.

Meeting Date : 4/24/2019

Ticker : AMP

Meeting Type : Annual

CUSIP No. : 03076C106

ISIN : US03076C1062

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director James M. Cracchiolo	For	For
1b	Elect Director Dianne Neal Blixt	For	For
1c	Elect Director Amy DiGeso	For	For
1d	Elect Director Lon R. Greenberg	For	For
1e	Elect Director Jeffrey Noddle	For	For
1f	Elect Director Robert F. Sharpe, Jr.	For	For
1g	Elect Director W. Edward Walter, III	For	For
1h	Elect Director Christopher J. Williams	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Annaly Capital Management, Inc.

Meeting Date : 5/22/2019

Ticker : NLY

Meeting Type : Annual

CUSIP No. : 035710409

ISIN : US0357104092

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Kevin G. Keyes	For	For
1b	Elect Director Thomas Hamilton	For	For
1c	Elect Director Kathy Hopinkah Hannan	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1d	Elect Director Vicki Williams	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Increase Authorized Common Stock	For	For
4	Ratify Ernst & Young LLP as Auditors	For	For

Company : Aon plc  
 Ticker : AON  
 CUSIP No. : G0408V102

Meeting Date : 6/21/2019  
 Meeting Type : Annual  
 ISIN : GB00B5BT0K07

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Jin-Yong Cai	For	For
1.2	Elect Director Jeffrey C. Campbell	For	For
1.3	Elect Director Gregory C. Case	For	For
1.4	Elect Director Fulvio Conti	For	For
1.5	Elect Director Cheryl A. Francis	For	For
1.6	Elect Director Lester B. Knight	For	For
1.7	Elect Director J. Michael Losh	For	For
1.8	Elect Director Richard B. Myers	For	For
1.9	Elect Director Richard C. Notebaert	For	For
1.10	Elect Director Gloria Santona	For	For
1.11	Elect Director Carolyn Y. Woo	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote to Ratify Directors' Remuneration Report	For	For
4	Accept Financial Statements and Statutory Reports	For	For
5	Ratify Ernst & Young LLP as Auditors	For	For
6	Ratify Ernst & Young LLP as Aon's U.K. Statutory Auditor	For	For
7	Authorize Board to Fix Remuneration of Auditors	For	For
8	Amend Omnibus Stock Plan	For	Against
9	Approve Reduction of Capital	For	For
10	Adopt New Articles of Association	For	For
11	Authorize Shares for Market Purchase	For	For
12	Authorize Issue of Equity	For	For
	Issue of Equity or Equity-Linked Securities without Pre-emptive		
13	Rights	For	For
14	Approve Political Donations	For	For

Company : Apartment Investment And Management Company  
 Ticker : AIV  
 CUSIP No. : 03748R754

Meeting Date : 4/30/2019  
 Meeting Type : Annual  
 ISIN : US03748R7540

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Terry Considine	For	For
1.2	Elect Director Thomas L. Keltner	For	For
1.3	Elect Director J. Landis Martin	For	For
1.4	Elect Director Robert A. Miller	For	For
1.5	Elect Director Kathleen M. Nelson	For	For
1.6	Elect Director Ann Sperling	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1.7	Elect Director Michael A. Stein	For	For
1.8	Elect Director Nina A. Tran	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

**Company** : Apple Hospitality REIT, Inc.

**Ticker** : APLE

**CUSIP No.** : 03784Y200

**Meeting Date** : 5/16/2019

**Meeting Type** : Annual

**ISIN** : US03784Y2000

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Jon A. Fosheim	For	For
1.2	Elect Director Kristian M. Gathright	For	For
1.3	Elect Director Justin G. Knight	For	For
1.4	Elect Director Bruce H. Matson	For	For
1.5	Elect Director Blythe J. McGarvie	For	For
1.6	Elect Director L. Hugh Redd	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditor	For	For

**Company** : Arch Capital Group Ltd.

**Ticker** : AGL

**CUSIP No.** : G0450A105

**Meeting Date** : 5/8/2019

**Meeting Type** : Annual

**ISIN** : BMG0450A1053

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John L. Bunce, Jr.	For	For
1b	Elect Director Marc Grandisson	For	For
1c	Elect Director Eugene S. Sunshine	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditor	For	For
4a	Elect Director Robert Appleby as Designated Company Director of Non-U.S. Subsidiaries	For	For
4b	Elect Director Dennis R. Brand as Designated Company Director of Non-U.S. Subsidiaries	For	For
4c	Elect Director Graham B.R. Collis as Designated Company Director of Non-U.S. Subsidiaries	For	For
4d	Elect Director Matthew Dragonetti as Designated Company Director of Non-U.S. Subsidiaries	For	For
4e	Elect Director Jerome Halgan as Designated Company Director of Non-U.S. Subsidiaries	For	For
4f	Elect Director W. Preston Hutchings as Designated Company Director of Non-U.S. Subsidiaries	For	For
4g	Elect Director Pierre Jal as Designated Company Director of Non-U.S. Subsidiaries	For	For
4h	Elect Director Francois Morin as Designated Company Director of Non-U.S. Subsidiaries	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

4i	Elect Director David J. Mulholland as Designated Company Director of Non-U.S. Subsidiaries	For	For
4j	Elect Director Maamoun Rajeh as Designated Company Director of Non-U.S. Subsidiaries	For	For

Company : Arthur J. Gallagher & Co.

Ticker : AJG

CUSIP No. : 363576109

Meeting Date : 5/14/2019

Meeting Type : Annual

ISIN : US3635761097

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Sherry S. Barrat	For	For
1b	Elect Director William L. Bax	For	For
1c	Elect Director D. John Coldman	For	For
1d	Elect Director Frank E. English, Jr.	For	For
1e	Elect Director J. Patrick Gallagher, Jr.	For	For
1f	Elect Director David S. Johnson	For	For
1g	Elect Director Kay W. McCurdy	For	For
1h	Elect Director Ralph J. Nicoletti	For	For
1i	Elect Director Norman L. Rosenthal	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Associated Banc-Corp

Ticker : ASB

CUSIP No. : 045487105

Meeting Date : 4/30/2019

Meeting Type : Annual

ISIN : US0454871056

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John F. Bergstrom	For	For
1.2	Elect Director Michael T. Crowley, Jr.	For	For
1.3	Elect Director Philip B. Flynn	For	For
1.4	Elect Director R. Jay Gerken	For	For
1.5	Elect Director Judith P. Greffin	For	For
1.6	Elect Director Michael J. Haddad	For	For
1.7	Elect Director William R. Hutchinson	For	For
1.8	Elect Director Robert A. Jeffe	For	For
1.9	Elect Director Eileen A. Kamerick	For	For
1.10	Elect Director Gale E. Klappa	For	For
1.11	Elect Director Richard T. Lommen	For	For
1.12	Elect Director Corey L. Nettles	For	For
1.13	Elect Director Karen T. van Lith	For	For
1.14	Elect Director John (Jay) B. Williams	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

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### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

Company : Assurant, Inc.

Ticker : AIZ

CUSIP No. : 04621X108

Meeting Date : 5/7/2019

Meeting Type : Annual

ISIN : US04621X1081

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Elaine D. Rosen	For	For
1b	Elect Director Juan N. Cento	For	For
1c	Elect Director Alan B. Colberg	For	For
1d	Elect Director Harriet Edelman	For	For
1e	Elect Director Lawrence V. Jackson	For	For
1f	Elect Director Charles J. Koch	For	For
1g	Elect Director Jean-Paul L. Montupet	For	For
1h	Elect Director Debra J. Perry	For	For
1i	Elect Director Paul J. Reilly	For	For
1j	Elect Director Robert W. Stein	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Amend Omnibus Stock Plan	For	For

Company : Assured Guaranty Ltd.

Ticker : AGO

CUSIP No. : G0585R106

Meeting Date : 5/8/2019

Meeting Type : Annual

ISIN : BMG0585R1060

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Francisco L. Borges	For	For
1b	Elect Director G. Lawrence Buhl	For	For
1c	Elect Director Dominic J. Frederico	For	For
1d	Elect Director Bonnie L. Howard	For	For
1e	Elect Director Thomas W. Jones	For	For
1f	Elect Director Patrick W. Kenny	For	For
1g	Elect Director Alan J. Kreczko	For	For
1h	Elect Director Simon W. Leathes	For	For
1i	Elect Director Michael T. O'Kane	For	For
1j	Elect Director Yukiko Omura	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Amend Qualified Employee Stock Purchase Plan	For	For
4	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For
5aa	Elect Howard W. Albert as Director of Assured Guaranty Re Ltd	For	For
5ab	Elect Robert A. Bailenson as Director of Assured Guaranty Re Ltd	For	For
5ac	Elect Russell B. Brewer, II as Director of Assured Guaranty Re Ltd	For	For
5ad	Elect Gary Burnet as Director of Assured Guaranty Re Ltd	For	For
5ae	Elect Ling Chow as Director of Assured Guaranty Re Ltd	For	For



## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

5af	Elect Stephen Donnarumma as Director of Assured Guaranty Re Ltd	For	For
5ag	Elect Dominic J. Frederico as Director of Assured Guaranty Re Ltd	For	For
5ah	Elect Walter A. Scott as Director of Assured Guaranty Re Ltd	For	For
5B	Ratify PricewaterhouseCoopers LLP as Auditor of Assured Guaranty Re Ltd	For	For

Company : Athene Holding Ltd.

Ticker : ATH

CUSIP No. : G0684D107

Meeting Date : 6/4/2019

Meeting Type : Annual

ISIN : BMG0684D1074

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director James R. Belardi	For	Against
1.2	Elect Director Matthew R. Michellini	For	Against
1.3	Elect Director Brian Leach	For	Against
1.4	Elect Director Gernot Lohr	For	Against
1.5	Elect Director Marc Rowan	For	Against
1.6	Elect Director Scott Kleinman	For	For
1.7	Elect Director Mitra Hormozi	For	For
2.1	Elect Subsidiary Director James R. Belardi	For	For
2.2	Elect Subsidiary Director Robert L. Borden	For	For
2.3	Elect Subsidiary Director Frank L. Gillis	For	For
2.4	Elect Subsidiary Director Gernot Lohr	For	For
2.5	Elect Subsidiary Director Hope Scheffler Taitz	For	For
2.6	Elect Subsidiary Director William J. Wheeler	For	For
3.1	Elect Subsidiary Director Natasha Scotland Courcy	For	For
3.2	Elect Subsidiary Director Frank L. Gillis	For	For
3.3	Elect Subsidiary Director William J. Wheeler	For	For
4.1	Elect Subsidiary Director Natasha Scotland Courcy	For	For
4.2	Elect Subsidiary Director Frank L. Gillis	For	For
4.3	Elect Subsidiary Director William J. Wheeler	For	For
5.1	Elect Subsidiary Director Natasha Scotland Courcy	For	For
5.2	Elect Subsidiary Director William J. Wheeler	For	For
6	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
7	Authorize Board to Fix Remuneration of the Auditors	For	For
8	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
9	Amend Bylaws	For	For
10	Approve Omnibus Stock Plan	For	For
	Shareholder Represents that Neither they nor Any of its Tax Attributed Affiliates Owns Any Class B Shares or Any Equity Interests of Apollo Global Management or AP Alternative Assets. If You do not Mark Yes your Vote may Not Count. For = Yes;		
A	Against= No	None	Against

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

Shareholder Represents that it is Neither an Employee of Apollo Group nor a Management Shareholder, If You do not Mark Yes your Vote may Not Count For = Yes and Against= No

None                      Against

**Company** : AXA Equitable Holdings, Inc.  
**Ticker** : EQH  
**CUSIP No.** : 054561105

**Meeting Date** : 5/22/2019  
**Meeting Type** : Annual  
**ISIN** : US0545611057

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Thomas Buberl	For	For
1.2	Elect Director Gerald Harlin	For	For
1.3	Elect Director Daniel G. Kaye	For	For
1.4	Elect Director Kristi A. Matus	For	For
1.5	Elect Director Ramon de Oliveira	For	Withhold
1.6	Elect Director Mark Pearson	For	For
1.7	Elect Director Bertram L. Scott	For	For
1.8	Elect Director George Stansfield	For	For
1.9	Elect Director Charles G.T. Stonehill	For	Withhold
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year

**Company** : Axis Capital Holdings Limited  
**Ticker** : AXS  
**CUSIP No.** : G0692U109

**Meeting Date** : 5/2/2019  
**Meeting Type** : Annual  
**ISIN** : BMG0692U1099

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Albert A. Benchimol	For	For
1.2	Elect Director Christopher V. Greetham	For	For
1.3	Elect Director Maurice A. Keane	For	For
1.4	Elect Director Henry B. Smith	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation Approve Deloitte Ltd. as Auditors and Authorize Board to Fix	For	Against
3	Their Remuneration	For	For

**Company** : Bank of America Corporation  
**Ticker** : BAC  
**CUSIP No.** : 060505104

**Meeting Date** : 4/24/2019  
**Meeting Type** : Annual  
**ISIN** : US0605051046

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Sharon L. Allen	For	For
1b	Elect Director Susan S. Bies	For	For
1c	Elect Director Jack O. Bovender, Jr.	For	For
1d	Elect Director Frank P. Bramble, Sr.	For	For
1e	Elect Director Pierre J.P. de Weck	For	For
1f	Elect Director Arnold W. Donald	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1g	Elect Director Linda P. Hudson	For	For
1h	Elect Director Monica C. Lozano	For	For
1i	Elect Director Thomas J. May	For	For
1j	Elect Director Brian T. Moynihan	For	For
1k	Elect Director Lionel L. Nowell, III	For	For
1l	Elect Director Clayton S. Rose	For	For
1m	Elect Director Michael D. White	For	For
1n	Elect Director Thomas D. Woods	For	For
1o	Elect Director R. David Yost	For	For
1p	Elect Director Maria T. Zuber	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Amend Omnibus Stock Plan	For	For
5	Report on Gender Pay Gap	Against	For
6	Provide Right to Act by Written Consent	Against	For
7	Amend Proxy Access Right	Against	For

Company : Bank of Hawaii Corp.

Ticker : BOH

CUSIP No. : 062540109

Meeting Date : 4/26/2019

Meeting Type : Annual

ISIN : US0625401098

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director S. Haunani Apoliona	For	For
1.2	Elect Director Mary G. F. Bitterman	For	For
1.3	Elect Director Mark A. Burak	For	For
1.4	Elect Director John C. Erickson	For	For
1.5	Elect Director Joshua D. Feldman	For	For
1.6	Elect Director Peter S. Ho	For	For
1.7	Elect Director Robert Huret	For	For
1.8	Elect Director Kent T. Lucien	For	For
1.9	Elect Director Alicia E. Moy	For	For
1.10	Elect Director Victor K. Nichols	For	For
1.11	Elect Director Barbara J. Tanabe	For	For
1.12	Elect Director Raymond P. Vara, Jr.	For	For
1.13	Elect Director Robert W. Wo	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditor	For	For

Company : Bank OZK

Ticker : OZK

CUSIP No. : 06417N103

Meeting Date : 5/6/2019

Meeting Type : Annual

ISIN : US06417N1037

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Nicholas Brown	For	For
1b	Elect Director Paula Cholmondeley	For	For
1c	Elect Director Beverly Cole	For	For
1d	Elect Director Robert East	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1e	Elect Director Kathleen Franklin	For	For
1f	Elect Director Catherine B. Freedberg	For	For
1g	Elect Director Jeffrey Gearhart	For	For
1h	Elect Director George Gleason	For	For
1i	Elect Director Peter Kenny	For	For
1j	Elect Director William A. Koefoed, Jr.	For	For
1k	Elect Director Walter J. "Jack" Mullen, III	For	For
1l	Elect Director Christopher Orndorff	For	For
1m	Elect Director Robert Proost	For	For
1n	Elect Director John Reynolds	For	For
1o	Elect Director Steven Sadoff	For	For
1p	Elect Director Ross Whipple	For	For
2	Approve Omnibus Stock Plan	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : **BankUnited, Inc.**  
 Ticker : **BKU**  
 CUSIP No. : **06652K103**

Meeting Date : **5/15/2019**  
 Meeting Type : **Annual**  
 ISIN : **US06652K1034**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Rajinder P. Singh	For	For
1.2	Elect Director Tere Blanca	For	For
1.3	Elect Director John N. DiGiacomo	For	For
1.4	Elect Director Michael J. Dowling	For	For
1.5	Elect Director Douglas J. Pauls	For	For
1.6	Elect Director A. Gail Prudenti	For	For
1.7	Elect Director William S. Rubenstein	For	For
1.8	Elect Director Sanjiv Sobti	For	For
1.9	Elect Director Lynne Wines	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against

Company : **BB&T Corporation**  
 Ticker : **BBT**  
 CUSIP No. : **054937107**

Meeting Date : **4/30/2019**  
 Meeting Type : **Annual**  
 ISIN : **US0549371070**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Jennifer S. Banner	For	For
1.2	Elect Director K. David Boyer, Jr.	For	For
1.3	Elect Director Anna R. Cablik	For	For
1.4	Elect Director Patrick C. Graney, III	For	For
1.5	Elect Director I. Patricia Henry	For	For
1.6	Elect Director Kelly S. King	For	For
1.7	Elect Director Louis B. Lynn	For	For
1.8	Elect Director Easter A. Maynard	For	For
1.9	Elect Director Charles A. Patton	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1.10	Elect Director Nido R. Qubein	For	For
1.11	Elect Director William J. Reuter	For	For
1.12	Elect Director Tollie W. Rich, Jr.	For	For
1.13	Elect Director Christine Sears	For	For
1.14	Elect Director Thomas E. Skains	For	For
1.15	Elect Director Thomas N. Thompson	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : [Berkshire Hathaway Inc.](#)

Ticker : [BRK.B](#)

CUSIP No. : [084670702](#)

Meeting Date : [5/4/2019](#)

Meeting Type : [Annual](#)

ISIN : [US0846707026](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Warren E. Buffett	For	For
1.2	Elect Director Charles T. Munger	For	For
1.3	Elect Director Gregory E. Abel	For	For
1.4	Elect Director Howard G. Buffett	For	For
1.5	Elect Director Stephen B. Burke	For	For
1.6	Elect Director Susan L. Decker	For	For
1.7	Elect Director William H. Gates, III	For	For
1.8	Elect Director David S. Gottesman	For	For
1.9	Elect Director Charlotte Guyman	For	For
1.10	Elect Director Ajit Jain	For	For
1.11	Elect Director Thomas S. Murphy	For	For
1.12	Elect Director Ronald L. Olson	For	For
1.13	Elect Director Walter Scott, Jr.	For	Withhold
1.14	Elect Director Meryl B. Witmer	For	For

Company : [BGC Partners, Inc.](#)

Ticker : [BGCP](#)

CUSIP No. : [05541T101](#)

Meeting Date : [6/25/2019](#)

Meeting Type : [Annual](#)

ISIN : [US05541T1016](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Howard W. Lutnick	For	Withhold
1.2	Elect Director Stephen T. Curwood	For	Withhold
1.3	Elect Director William J. Moran	For	Withhold
1.4	Elect Director Linda A. Bell	For	Withhold
1.5	Elect Director David P. Richards	For	For

Company : [BlackRock, Inc.](#)

Ticker : [BLK](#)

CUSIP No. : [09247X101](#)

Meeting Date : [5/23/2019](#)

Meeting Type : [Annual](#)

ISIN : [US09247X1019](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Bader M. Alsaad	For	For
1b	Elect Director Mathis Cabiallavetta	For	For
1c	Elect Director Pamela Daley	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1d	Elect Director William S. Demchak	For	For
1e	Elect Director Jessica P. Einhorn	For	For
1f	Elect Director Laurence D. Fink	For	For
1g	Elect Director William E. Ford	For	For
1h	Elect Director Fabrizio Freda	For	For
1i	Elect Director Murry S. Gerber	For	For
1j	Elect Director Margaret L. Johnson	For	For
1k	Elect Director Robert S. Kapito	For	For
1l	Elect Director Cheryl D. Mills	For	For
1m	Elect Director Gordon M. Nixon	For	For
1n	Elect Director Charles H. Robbins	For	For
1o	Elect Director Ivan G. Seidenberg	For	For
1p	Elect Director Marco Antonio Slim Domit	For	For
1q	Elect Director Susan L. Wagner	For	For
1r	Elect Director Mark Wilson	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte LLP as Auditors	For	For
4	Report on Lobbying Payments and Policy	Against	For
5	Adopt Simple Majority Vote	Against	Against

Company : BOK Financial Corp.

