

# > First Trust AlphaDEX™ U.S. Energy Sector Index ETF

Ticker: FHE

## Quarter End Statement of Investments

3rd Quarter as at September 30, 2017

Industry Allocations	% of Net Asset Value
Oil, Gas & Consumable Fuels	84.22%
Energy Equipment & Services	14.76%
Semiconductors & Semiconductor Equipment	0.71%
Cash & Cash Equivalents	0.35%
<b>Total</b>	<b>100.04%</b>
Other Assets and Liabilities	(0.04%)
<b>Total Net Asset Value</b>	<b>100.00%</b>

Top 25 Holdings	% of Net Asset Value
Transocean Ltd.	4.50%
PBF Energy Inc.	4.27%
Valero Energy Corporation	3.93%
Chevron Corporation	3.88%
Andeavor	3.79%
Rice Energy Inc.	3.74%
SM Energy Company	3.69%
Murphy Oil Corporation	3.57%
Oceaneering International, Inc.	3.17%
ConocoPhillips	3.13%
Phillips 66	3.05%
Energen Corporation	3.05%
Marathon Petroleum Corporation	2.95%
QEP Resources, Inc.	2.92%
Exxon Mobil Corporation	2.80%
Noble Energy, Inc.	2.76%
Cheniere Energy, Inc.	2.55%
Continental Resources, Inc.	2.47%
World Fuel Services Corporation	2.43%
Devon Energy Corporation	2.37%
Marathon Oil Corporation	2.36%
Concho Resources Inc.	2.24%
Anadarko Petroleum Corporation	2.22%
Patterson-UTI Energy, Inc.	2.14%
Kinder Morgan, Inc.	2.07%
<b>Total Net Asset Value</b>	<b>\$1,789,017</b>

Commissions, trailing commissions, management fees and expenses all may be associated with ETF investments. Please carefully read the simplified prospectus of the ETF before investing. ETFs are not guaranteed, their values change frequently and past performance may not be repeated. Data is as of September 30, 2017 (unaudited). (10/17)

 **First Trust**  
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