Ticker : BOKF

CUSIP No. : 05561Q201

Meeting Date : 4/30/2019

Meeting Type : Annual

ISIN : US05561Q2012

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Alan S. Armstrong	For	For
1.2	Elect Director C. Fred Ball, Jr.	For	Withhold
1.3	Elect Director Steven Bangert	For	Withhold
1.4	Elect Director Peter C. Boylan, III	For	For
1.5	Elect Director Steven G. Bradshaw	For	Withhold
1.6	Elect Director Chester E. Cadieux, III	For	Withhold
1.7	Elect Director Gerard P. Clancy	For	For
1.8	Elect Director John W. Coffey	For	For
1.9	Elect Director Joseph W. Craft, III	For	For
1.10	Elect Director Jack E. Finley	For	Withhold
1.11	Elect Director David F. Griffin	For	For
1.12	Elect Director V. Burns Hargis	For	For
1.13	Elect Director Douglas D. Hawthorne	For	Withhold
1.14	Elect Director Kimberley D. Henry	For	For
1.15	Elect Director E. Carey Joullian, IV	For	Withhold
1.16	Elect Director George B. Kaiser	For	Withhold
1.17	Elect Director Stanley A. Lybarger	For	Withhold
1.18	Elect Director Steven J. Malcolm	For	For
1.19	Elect Director Steven E. Nell	For	Withhold
1.20	Elect Director E. C. Richards	For	For
1.21	Elect Director Claudia San Pedro	For	For
1.22	Elect Director Michael C. Turpen	For	For
1.23	Elect Director R. A. Walker	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

2	Ratify Ernst & Young LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

**Company** : Brandywine Realty Trust

**Ticker** : BDN

**CUSIP No.** : 105368203

**Meeting Date** : 5/22/2019

**Meeting Type** : Annual

**ISIN** : US1053682035

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director James C. Diggs	For	For
1b	Elect Director Wyche Fowler	For	For
1c	Elect Director H. Richard Haverstick, Jr.	For	For
1d	Elect Director Terri A. Herubin	For	For
1e	Elect Director Michael J. Joyce	For	For
1f	Elect Director Anthony A. Nichols, Sr.	For	For
1g	Elect Director Charles P. Pizzi	For	For
1h	Elect Director Gerard H. Sweeney	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

**Company** : Brighthouse Financial, Inc.

**Ticker** : BHF

**CUSIP No.** : 10922N103

**Meeting Date** : 6/13/2019

**Meeting Type** : Annual

**ISIN** : US10922N1037

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Irene Chang Britt	For	Withhold
1b	Elect Director C. Edward (Chuck) Chaplin	For	For
1c	Elect Director Eileen A. Mallesch	For	For
1d	Elect Director Paul M. Wetzel	For	Withhold
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

**Company** : Brixmor Property Group Inc.

**Ticker** : BRX

**CUSIP No.** : 11120U105

**Meeting Date** : 5/15/2019

**Meeting Type** : Annual

**ISIN** : US11120U1051

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director James M. Taylor, Jr.	For	For
1.2	Elect Director John G. Schreiber	For	For
1.3	Elect Director Michael Berman	For	For
1.4	Elect Director Julie Bowerman	For	For
1.5	Elect Director Sheryl M. Crosland	For	For
1.6	Elect Director Thomas W. Dickson	For	For
1.7	Elect Director Daniel B. Hurwitz	For	For
1.8	Elect Director William D. Rahm	For	For
1.9	Elect Director Gabrielle Sulzberger	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

3 Advisory Vote to Ratify Named Executive Officers' Compensation For For

Company : Broadridge Financial Solutions, Inc.

Meeting Date : 11/8/2018

Ticker : BR

Meeting Type : Annual

CUSIP No. : 11133T103

ISIN : US11133T1034

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Leslie A. Brun	For	For
1b	Elect Director Pamela L. Carter	For	For
1c	Elect Director Richard J. Daly	For	For
1d	Elect Director Robert N. Duelks	For	For
1e	Elect Director Brett A. Keller	For	For
1f	Elect Director Stuart R. Levine	For	For
1g	Elect Director Maura A. Markus	For	For
1h	Elect Director Thomas J. Perna	For	For
1i	Elect Director Alan J. Weber	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Approve Omnibus Stock Plan	For	For
4	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Brown & Brown, Inc.

Meeting Date : 5/1/2019

Ticker : BRO

Meeting Type : Annual

CUSIP No. : 115236101

ISIN : US1152361010

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director J. Hyatt Brown	For	For
1.2	Elect Director Samuel P. Bell, III	For	For
1.3	Elect Director Hugh M. Brown	For	For
1.4	Elect Director J. Powell Brown	For	For
1.5	Elect Director Bradley Currey, Jr.	For	For
1.6	Elect Director Lawrence L. Gellerstedt, III	For	For
1.7	Elect Director James C. Hays	For	For
1.8	Elect Director Theodore J. Hoepner	For	For
1.9	Elect Director James S. Hunt	For	For
1.10	Elect Director Toni Jennings	For	For
1.11	Elect Director Timothy R.M. Main	For	For
1.12	Elect Director H. Palmer Proctor, Jr.	For	For
1.13	Elect Director Wendell S. Reilly	For	For
1.14	Elect Director Chilton D. Varner	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Approve Omnibus Stock Plan	For	For



## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

Company : Capital One Financial Corporation  
 Ticker : COF  
 CUSIP No. : 14040H105

Meeting Date : 5/2/2019  
 Meeting Type : Annual  
 ISIN : US14040H1059

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Richard D. Fairbank	For	For
1B	Elect Director Aparna Chennapragada	For	For
1C	Elect Director Ann Fritz Hackett	For	For
1D	Elect Director Peter Thomas Killalea	For	For
1E	Elect Director Cornelis Petrus Adrianus Joseph "Eli" Leenaars	For	For
1F	Elect Director Pierre E. Leroy	For	For
1G	Elect Director Francois Locoh-Donou	For	For
1H	Elect Director Peter E. Raskind	For	For
1I	Elect Director Mayo A. Shattuck, III	For	For
1J	Elect Director Bradford H. Warner	For	For
1K	Elect Director Catherine G. West	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Amend Omnibus Stock Plan	For	For
5	Provide Right to Act by Written Consent	Against	For

Company : Cboe Global Markets, Inc.  
 Ticker : CBOE  
 CUSIP No. : 12503M108

Meeting Date : 5/16/2019  
 Meeting Type : Annual  
 ISIN : US12503M1080

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Edward T. Tilly	For	For
1.2	Elect Director Eugene S. Sunshine	For	For
1.3	Elect Director Frank E. English, Jr.	For	For
1.4	Elect Director William M. Farrow, III	For	For
1.5	Elect Director Edward J. Fitzpatrick	For	For
1.6	Elect Director Janet P. Froetscher	For	For
1.7	Elect Director Jill R. Goodman	For	For
1.8	Elect Director Roderick A. Palmore	For	For
1.9	Elect Director James E. Parisi	For	For
1.10	Elect Director Joseph P. Ratterman	For	For
1.11	Elect Director Michael L. Richter	For	For
1.12	Elect Director Jill E. Sommers	For	For
1.13	Elect Director Carole E. Stone	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

Company : CBRE Group, Inc.

Ticker : CBRE

CUSIP No. : 12504L109

Meeting Date : 5/17/2019

Meeting Type : Annual

ISIN : US12504L1098

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Brandon B. Boze	For	For
1b	Elect Director Beth F. Cobert	For	For
1c	Elect Director Curtis F. Feeny	For	For
1d	Elect Director Reginald H. Gilyard	For	For
1e	Elect Director Shira D. Goodman	For	For
1f	Elect Director Christopher T. Jenny	For	For
1g	Elect Director Gerardo I. Lopez	For	For
1h	Elect Director Robert E. Sulentic	For	For
1i	Elect Director Laura D. Tyson	For	For
1j	Elect Director Ray Wirta	For	For
1k	Elect Director Sanjiv Yajnik	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Approve Omnibus Stock Plan	For	For
5	Amend Proxy Access Right	Against	For
6	Report on Impact of Mandatory Arbitration Policies	Against	For

Company : Chimera Investment Corporation

Ticker : CIM

CUSIP No. : 16934Q208

Meeting Date : 5/30/2019

Meeting Type : Annual

ISIN : US16934Q2084

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John P. Reilly	For	For
1b	Elect Director Matthew Lambiase	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

Company : Chubb Limited

Ticker : CB

CUSIP No. : H1467J104

Meeting Date : 5/16/2019

Meeting Type : Annual

ISIN : CH0044328745

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Accept Financial Statements and Statutory Reports	For	For
2.1	Allocate Disposable Profit	For	For
2.2	Approve Dividend Distribution From Legal Reserves Through Capital Contributions Reserve Subaccount	For	For
3	Approve Discharge of Board of Directors	For	For
4.1	Ratify PricewaterhouseCoopers AG (Zurich) as Statutory Auditor	For	For
4.2	Ratify PricewaterhouseCoopers LLP (United States) as Independent Registered Accounting Firm	For	For
4.3	Ratify BDO AG (Zurich) as Special Audit Firm	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

5.1	Elect Director Evan G. Greenberg	For	For
5.2	Elect Director Robert M. Hernandez	For	For
5.3	Elect Director Michael G. Atieh	For	For
5.4	Elect Director Sheila P. Burke	For	For
5.5	Elect Director James I. Cash	For	For
5.6	Elect Director Mary Cirillo	For	For
5.7	Elect Director Michael P. Connors	For	For
5.8	Elect Director John A. Edwardson	For	For
5.9	Elect Director Kimberly A. Ross	For	For
5.10	Elect Director Robert W. Scully	For	For
5.11	Elect Director Eugene B. Shanks, Jr.	For	For
5.12	Elect Director Theodore E. Shasta	For	For
5.13	Elect Director David H. Sidwell	For	For
5.14	Elect Director Olivier Steimer	For	For
6	Elect Evan G. Greenberg as Board Chairman	For	Against
	Elect Michael P. Connors as Member of the Compensation		
7.1	Committee	For	For
7.2	Elect Mary Cirillo as Member of the Compensation Committee	For	For
	Elect John A. Edwardson as Member of the Compensation		
7.3	Committee	For	For
	Elect Robert M. Hernandez as Member of the Compensation		
7.4	Committee	For	For
8	Designate Homburger AG as Independent Proxy	For	For
9.1	Approve the Maximum Aggregate Remuneration of Directors	For	For
	Approve Remuneration of Executive Management in the		
9.2	Amount of USD 43 Million for Fiscal 2020	For	For
10	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
11	Transact Other Business (Voting)	For	Against

**Company** : Cincinnati Financial Corporation

**Ticker** : CINF

**CUSIP No.** : 172062101

**Meeting Date** : 4/27/2019

**Meeting Type** : Annual

**ISIN** : US1720621010

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director William F. Bahl	For	For
1.2	Elect Director Gregory T. Bier	For	For
1.3	Elect Director Linda W. Clement-Holmes	For	For
1.4	Elect Director Dirk J. Debbink	For	For
1.5	Elect Director Steven J. Johnston	For	For
1.6	Elect Director Kenneth C. Lichtendahl	For	For
1.7	Elect Director W. Rodney McMullen	For	For
1.8	Elect Director David P. Osborn	For	For
1.9	Elect Director Gretchen W. Price	For	For
1.10	Elect Director Thomas R. Schiff	For	For
1.11	Elect Director Douglas S. Skidmore	For	For
1.12	Elect Director Kenneth W. Stecher	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1.13	Elect Director John F. Steele, Jr.	For	For
1.14	Elect Director Larry R. Webb	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditor	For	For

Company : CIT Group Inc.

Ticker : CIT

CUSIP No. : 125581801

Meeting Date : 5/14/2019

Meeting Type : Annual

ISIN : US1255818015

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Ellen R. Alemany	For	For
1b	Elect Director Michael L. Brosnan	For	For
1c	Elect Director Michael A. Carpenter	For	For
1d	Elect Director Dorene C. Dominguez	For	For
1e	Elect Director Alan Frank	For	For
1f	Elect Director William M. Freeman	For	For
1g	Elect Director R. Brad Oates	For	For
1h	Elect Director Gerald Rosenfeld	For	For
1i	Elect Director John R. Ryan	For	For
1j	Elect Director Sheila A. Stamps	For	For
1k	Elect Director Khanh T. Tran	For	For
1l	Elect Director Laura S. Unger	For	For
2	Ratify Deloitte & Touche LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against

Company : Citizens Financial Group, Inc.

Ticker : CFG

CUSIP No. : 174610105

Meeting Date : 4/25/2019

Meeting Type : Annual

ISIN : US1746101054

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Bruce Van Saun	For	For
1.2	Elect Director Mark Casady	For	For
1.3	Elect Director Christine M. Cumming	For	For
1.4	Elect Director William P. Hankowsky	For	For
1.5	Elect Director Howard W. Hanna, III	For	For
1.6	Elect Director Leo I. "Lee" Higdon	For	For
1.7	Elect Director Edward J. "Ned" Kelly, III	For	For
1.8	Elect Director Charles J. "Bud" Koch	For	For
1.9	Elect Director Terrance J. Lillis	For	For
1.10	Elect Director Shivan Subramaniam	For	For
1.11	Elect Director Wendy A. Watson	For	For
1.12	Elect Director Marita Zuraitis	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

Company : CME Group Inc.  
 Ticker : CME  
 CUSIP No. : 12572Q105

Meeting Date : 5/8/2019  
 Meeting Type : Annual  
 ISIN : US12572Q1058

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Terrence A. Duffy	For	For
1b	Elect Director Timothy S. Bitsberger	For	For
1c	Elect Director Charles P. Carey	For	For
1d	Elect Director Dennis H. Chookaszian	For	For
1e	Elect Director Ana Dutra	For	For
1f	Elect Director Martin J. Gepsman	For	For
1g	Elect Director Larry G. Gerdes	For	For
1h	Elect Director Daniel R. Glickman	For	For
1i	Elect Director Daniel G. Kaye	For	For
1j	Elect Director Phyllis M. Lockett	For	For
1k	Elect Director Deborah J. Lucas	For	For
1l	Elect Director Alex J. Pollock	For	For
1m	Elect Director Terry L. Savage	For	For
1n	Elect Director William R. Shepard	For	For
1o	Elect Director Howard J. Siegel	For	For
1p	Elect Director Michael A. Spencer	For	For
1q	Elect Director Dennis A. Suskind	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : CME Group, Inc.  
 Ticker : CME  
 CUSIP No. : 12572Q105

Meeting Date : 11/29/2018  
 Meeting Type : Special  
 ISIN : US12572Q1058

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Eliminate All or Some of the Class B Election Rights	For	For

Company : CNA Financial Corp.  
 Ticker : CNA  
 CUSIP No. : 126117100

Meeting Date : 4/24/2019  
 Meeting Type : Annual  
 ISIN : US1261171003

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Michael A. Bless	For	For
1.2	Elect Director Jose O. Montemayor	For	For
1.3	Elect Director Don M. Randel	For	For
1.4	Elect Director Andre Rice	For	For
1.5	Elect Director Dino E. Robusto	For	Withhold
1.6	Elect Director Kenneth I. Siegel	For	Withhold
1.7	Elect Director Andrew H. Tisch	For	Withhold
1.8	Elect Director Benjamin J. Tisch	For	Withhold
1.9	Elect Director James S. Tisch	For	Withhold
1.10	Elect Director Jane J. Wang	For	Withhold
1.11	Elect Director Marvin Zonis	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditor	For	For

Company : Comerica Incorporated

Ticker : CMA

CUSIP No. : 200340107

Meeting Date : 4/23/2019

Meeting Type : Annual

ISIN : US2003401070

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Ralph W. Babb, Jr.	For	For
1.2	Elect Director Michael E. Collins	For	For
1.3	Elect Director Roger A. Cregg	For	For
1.4	Elect Director T. Kevin DeNicola	For	For
1.5	Elect Director Curtis C. Farmer	For	For
1.6	Elect Director Jacqueline P. Kane	For	For
1.7	Elect Director Richard G. Lindner	For	For
1.8	Elect Director Barbara R. Smith	For	For
1.9	Elect Director Robert S. Taubman	For	For
1.10	Elect Director Reginald M. Turner, Jr.	For	For
1.11	Elect Director Nina G. Vaca	For	For
1.12	Elect Director Michael G. Van de Ven	For	For
2	Ratify Ernst & Young as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : CoreLogic, Inc.

Ticker : CLGX

CUSIP No. : 21871D103

Meeting Date : 4/30/2019

Meeting Type : Annual

ISIN : US21871D1037

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director J. David Chatham	For	For
1.2	Elect Director Douglas C. Curling	For	For
1.3	Elect Director John C. Dorman	For	For
1.4	Elect Director Paul F. Folino	For	For
1.5	Elect Director Frank D. Martell	For	For
1.6	Elect Director Claudia Fan Munce	For	For
1.7	Elect Director Thomas C. O'Brien	For	For
1.8	Elect Director Vikrant Raina	For	For
1.9	Elect Director Jaynie Miller Studenmund	For	For
1.10	Elect Director David F. Walker	For	For
1.11	Elect Director Mary Lee Widener	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

Company : Credit Acceptance Corporation  
 Ticker : CACC  
 CUSIP No. : 225310101

Meeting Date : 6/5/2019  
 Meeting Type : Annual  
 ISIN : US2253101016

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Glenda J. Flanagan	For	For
1.2	Elect Director Brett A. Roberts	For	For
1.3	Elect Director Thomas N. Tryforos	For	For
1.4	Elect Director Scott J. Vassalluzzo	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Grant Thornton LLP as Auditor	For	For

Company : Crown Castle International Corp.  
 Ticker : CCI  
 CUSIP No. : 22822V101

Meeting Date : 5/16/2019  
 Meeting Type : Annual  
 ISIN : US22822V1017

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director P. Robert Bartolo	For	For
1b	Elect Director Jay A. Brown	For	For
1c	Elect Director Cindy Christy	For	For
1d	Elect Director Ari Q. Fitzgerald	For	For
1e	Elect Director Robert E. Garrison, II	For	For
1f	Elect Director Andrea J. Goldsmith	For	For
1g	Elect Director Lee W. Hogan	For	For
1h	Elect Director Edward C. Hutcheson, Jr.	For	For
1i	Elect Director J. Landis Martin	For	For
1j	Elect Director Robert F. McKenzie	For	For
1k	Elect Director Anthony J. Melone	For	For
1l	Elect Director W. Benjamin Moreland	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Cullen/Frost Bankers, Inc.  
 Ticker : CFR  
 CUSIP No. : 229899109

Meeting Date : 4/24/2019  
 Meeting Type : Annual  
 ISIN : US2298991090

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Carlos Alvarez	For	For
1.2	Elect Director Chris M. Avery	For	For
1.3	Elect Director Cynthia J. Comparin	For	For
1.4	Elect Director Samuel G. Dawson	For	For
1.5	Elect Director Crawford H. Edwards	For	For
1.6	Elect Director Patrick B. Frost	For	For
1.7	Elect Director Phillip D. Green	For	For
1.8	Elect Director David J. Haemisegger	For	For
1.9	Elect Director Jarvis V. Hollingsworth	For	For
1.10	Elect Director Karen E. Jennings	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1.11	Elect Director Richard M. Kleberg, III	For	For
1.12	Elect Director Charles W. Matthews	For	Against
1.13	Elect Director Ida Clement Steen	For	For
1.14	Elect Director Graham Weston	For	For
1.15	Elect Director Horace Wilkins, Jr.	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Discover Financial Services

Ticker : DFS

CUSIP No. : 254709108

Meeting Date : 5/16/2019

Meeting Type : Annual

ISIN : US2547091080

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Jeffrey S. Aronin	For	For
1.2	Elect Director Mary K. Bush	For	For
1.3	Elect Director Gregory C. Case	For	For
1.4	Elect Director Candace H. Duncan	For	For
1.5	Elect Director Joseph F. Eazor	For	For
1.6	Elect Director Cynthia A. Glassman	For	For
1.7	Elect Director Roger C. Hochschild	For	For
1.8	Elect Director Thomas G. Maheras	For	For
1.9	Elect Director Michael H. Moskow	For	For
1.10	Elect Director Mark A. Thierer	For	For
1.11	Elect Director Lawrence A. Weinbach	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For
4	Eliminate Supermajority Vote Requirement to Amend Bylaws	For	For
5	Provide Right to Call Special Meeting	For	For
6	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For

Company : Duke Realty Corporation

Ticker : DRE

CUSIP No. : 264411505

Meeting Date : 4/24/2019

Meeting Type : Annual

ISIN : US2644115055

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John P. Case	For	For
1b	Elect Director James B. Connor	For	For
1c	Elect Director Ngaire E. Cuneo	For	For
1d	Elect Director Charles R. Eitel	For	For
1e	Elect Director Norman K. Jenkins	For	For
1f	Elect Director Melanie R. Sabelhaus	For	For
1g	Elect Director Peter M. Scott, III	For	For
1h	Elect Director David P. Stockert	For	For
1i	Elect Director Chris Sultemeier	For	For
1j	Elect Director Michael E. Szymanczyk	For	For



## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1k	Elect Director Warren M. Thompson	For	For
1l	Elect Director Lynn C. Thurber	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditor	For	For

**Company** : Dun & Bradstreet Corp.

**Ticker** : DNB

**CUSIP No.** : 26483E100

**Meeting Date** : 11/7/2018

**Meeting Type** : Special

**ISIN** : US26483E1001

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Merger Agreement	For	For
2	Advisory Vote on Golden Parachutes	For	For
3	Adjourn Meeting	For	For

**Company** : E\*TRADE Financial Corporation

**Ticker** : ETFC

**CUSIP No.** : 269246401

**Meeting Date** : 5/9/2019

**Meeting Type** : Annual

**ISIN** : US2692464017

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Richard J. Carbone	For	For
1b	Elect Director Robert J. Chersi	For	For
1c	Elect Director Jaime W. Ellertson	For	For
1d	Elect Director James P. Healy	For	For
1e	Elect Director Kevin T. Kabat	For	For
1f	Elect Director James Lam	For	For
1g	Elect Director Rodger A. Lawson	For	For
1h	Elect Director Shelley B. Leibowitz	For	For
1i	Elect Director Karl A. Roessner	For	For
1j	Elect Director Rebecca Saeger	For	For
1k	Elect Director Donna L. Weaver	For	For
1l	Elect Director Joshua A. Weinreich	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditor	For	For

**Company** : East West Bancorp, Inc.

**Ticker** : EWBC

**CUSIP No.** : 27579R104

**Meeting Date** : 5/21/2019

**Meeting Type** : Annual

**ISIN** : US27579R1041

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Molly Campbell	For	For
1.2	Elect Director Iris S. Chan	For	For
1.3	Elect Director Rudolph I. Estrada	For	For
1.4	Elect Director Paul H. Irving	For	For
1.5	Elect Director Herman Y. Li	For	For
1.6	Elect Director Jack C. Liu	For	For
1.7	Elect Director Dominic Ng	For	For
1.8	Elect Director Lester M. Sussman	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : **EPR Properties**

Ticker : **EPR**

CUSIP No. : **26884U109**

Meeting Date : **5/30/2019**

Meeting Type : **Annual**

ISIN : **US26884U1097**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Barrett Brady	For	For
1.2	Elect Director Peter C. Brown	For	For
1.3	Elect Director James B. Connor	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : **Equifax Inc.**

Ticker : **EFX**

CUSIP No. : **294429105**

Meeting Date : **5/2/2019**

Meeting Type : **Annual**

ISIN : **US2944291051**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Mark W. Begor	For	For
1b	Elect Director Mark L. Feidler	For	For
1c	Elect Director G. Thomas Hough	For	For
1d	Elect Director Robert D. Marcus	For	For
1e	Elect Director Siri S. Marshall	For	For
1f	Elect Director Scott A. McGregor	For	For
1g	Elect Director John A. McKinley	For	For
1h	Elect Director Robert W. Selander	For	For
1i	Elect Director Elane B. Stock	For	For
1j	Elect Director Heather H. Wilson	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

Company : **Equinix, Inc.**

Ticker : **EQIX**

CUSIP No. : **29444U700**

Meeting Date : **5/30/2019**

Meeting Type : **Annual**

ISIN : **US29444U7000**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Thomas Bartlett	For	For
1.2	Elect Director Nanci Caldwell	For	For
1.3	Elect Director Gary Hromadko	For	For
1.4	Elect Director Scott Kriens	For	For
1.5	Elect Director William Luby	For	For
1.6	Elect Director Irving Lyons, III	For	For
1.7	Elect Director Charles Meyers	For	For
1.8	Elect Director Christopher Paisley	For	For
1.9	Elect Director Peter Van Camp	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Approve Omnibus Stock Plan	For	For
4	Ratify PricewaterhouseCoopers LLP as Auditor	For	For
5	Report on Political Contributions	Against	For

**Company** : Equity Commonwealth

**Ticker** : EQC

**CUSIP No.** : 294628102

**Meeting Date** : 6/20/2019

**Meeting Type** : Annual

**ISIN** : US2946281027

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Sam Zell	For	For
1.2	Elect Director James S. Corl	For	For
1.3	Elect Director Martin L. Edelman	For	For
1.4	Elect Director Edward A. Glickman	For	For
1.5	Elect Director David A. Helfand	For	For
1.6	Elect Director Peter Linneman	For	For
1.7	Elect Director James L. Lozier, Jr.	For	For
1.8	Elect Director Mary Jane Robertson	For	For
1.9	Elect Director Kenneth Shea	For	For
1.10	Elect Director Gerald A. Spector	For	For
1.11	Elect Director James A. Star	For	For

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For
4	Amend Omnibus Stock Plan	For	For

**Company** : Equity LifeStyle Properties, Inc.

**Ticker** : ELS

**CUSIP No.** : 29472R108

**Meeting Date** : 4/30/2019

**Meeting Type** : Annual

**ISIN** : US29472R1086

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Philip Calian	For	Withhold
1.2	Elect Director David Contis	For	Withhold
1.3	Elect Director Constance Freedman	For	For
1.4	Elect Director Thomas Heneghan	For	For
1.5	Elect Director Tao Huang	For	For
1.6	Elect Director Marguerite Nader	For	For
1.7	Elect Director Scott Peppet	For	For
1.8	Elect Director Sheli Rosenberg	For	Withhold
1.9	Elect Director Samuel Zell	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Increase Authorized Common Stock	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

Company : Euronet Worldwide, Inc.

Ticker : EEFT

CUSIP No. : 298736109

Meeting Date : 5/23/2019

Meeting Type : Annual

ISIN : US2987361092

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Michael J. Brown	For	For
1.2	Elect Director Andrew B. Schmitt	For	For
1.3	Elect Director Jeannine Strandjord	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Evercore Inc.

Ticker : EVR

CUSIP No. : 29977A105

Meeting Date : 6/18/2019

Meeting Type : Annual

ISIN : US29977A1051

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Roger C. Altman	For	For
1.2	Elect Director Richard I. Beattie	For	For
1.3	Elect Director Ellen V. Futter	For	For
1.4	Elect Director Gail B. Harris	For	For
1.5	Elect Director Robert B. Millard	For	For
1.6	Elect Director Willard J. Overlock, Jr.	For	For
1.7	Elect Director Simon M. Robertson	For	For
1.8	Elect Director Ralph L. Schlosstein	For	For
1.9	Elect Director John S. Weinberg	For	For
1.10	Elect Director William J. Wheeler	For	For
1.11	Elect Director Sarah K. Williamson	For	For
1.12	Elect Director Kendrick R. Wilson, III	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Everest Re Group, Ltd.

Ticker : RE

CUSIP No. : G3223R108

Meeting Date : 5/15/2019

Meeting Type : Annual

ISIN : BMG3223R1088

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Dominic J. Adesso	For	For
1.2	Elect Director John J. Amore	For	For
1.3	Elect Director William F. Galtney, Jr.	For	For
1.4	Elect Director John A. Graf	For	For
1.5	Elect Director Gerri Losquadro	For	For
1.6	Elect Director Roger M. Singer	For	For
1.7	Elect Director Joseph V. Taranto	For	For
1.8	Elect Director John A. Weber	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

Company : Extra Space Storage Inc.  
 Ticker : EXR  
 CUSIP No. : 30225T102

Meeting Date : 5/22/2019  
 Meeting Type : Annual  
 ISIN : US30225T1025

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Kenneth M. Woolley	For	For
1.2	Elect Director Joseph D. Margolis	For	For
1.3	Elect Director Roger B. Porter	For	For
1.4	Elect Director Joseph J. Bonner	For	For
1.5	Elect Director Ashley Dreier	For	For
1.6	Elect Director Spencer F. Kirk	For	For
1.7	Elect Director Dennis J. Letham	For	For
1.8	Elect Director Diane Olmstead	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : F.N.B. Corporation  
 Ticker : FNB  
 CUSIP No. : 302520101

Meeting Date : 5/15/2019  
 Meeting Type : Annual  
 ISIN : US3025201019

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Pamela A. Bena	For	For
1.2	Elect Director William B. Campbell	For	For
1.3	Elect Director James D. Chiafullo	For	For
1.4	Elect Director Vincent J. Delie, Jr.	For	For
1.5	Elect Director Mary Jo Dively	For	For
1.6	Elect Director Robert A. Hormell	For	For
1.7	Elect Director David J. Malone	For	For
1.8	Elect Director Frank C. Mencini	For	For
1.9	Elect Director David L. Motley	For	For
1.10	Elect Director Heidi A. Nicholas	For	For
1.11	Elect Director John S. Stanik	For	For
1.12	Elect Director William J. Strimbu	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditor	For	For

Company : FactSet Research Systems, Inc.  
 Ticker : FDS  
 CUSIP No. : 303075105

Meeting Date : 12/18/2018  
 Meeting Type : Annual  
 ISIN : US3030751057

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Scott A. Billeadeau	For	For
1.2	Elect Director Philip A. Hadley	For	For
1.3	Elect Director Joseph R. Zimmel	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

3 Advisory Vote to Ratify Named Executive Officers' Compensation For For

Company : Fair Isaac Corp.

Meeting Date : 2/28/2019

Ticker : FICO

Meeting Type : Annual

CUSIP No. : 303250104

ISIN : US3032501047

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director A. George Battle	For	For
1b	Elect Director Braden R. Kelly	For	For
1c	Elect Director James D. Kirsner	For	For
1d	Elect Director William J. Lansing	For	For
1e	Elect Director Eva Manolis	For	For
1f	Elect Director Marc F. McMorris	For	For
1g	Elect Director Joanna Rees	For	For
1h	Elect Director David A. Rey	For	For
2	Approve Qualified Employee Stock Purchase Plan	For	For
3	Amend Omnibus Stock Plan	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
5	Ratify Deloitte & Touche LLP as Auditors	For	For
6	Other Business	For	Against

Company : Fidelity National Financial, Inc.

Meeting Date : 6/12/2019

Ticker : FNF

Meeting Type : Annual

CUSIP No. : 31620R303

ISIN : US31620R3030

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Richard N. Massey	For	For
1.2	Elect Director Daniel D. "Ron" Lane	For	For
1.3	Elect Director Cary H. Thompson	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

Company : Fidelity National Information Services, Inc.

Meeting Date : 5/22/2019

Ticker : FIS

Meeting Type : Annual

CUSIP No. : 31620M106

ISIN : US31620M1062

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Ellen R. Alemany	For	For
1b	Elect Director Keith W. Hughes	For	For
1c	Elect Director David K. Hunt	For	For
1d	Elect Director Stephan A. James	For	For
1e	Elect Director Leslie M. Muma	For	For
1f	Elect Director Alexander Navab	For	For
1g	Elect Director Gary A. Norcross	For	For
1h	Elect Director Louise M. Parent	For	For
1i	Elect Director Brian T. Shea	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1j	Elect Director James B. Stallings, Jr.	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : Fifth Third Bancorp

Meeting Date : 4/16/2019

Ticker : FITB

Meeting Type : Annual

CUSIP No. : 316773100

ISIN : US3167731005

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Nicholas K. Akins	For	For
1.2	Elect Director B. Evan Bayh, III	For	For
1.3	Elect Director Jorge L. Benitez	For	For
1.4	Elect Director Katherine B. Blackburn	For	For
1.5	Elect Director Emerson L. Brumback	For	For
1.6	Elect Director Jerry W. Burris	For	For
1.7	Elect Director Greg D. Carmichael	For	For
1.8	Elect Director C. Bryan Daniels	For	For
1.9	Elect Director Thomas H. Harvey	For	For
1.10	Elect Director Gary R. Heminger	For	For
1.11	Elect Director Jewell D. Hoover	For	For
1.12	Elect Director Eileen A. Mallesch	For	For
1.13	Elect Director Michael B. McCallister	For	For
1.14	Elect Director Marsha C. Williams	For	For
2	Ratify Deloitte & Touche LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year
5	Approve Omnibus Stock Plan	For	For
6	Authorize New Class of Preferred Stock	For	For

Company : First American Financial Corporation

Meeting Date : 5/7/2019

Ticker : FAF

Meeting Type : Annual

CUSIP No. : 31847R102

ISIN : US31847R1023

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Reginald H. Gilyard	For	For
1.2	Elect Director Parker S. Kennedy	For	For
1.3	Elect Director Mark C. Oman	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditor	For	For

Company : First Citizens BancShares, Inc. (North Carolina)

Meeting Date : 4/30/2019

Ticker : FCNCA

Meeting Type : Annual

CUSIP No. : 31946M103

ISIN : US31946M1036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John M. Alexander, Jr.	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1.2	Elect Director Victor E. Bell, III	For	For
1.3	Elect Director Peter M. Bristow	For	For
1.4	Elect Director Hope H. Bryant	For	For
1.5	Elect Director H. Lee Durham, Jr.	For	For
1.6	Elect Director Daniel L. Heavner	For	For
1.7	Elect Director Frank B. Holding, Jr.	For	For
1.8	Elect Director Robert R. Hoppe	For	For
1.9	Elect Director Floyd L. Keels	For	For
1.10	Elect Director Robert E. Mason, IV	For	For
1.11	Elect Director Robert T. Newcomb	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Dixon Hughes Goodman LLP as Auditors	For	For

Company : First Hawaiian, Inc.

Meeting Date : 4/24/2019

Ticker : FHB

Meeting Type : Annual

CUSIP No. : 32051X108

ISIN : US32051X1081

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Matthew J. Cox	For	For
1.2	Elect Director W. Allen Doane	For	For
1.3	Elect Director Faye Watanabe Kurren	For	For
1.4	Elect Director Robert S. Harrison	For	For
1.5	Elect Director Allen B. Uyeda	For	For
1.6	Elect Director Jenai S. Wall	For	For
1.7	Elect Director C. Scott Wo	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : First Horizon National Corp. (Tennessee)

Meeting Date : 4/23/2019

Ticker : FHN

Meeting Type : Annual

CUSIP No. : 320517105

ISIN : US3205171057

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Kenneth A. Burdick	For	For
1.2	Elect Director John C. Compton	For	For
1.3	Elect Director Wendy P. Davidson	For	For
1.4	Elect Director Mark A. Emkes	For	For
1.5	Elect Director Peter N. Foss	For	For
1.6	Elect Director Corydon J. Gilchrist	For	For
1.7	Elect Director D. Bryan Jordan	For	For
1.8	Elect Director Scott M. Niswonger	For	For
1.9	Elect Director Vicki R. Palmer	For	For
1.10	Elect Director Colin V. Reed	For	For
1.11	Elect Director Cecelia D. Stewart	For	For
1.12	Elect Director Rajesh Subramaniam	For	For
1.13	Elect Director R. Eugene Taylor	For	For
1.14	Elect Director Luke Yancy, III	For	For



## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : [Fiserv, Inc.](#)

Meeting Date : [4/18/2019](#)

Ticker : [FISV](#)

Meeting Type : [Special](#)

CUSIP No. : [337738108](#)

ISIN : [US3377381088](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Issue Shares in Connection with Acquisition	For	For
2	Adjourn Meeting	For	For
1.1	Elect Director Alison Davis	For	For
1.2	Elect Director Harry F. DiSimone	For	For
1.3	Elect Director John Y. Kim	For	For
1.4	Elect Director Dennis F. Lynch	For	For
1.5	Elect Director Denis J. O'Leary	For	For
1.6	Elect Director Glenn M. Renwick	For	For
1.7	Elect Director Kim M. Robak	For	For
1.8	Elect Director JD Sherman	For	For
1.9	Elect Director Doyle R. Simons	For	For
1.10	Elect Director Jeffery W. Yabuki	For	For
2	Amend Qualified Employee Stock Purchase Plan	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Ratify Deloitte & Touche LLP as Auditors	For	For
5	Report on Political Contributions	Against	For

Company : [FleetCor Technologies Inc.](#)

Meeting Date : [6/12/2019](#)

Ticker : [FLT](#)

Meeting Type : [Annual](#)

CUSIP No. : [339041105](#)

ISIN : [US3390411052](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Ronald F. Clarke	For	For
1.2	Elect Director Joseph W. Farrelly	For	Against
1.3	Elect Director Richard Macchia	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
4	Declassify the Board of Directors	For	For
5	Adopt Clawback Policy	Against	For
6	Adjust Executive Compensation Metrics for Share Buybacks	Against	For

Company : [Forest City Realty Trust, Inc.](#)

Meeting Date : [11/15/2018](#)

Ticker : [FCE.A](#)

Meeting Type : [Special](#)

CUSIP No. : [345605109](#)

ISIN : [US3456051099](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Merger Agreement	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

2	Advisory Vote on Golden Parachutes	For	For
3	Adjourn Meeting	For	For

Company : Franklin Resources, Inc.

Meeting Date : 2/12/2019

Ticker : BEN

Meeting Type : Annual

CUSIP No. : 354613101

ISIN : US3546131018

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Peter K. Barker	For	For
1b	Elect Director Mariann Byerwalter	For	For
1c	Elect Director Charles E. Johnson	For	For
1d	Elect Director Gregory E. Johnson	For	For
1e	Elect Director Rupert H. Johnson, Jr.	For	For
1f	Elect Director Mark C. Pigott	For	For
1g	Elect Director Chutta Ratnathicam	For	For
1h	Elect Director Laura Stein	For	Against
1i	Elect Director Seth H. Waugh	For	For
1j	Elect Director Geoffrey Y. Yang	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
	Ratify Existing Ownership Threshold for Shareholders to Call		
3	Special Meeting	For	Against
	Institute Procedures to Prevent Investments in Companies that		
4	Contribute to Genocide or Crimes Against Humanity	Against	Against

Company : Gaming and Leisure Properties, Inc.

Meeting Date : 6/13/2019

Ticker : GLPI

Meeting Type : Annual

CUSIP No. : 36467J108

ISIN : US36467J1088

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Peter M. Carlino	For	For
1.2	Elect Director David A. Handler - Withdrawn		
1.3	Elect Director Joseph W. Marshall, III	For	For
1.4	Elect Director James B. Perry	For	For
1.5	Elect Director Barry F. Schwartz	For	For
1.6	Elect Director Earl C. Shanks	For	For
1.7	Elect Director E. Scott Urdang	For	For
2	Ratify Deloitte & Touche LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Adopt a Policy on Board Diversity	None	For

Company : Highwoods Properties, Inc.

Meeting Date : 5/8/2019

Ticker : HIW

Meeting Type : Annual

CUSIP No. : 431284108

ISIN : US4312841087

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Charles A. Anderson	For	For
1.2	Elect Director Gene H. Anderson	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1.3	Elect Director Carlos E. Evans	For	For
1.4	Elect Director Edward J. Fritsch	For	For
1.5	Elect Director David J. Hartzell	For	For
1.6	Elect Director Sherry A. Kellett	For	For
1.7	Elect Director Anne H. Lloyd	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

**Company** : Hospitality Properties Trust

**Ticker** : HPT

**CUSIP No.** : 44106M102

**Meeting Date** : 6/13/2019

**Meeting Type** : Annual

**ISIN** : US44106M1027

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Donna D. Fraiche	For	Against
1.2	Elect Director Adam D. Portnoy	For	Against
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify Ernst & Young LLP as Auditors	For	For
4	Adopt Plurality Voting for Contested Election of Directors	For	For

**Company** : Host Hotels & Resorts, Inc.

**Ticker** : HST

**CUSIP No.** : 44107P104

**Meeting Date** : 5/16/2019

**Meeting Type** : Annual

**ISIN** : US44107P1049

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Mary L. Baglivo	For	For
1.2	Elect Director Sheila C. Bair	For	For
1.3	Elect Director Ann McLaughlin Korologos	For	For
1.4	Elect Director Richard E. Marriott	For	For
1.5	Elect Director Sandeep L. Mathrani	For	For
1.6	Elect Director John B. Morse, Jr.	For	For
1.7	Elect Director Mary Hogan Preusse	For	For
1.8	Elect Director Walter C. Rakowich	For	For
1.9	Elect Director James F. Risoleo	For	For
1.10	Elect Director Gordon H. Smith	For	For
1.11	Elect Director A. William Stein	For	For
2	Ratify KPMG LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

**Company** : Huntington Bancshares Incorporated

**Ticker** : HBAN

**CUSIP No.** : 446150104

**Meeting Date** : 4/18/2019

**Meeting Type** : Annual

**ISIN** : US4461501045

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Lizabeth Ardisana	For	For
1.2	Elect Director Ann B. "Tanny" Crane	For	For
1.3	Elect Director Robert S. Cubbin	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1.4	Elect Director Steven G. Elliott	For	For
1.5	Elect Director Gina D. France	For	For
1.6	Elect Director J. Michael Hochschwender	For	For
1.7	Elect Director John C. "Chris" Inglis	For	For
1.8	Elect Director Peter J. Kight	For	For
1.9	Elect Director Katherine M. A. "Allie" Kline	For	For
1.10	Elect Director Richard W. Neu	For	For
1.11	Elect Director David L. Porteous	For	For
1.12	Elect Director Kathleen H. Ransier	For	For
1.13	Elect Director Stephen D. Steinour	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Interactive Brokers Group, Inc.

Ticker : IBKR

CUSIP No. : 45841N107

Meeting Date : 4/18/2019

Meeting Type : Annual

ISIN : US45841N1072

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Thomas Peterffy	For	Against
1B	Elect Director Earl H. Nemser	For	Against
1C	Elect Director Milan Galik	For	Against
1D	Elect Director Paul J. Brody	For	Against
1E	Elect Director Lawrence E. Harris	For	For
1F	Elect Director Gary Katz	For	For
1G	Elect Director John M. Damgard	For	For
1H	Elect Director Philip Uhde	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : Intercontinental Exchange, Inc.

Ticker : ICE

CUSIP No. : 45866F104

Meeting Date : 5/17/2019

Meeting Type : Annual

ISIN : US45866F1049

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Sharon Y. Bowen	For	For
1b	Elect Director Charles R. Crisp	For	For
1c	Elect Director Duriya M. Farooqui	For	For
1d	Elect Director Jean-Marc Forneri	For	For
1e	Elect Director The Right Hon. The Lord Hague of Richmond	For	For
1f	Elect Director Frederick W. Hatfield	For	For
1g	Elect Director Thomas E. Noonan	For	For
1h	Elect Director Frederic V. Salerno	For	For
1i	Elect Director Jeffrey C. Sprecher	For	For
1j	Elect Director Judith A. Sprieser	For	For
1k	Elect Director Vincent Tese	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditor	For	For

Company : Invesco Ltd.

Ticker : IVZ

CUSIP No. : G491BT108

Meeting Date : 5/9/2019

Meeting Type : Annual

ISIN : BMG491BT1088

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Sarah E. Beshar	For	For
1.2	Elect Director Joseph R. Canion	For	For
1.3	Elect Director Martin L. Flanagan	For	For
1.4	Elect Director C. Robert Henrikson	For	For
1.5	Elect Director Denis Kessler	For	For
1.6	Elect Director Nigel Sheinwald	For	For
1.7	Elect Director G. Richard "Rick" Wagoner, Jr.	For	For
1.8	Elect Director Phoebe A. Wood	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Eliminate Supermajority Vote Requirement	For	For
4	Amend Omnibus Stock Plan	For	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Jack Henry & Associates, Inc.

Ticker : JKHY

CUSIP No. : 426281101

Meeting Date : 11/15/2018

Meeting Type : Annual

ISIN : US4262811015

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Matthew C. Flanigan	For	For
1.2	Elect Director John F. Prim	For	For
1.3	Elect Director Thomas H. Wilson, Jr.	For	For
1.4	Elect Director Jacque R. Fiegel	For	For
1.5	Elect Director Thomas A. Wimsett	For	For
1.6	Elect Director Laura G. Kelly	For	For
1.7	Elect Director Shruti S. Miyashiro	For	For
1.8	Elect Director Wesley A. Brown	For	For
1.9	Elect Director David B. Foss	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers, LLP as Auditors	For	For

Company : Jones Lang LaSalle Incorporated

Ticker : JLL

CUSIP No. : 48020Q107

Meeting Date : 5/29/2019

Meeting Type : Annual

ISIN : US48020Q1076

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Hugo Bague	For	For
1b	Elect Director Matthew Carter, Jr.	For	For
1c	Elect Director Samuel A. Di Piazza, Jr.	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1d	Elect Director Sheila A. Penrose	For	For
1e	Elect Director Ming Lu	For	For
1f	Elect Director Bridget A. Macaskill	For	For
1g	Elect Director Martin H. Nesbitt	For	For
1h	Elect Director Jeetendra "Jeetu" I. Patel	For	For
1i	Elect Director Ann Marie Petach	For	For
1j	Elect Director Christian Ulbrich	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Approve Omnibus Stock Plan	For	For
4	Ratify KPMG LLP as Auditors	For	For

Company : JPMorgan Chase & Co.

Meeting Date : 5/21/2019

Ticker : JPM

Meeting Type : Annual

CUSIP No. : 46625H100

ISIN : US46625H1005

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Linda B. Bammann	For	For
1b	Elect Director James A. Bell	For	For
1c	Elect Director Stephen B. Burke	For	For
1d	Elect Director Todd A. Combs	For	For
1e	Elect Director James S. Crown	For	For
1f	Elect Director James Dimon	For	For
1g	Elect Director Timothy P. Flynn	For	For
1h	Elect Director Mellody Hobson	For	For
1i	Elect Director Laban P. Jackson, Jr.	For	For
1j	Elect Director Michael A. Neal	For	For
1k	Elect Director Lee R. Raymond	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify PricewaterhouseCoopers LLP as Auditor	For	For
4	Report on Gender Pay Gap	Against	For
5	Amend Proxy Access Right	Against	For
6	Provide for Cumulative Voting	Against	Against

Company : KeyCorp

Meeting Date : 5/23/2019

Ticker : KEY

Meeting Type : Annual

CUSIP No. : 493267108

ISIN : US4932671088

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Bruce D. Broussard	For	For
1.2	Elect Director Charles P. Cooley	For	For
1.3	Elect Director Gary M. Crosby	For	For
1.4	Elect Director Alexander M. Cutler	For	For
1.5	Elect Director H. James Dallas	For	For
1.6	Elect Director Elizabeth R. Gile	For	For
1.7	Elect Director Ruth Ann M. Gillis	For	For
1.8	Elect Director William G. Gisel, Jr.	For	For
1.9	Elect Director Carlton L. Highsmith	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1.10	Elect Director Richard J. Hipple	For	For
1.11	Elect Director Kristen L. Manos	For	For
1.12	Elect Director Beth E. Mooney	For	For
1.13	Elect Director Barbara R. Snyder	For	For
1.14	Elect Director David K. Wilson	For	For
2	Ratify Ernst & Young LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Approve Omnibus Stock Plan	For	For
5	Increase Authorized Common Stock	For	For
6	Permit Board to Amend Bylaws Without Shareholder Consent	For	For

**Company** : Kimco Realty Corporation

**Ticker** : KIM

**CUSIP No.** : 49446R109

**Meeting Date** : 4/30/2019

**Meeting Type** : Annual

**ISIN** : US49446R1095

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Milton Cooper	For	For
1b	Elect Director Philip E. Coviello	For	For
1c	Elect Director Conor C. Flynn	For	For
1d	Elect Director Frank Lourenso	For	For
1e	Elect Director Colombe M. Nicholas	For	For
1f	Elect Director Mary Hogan Preusse	For	For
1g	Elect Director Valerie Richardson	For	For
1h	Elect Director Richard B. Saltzman	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditor	For	For

**Company** : Lamar Advertising Company

**Ticker** : LAMR

**CUSIP No.** : 512816109

**Meeting Date** : 5/30/2019

**Meeting Type** : Annual

**ISIN** : US5128161099

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John E. Koerner, III	For	For
1.2	Elect Director Marshall A. Loeb	For	For
1.3	Elect Director Stephen P. Mumblow	For	For
1.4	Elect Director Thomas V. Reifenheiser	For	For
1.5	Elect Director Anna Reilly	For	For
1.6	Elect Director Kevin P. Reilly, Jr.	For	For
1.7	Elect Director Wendell Reilly	For	For
1.8	Elect Director Elizabeth Thompson	For	For
2	Amend Omnibus Stock Plan	For	Against
3	Approve Qualified Employee Stock Purchase Plan	For	For
4	Ratify KPMG LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

Company : Lazard Ltd.  
 Ticker : LAZ  
 CUSIP No. : G54050102

Meeting Date : 4/23/2019  
 Meeting Type : Annual  
 ISIN : BMG540501027

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Richard N. Haass	For	For
1.2	Elect Director Jane L. Mendillo	For	For
1.3	Elect Director Richard D. Parsons	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Approve Deloitte & Touche LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For

Company : Legg Mason, Inc.  
 Ticker : LM  
 CUSIP No. : 524901105

Meeting Date : 7/31/2018  
 Meeting Type : Annual  
 ISIN : US5249011058

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Robert E. Angelica	For	For
1.2	Elect Director Carol Anthony "John" Davidson	For	For
1.3	Elect Director Michelle J. Goldberg	For	For
1.4	Elect Director Barry W. Huff	For	For
1.5	Elect Director John V. Murphy	For	For
1.6	Elect Director Alison A. Quirk	For	For
1.7	Elect Director W. Allen Reed	For	For
1.8	Elect Director Margaret Milner Richardson	For	For
1.9	Elect Director Kurt L. Schmoke	For	For
1.10	Elect Director Joseph A. Sullivan	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Life Storage, Inc.  
 Ticker : LSI  
 CUSIP No. : 53223X107

Meeting Date : 5/30/2019  
 Meeting Type : Annual  
 ISIN : US53223X1072

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Mark G. Barberio	For	For
1b	Elect Director Joseph V. Saffire	For	For
1c	Elect Director Charles E. Lannon	For	For
1d	Elect Director Stephen R. Rusmisel	For	For
1e	Elect Director Arthur L. Havener, Jr.	For	For
1f	Elect Director Carol Hansell	For	For
1g	Elect Director Dana Hamilton	For	For
1h	Elect Director Edward J. Pettinella	For	For
1i	Elect Director David L. Rogers	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Adopt the Jurisdiction of Incorporation as the Exclusive Forum for Certain Disputes	For	Against



## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

4	Amend Non-Employee Director Restricted Stock Plan	For	For
5	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Lincoln National Corporation

Meeting Date : 5/24/2019

Ticker : LNC

Meeting Type : Annual

CUSIP No. : 534187109

ISIN : US5341871094

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Deirdre P. Connelly	For	For
1.2	Elect Director William H. Cunningham	For	For
1.3	Elect Director Dennis R. Glass	For	For
1.4	Elect Director George W. Henderson, III	For	For
1.5	Elect Director Eric G. Johnson	For	For
1.6	Elect Director Gary C. Kelly	For	For
1.7	Elect Director M. Leanne Lachman	For	For
1.8	Elect Director Michael F. Mee	For	For
1.9	Elect Director Patrick S. Pittard	For	For
1.10	Elect Director Isaiah Tidwell	For	For
1.11	Elect Director Lynn M. Utter	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Require Independent Board Chairman	Against	For
5	Amend Proxy Access Right	Against	For

Company : Loews Corporation

Meeting Date : 5/14/2019

Ticker : L

Meeting Type : Annual

CUSIP No. : 540424108

ISIN : US5404241086

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Ann E. Berman	For	For
1b	Elect Director Joseph L. Bower	For	For
1c	Elect Director Charles D. Davidson	For	For
1d	Elect Director Charles M. Diker	For	For
1e	Elect Director Paul J. Fribourg	For	For
1f	Elect Director Walter L. Harris	For	Against
1g	Elect Director Philip A. Laskawy	For	For
1h	Elect Director Susan P. Peters	For	For
1i	Elect Director Andrew H. Tisch	For	For
1j	Elect Director James S. Tisch	For	For
1k	Elect Director Jonathan M. Tisch	For	For
1l	Elect Director Anthony Welters	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditor	For	For
4	Report on Political Contributions	Against	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

Company : LPL Financial Holdings Inc.  
 Ticker : LPLA  
 CUSIP No. : 50212V100

Meeting Date : 5/8/2019  
 Meeting Type : Annual  
 ISIN : US50212V1008

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Dan H. Arnold	For	For
1.2	Elect Director H. Paulett Eberhart	For	For
1.3	Elect Director William F. Glavin, Jr.	For	For
1.4	Elect Director Allison H. Mnookin	For	For
1.5	Elect Director Anne M. Mulcahy	For	For
1.6	Elect Director James S. Putnam	For	For
1.7	Elect Director James S. Riepe	For	For
1.8	Elect Director Richard P. Schifter	For	For
1.9	Elect Director Corey E. Thomas	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : M&T Bank Corporation  
 Ticker : MTB  
 CUSIP No. : 55261F104

Meeting Date : 4/16/2019  
 Meeting Type : Annual  
 ISIN : US55261F1049

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Brent D. Baird	For	For
1.2	Elect Director C. Angela Bontempo	For	For
1.3	Elect Director Robert T. Brady	For	For
1.4	Elect Director T. Jefferson Cunningham, III	For	For
1.5	Elect Director Gary N. Geisel	For	For
1.6	Elect Director Richard S. Gold	For	For
1.7	Elect Director Richard A. Grossi	For	For
1.8	Elect Director John D. Hawke, Jr.	For	For
1.9	Elect Director Rene F. Jones	For	For
1.10	Elect Director Richard H. Ledgett, Jr.	For	For
1.11	Elect Director Newton P.S. Merrill	For	For
1.12	Elect Director Kevin J. Pearson	For	For
1.13	Elect Director Melinda R. Rich	For	For
1.14	Elect Director Robert E. Sadler, Jr.	For	For
1.15	Elect Director Denis J. Salamone	For	Withhold
1.16	Elect Director John R. Scannell	For	For
1.17	Elect Director David S. Scharfstein	For	For
1.18	Elect Director Herbert L. Washington	For	For
2	Approve Omnibus Stock Plan	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

Company : Markel Corporation  
 Ticker : MKL  
 CUSIP No. : 570535104

Meeting Date : 5/13/2019  
 Meeting Type : Annual  
 ISIN : US5705351048

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director K. Bruce Connell	For	For
1b	Elect Director Thomas S. Gayner	For	For
1c	Elect Director Stewart M. Kasen	For	For
1d	Elect Director Alan I. Kirshner	For	For
1e	Elect Director Diane Leopold	For	For
1f	Elect Director Lemuel E. Lewis	For	For
1g	Elect Director Anthony F. Markel	For	For
1h	Elect Director Steven A. Markel	For	For
1i	Elect Director Darrell D. Martin	For	For
1j	Elect Director Michael O'Reilly	For	For
1k	Elect Director Michael J. Schewel	For	For
1l	Elect Director Richard R. Whitt, III	For	For
1m	Elect Director Debora J. Wilson	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditor	For	For

Company : MarketAxess Holdings Inc.  
 Ticker : MKTX  
 CUSIP No. : 57060D108

Meeting Date : 6/5/2019  
 Meeting Type : Annual  
 ISIN : US57060D1081

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Richard M. McVey	For	For
1b	Elect Director Nancy Altobello	For	For
1c	Elect Director Steven L. Begleiter	For	For
1d	Elect Director Stephen P. Casper	For	For
1e	Elect Director Jane Chwick	For	For
1f	Elect Director Christopher R. Concannon	For	For
1g	Elect Director William F. Cruger	For	For
1h	Elect Director Richard G. Ketchum	For	For
1i	Elect Director Emily H. Portney	For	For
1j	Elect Director John Steinhardt	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against

Company : Marsh & McLennan Companies, Inc.  
 Ticker : MMC  
 CUSIP No. : 571748102

Meeting Date : 5/16/2019  
 Meeting Type : Annual  
 ISIN : US5717481023

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Anthony K. Anderson	For	For
1b	Elect Director Oscar Fanjul	For	For
1c	Elect Director Daniel S. Glaser	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1d	Elect Director H. Edward Hanway	For	For
1e	Elect Director Deborah C. Hopkins	For	For
1f	Elect Director Elaine La Roche	For	For
1g	Elect Director Steven A. Mills	For	For
1h	Elect Director Bruce P. Nolop	For	For
1i	Elect Director Marc D. Oken	For	For
1j	Elect Director Morton O. Schapiro	For	For
1k	Elect Director Lloyd M. Yates	For	For
1l	Elect Director R. David Yost	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditor	For	For

**Company** : Mastercard Incorporated

**Ticker** : MA

**CUSIP No.** : 57636Q104

**Meeting Date** : 6/25/2019

**Meeting Type** : Annual

**ISIN** : US57636Q1040

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Richard Haythornthwaite	For	For
1b	Elect Director Ajay Banga	For	For
1c	Elect Director David R. Carlucci	For	For
1d	Elect Director Richard K. Davis	For	For
1e	Elect Director Steven J. Freiberg	For	For
1f	Elect Director Julius Genachowski	For	For
1g	Elect Director Choon Phong Goh	For	For
1h	Elect Director Merit E. Janow	For	For
1i	Elect Director Oki Matsumoto	For	For
1j	Elect Director Youngme Moon	For	For
1k	Elect Director Rima Qureshi	For	For
1l	Elect Director Jose Octavio Reyes Lagunes	For	For
1m	Elect Director Gabrielle Sulzberger	For	For
1n	Elect Director Jackson Tai	For	For
1o	Elect Director Lance Uggla	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Report on Gender Pay Gap	Against	For
5	Establish Human Rights Board Committee	Against	Against

**Company** : Medical Properties Trust, Inc.

**Ticker** : MPW

**CUSIP No.** : 58463J304

**Meeting Date** : 5/23/2019

**Meeting Type** : Annual

**ISIN** : US58463J3041

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Edward K. Aldag, Jr.	For	For
1.2	Elect Director G. Steven Dawson	For	For
1.3	Elect Director R. Steven Hamner	For	For
1.4	Elect Director Elizabeth N. Pitman	For	For
1.5	Elect Director C. Reynolds Thompson, III	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1.6	Elect Director D. Paul Sparks, Jr.	For	For
1.7	Elect Director Michael G. Stewart	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Approve Omnibus Stock Plan	For	For

**Company** : Mercury General Corporation

**Meeting Date** : 5/8/2019

**Ticker** : MCY

**Meeting Type** : Annual

**CUSIP No.** : 589400100

**ISIN** : US5894001008

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director George Joseph	For	For
1.2	Elect Director Martha E. Marcon	For	For
1.3	Elect Director Joshua E. Little	For	For
1.4	Elect Director Gabriel Tirador	For	For
1.5	Elect Director James G. Ellis	For	For
1.6	Elect Director George G. Braunegg	For	For
1.7	Elect Director Ramona L. Cappello	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

**Company** : MetLife, Inc.

**Meeting Date** : 6/18/2019

**Ticker** : MET

**Meeting Type** : Annual

**CUSIP No.** : 59156R108

**ISIN** : US59156R1086

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Cheryl W. Grise	For	For
1b	Elect Director Carlos M. Gutierrez	For	For
1c	Elect Director Gerald L. Hassell	For	For
1d	Elect Director David L. Herzog	For	For
1e	Elect Director R. Glenn Hubbard	For	For
1f	Elect Director Edward J. Kelly, III	For	For
1g	Elect Director William E. Kennard	For	For
1h	Elect Director Michel A. Khalaf	For	For
1i	Elect Director James M. Kilts	For	For
1j	Elect Director Catherine R. Kinney	For	For
1k	Elect Director Diana L. McKenzie	For	For
1l	Elect Director Denise M. Morrison	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

Company : MFA Financial, Inc.  
 Ticker : MFA  
 CUSIP No. : 55272X102

Meeting Date : 5/22/2019  
 Meeting Type : Annual  
 ISIN : US55272X1028

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director James A. Brodsky	For	For
1b	Elect Director Richard J. Byrne	For	For
1c	Elect Director Francis J. Oelerich, III	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Moody's Corporation  
 Ticker : MCO  
 CUSIP No. : 615369105

Meeting Date : 4/16/2019  
 Meeting Type : Annual  
 ISIN : US6153691059

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Basil L. Anderson	For	For
1.2	Elect Director Jorge A. Bermudez	For	For
1.3	Elect Director Therese Esperdy	For	For
1.4	Elect Director Vincent A. Forlenza	For	For
1.5	Elect Director Kathryn M. Hill	For	For
1.6	Elect Director Raymond W. McDaniel, Jr.	For	For
1.7	Elect Director Henry A. McKinnell, Jr.	For	For
1.8	Elect Director Leslie F. Seidman	For	For
1.9	Elect Director Bruce Van Saun	For	For
1.10	Elect Director Gerrit Zalm	For	For
2	Ratify KPMG LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Morgan Stanley  
 Ticker : MS  
 CUSIP No. : 617446448

Meeting Date : 5/23/2019  
 Meeting Type : Annual  
 ISIN : US6174464486

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Elizabeth Corley	For	For
1b	Elect Director Alistair M. Darling	For	For
1c	Elect Director Thomas H. Glocer	For	For
1d	Elect Director James P. Gorman	For	For
1e	Elect Director Robert H. Herz	For	For
1f	Elect Director Nobuyuki Hirano	For	For
1g	Elect Director Jami Miscik	For	For
1h	Elect Director Dennis M. Nally	For	For
1i	Elect Director Takeshi Ogasawara	For	For
1j	Elect Director Hutham S. Olayan	For	For
1k	Elect Director Mary L. Schapiro	For	For
1l	Elect Director Perry M. Traquina	For	For
1m	Elect Director Rayford Wilkins, Jr.	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Report on Lobbying Payments and Policy	Against	Against

Company : Morningstar, Inc.

Ticker : MORN

CUSIP No. : 617700109

Meeting Date : 5/17/2019

Meeting Type : Annual

ISIN : US6177001095

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Joe Mansueto	For	For
1b	Elect Director Kunal Kapoor	For	For
1c	Elect Director Robin Diamonte	For	Against
1d	Elect Director Cheryl Francis	For	Against
1e	Elect Director Steve Kaplan	For	For
1f	Elect Director Gail Landis	For	Against
1g	Elect Director Bill Lyons	For	For
1h	Elect Director Jack Noonan	For	Against
1i	Elect Director Caroline Tsay	For	Against
1j	Elect Director Hugh Zentmyer	For	Against
2	Ratify KPMG LLP as Auditor	For	For

Company : MSCI Inc.

Ticker : MSCI

CUSIP No. : 55354G100

Meeting Date : 4/25/2019

Meeting Type : Annual

ISIN : US55354G1004

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Henry A. Fernandez	For	For
1b	Elect Director Robert G. Ashe	For	For
1c	Elect Director Benjamin F. duPont	For	For
1d	Elect Director Wayne Edmunds	For	For
1e	Elect Director Alice W. Handy	For	For
1f	Elect Director Catherine R. Kinney	For	For
1g	Elect Director Jacques P. Perold	For	For
1h	Elect Director Linda H. Riefler	For	For
1i	Elect Director George W. Siguler	For	For
1j	Elect Director Marcus L. Smith	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Nasdaq, Inc.

Ticker : NDAQ

CUSIP No. : 631103108

Meeting Date : 4/23/2019

Meeting Type : Annual

ISIN : US6311031081

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Melissa M. Arnoldi	For	For
1b	Elect Director Charlene T. Begley	For	For
1c	Elect Director Steven D. Black	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1d	Elect Director Adena T. Friedman	For	For
1e	Elect Director Essa Kazim	For	For
1f	Elect Director Thomas A. Kloet	For	For
1g	Elect Director John D. Rainey	For	For
1h	Elect Director Michael R. Splinter	For	For
1i	Elect Director Jacob Wallenberg	For	For
1j	Elect Director Lars R. Wedenborn	For	For
1k	Elect Director Alfred W. Zollar	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For
4	Provide Right to Act by Written Consent	Against	For

**Company** : National Retail Properties, Inc.

**Ticker** : NNN

**CUSIP No.** : 637417106

**Meeting Date** : 5/14/2019

**Meeting Type** : Annual

**ISIN** : US6374171063

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Pamela K. M. Beall	For	For
1.2	Elect Director Steven D. Cosler	For	For
1.3	Elect Director Don DeFosset	For	For
1.4	Elect Director David M. Fick	For	For
1.5	Elect Director Edward J. Fritsch	For	For
1.6	Elect Director Kevin B. Habicht	For	For
1.7	Elect Director Betsy D. Holden	For	For
1.8	Elect Director Sam L. Susser	For	For
1.9	Elect Director Julian E. Whitehurst	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditor	For	For

**Company** : Navient Corporation

**Ticker** : NAVI

**CUSIP No.** : 63938C108

**Meeting Date** : 6/6/2019

**Meeting Type** : Annual

**ISIN** : US63938C1080

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Frederick Arnold	For	For
1.2	Elect Director Anna Escobedo Cabral	For	For
1.3	Elect Director William M. Diefenderfer, III *Withdrawn Resolution*		
1.4	Elect Director Katherine A. Lehman	For	For
1.5	Elect Director Linda A. Mills	For	For
1.6	Elect Director John (Jack) F. Remondi	For	For
1.7	Elect Director Jane J. Thompson	For	For
1.8	Elect Director Laura S. Unger	For	For
1.9	Elect Director Barry L. Williams	For	For
1.10	Elect Director David L. Yowan	For	For
1.11	Elect Director Marjorie Bowen	For	For
1.12	Elect Director Larry Klane	For	For



## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Amend Nonqualified Employee Stock Purchase Plan	For	For

**Company** : New Residential Investment Corp.

**Meeting Date** : 5/23/2019

**Ticker** : NRZ

**Meeting Type** : Annual

**CUSIP No.** : 64828T201

**ISIN** : US64828T2015

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Douglas L. Jacobs	For	For
1.2	Elect Director Robert J. McGinnis	For	Withhold
1.3	Elect Director Andrew Sloves	For	Withhold
2	Ratify Ernst & Young LLP as Auditor	For	For
3	Require a Majority Vote for the Election of Directors	None	For
4	Adopt a Policy on Board Diversity *Withdrawn Resolution*		

**Company** : New York Community Bancorp, Inc.

**Meeting Date** : 6/4/2019

**Ticker** : NYCB

**Meeting Type** : Annual

**CUSIP No.** : 649445103

**ISIN** : US6494451031

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Michael J. Levine	For	For
1b	Elect Director Ronald A. Rosenfeld	For	For
1c	Elect Director Lawrence J. Savarese	For	For
1d	Elect Director John M. Tsimbinos	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Limit Executive Compensation	Against	Against
5	Adopt Simple Majority Vote	Against	For
6	Establish Term Limits for Directors	Against	Against

**Company** : Northern Trust Corp.

**Meeting Date** : 4/23/2019

**Ticker** : NTRS

**Meeting Type** : Annual

**CUSIP No.** : 665859104

**ISIN** : US6658591044

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Linda Walker Bynoe	For	For
1b	Elect Director Susan Crown	For	For
1c	Elect Director Dean M. Harrison	For	For
1d	Elect Director Jay L. Henderson	For	For
1e	Elect Director Marcy S. Klevorn	For	For
1f	Elect Director Siddharth N. "Bobby" Mehta	For	For
1g	Elect Director Michael G. O'Grady	For	For
1h	Elect Director Jose Luis Prado	For	For
1i	Elect Director Thomas E. Richards	For	For
1j	Elect Director Martin P. Slark	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1k	Elect Director David H. B. Smith, Jr.	For	For
1l	Elect Director Donald Thompson	For	For
1m	Elect Director Charles A. Tribbett, III	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For
4	Report on Political Contributions	Against	For
5	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For

**Company** : Old Republic International Corporation

**Meeting Date** : 5/24/2019

**Ticker** : ORI

**Meeting Type** : Annual

**CUSIP No.** : 680223104

**ISIN** : US6802231042

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Steven J. Bateman	For	Withhold
1.2	Elect Director Jimmy A. Dew	For	Withhold
1.3	Elect Director John M. Dixon	For	Withhold
1.4	Elect Director Glenn W. Reed	For	Withhold
1.5	Elect Director Dennis P. Van Mieghem	For	Withhold
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Adopt Proxy Access Right	Against	For

**Company** : Omega Healthcare Investors, Inc.

**Meeting Date** : 6/7/2019

**Ticker** : OHI

**Meeting Type** : Annual

**CUSIP No.** : 681936100

**ISIN** : US6819361006

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Kapila K. Anand	For	For
1.2	Elect Director Norman R. Bobins	For	For
1.3	Elect Director Craig R. Callen	For	For
1.4	Elect Director Barbara B. Hill	For	For
1.5	Elect Director Edward Lowenthal	For	For
1.6	Elect Director C. Taylor Pickett	For	For
1.7	Elect Director Stephen D. Plavin	For	For
1.8	Elect Director Burke W. Whitman	For	For
2	Ratify Ernst & Young LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Approve Nonqualified Employee Stock Purchase Plan	For	For

**Company** : OneMain Holdings, Inc.

**Meeting Date** : 5/21/2019

**Ticker** : OMF

**Meeting Type** : Annual

**CUSIP No.** : 68268W103

**ISIN** : US68268W1036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Aneek S. Mamik	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1.2	Elect Director Richard A. Smith	For	For
1.3	Elect Director Valerie Soranno Keating	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : **OUTFRONT Media Inc.**

Meeting Date : **6/10/2019**

Ticker : **OUT**

Meeting Type : **Annual**

CUSIP No. : **69007J106**

ISIN : **US69007J1060**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Nicolas Brien	For	Against
1.2	Elect Director Angela Courtin	For	Against
2	Ratify PricewaterhouseCoopers LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Eliminate Supermajority Vote Requirement	For	For
5	Declassify the Board of Directors	For	For
6	Amend Omnibus Stock Plan	For	For

Company : **PacWest Bancorp**

Meeting Date : **5/13/2019**

Ticker : **PACW**

Meeting Type : **Annual**

CUSIP No. : **695263103**

ISIN : **US6952631033**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Tanya M. Acker	For	For
1B	Elect Director Paul R. Burke	For	For
1C	Elect Director Craig A. Carlson	For	For
1D	Elect Director John M. Eggemeyer, III	For	For
1E	Elect Director C. William Hosler	For	For
1F	Elect Director Susan E. Lester	For	For
1G	Elect Director Roger H. Molvar	For	For
1H	Elect Director James J. Pieczynski	For	For
1I	Elect Director Daniel B. Platt	For	For
1J	Elect Director Robert A. Stine	For	For
1K	Elect Director Matthew P. Wagner	For	For
1L	Elect Director Mark T. Yung	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : **Park Hotels & Resorts, Inc.**

Meeting Date : **4/26/2019**

Ticker : **PK**

Meeting Type : **Annual**

CUSIP No. : **700517105**

ISIN : **US7005171050**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Thomas J. Baltimore, Jr.	For	For
1B	Elect Director Gordon M. Bethune	For	For
1C	Elect Director Patricia M. Bedient	For	For
1D	Elect Director Geoffrey M. Garrett	For	For
1E	Elect Director Christie B. Kelly	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1F	Elect Director Joseph I. Lieberman	For	For
1G	Elect Director Timothy J. Naughton	For	For
1H	Elect Director Stephen I. Sadove	For	For
2A	Reduce Supermajority Vote Requirement	For	For
2B	Amend Stock Ownership Limitations	For	For
	Reduce Ownership Threshold for Shareholders to Call Special		
2C	Meeting	For	For
2D	Opt Out of Section 203 of the DGCL	For	For
2E	Amend Charter	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Ratify Ernst & Young LLP as Auditor	For	For

Company : PayPal Holdings, Inc.

Ticker : PYPL

CUSIP No. : 70450Y103

Meeting Date : 5/22/2019

Meeting Type : Annual

ISIN : US70450Y1038

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Rodney C. Adkins	For	For
1b	Elect Director Wences Casares	For	For
1c	Elect Director Jonathan Christodoro	For	For
1d	Elect Director John J. Donahoe	For	For
1e	Elect Director David W. Dorman	For	For
1f	Elect Director Belinda J. Johnson	For	For
1g	Elect Director Gail J. McGovern	For	For
1h	Elect Director Deborah M. Messemer	For	For
1i	Elect Director David M. Moffett	For	For
1j	Elect Director Ann M. Sarnoff	For	For
1k	Elect Director Daniel H. Schulman	For	For
1l	Elect Director Frank D. Yearly	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
4	Report on Political Contributions	Against	Against
	Amend Board Governance Documents to Define Human Rights		
5	Responsibilities	Against	Against

Company : People's United Financial, Inc.

Ticker : PBCT

CUSIP No. : 712704105

Meeting Date : 5/16/2019

Meeting Type : Annual

ISIN : US7127041058

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John P. Barnes	For	For
1b	Elect Director Collin P. Baron	For	For
1c	Elect Director Kevin T. Bottomley	For	For
1d	Elect Director George P. Carter	For	For
1e	Elect Director Jane Chwick	For	For
1f	Elect Director William F. Cruger, Jr.	For	For
1g	Elect Director John K. Dwight	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1h	Elect Director Jerry Franklin	For	For
1i	Elect Director Janet M. Hansen	For	For
1j	Elect Director Nancy McAllister	For	For
1k	Elect Director Mark W. Richards	For	For
1l	Elect Director Kirk W. Walters	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Amend Non-Employee Director Restricted Stock Plan	For	For
4	Ratify KPMG LLP as Auditors	For	For

**Company** : Pinnacle Financial Partners, Inc.

**Meeting Date** : 4/16/2019

**Ticker** : PNFP

**Meeting Type** : Annual

**CUSIP No.** : 72346Q104

**ISIN** : US72346Q1040

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Abney S. Boxley, III	For	For
1.2	Elect Director Charles E. Brock	For	For
1.3	Elect Director Renda J. Burkhart	For	For
1.4	Elect Director Gregory L. Burns	For	For
1.5	Elect Director Richard D. Callicutt, II	For	For
1.6	Elect Director Marty G. Dickens	For	For
1.7	Elect Director Thomas C. Farnsworth, III	For	For
1.8	Elect Director Joseph C. Galante	For	For
1.9	Elect Director Glenda Baskin Glover	For	For
1.10	Elect Director David B. Ingram	For	For
1.11	Elect Director Robert A. McCabe, Jr.	For	For
1.12	Elect Director Ronald L. Samuels	For	For
1.13	Elect Director Gary L. Scott	For	For
1.14	Elect Director Thomas R. Sloan	For	For
1.15	Elect Director Reese L. Smith, III	For	For
1.16	Elect Director G. Kennedy Thompson	For	For
1.17	Elect Director M. Terry Turner	For	For
2	Ratify Crowe LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

**Company** : Popular, Inc.

**Meeting Date** : 5/7/2019

**Ticker** : BPOP

**Meeting Type** : Annual

**CUSIP No.** : 733174700

**ISIN** : PR7331747001

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Joaquin E. Bacardi, III	For	For
1b	Elect Director Robert Carrady	For	For
1c	Elect Director John W. Diercksen	For	For
1d	Elect Director Myrna M. Soto	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

Company : Principal Financial Group, Inc.  
 Ticker : PFG  
 CUSIP No. : 74251V102

Meeting Date : 5/21/2019  
 Meeting Type : Annual  
 ISIN : US74251V1026

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Michael T. Dan	For	For
1b	Elect Director C. Daniel Gelatt	For	For
1c	Elect Director Sandra L. Helton	For	For
1d	Elect Director Blair C. Pickerell	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditor	For	For

Company : Prologis, Inc.  
 Ticker : PLD  
 CUSIP No. : 74340W103

Meeting Date : 5/1/2019  
 Meeting Type : Annual  
 ISIN : US74340W1036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Hamid R. Moghadam	For	For
1b	Elect Director Cristina G. Bitá	For	For
1c	Elect Director George L. Fotiades	For	For
1d	Elect Director Philip L. Hawkins	For	For
1e	Elect Director Lydia H. Kennard	For	For
1f	Elect Director J. Michael Losh	For	For
1g	Elect Director Irving F. Lyons, III	For	For
1h	Elect Director David P. O'Connor	For	For
1i	Elect Director Olivier Piani	For	For
1j	Elect Director Jeffrey L. Skelton	For	For
1k	Elect Director Carl B. Webb	For	For
1l	Elect Director William D. Zollars	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : Prosperity Bancshares, Inc.  
 Ticker : PB  
 CUSIP No. : 743606105

Meeting Date : 4/16/2019  
 Meeting Type : Annual  
 ISIN : US7436061052

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Leah Henderson	For	For
1.2	Elect Director Ned S. Holmes	For	For
1.3	Elect Director Jack Lord	For	For
1.4	Elect Director David Zalman	For	For
2	Ratify Deloitte & Touche LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

Company : Prudential Financial, Inc.  
 Ticker : PRU  
 CUSIP No. : 744320102

Meeting Date : 5/14/2019  
 Meeting Type : Annual  
 ISIN : US7443201022

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Thomas J. Baltimore, Jr.	For	For
1.2	Elect Director Gilbert F. Casellas	For	For
1.3	Elect Director Robert M. Falzon	For	For
1.4	Elect Director Mark B. Grier	For	For
1.5	Elect Director Martina Hund-Mejean	For	For
1.6	Elect Director Karl J. Krapek	For	For
1.7	Elect Director Peter R. Lighte	For	For
1.8	Elect Director Charles F. Lowrey	For	For
1.9	Elect Director George Paz	For	For
1.10	Elect Director Sandra Pinalto	For	For
1.11	Elect Director Christine A. Poon	For	For
1.12	Elect Director Douglas A. Scovanner	For	For
1.13	Elect Director Michael A. Todman	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Provide Right to Act by Written Consent	Against	Against

Company : Public Storage  
 Ticker : PSA  
 CUSIP No. : 74460D109

Meeting Date : 4/24/2019  
 Meeting Type : Annual  
 ISIN : US74460D1090

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Ronald L. Havner, Jr.	For	For
1.2	Elect Director Tamara Hughes Gustavson	For	For
1.3	Elect Director Uri P. Harkham	For	For
1.4	Elect Director Leslie S. Heisz	For	For
1.5	Elect Director B. Wayne Hughes, Jr.	For	For
1.6	Elect Director Avedick B. Poladian	For	For
1.7	Elect Director Gary E. Pruitt	For	For
1.8	Elect Director John Reyes	For	For
1.9	Elect Director Joseph D. Russell, Jr.	For	For
1.10	Elect Director Ronald P. Spogli	For	For
1.11	Elect Director Daniel C. Staton	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify Ernst & Young LLP as Auditors	For	For

Company : Raymond James Financial, Inc.  
 Ticker : RJF  
 CUSIP No. : 754730109

Meeting Date : 2/28/2019  
 Meeting Type : Annual  
 ISIN : US7547301090

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Charles G. von Arentschildt	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1.2	Elect Director Shelley G. Broader	For	For
1.3	Elect Director Robert M. Dutkowsky	For	For
1.4	Elect Director Jeffrey N. Edwards	For	For
1.5	Elect Director Benjamin C. Esty	For	For
1.6	Elect Director Anne Gates	For	For
1.7	Elect Director Francis S. Godbold	For	For
1.8	Elect Director Thomas A. James	For	For
1.9	Elect Director Gordon L. Johnson	For	For
1.10	Elect Director Roderick C. McGeary	For	For
1.11	Elect Director Paul C. Reilly	For	For
1.12	Elect Director Susan N. Story	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Amend Qualified Employee Stock Purchase Plan	For	For
4	Ratify KPMG LLP as Auditors	For	For

Company : Rayonier, Inc.

Ticker : RYN

CUSIP No. : 754907103

Meeting Date : 5/16/2019

Meeting Type : Annual

ISIN : US7549071030

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Richard D. Kincaid	For	For
1B	Elect Director Keith E. Bass	For	For
1C	Elect Director Dod A. Fraser	For	For
1D	Elect Director Scott R. Jones	For	For
1E	Elect Director Bernard Lanigan, Jr.	For	For
1F	Elect Director Blanche L. Lincoln	For	For
1G	Elect Director V. Larkin Martin	For	For
1H	Elect Director David L. Nunes	For	For
1I	Elect Director Andrew G. Wiltshire	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young, LLP as Auditor	For	For

Company : Realty Holdings Corp.

Ticker : RLG

CUSIP No. : 75605Y106

Meeting Date : 5/1/2019

Meeting Type : Annual

ISIN : US75605Y1064

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Fiona P. Dias	For	For
1.2	Elect Director Matthew J. Espe	For	For
1.3	Elect Director V. Ann Hailey	For	For
1.4	Elect Director Bryson R. Koehler	For	For
1.5	Elect Director Duncan L. Niederauer	For	For
1.6	Elect Director Ryan M. Schneider	For	For
1.7	Elect Director Enrique Silva	For	For
1.8	Elect Director Sherry M. Smith	For	For
1.9	Elect Director Christopher S. Terrill	For	For
1.10	Elect Director Michael J. Williams	For	For



## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Eliminate Supermajority Vote Requirement for Amendments to Certificate and Bylaws	For	For
5	Amend the Charter to Eliminate Certain Provisions Relating to Board Classification	For	For
6	Ratify PricewaterhouseCoopers LLP as Auditor	For	For

Company : Regions Financial Corporation

Ticker : RF

CUSIP No. : 7591EP100

Meeting Date : 4/24/2019

Meeting Type : Annual

ISIN : US7591EP1005

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Carolyn H. Byrd	For	For
1b	Elect Director Don DeFosset	For	For
1c	Elect Director Samuel A. Di Piazza, Jr.	For	For
1d	Elect Director Eric C. Fast	For	For
1e	Elect Director Zhanna Golodryga	For	For
1f	Elect Director John D. Johns	For	For
1g	Elect Director Ruth Ann Marshall	For	For
1h	Elect Director Charles D. McCrary	For	For
1i	Elect Director James T. Prokopanko	For	For
1j	Elect Director Lee J. Stysliger, III	For	For
1k	Elect Director Jose S. Suquet	For	For
1l	Elect Director John M. Turner, Jr.	For	For
1m	Elect Director Timothy Vines	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Reinsurance Group of America, Incorporated

Ticker : RGA

CUSIP No. : 759351604

Meeting Date : 5/22/2019

Meeting Type : Annual

ISIN : US7593516047

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Christine R. Detrick	For	For
1B	Elect Director John J. Gauthier	For	For
1C	Elect Director Alan C. Henderson	For	For
1D	Elect Director Anna Manning	For	For
1E	Elect Director Hazel M. McNeilage	For	For
1F	Elect Director Steven C. Van Wyk	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

Company : RenaissanceRe Holdings Ltd.  
 Ticker : RNR  
 CUSIP No. : G7496G103

Meeting Date : 5/15/2019  
 Meeting Type : Annual  
 ISIN : BMG7496G1033

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Henry Klehm, III	For	For
1b	Elect Director Valerie Rahmani	For	For
1c	Elect Director Carol P. Sanders	For	For
1d	Elect Director Cynthia Trudell	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Approve Ernst & Young Ltd. as Auditors and Authorize Board to Fix Their Remuneration	For	For

Company : Retail Properties of America, Inc.  
 Ticker : RPAI  
 CUSIP No. : 76131V202

Meeting Date : 5/23/2019  
 Meeting Type : Annual  
 ISIN : US76131V2025

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Bonnie S. Biumi	For	For
1.2	Elect Director Frank A. Catalano, Jr.	For	For
1.3	Elect Director Robert G. Gifford	For	For
1.4	Elect Director Gerald M. Gorski	For	For
1.5	Elect Director Steven P. Grimes	For	For
1.6	Elect Director Richard P. Imperiale	For	For
1.7	Elect Director Peter L. Lynch	For	For
1.8	Elect Director Thomas J. Sargeant	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditor	For	For

Company : S&P Global Inc.  
 Ticker : SPGI  
 CUSIP No. : 78409V104

Meeting Date : 5/9/2019  
 Meeting Type : Annual  
 ISIN : US78409V1044

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Marco Alvera	For	For
1.2	Elect Director William J. Amelio	For	For
1.3	Elect Director William D. Green	For	For
1.4	Elect Director Charles E. Haldeman, Jr.	For	For
1.5	Elect Director Stephanie C. Hill	For	For
1.6	Elect Director Rebecca Jacoby	For	For
1.7	Elect Director Monique F. Leroux	For	For
1.8	Elect Director Maria R. Morris	For	For
1.9	Elect Director Douglas L. Peterson	For	For
1.10	Elect Director Edward B. Rust, Jr.	For	For
1.11	Elect Director Kurt L. Schmoke	For	For
1.12	Elect Director Richard E. Thornburgh	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Approve Omnibus Stock Plan	For	For
4	Amend Deferred Compensation Plan	For	For
5	Ratify Ernst & Young LLP as Auditors	For	For

**Company** : Santander Consumer USA Holdings Inc.  
**Ticker** : SC  
**CUSIP No.** : 80283M101

**Meeting Date** : 6/11/2019  
**Meeting Type** : Annual  
**ISIN** : US80283M1018

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Mahesh Aditya	For	Withhold
1.2	Elect Director Jose Doncel	For	Withhold
1.3	Elect Director Stephen A. Ferriss	For	Withhold
1.4	Elect Director Victor Hill	For	Withhold
1.5	Elect Director Edith E. Holiday	For	For
1.6	Elect Director Javier Maldonado	For	Withhold
1.7	Elect Director Robert J. McCarthy	For	Withhold
1.8	Elect Director William F. Muir	For	Withhold
1.9	Elect Director Scott Powell	For	Withhold
1.10	Elect Director William Rainer	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Report on Risk of Racial Discrimination in Vehicle Lending	Against	For

**Company** : SBA Communications Corporation  
**Ticker** : SBAC  
**CUSIP No.** : 78410G104

**Meeting Date** : 5/16/2019  
**Meeting Type** : Annual  
**ISIN** : US78410G1040

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Kevin L. Beebe	For	For
1b	Elect Director Jack Langer	For	For
1c	Elect Director Jeffrey A. Stoops	For	For
2	Ratify Ernst & Young LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against

**Company** : Senior Housing Properties Trust  
**Ticker** : SNH  
**CUSIP No.** : 81721M109

**Meeting Date** : 5/21/2019  
**Meeting Type** : Annual  
**ISIN** : US81721M1099

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John L. Harrington	For	Withhold
1.2	Elect Director Adam D. Portnoy	For	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify Ernst & Young LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

Company : Signature Bank (New York, New York)  
 Ticker : SBNY  
 CUSIP No. : 82669G104

Meeting Date : 4/18/2019  
 Meeting Type : Annual  
 ISIN : US82669G1040

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Derrick D. Cephas	For	For
1.2	Elect Director Judith A. Huntington	For	Against
1.3	Elect Director John Tamberlane	For	For
2	Ratify KPMG LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
4	Authorize Share Repurchase Program	For	For

Company : Simon Property Group, Inc.  
 Ticker : SPG  
 CUSIP No. : 828806109

Meeting Date : 5/8/2019  
 Meeting Type : Annual  
 ISIN : US8288061091

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Glyn F. Aeppel	For	For
1b	Elect Director Larry C. Glasscock	For	For
1c	Elect Director Karen N. Horn	For	For
1d	Elect Director Allan Hubbard	For	For
1e	Elect Director Reuben S. Leibowitz	For	For
1f	Elect Director Gary M. Rodkin	For	For
1g	Elect Director Stefan M. Selig	For	For
1h	Elect Director Daniel C. Smith	For	For
1i	Elect Director J. Albert Smith, Jr.	For	For
1j	Elect Director Marta R. Stewart	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For
4	Approve Omnibus Stock Plan	For	For
5	Report on Political Contributions	Against	For

Company : SL Green Realty Corp.  
 Ticker : SLG  
 CUSIP No. : 78440X101

Meeting Date : 5/30/2019  
 Meeting Type : Annual  
 ISIN : US78440X1019

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John H. Alschuler	For	For
1b	Elect Director Edwin T. Burton, III	For	For
1c	Elect Director Lauren B. Dillard	For	For
1d	Elect Director Stephen L. Green	For	For
1e	Elect Director Craig M. Hatkoff	For	For
1f	Elect Director Andrew W. Mathias	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

Company : SLM Corporation  
 Ticker : SLM  
 CUSIP No. : 78442P106

Meeting Date : 6/20/2019  
 Meeting Type : Annual  
 ISIN : US78442P1066

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Paul G. Child	For	For
1b	Elect Director Mary Carter Warren Franke	For	For
1c	Elect Director Earl A. Goode	For	For
1d	Elect Director Marianne M. Keler	For	For
1e	Elect Director Mark L. Lavelle	For	For
1f	Elect Director Jim Matheson	For	For
1g	Elect Director Frank C. Puleo	For	For
1h	Elect Director Raymond J. Quinlan	For	For
1i	Elect Director Vivian C. Schneck-Last	For	For
1j	Elect Director William N. Shiebler	For	For
1k	Elect Director Robert S. Strong	For	For
1l	Elect Director Kirsten O. Wolberg	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : Spirit Realty Capital, Inc.  
 Ticker : SRC  
 CUSIP No. : 84860W300

Meeting Date : 5/9/2019  
 Meeting Type : Annual  
 ISIN : US84860W3007

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Jackson Hsieh	For	For
1.2	Elect Director Kevin M. Charlton	For	For
1.3	Elect Director Todd A. Dunn	For	For
1.4	Elect Director Richard I. Gilchrist	For	For
1.5	Elect Director Sheli Z. Rosenberg	For	For
1.6	Elect Director Thomas D. Senkbeil	For	For
1.7	Elect Director Nicholas P. Shepherd	For	For
1.8	Elect Director Diana M. Laing	For	For
1.9	Elect Director Elizabeth F. Frank	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Amend Omnibus Stock Plan	For	Against
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against

Company : Square, Inc.  
 Ticker : SQ  
 CUSIP No. : 852234103

Meeting Date : 6/18/2019  
 Meeting Type : Annual  
 ISIN : US8522341036

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Jack Dorsey	For	Withhold
1.2	Elect Director David Viniar	For	Withhold
1.3	Elect Director Paul Deighton	For	Withhold
1.4	Elect Director Anna Patterson	For	Withhold

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

2 Advisory Vote to Ratify Named Executive Officers' Compensation For For

Company : Starwood Property Trust, Inc.

Meeting Date : 4/30/2019

Ticker : STWD

Meeting Type : Annual

CUSIP No. : 85571B105

ISIN : US85571B1052

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Richard D. Bronson	For	Withhold
1.2	Elect Director Jeffrey G. Dishner	For	For
1.3	Elect Director Camille J. Douglas	For	Withhold
1.4	Elect Director Solomon J. Kumin	For	For
1.5	Elect Director Fred S. Ridley	For	For
1.6	Elect Director Barry S. Sternlicht	For	For
1.7	Elect Director Strauss Zelnick	For	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

Company : State Street Corporation

Meeting Date : 5/15/2019

Ticker : STT

Meeting Type : Annual

CUSIP No. : 857477103

ISIN : US8574771031

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Kennett F. Burnes	For	For
1b	Elect Director Patrick de Saint-Aignan	For	For
1c	Elect Director Lynn A. Dugle	For	For
1d	Elect Director Amelia C. Fawcett	For	For
1e	Elect Director William C. Freda	For	For
1f	Elect Director Joseph L. Hooley	For	For
1g	Elect Director Sara Mathew	For	For
1h	Elect Director William L. Meaney	For	For
1i	Elect Director Ronald P. O'Hanley	For	For
1j	Elect Director Sean O'Sullivan	For	For
1k	Elect Director Richard P. Sergel	For	For
1l	Elect Director Gregory L. Summe	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

Company : Sterling Bancorp

Meeting Date : 5/29/2019

Ticker : STL

Meeting Type : Annual

CUSIP No. : 85917A100

ISIN : US85917A1007

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director John P. Cahill	For	For
1.2	Elect Director Navy E. Djonovic	For	For
1.3	Elect Director Fernando Ferrer	For	For
1.4	Elect Director Robert Giambrone	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1.5	Elect Director Mona Aboelnaga Kanaan	For	For
1.6	Elect Director Jack L. Kopnisky	For	For
1.7	Elect Director James J. Landy	For	For
1.8	Elect Director Maureen B. Mitchell	For	For
1.9	Elect Director Patricia M. Nazemetz	For	For
1.10	Elect Director Richard O'Toole	For	For
1.11	Elect Director Ralph F. Palleschi	For	For
1.12	Elect Director Burt Steinberg	For	For
1.13	Elect Director William E. Whiston	For	For
2	Amend Omnibus Stock Plan	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Ratify Crowe LLP as Auditors	For	For

Company : SunTrust Banks, Inc.

Ticker : STI

CUSIP No. : 867914103

Meeting Date : 4/23/2019

Meeting Type : Annual

ISIN : US8679141031

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Agnes Bundy Scanlan	For	For
1.2	Elect Director Dallas S. Clement	For	For
1.3	Elect Director Paul D. Donahue	For	For
1.4	Elect Director Paul R. Garcia	For	For
1.5	Elect Director Donna S. Morea	For	For
1.6	Elect Director David M. Ratcliffe	For	For
1.7	Elect Director William H. Rogers, Jr.	For	For
1.8	Elect Director Frank P. Scruggs, Jr.	For	For
1.9	Elect Director Bruce L. Tanner	For	For
1.10	Elect Director Steven C. Voorhees	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditor	For	For

Company : SVB Financial Group

Ticker : SIVB

CUSIP No. : 78486Q101

Meeting Date : 4/25/2019

Meeting Type : Annual

ISIN : US78486Q1013

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Greg W. Becker	For	For
1.2	Elect Director Eric A. Benhamou	For	For
1.3	Elect Director John S. Clendening	For	For
1.4	Elect Director Roger F. Dunbar	For	For
1.5	Elect Director Joel P. Friedman	For	For
1.6	Elect Director Kimberly A. Jabal	For	For
1.7	Elect Director Jeffrey N. Maggioncalda	For	For
1.8	Elect Director Mary J. Miller	For	For
1.9	Elect Director Kate D. Mitchell	For	For
1.10	Elect Director John F. Robinson	For	For
1.11	Elect Director Garen K. Staglin	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

2	Eliminate Cumulative Voting	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Amend Omnibus Stock Plan	For	For
5	Ratify KPMG LLP as Auditors	For	For

Company : Synchrony Financial

Ticker : SYF

CUSIP No. : 87165B103

Meeting Date : 5/23/2019

Meeting Type : Annual

ISIN : US87165B1035

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Margaret M. Keane	For	For
1b	Elect Director Paget L. Alves	For	For
1c	Elect Director Arthur W. Coviello, Jr.	For	For
1d	Elect Director William W. Graylin	For	For
1e	Elect Director Roy A. Guthrie	For	For
1f	Elect Director Richard C. Hartnack	For	For
1g	Elect Director Jeffrey G. Naylor	For	For
1h	Elect Director Laurel J. Richie	For	For
1i	Elect Director Olympia J. Snowe	For	For
1j	Elect Director Ellen M. Zane	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : Synovus Financial Corp.

Ticker : SNV

CUSIP No. : 87161C501

Meeting Date : 11/29/2018

Meeting Type : Special

ISIN : US87161C5013

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Issue Shares in Connection with Merger	For	For
2	Adjourn Meeting	For	For
1A	Elect Director Tim E. Bentsen	For	For
1B	Elect Director F. Dixon Brooke, Jr.	For	For
1C	Elect Director Stephen T. Butler	For	For
1D	Elect Director Elizabeth W. Camp	For	For
1E	Elect Director Diana M. Murphy	For	For
1F	Elect Director Harris Pastides	For	For
1G	Elect Director Joseph J. Prochaska, Jr.	For	For
1H	Elect Director John L. Stallworth	For	For
1I	Elect Director Kessel D. Stelling	For	For
1J	Elect Director Barry L. Storey	For	For
1K	Elect Director Teresa White	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditor	For	For

Company : T. Rowe Price Group, Inc.

Ticker : TROW

Meeting Date : 4/25/2019

Meeting Type : Annual



## Proxy Voting Results

First Trust AlphaDEX™ U.S. Financial Sector Index ETF

CUSIP No. : 74144T108

ISIN

: US74144T1088

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Mark S. Bartlett	For	For
1b	Elect Director Mary K. Bush	For	For
1c	Elect Director Freeman A. Hrabowski, III	For	For
1d	Elect Director Robert F. MacLellan	For	For
1e	Elect Director Olympia J. Snowe	For	For
1f	Elect Director William J. Stromberg	For	For
1g	Elect Director Richard R. Verma	For	For
1h	Elect Director Sandra S. Wijnberg	For	For
1i	Elect Director Alan D. Wilson	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : TCF Financial Corp.

Meeting Date : 4/24/2019

Ticker : TCF

Meeting Type : Annual

CUSIP No. : 872275102

ISIN : US8722751026

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Peter Bell	For	For
1.2	Elect Director William F. Bieber	For	For
1.3	Elect Director Theodore J. Bigos	For	For
1.4	Elect Director Craig R. Dahl	For	For
1.5	Elect Director Karen L. Grandstrand	For	For
1.6	Elect Director George G. Johnson	For	Withhold
1.7	Elect Director Richard H. King	For	For
1.8	Elect Director Vance K. Opperman	For	For
1.9	Elect Director Roger J. Sit	For	For
1.10	Elect Director Julie H. Sullivan	For	For
1.11	Elect Director Barry N. Winslow	For	For
1.12	Elect Director Theresa M. H. Wise	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditor	For	For

Company : TCF Financial Corporation

Meeting Date : 6/7/2019

Ticker : TCF

Meeting Type : Special

CUSIP No. : 872275102

ISIN : US8722751026

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Merger Agreement	For	For
2	Advisory Vote on Golden Parachutes	For	For
3	Adjourn Meeting	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

Company : Texas Capital Bancshares, Inc.  
 Ticker : TCBI  
 CUSIP No. : 88224Q107

Meeting Date : 4/16/2019  
 Meeting Type : Annual  
 ISIN : US88224Q1076

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director C. Keith Cargill	For	For
1.2	Elect Director Jonathan E. Baliff	For	For
1.3	Elect Director James H. Browning	For	Withhold
1.4	Elect Director Larry L. Helm	For	For
1.5	Elect Director David S. Huntley	For	For
1.6	Elect Director Charles S. Hyle	For	For
1.7	Elect Director Elysia Holt Ragusa	For	Withhold
1.8	Elect Director Steven P. Rosenberg	For	For
1.9	Elect Director Robert W. Stallings	For	For
1.10	Elect Director Dale W. Tremblay	For	For
1.11	Elect Director Ian J. Turpin	For	Withhold
1.12	Elect Director Patricia A. Watson	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditor	For	For

Company : The Allstate Corporation  
 Ticker : ALL  
 CUSIP No. : 020002101

Meeting Date : 5/21/2019  
 Meeting Type : Annual  
 ISIN : US0200021014

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Kermit R. Crawford	For	For
1b	Elect Director Michael L. Eskew	For	For
1c	Elect Director Margaret M. Keane	For	For
1d	Elect Director Siddharth N. "Bobby" Mehta	For	For
1e	Elect Director Jacques P. Perold	For	For
1f	Elect Director Andrea Redmond	For	For
1g	Elect Director Gregg M. Sherrill	For	For
1h	Elect Director Judith A. Sprieser	For	For
1i	Elect Director Perry M. Traquina	For	For
1j	Elect Director Thomas J. Wilson	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Amend Omnibus Stock Plan	For	For
4	Ratify Deloitte & Touche LLP as Auditors	For	For
5	Report on Political Contributions	Against	For

Company : The Bank of New York Mellon Corporation  
 Ticker : BK  
 CUSIP No. : 064058100

Meeting Date : 4/9/2019  
 Meeting Type : Annual  
 ISIN : US0640581007

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Steven D. Black	For	For
1b	Elect Director Linda Z. Cook	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1c	Elect Director Joseph J. Echevarria	For	For
1d	Elect Director Edward P. Garden	For	For
1e	Elect Director Jeffrey A. Goldstein	For	For
1f	Elect Director John M. Hinshaw	For	For
1g	Elect Director Edmund F. "Ted" Kelly	For	For
1h	Elect Director Jennifer B. Morgan	For	For
1i	Elect Director Elizabeth E. Robinson	For	For
1j	Elect Director Charles W. Scharf	For	For
1k	Elect Director Samuel C. Scott, III	For	For
1l	Elect Director Alfred "Al" W. Zollar	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For
4	Provide Right to Act by Less than Unanimous Written Consent	For	For
5	Approve Omnibus Stock Plan	For	For
6	Report on Gender Pay Gap	Against	For

**Company** : The Charles Schwab Corporation

**Ticker** : SCHW

**CUSIP No.** : 808513105

**Meeting Date** : 5/15/2019

**Meeting Type** : Annual

**ISIN** : US8085131055

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John K. Adams, Jr.	For	For
1b	Elect Director Stephen A. Ellis	For	For
1c	Elect Director Arun Sarin	For	For
1d	Elect Director Charles R. Schwab	For	For
1e	Elect Director Paula A. Sneed	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Adopt Policy to Annually Disclose EEO-1 Data	Against	For

**Company** : The Goldman Sachs Group, Inc.

**Ticker** : GS

**CUSIP No.** : 38141G104

**Meeting Date** : 5/2/2019

**Meeting Type** : Annual

**ISIN** : US38141G1040

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director M. Michele Burns	For	For
1b	Elect Director Drew G. Faust	For	For
1c	Elect Director Mark A. Flaherty	For	For
1d	Elect Director Ellen J. Kullman	For	For
1e	Elect Director Lakshmi N. Mittal	For	For
1f	Elect Director Adebayo O. Ogunlesi	For	For
1g	Elect Director Peter Oppenheimer	For	For
1h	Elect Director David M. Solomon	For	For
1i	Elect Director Jan E. Tighe	For	For
1j	Elect Director David A. Viniar	For	For
1k	Elect Director Mark O. Winkelman	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditor	For	For
4	Provide Right to Act by Written Consent	Against	For

**Company** : The Hanover Insurance Group, Inc.

**Meeting Date** : 5/14/2019

**Ticker** : THG

**Meeting Type** : Annual

**CUSIP No.** : 410867105

**ISIN** : US4108671052

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director P. Kevin Condon	For	For
1.2	Elect Director Michael D. Price	For	For
1.3	Elect Director Joseph R. Ramrath	For	For
1.4	Elect Director John C. Roche	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditor	For	For

**Company** : The Howard Hughes Corporation

**Meeting Date** : 5/16/2019

**Ticker** : HHC

**Meeting Type** : Annual

**CUSIP No.** : 44267D107

**ISIN** : US44267D1072

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director William A. Ackman	For	For
1B	Elect Director Adam Flatto	For	For
1C	Elect Director Jeffrey Furber	For	For
1D	Elect Director Beth Kaplan	For	For
1E	Elect Director Allen Model	For	For
1F	Elect Director R. Scot Sellers	For	For
1G	Elect Director Steven Shepsman	For	For
1H	Elect Director Mary Ann Tighe	For	For
1I	Elect Director David R. Weinreb	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify Ernst & Young LLP as Auditor	For	For

**Company** : The PNC Financial Services Group, Inc.

**Meeting Date** : 4/23/2019

**Ticker** : PNC

**Meeting Type** : Annual

**CUSIP No.** : 693475105

**ISIN** : US6934751057

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Joseph Alvarado	For	For
1.2	Elect Director Charles E. Bunch	For	For
1.3	Elect Director Debra A. Cafaro	For	For
1.4	Elect Director Marjorie Rodgers Cheshire	For	For
1.5	Elect Director William S. Demchak	For	For
1.6	Elect Director Andrew T. Feldstein	For	For
1.7	Elect Director Richard J. Harshman	For	For
1.8	Elect Director Daniel R. Hesse	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1.9	Elect Director Richard B. Kelson	For	For
1.10	Elect Director Linda R. Medler	For	For
1.11	Elect Director Martin Pfinsgraff	For	For
1.12	Elect Director Toni Townes-Whitley	For	For
1.13	Elect Director Michael J. Ward	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : The Progressive Corporation

Meeting Date : 5/10/2019

Ticker : PGR

Meeting Type : Annual

CUSIP No. : 743315103

ISIN : US7433151039

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Philip Bleser	For	For
1b	Elect Director Stuart B. Burgdoerfer	For	For
1c	Elect Director Pamela J. Craig	For	For
1d	Elect Director Charles A. Davis	For	For
1e	Elect Director Roger N. Farah	For	For
1f	Elect Director Lawton W. Fitt	For	For
1g	Elect Director Susan Patricia Griffith	For	For
1h	Elect Director Jeffrey D. Kelly	For	For
1i	Elect Director Patrick H. Nettles	For	For
1j	Elect Director Barbara R. Snyder	For	For
1k	Elect Director Jan E. Tighe	For	For
1l	Elect Director Kahina Van Dyke	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditor	For	For

Company : The Travelers Companies, Inc.

Meeting Date : 5/22/2019

Ticker : TRV

Meeting Type : Annual

CUSIP No. : 89417E109

ISIN : US89417E1091

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Alan L. Beller	For	For
1b	Elect Director Janet M. Dolan	For	For
1c	Elect Director Patricia L. Higgins	For	For
1d	Elect Director William J. Kane	For	For
1e	Elect Director Clarence Otis, Jr.	For	For
1f	Elect Director Philip T. "Pete" Ruegger, III	For	For
1g	Elect Director Todd C. Schermerhorn	For	For
1h	Elect Director Alan D. Schnitzer	For	For
1i	Elect Director Donald J. Shepard	For	For
1j	Elect Director Laurie J. Thomsen	For	For
2	Ratify KPMG LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Amend Omnibus Stock Plan	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

5 Prepare Employment Diversity Report, Including EEOC Data Against For

Company : The Western Union Company

Meeting Date : 5/17/2019

Ticker : WU

Meeting Type : Annual

CUSIP No. : 959802109

ISIN : US9598021098

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Martin I. Cole	For	For
1b	Elect Director Hikmet Ersek	For	For
1c	Elect Director Richard A. Goodman	For	For
1d	Elect Director Betsy D. Holden	For	For
1e	Elect Director Jeffrey A. Joerres	For	For
1f	Elect Director Roberto G. Mendoza	For	For
1g	Elect Director Michael A. Miles, Jr.	For	For
1h	Elect Director Angela A. Sun	For	For
1i	Elect Director Frances Fragos Townsend	For	For
1j	Elect Director Solomon D. Trujillo	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For
4	Report on Political Contributions	Against	For

Company : Torchmark Corporation

Meeting Date : 4/25/2019

Ticker : TMK

Meeting Type : Annual

CUSIP No. : 891027104

ISIN : US8910271043

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Charles E. Adair	For	For
1.2	Elect Director Linda L. Addison	For	For
1.3	Elect Director Marilyn A. Alexander	For	For
1.4	Elect Director Cheryl D. Alston	For	For
1.5	Elect Director Jane M. Buchan	For	For
1.6	Elect Director Gary L. Coleman	For	For
1.7	Elect Director Larry M. Hutchison	For	For
1.8	Elect Director Robert W. Ingram	For	For
1.9	Elect Director Steven P. Johnson	For	For
1.10	Elect Director Darren M. Rebelez	For	For
1.11	Elect Director Lamar C. Smith	For	For
1.12	Elect Director Mary E. Thigpen	For	For
2	Ratify Deloitte & Touche LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

Company : Total System Services, Inc.  
 Ticker : TSS  
 CUSIP No. : 891906109

Meeting Date : 5/2/2019  
 Meeting Type : Annual  
 ISIN : US8919061098

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director F. Thaddeus Arroyo	For	For
1b	Elect Director Kriss Cloninger, III	For	For
1c	Elect Director Walter W. Driver, Jr.	For	For
1d	Elect Director Sidney E. Harris	For	For
1e	Elect Director Joia M. Johnson	For	For
1f	Elect Director Connie D. McDaniel	For	For
1g	Elect Director Richard A. Smith	For	For
1h	Elect Director John T. Turner	For	For
1i	Elect Director M. Troy Woods	For	For
2	Ratify KPMG LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Eliminate Supermajority Vote Requirement	For	For

Company : TransUnion  
 Ticker : TRU  
 CUSIP No. : 89400J107

Meeting Date : 5/8/2019  
 Meeting Type : Annual  
 ISIN : US89400J1079

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director George M. Awad	For	Withhold
1.2	Elect Director Christopher A. Cartwright	For	For
1.3	Elect Director Siddharth N. (Bobby) Mehta	For	Withhold
1.4	Elect Director Andrew Prozes	For	Withhold
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Two Harbors Investment Corp.  
 Ticker : TWO  
 CUSIP No. : 90187B408

Meeting Date : 7/27/2018  
 Meeting Type : Special  
 ISIN : US90187B4086

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Issue Shares in Connection with Acquisition	For	For
2	Adjourn Meeting	For	For
1a	Elect Director E. Spencer Abraham	For	For
1b	Elect Director James J. Bender	For	For
1c	Elect Director Karen Hammond	For	For
1d	Elect Director Stephen G. Kasnet	For	For
1e	Elect Director William Roth	For	For
1f	Elect Director W. Reid Sanders	For	For
1g	Elect Director Thomas E. Siering	For	For
1h	Elect Director James A. Stern	For	For
1i	Elect Director Hope B. Woodhouse	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

Company : U.S. Bancorp

Meeting Date : 4/16/2019

Ticker : USB

Meeting Type : Annual

CUSIP No. : 902973304

ISIN : US9029733048

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Warner L. Baxter	For	For
1b	Elect Director Dorothy J. Bridges	For	For
1c	Elect Director Elizabeth L. Buse	For	For
1d	Elect Director Marc N. Casper	For	For
1e	Elect Director Andrew Cecere	For	For
1f	Elect Director Arthur D. Collins, Jr.	For	For
1g	Elect Director Kimberly J. Harris	For	For
1h	Elect Director Roland A. Hernandez	For	For
1i	Elect Director Doreen Woo Ho	For	For
1j	Elect Director Olivia F. Kirtley	For	For
1k	Elect Director Karen S. Lynch	For	For
1l	Elect Director Richard P. McKenney	For	For
1m	Elect Director Yusuf I. Mehdi	For	For
1n	Elect Director David B. O'Maley	For	For
1o	Elect Director O'dell M. Owens	For	For
1p	Elect Director Craig D. Schnuck	For	For
1q	Elect Director Scott W. Wine	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For

Company : Umpqua Holdings Corp.

Meeting Date : 4/17/2019

Ticker : UMPQ

Meeting Type : Annual

CUSIP No. : 904214103

ISIN : US9042141039

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Peggy Y. Fowler	For	For
1.2	Elect Director Stephen M. Gambee	For	For
1.3	Elect Director James S. Greene	For	For
1.4	Elect Director Luis F. Machuca	For	For
1.5	Elect Director Cort L. O'Haver	For	For
1.6	Elect Director Maria M. Pope	For	For
1.7	Elect Director John F. Schultz	For	For
1.8	Elect Director Susan F. Stevens	For	For
1.9	Elect Director Hilliard C. Terry, III	For	For
1.10	Elect Director Bryan L. Timm	For	For
1.11	Elect Director Anddria Varnado	For	For
2	Ratify Deloitte & Touche LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For



## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

Company : Unum Group

Ticker : UNM

CUSIP No. : 91529Y106

Meeting Date : 5/23/2019

Meeting Type : Annual

ISIN : US91529Y1064

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Theodore H. Bunting, Jr.	For	For
1.2	Elect Director Susan L. Cross	For	For
1.3	Elect Director Susan D. DeVore	For	For
1.4	Elect Director Joseph J. Echevarria	For	For
1.5	Elect Director Cynthia L. Egan	For	For
1.6	Elect Director Kevin T. Kabat	For	For
1.7	Elect Director Timothy F. Keaney	For	For
1.8	Elect Director Gloria C. Larson	For	For
1.9	Elect Director Richard P. McKenney	For	For
1.10	Elect Director Ronald P. O'Hanley	For	For
1.11	Elect Director Francis J. Shammo	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditor	For	For

Company : Virtu Financial, Inc.

Ticker : VIRT

CUSIP No. : 928254101

Meeting Date : 6/4/2019

Meeting Type : Annual

ISIN : US9282541013

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director William F. Cruger, Jr.	For	Withhold
1.2	Elect Director Glenn H. Hutchins	For	Withhold
1.3	Elect Director Christopher C. Quick	For	Withhold
1.4	Elect Director Vincent J. Viola	For	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Advisory Vote on Say on Pay Frequency	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For

Company : Visa, Inc.

Ticker : V

CUSIP No. : 92826C839

Meeting Date : 1/29/2019

Meeting Type : Annual

ISIN : US92826C8394

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Lloyd A. Carney	For	For
1b	Elect Director Mary B. Cranston	For	For
1c	Elect Director Francisco Javier Fernandez-Carbajal	For	For
1d	Elect Director Alfred F. Kelly, Jr.	For	For
1e	Elect Director John F. Lundgren	For	For
1f	Elect Director Robert W. Matschullat	For	For
1g	Elect Director Denise M. Morrison	For	For
1h	Elect Director Suzanne Nora Johnson	For	For
1i	Elect Director John A.C. Swainson	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1j	Elect Director Maynard G. Webb, Jr.	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditors	For	For

Company : W.P. Carey, Inc.

Meeting Date : 10/29/2018

Ticker : WPC

Meeting Type : Special

CUSIP No. : 92936U109

ISIN : US92936U1097

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Issue Shares in Connection with Acquisition	For	For
2	Adjourn Meeting	For	For

Company : W.R. Berkley Corporation

Meeting Date : 6/6/2019

Ticker : WRB

Meeting Type : Annual

CUSIP No. : 084423102

ISIN : US0844231029

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director W. Robert Berkley, Jr.	For	For
1b	Elect Director Ronald E. Blaylock	For	Against
1c	Elect Director Mary C. Farrell	For	Against
1d	Elect Director Leigh Ann Pusey	For	Against
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditor	For	For

Company : Webster Financial Corp.

Meeting Date : 4/25/2019

Ticker : WBS

Meeting Type : Annual

CUSIP No. : 947890109

ISIN : US9478901096

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director William L. Atwell	For	For
1b	Elect Director John R. Ciulla	For	For
1c	Elect Director John J. Crawford	For	For
1d	Elect Director Elizabeth E. Flynn	For	For
1e	Elect Director E. Carol Hayles	For	For
1f	Elect Director Laurence C. Morse	For	For
1g	Elect Director Karen R. Osar	For	For
1h	Elect Director Mark Pettie	For	For
1i	Elect Director James C. Smith	For	For
1j	Elect Director Lauren C. States	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify KPMG LLP as Auditor	For	For
4	Amend Qualified Employee Stock Purchase Plan	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

Company : Weingarten Realty Investors  
 Ticker : WRI  
 CUSIP No. : 948741103

Meeting Date : 4/29/2019  
 Meeting Type : Annual  
 ISIN : US9487411038

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Andrew M. Alexander	For	For
1b	Elect Director Stanford J. Alexander	For	For
1c	Elect Director Shelaghmichael C. Brown	For	For
1d	Elect Director Stephen A. Lasher	For	For
1e	Elect Director Thomas L. Ryan	For	For
1f	Elect Director Douglas W. Schnitzer	For	For
1g	Elect Director C. Park Shaper	For	For
1h	Elect Director Marc J. Shapiro	For	For
2	Ratify Deloitte & Touche LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against

Company : Wells Fargo & Company  
 Ticker : WFC  
 CUSIP No. : 949746101

Meeting Date : 4/23/2019  
 Meeting Type : Annual  
 ISIN : US9497461015

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director John D. Baker, II	For	For
1b	Elect Director Celeste A. Clark	For	For
1c	Elect Director Theodore F. Craver, Jr.	For	For
1d	Elect Director Elizabeth A. "Betsy" Duke	For	For
1e	Elect Director Wayne M. Hewett	For	For
1f	Elect Director Donald M. James	For	For
1g	Elect Director Maria R. Morris	For	For
1h	Elect Director Juan A. Pujadas	For	For
1i	Elect Director James H. Quigley	For	For
1j	Elect Director Ronald L. Sargent	For	For
1k	Elect Director C. Allen Parker	For	For
1l	Elect Director Suzanne M. Vautrinot	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Amend Omnibus Stock Plan	For	For
4	Ratify KPMG LLP as Auditors	For	For
	Report on Incentive-Based Compensation and Risks of Material		
5	Losses	Against	For
6	Report on Global Median Gender Pay Gap	Against	For

Company : Western Alliance Bancorporation  
 Ticker : WAL  
 CUSIP No. : 957638109

Meeting Date : 6/4/2019  
 Meeting Type : Annual  
 ISIN : US9576381092

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Bruce Beach	For	For
1B	Elect Director Howard N. Gould	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1C	Elect Director Steven J. Hilton	For	For
1D	Elect Director Marianne Boyd Johnson	For	For
1E	Elect Director Robert P. Latta	For	For
1F	Elect Director Todd Marshall	For	For
1G	Elect Director Adriane C. McFetridge	For	For
1H	Elect Director James E. Nave	For	For
1I	Elect Director Michael Patriarca	For	For
1J	Elect Director Robert Gary Sarver	For	For
1K	Elect Director Donald D. Snyder	For	For
1L	Elect Director Sung Won Sohn	For	For
1M	Elect Director Kenneth A. Vecchione	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify RSM US LLP as Auditors	For	For

Company : Weyerhaeuser Company

Ticker : WY

CUSIP No. : 962166104

Meeting Date : 5/17/2019

Meeting Type : Annual

ISIN : US9621661043

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Mark A. Emmert	For	For
1.2	Elect Director Rick R. Holley	For	For
1.3	Elect Director Sara Grootwassink Lewis	For	For
1.4	Elect Director Nicole W. Piasecki	For	For
1.5	Elect Director Marc F. Racicot	For	For
1.6	Elect Director Lawrence A. Selzer	For	For
1.7	Elect Director D. Michael Steuert	For	For
1.8	Elect Director Devin W. Stockfish	For	For
1.9	Elect Director Kim Williams	For	For
1.10	Elect Director Charles R. Williamson	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against
3	Ratify KPMG LLP as Auditors	For	For

Company : Willis Towers Watson Public Limited Company

Ticker : WLTW

CUSIP No. : G96629103

Meeting Date : 5/20/2019

Meeting Type : Annual

ISIN : IE00BDB6Q211

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect Director Anna C. Catalano	For	For
1b	Elect Director Victor F. Ganzi	For	For
1c	Elect Director John J. Haley	For	For
1d	Elect Director Wendy E. Lane	For	For
1e	Elect Director Brendan R. O'Neill	For	For
1f	Elect Director Jaymin B. Patel	For	For
1g	Elect Director Linda D. Rabbitt	For	For
1h	Elect Director Paul D. Thomas	For	For
1i	Elect Director Wilhelm Zeller	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

2	Ratify the Appointment of Deloitte & Touche LLP as Auditor and Deloitte LLP to audit the Irish Statutory Accounts, and Authorize the Board to Fix Their Remuneration	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Renew the Board's Authority to Issue Shares Under Irish Law	For	For
5	Renew the Board's Authority to Opt-Out of Statutory Pre-Emptions Rights	For	For

**Company** : Wintrust Financial Corporation  
**Ticker** : WTFC  
**CUSIP No.** : 97650W108

**Meeting Date** : 5/23/2019  
**Meeting Type** : Annual  
**ISIN** : US97650W1080

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect Director Peter D. Crist	For	For
1.2	Elect Director Bruce K. Crowther	For	For
1.3	Elect Director William J. Doyle	For	For
1.4	Elect Director Marla F. Glabe	For	For
1.5	Elect Director H. Patrick Hackett, Jr.	For	For
1.6	Elect Director Scott K. Heitmann	For	For
1.7	Elect Director Deborah L. Hall Lefevre	For	For
1.8	Elect Director Christopher J. Perry	For	For
1.9	Elect Director Ingrid S. Stafford	For	For
1.10	Elect Director Gary D. "Joe" Sweeney	For	For
1.11	Elect Director Karin Gustafson Teglia	For	For
1.12	Elect Director Edward J. Wehmer	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Ernst & Young LLP as Auditors	For	For

**Company** : Zions Bancorporation  
**Ticker** : ZION  
**CUSIP No.** : 989701107

**Meeting Date** : 9/14/2018  
**Meeting Type** : Special  
**ISIN** : US9897011071

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Restructuring Plan	For	For
2	Adjourn Meeting	For	For
A	Other Business	For	Against

**Company** : Zions Bancorporation, National Association  
**Ticker** : ZION  
**CUSIP No.** : 989701107

**Meeting Date** : 5/31/2019  
**Meeting Type** : Annual  
**ISIN** : US9897011071

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1A	Elect Director Jerry C. Atkin	For	For
1B	Elect Director Gary L. Crittenden	For	For
1C	Elect Director Suren K. Gupta	For	For

## Proxy Voting Results

### First Trust AlphaDEX™ U.S. Financial Sector Index ETF

1D	Elect Director J. David Heaney	For	For
1E	Elect Director Vivian S. Lee	For	For
1F	Elect Director Scott J. McLean	For	For
1G	Elect Director Edward F. Murphy	For	For
1H	Elect Director Stephen D. Quinn	For	For
1I	Elect Director Harris H. Simmons	For	For
1J	Elect Director Aaron B. Skonnard	For	For
1K	Elect Director Barbara A. Yastine	For	For
2	Ratify Ernst & Young LLP as Auditor	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Advisory Vote on Say on Pay Frequency	One Year	One Year